

**U.S. Department of Energy**  
**Washington, D.C.**

**ORDER**

DOE 6430.1A

4-6-89

SUBJECT: GENERAL DESIGN CRITERIA

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1. PURPOSE. To provide general design criteria (GDC) for use in the acquisition of the Department's facilities and to establish responsibilities and authorities for the development and maintenance of these criteria.
  2. CANCELLATION. DOE 6430.1, GENERAL DESIGN CRITERIA, of 12-12-83.
  3. SCOPE. The provisions of this Order apply to all Departmental Elements except as otherwise provided by statute or by specific delegation of authority from the Secretary of Energy, and all contractors and subcontractors performing work for the Department whose contract may involve planning, design, or facility acquisitions. This includes DOE-owned, -leased, or -controlled sites where Federal funds are used totally or in part, except where otherwise authorized by separate statute or where specific exemptions are granted by the Secretary or his designee.
  4. APPLICABILITY.
    - a. The GDC provided by this Order shall be applied to all facilities which shall be reported on in the Department's Real Property Inventory System (RPIS), or which shall be reported on in the General Services Administration's annual "Summary Report of Real Property Owned by the United States Throughout the World."
    - b. The GDC provided by this Order are not intended to provide complete coverage for the diverse facilities by type and complexity that are needed to support the varied Departmental program-mission requirements. Specific project criteria and/or specifications need to be developed to satisfy the needs for a particular facility, incorporating applicable requirements of these general design criteria and supplemented with required criteria from applicable codes and standards.
    - c. It is recognized that many of the Departmental organizations having responsibilities for facility planning, design, and construction may establish and apply more comprehensive criteria to satisfy the particular program mission or operating requirements. There is no

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intent that the GDC take precedence over such other criteria, where those criteria meet or exceed the GDC requirements. Where there exists a conflict between those criteria and the GDC provided by this Order, however, the GDC governs.

5. REFERENCES.

- a. DOE 4700.1, PROJECT MANAGEMENT SYSTEM, of 3-6-87, which establishes the Department's project management system and provides implementing instructions, formats, and procedures, and sets forth the principles and requirements which govern the development, approval, and execution of the Department's outlay program acquisitions as embodied in the project management system.
- b. Other Departmental Orders, applicable Federal laws, Executive orders, and Federal regulations, are identified in the various sections of the GDC where their requirements specifically apply and are contained in a general listing on page 1-7, in Section 0106, Regulatory Requirements.
- c. All references and the section(s) in which they are cited in this Order are contained on page 17-35, Index of Referenced Documents.

6. DEFINITIONS. (See Abbreviations, page 1 and Glossary, page 9, which follow the Table of Contents.)

7. POLICY AND OBJECTIVES.

- a. Policy. It is DOE policy that:

- (1) Professional architectural and engineering principles and practices be applied to the planning, design, construction, alterations, and/or acquisition of the Department's facilities.
- (2) All Departmental facilities will comply with the Federal and Departmental regulations for energy conservation and use of renewable energy.
- (3) The planning, design and construction of the Department's facilities will be performed in a manner that will satisfy all applicable Executive Orders, Federal laws, and regulations. While the Department is not required to comply with state and local building codes, laws, and ordinance, the planning, design, and construction processes should accommodate them to the extent consistent with the accomplishment of the Department's mission.
- (4) All Department facilities are to be designed and constructed to be reasonable and adequate for their intended purpose and consistent with health, safety, security, and environmental

DOE 6430.1A  
4-6-89

protection requirements.

b. Objectives.

- (1) To provide GDC that ensures implementation of the Department's policy covering:
  - (a) The basic architectural and engineering disciplines.
  - (b) Certain types of the Department's known facility requirements.
  - (c) Specialized requirements based on programmatic and operating experience.
- (2) To establish authorities, responsibilities, and procedures that ensure timely development and maintenance of the GDC.

8. RESPONSIBILITIES AND AUTHORITIES.

- a. Assistant Secretary, Management and Administration (MA-1), is responsible for development of budget, accounting, procurement, cost estimating, construction, facilitates management, site development, real estate, project management, and business related policy. Specific responsibilities with respect to this Order are carried out by the Deputy Assistant Secretary for Administration through the Director of Project and Facilities Management (MA-22).
  - (1) Establishes an advisory GDC Planning Board (see Glossary) and serves as the Department's focal point for the development, maintenance, and interpretation of the GDC. In fulfilling these responsibilities, technical advice and assistance are utilized from other Departmental organizations in their particular areas of interest.
  - (2) Maintains liaison with other Federal agencies, the architect-engineer professions, and the construction industries on current practices, procedures, criteria, and standards being applied to facility design and construction.
  - (3) Utilizes, as needed, technical advice and assistance of criteria users, support contractors, and consultants to develop and maintain criteria for specialized areas.
  - (4) Participates with the Building Research Board, a unit of the National Research Council, in activities relating to facility design and construction.

- (5) When requested, provides technical advice and assistance to other Departmental organizations on matters relating to planning, design, and construction of facilities.
  - (6) Assures, through the GDC Planning Board, that proposed criteria revisions and additions of a substantive nature are reviewed with all appropriate Headquarters and field organizations.
  - (7) Participates with responsible Headquarters organizations identified in paragraph c, below, in reviewing and adopting comments received on their particular areas of responsibility.
- b. Heads of Headquarters and Field Organizations Having Responsibilities for Construction Project Planning and Design or Facility Acquisitions.

- (1) Assures that the GDC are applied throughout the planning and design of each construction project under his or her cognizance, whether contracted for by the Department or through the Department's management and operating contractors. The GDC shall be applied in the development of site-specific general design criteria, specific project design criteria, and technical specifications for facilities.
- (2) Serves or appoints a member on the GDC Planning Board, and through that advisory body, recommends criteria revisions and additions to the Director of Project and Facilities Management; and provides technical input, advice, and assistance during revision or expansion of the criteria. A list of the current GDC Planning Board membership is as follows:

Deputy Assistant Secretary for Administration  
Assistant Secretary for Environment, Safety, and Health  
Assistant Secretary for Defense Programs  
Director of Energy Research  
Assistant Secretary, Conservation and Renewable Energy  
Assistant Secretary for Nuclear Energy  
Administrator, Western Area Power Administration  
Administrator, Bonneville Power Administration  
Manager, Albuquerque Operations Office  
Manager, Chicago Operations Office  
Manager, Idaho Operations Office  
Manager, Nevada Operations Office  
Manager, Oak Ridge Operations Office  
Manager, Richland Operations Office  
Manager, San Francisco Operations Office

DOE 6430. 1A  
4-6-89

Manager, Savannah River Operations Office  
Director, Morgantown Energy Technology Center  
Director, Pittsburgh Energy Technology Center

c. Heads of Headquarters Organizations Having Responsibilities for Establishing Policies, Performance Standards, or Operating Requirements That Need to be Applied to the Planning, Design Construction, or Acquisition of Department Facilities.

- (1) Participate in the development and maintenance of the GDC, and assure that the GDC accurately reflects the design requirements associated with their particular areas of responsibility.
- (2) Assist the Director of Project and Facilities Management in reviewing comments and recommendations received from other Departmental Elements and DOE contractors, as related to their particular areas of responsibility.
- (3) Identify and develop revisions or additions to the criteria in their particular areas of responsibility in coordination with the Director of Project and Facilities Management.
- (4) Provide assistance to the Director of Project and Facilities Management, other Headquarters organizations, and field organizations in making determinations of criteria applicability to specific facilities, and provide criteria interpretations in their particular areas of responsibility.

d. Director, Naval Nuclear Propulsion Program. Executive Order 12344, statutorily prescribed by PL 98-525 (42 USC 7158 note), establishes the responsibilities and authority of the Director, Naval Nuclear Propulsion Program (who is also the Deputy Assistant Secretary for Naval Reactors within the Department) over all facilities and activities which comprise the joint Navy-DOE Program. In view of the unique nature of naval nuclear propulsion applications, and the statutorily prescribed responsibilities noted above, the Director shall determine the appropriate design criteria applicable to Program activities which will include consideration of appropriate parts of the criteria set forth by this Order.

9. BACKGROUND.

- a. The organization of this Order is adapted from the MASTERFORMAT system developed by the Construction Specifications Institute. The 16 divisions are devoted to major building systems or design specialties. Additional information concerning the organization of the GDC is contained on page 1-3, Section 0101-3, Organization and Use of These Criteria. Note that the first two numbers of the section referred to, relate directly to the pertinent division. For example,

the section in the preceding statement is located in Division 1.

- b. It is recognized that there will arise valid reasons for deviating from the GDC. Allowable deviations and deviations requiring prior Headquarters review or approval, and procedures to be followed, are described on page 1-2, Section 0101-2, Criteria Deviations.
- c. Assistance and support from Departmental organizations having responsibilities assigned in paragraph 8 and operating contractors will be required on a continuous basis for the effective development and maintenance of these GDC. The development of new criteria, where required, and the maintenance of these GDC will be supported by the GDC Planning Board.
- d. Past experiences (lessons learned) can be of significant benefit in the planning and performance of construction projects. Incorporation of design-related lessons learned into the GDC will maximize the Department's benefits. Field organizations are encouraged to submit design-related lessons learned to the Director of Project and Facilities Management using the "GDC Improvement Proposal" form, a sample of which is provided on page 17-45. Proposed changes to the GDC will be evaluated by the GDC Planning Board with recommendations made to the Director of Project and Facilities Management. Copies of this form are available upon request to the Chairman, GDC Planning Board.

BY ORDER OF THE SECRETARY OF ENERGY:



LAURENCE F. DAVENPORT  
Assistant Secretary  
Management and Administration

# Table of Contents

Abbreviations .....	1
Glossary .....	9

## Division 1 General Requirements

<b>0101</b>	<b>Criteria Purpose and Application .....</b>	<b>1-1</b>
0101-1	General .....	1-1
0101-2	Criteria Deviations .....	1-2
0101-3	Organization and Use of These Criteria .....	1-3
0101-3.1	General .....	1-3
0101-3.2	"Shall" and "Shall Consider" .....	1-4
0101-3.3	References to Other Sections and Documents .....	1-4
0101-3.4	Special Facilities .....	1-4
0101-3.4.1	"-99" Sections .....	1-4
0101-3.4.2	Division 13 .....	1-5
0101-3.5	Indexes and Glossary .....	1-5
0101-3.6	Document Improvement Proposals .....	1-6
0101-4	Handicapped Provisions .....	1-6
<b>0106</b>	<b>Regulatory Requirements .....</b>	<b>1-7</b>
<b>0109</b>	<b>Reference Standards and Guides .....</b>	<b>1-13</b>
<b>0110</b>	<b>Architectural and Special Design Requirements .....</b>	<b>1-51</b>
0110-1	Design, Programmatic, and Operating Requirements .....	1-51
0110-1.1	General .....	1-51
0110-1.1.1	Design .....	1-51
0110-1.1.2	Programming .....	1-51
0110-1.2	Systems Integration .....	1-52
0110-1.3	Emergency Planning .....	1-52
0110-2	Alternative Designs .....	1-52
0110-3	Flexibility .....	1-52

0110-4	Operational Efficiency .....	1-53
0110-5	Health and Safety .....	1-53
0110-5.1	Performance Objectives .....	1-53
0110-5.2	Safety Analysis .....	1-54
0110-5.3	Emergency Preparedness Planning .....	1-54
0110-5.4	Asbestos-Containing Materials .....	1-55
0110-5.5	Polychlorinated Biphenyls (PCBs) .....	1-55
0110-6	Fire Protection .....	1-55
0110-6.1	General .....	1-55
0110-6.2	Fire Protection Design Analysis .....	1-55
0110-6.3	Fire Resistance Ratings .....	1-56
0110-6.4	Hazardous Areas .....	1-56
0110-7	Environmental Protection and Pollution Control .....	1-57
0110-8	Accommodations for the Physically Handicapped .....	1-57
0110-9	Operation, Maintenance, Repair, and Replacement .....	1-57
0110-10	Fallout Shelters .....	1-58
0110-11	Work Space Management Standards .....	1-59
0110-12	Energy Conservation .....	1-59
0110-12.1	Coverage .....	1-59
0110-12.2	General .....	1-60
0110-12.3	Building Envelope Thermal Transmittance Values .....	1-61
0110-12.4	Building Envelope Air Leakage Criteria .....	1-61
0110-12.5	Use of Renewable Energy Systems .....	1-61
0110-12.5.1	Active Solar Systems .....	1-61
0110-12.5.2	Passive Solar Techniques and Daylighting Techniques .....	1-61
0110-12.5.3	Other Renewable Systems .....	1-61
0110-12.6	Energy Management Systems .....	1-62
0110-12.7	Building Analysis Procedures .....	1-62
0110-12.7.1	LCC Analysis Procedures .....	1-62
0110-12.7.2	Use of Computer or Other Energy Analysis Techniques .....	1-62
0110-12.7.3	Design Analysis Procedures .....	1-63
0110-12.7.4	Waivers of Design Analysis Requirements .....	1-65
0110-12.8	Energy Conservation Report Requirements .....	1-66
0110-12.8.1	General .....	1-66
0110-12.8.2	Distribution of "Energy Conservation Reports" .....	1-67
0110-13	Physical Protection .....	1-68
0110-13.1	General .....	1-68
0110-13.2	Access Control and Security Areas .....	1-68
0110-13.2.1	General .....	1-68
0110-13.2.2	Property Protection Area Requirements .....	1-69
0110-13.2.3	Limited-Area Requirements .....	1-69
0110-13.2.4	Exclusion Area Requirements .....	1-70
0110-13.2.5	Protected Area, Material Access Area, and Vital Area .....	1-70



0110-13.3	Physical Barriers .....	1-70
0110-13.4	Intrusion Detection .....	1-70
0110-13.5	Communications Equipment .....	1-71
0110-99	Special Facilities .....	1-71
0110-99.0	Nonreactor Nuclear Facilities—General .....	1-71
0110-99.0.1	General .....	1-71
0110-99.0.2	Building Services and Distribution .....	1-72
0110-99.0.3	Utilization Schedule .....	1-73
0110-99.0.4	Building Layout .....	1-73
0110-99.0.5	Interior Walls and Partitions .....	1-75
0110-99.0.6	Fire Resistance .....	1-75
0110-99.0.7	Loss Limitations .....	1-75
0110-99.0.8	Personnel and Public Safety .....	1-76
0110-99.2	Emergency Preparedness Facilities .....	1-76
0110-99.2.1	General .....	1-76
0110-99.2.2	Building Layout .....	1-76
0110-99.2.3	Access by the Physically Handicapped ..	1-76
0110-99.7	Occupational Health Facilities .....	1-77
0110-99.7.1	General .....	1-77
0110-99.7.2	Location .....	1-77
0110-99.7.3	Space Requirements .....	1-78
0110-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations .....	1-78
0110-99.8.1	Centers and Repeater Stations .....	1-78
0110-99.8.2	Telephone Switching Centers .....	1-81
0110-99.8.3	Teletype, Data, and Facsimile Centers ..	1-81
0110-99.8.4	Computer and Automatic Data Processing Centers .....	1-81
0110-99.8.5	Radio Control Centers .....	1-84
0110-99.8.6	Fire Alarm Control Centers .....	1-84
0110-99.8.7	Security Alarm Control Centers .....	1-85
0110-99.8.8	Radio Repeater Stations .....	1-86
0110-99.9	Vaults and Vault-Type Rooms for Storage of Classified Matter .....	1-86
0110-99.9.1	General .....	1-86
0110-99.9.2	Architectural .....	1-87
0110-99.9.3	Substantial Construction .....	1-87
0110-99.9.4	Alarm Systems .....	1-87
0110-99.10	Secure Conference Rooms .....	1-88
0110-99.10.1	General .....	1-88
0110-99.10.2	Room Envelope .....	1-88
0110-99.11	Secure Offices .....	1-88
0110-99.11.1	General .....	1-88
0110-99.11.2	Room Envelope .....	1-88

<b>0111</b>	<b>Structural Design Requirements</b>	1-89
0111-1	General	1-89
0111-2	Loads	1-90
0111-2.1	General Requirements	1-90
0111-2.2	Dead Loads	1-90
0111-2.2.1	General	1-90
0111-2.2.2	Unit Weights	1-90
0111-2.2.3	Service Equipment	1-90
0111-2.2.4	Allowance for Partition Loads	1-91
0111-2.3	Live Loads	1-91
0111-2.3.1	General	1-91
0111-2.3.2	Buildings and Other Structures	1-91
0111-2.3.3	Highway and Railway Structures	1-91
0111-2.4	Wind Loads	1-92
0111-2.4.1	General	1-92
0111-2.4.2	Buildings and Other Structures	1-92
0111-2.4.3	Highway and Railway Structures	1-92
0111-2.5	Tornado Loads	1-92
0111-2.6	Internal Shock and Blast Loads	1-93
0111-2.7	Earthquake Loads	1-93
0111-2.7.1	Buildings and Other Structures	1-93
0111-2.7.2	Highway and Railway Structures	1-94
0111-2.8	Other Loads for Buildings and Other Structures	1-94
0111-2.8.1	Vibratory Loadings	1-94
0111-2.8.2	Earth and Groundwater Pressures	1-94
0111-2.8.3	Fluid and Gas Pressures	1-94
0111-2.8.4	Thermal Forces	1-94
0111-2.8.5	Creep and Shrinkage Forces	1-95
0111-2.9	Other Loads for Highway and Railway Structures	1-95
0111-2.10	Combination of Loads and Design Requirements for Buildings and Other Structures	1-95
0111-2.11	Combination of Loads and Design Requirements for Highway and Railway Structures	1-95
0111-3	Structural Systems for Buildings and Other Structures	1-95
0111-3.1	Framing	1-95
0111-3.2	Floors	1-96
0111-3.3	Control Joints	1-96
0111-3.4	Foundations	1-96
0111-3.4.1	General Requirements	1-96
0111-3.4.2	Foundation Vibrations	1-96
0111-4	Structural Systems for Highway and Railway Structures	1-96
0111-99	Special Facilities	1-97
0111-99.0	Nonreactor Nuclear Facilities—General	1-97
0111-99.0.1	General	1-97
0111-99.0.2	Tornado and Extreme Wind	1-98
0111-99.0.3	Floods	1-99
0111-99.0.4	Earthquakes	1-99
0111-99.0.5	Aircraft	1-100
0111-99.0.6	Nearby Explosions and Externally Generated Missiles	1-100

	0111-99.07	Explosion, Internal Pressurization, Criticality, and Other DBA Causes . . .	1-100
	0111-99.08	Load Combinations . . . . .	1-100
	0111-99.4	Explosives Facilities . . . . .	1-101
<b>0140</b>	<b>Quality Assurance</b> . . . . .		1-101
<b>0150</b>	<b>Construction Facilities and Temporary Controls</b> . . . . .		1-103
	0150-1	Site Development . . . . .	1-103
	0150-2	Temporary Utilities . . . . .	1-104
	0150-3	Temporary and Special Wiring for Telephone Facilities . . . . .	1-105
	0150-4	Pollution and Soil Erosion Control . . . . .	1-105
		0150-4.1 General . . . . .	1-105
		0150-4.2 Solid Waste . . . . .	1-105
		0150-4.3 Air Pollution . . . . .	1-105
		0150-4.4 Water Pollution and Soil Erosion . . . . .	1-106
		0150-4.5 Noise Pollution . . . . .	1-106
		0150-4.6 Demolition and Decommissioning . . . . .	1-106
	0150-5	Temporary Security Fencing . . . . .	1-106
<b>0170</b>	<b>Construction Contract Closeout</b> . . . . .		1-107
	0170-1	Material to be Provided . . . . .	1-107
	0170-2	Closeout Procedures . . . . .	1-110

## Division 2

### Site and Civil Engineering

<b>0200</b>	<b>Site Development</b> . . . . .		2-1
	0200-1	Facility Siting . . . . .	2-1
		0200-1.1 General . . . . .	2-1
		0200-1.2 Radiological Siting Requirements . . . . .	2-2
		0200-1.3 Radiological Siting Guidelines . . . . .	2-3
	0200-2	Building Location . . . . .	2-4
	0200-99	Special Facilities . . . . .	2-5
		0200-99.0 Nonreactor Nuclear Facilities—General . . . . .	2-5
		0200-99.0.1 General . . . . .	2-5
		0200-99.0.2 Other Facilities and Operations . . . . .	2-5
		0200-99.0.3 Services . . . . .	2-5
		0200-99.0.4 Meteorology . . . . .	2-6
		0200-99.0.5 Hydrology . . . . .	2-6
		0200-99.0.6 Seismology . . . . .	2-6
	0200-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations . . . . .	2-7
		0200-99.8.1 General . . . . .	2-7

	0200-99.8.2	Teletype, Data, and Facsimile Centers ..	2-8
	0200-99.8.3	Radio Control Centers .....	2-8
	0200-99.8.4	Fire Alarm Control Centers .....	2-8
	0200-99.8.5	Security Alarm Control Centers .....	2-8
	0200-99.8.6	Radio Repeater Stations .....	2-9
<b>0201</b>	<b>Subsurface Investigations</b> .....		2-9
	0201-1	General .....	2-9
	0201-2	Drilling and Sampling Methods .....	2-9
	0201-3	Field And Laboratory Reports .....	2-9
	0201-4	Foundation Engineering Evaluation and Recommendations .....	2-10
<b>0202</b>	<b>Surveying</b> .....		2-11
	0202-1	General .....	2-11
	0202-2	Horizontal and Vertical Control .....	2-12
	0202-3	Monumentation .....	2-12
	0202-3.1	Temporary Control Monuments .....	2-12
	0202-3.2	Permanent Survey Monuments .....	2-14
	0202-3.3	Bench Marks .....	2-14
	0202-4	Surveys for Utilities, Roads, and Parking Areas .....	2-15
	0202-5	Surveys for Existing Underground Utilities .....	2-16
	0202-6	Construction Staking .....	2-16
<b>0203</b>	<b>Utilities Within Easements or Corridors</b> .....		2-17
	0203-1	Utility Locations .....	2-17
	0203-1.1	General .....	2-17
	0203-1.2	Underground Utilities .....	2-17
	0203-1.3	Aboveground Utilities .....	2-17
	0203-1.4	Security Areas .....	2-17
	0203-1.5	Record Drawings .....	2-18
<b>0205</b>	<b>Demolition, Decontamination, and Decommissioning</b> .....		2-18
	0205-1	Demolition .....	2-18
	0205-2	Decontamination and Decommissioning .....	2-18
<b>0210</b>	<b>Site Preparation</b> .....		2-18
<b>0214</b>	<b>Dewatering</b> .....		2-19
	0214-1	General .....	2-19
	0214-2	Groundwater Investigation .....	2-19
<b>0215</b>	<b>Shoring and Underpinning</b> .....		2-20
	0215-1	General .....	2-20
	0215-2	Shoring Systems .....	2-20
	0215-3	Underpinning .....	2-20

<b>0220</b>	<b>Earthwork</b>	2-20
0220-1	General	2-20
0220-2	Subsurface Data	2-20
0220-3	Design	2-21
<b>0235</b>	<b>Building Foundations</b>	2-21
0235-1	General	2-21
0235-2	Foundation Design Criteria	2-21
0235-2.1	General	2-21
0235-2.2	Adverse Subsurface Conditions	2-21
0235-2.3	Cost Estimates and Final Selection	2-22
0235-3	Concrete	2-22
0235-4	Pier-and-Beam Foundations	2-22
0235-5	Pile Foundations	2-22
0235-6	Ribbed-Mat Slab Foundations	2-22
0235-7	Expansive Soils	2-22
0235-8	Equipment Foundations	2-23
<b>0245</b>	<b>Railroad Design</b>	2-23
0245-1	General	2-23
0245-2	Track Layout	2-23
0245-3	Drainage	2-23
0245-4	Structures	2-23
0245-5	Rail	2-24
0245-6	Ties	2-24
0245-7	Joint Bars	2-24
0245-7.1	General	2-24
0245-7.2	Compromise Joint Bars	2-24
0245-8	Tie Plates	2-24
0245-8.1	New Rail	2-24
0245-8.2	Relayer Rail	2-24
0245-9	Rail Anchors	2-25
0245-10	Spikes	2-25
0245-11	Guardrails	2-25
0245-12	Highway-Railway Grade Crossing	2-25
0245-13	Ballast	2-25
0245-14	Turnouts	2-25
0245-15	Superelevation	2-25
0245-16	Grades	2-26
0245-17	Clearances	2-26
0245-18	Electrical Grounding	2-26
<b>0250</b>	<b>Paving and Surfacing</b>	2-26
0250-1	Coverage	2-26
0250-2	Walks	2-26
0250-3	Roads	2-27

0250-4	Parking Areas .....	2-27
0250-5	Pavements .....	2-28
0250-5.1	Flexible Pavements .....	2-28
0250-5.2	Rigid Pavements .....	2-28
0250-6	Traffic Control .....	2-28
<b>0256</b>	<b>Airports and Heliports .....</b>	<b>2-28</b>
0256-1	General .....	2-28
0256-2	Site Conditions .....	2-29
0256-3	Aircraft Characteristics .....	2-29
0256-4	Aircraft Noise .....	2-29
0256-5	Airfield Layout .....	2-30
0256-6	Airfield Safety Clearances .....	2-30
0256-7	Fire and Rescue Facilities .....	2-30
0256-8	Drainage .....	2-30
0256-9	Pavements .....	2-30
0256-10	Pavement Markings .....	2-30
0256-11	Storage Facilities for Petroleum, Oil and Lubricants .....	2-31
<b>0260</b>	<b>Piped Utility Materials .....</b>	<b>2-31</b>
0260-1	Coverage .....	2-31
0260-2	Exterior Utilities .....	2-31
<b>0262</b>	<b>Corrosion Control .....</b>	<b>2-32</b>
0262-1	General .....	2-32
0262-2	Corrosion Control Tests .....	2-32
0262-3	Cathodic Protection Systems .....	2-32
<b>0266</b>	<b>Water Distribution Systems .....</b>	<b>2-33</b>
0266-1	General .....	2-33
0266-2	Regulatory Overview .....	2-33
0266-3	Planning For Water Distribution Systems .....	2-33
0266-4	System Design Considerations .....	2-34
<b>0267</b>	<b>Industrial Water Treatment .....</b>	<b>2-35</b>
0267-1	General .....	2-35
0267-2	Waste Products .....	2-36
0267-3	References .....	2-36
<b>0270</b>	<b>Sanitary Wastewater Collection and Stormwater Management Systems ....</b>	<b>2-37</b>
0270-1	Sanitary Wastewater Collection Systems .....	2-37
0270-1.1	General .....	2-37
0270-1.2	System Layout .....	2-37
0270-1.3	System Design Considerations .....	2-37
0270-1.4	Pipe Materials and Pipe Joints .....	2-39

<b>0270-2</b>	<b>Stormwater Management Systems</b>	2-39
0270-2.1	General	2-39
0270-2.2	Hydrology	2-40
0270-2.2.1	General	2-40
0270-2.2.2	Geologic, Geographic, and Topographic Factors	2-41
0270-2.2.3	Precipitation Frequency	2-41
0270-2.2.4	Soil and Cover	2-41
0270-2.2.5	Runoff Distribution	2-42
0270-2.3	Flood Routing	2-42
0270-2.4	Hydraulics	2-42
0270-2.4.1	Storm Sewers	2-42
0270-2.4.2	Open Channels	2-42
0270-2.5	Street Drainage	2-42
<b>0273</b>	<b>Water Pollution Controls</b>	2-43
0273-1	General	2-43
0273-2	Regulatory Overview	2-43
0273-3	Planning for Sanitary Wastewater Treatment and Disposal Systems	2-43
0273-4	Selection of Sanitary Wastewater Treatment and Disposal Methods	2-44
0273-99	Special Facilities	2-45
0273-99.0	Nonreactor Nuclear Facilities—General	2-45
<b>0275</b>	<b>Industrial Wastewater Treatment</b>	2-46
0275-1	General	2-46
0275-2	Regulatory Overview	2-46
0275-3	Planning for Industrial Wastewater Treatment and Disposal Systems	2-46
0275-3.1	General	2-46
0275-3.2	Alternative Production Flow Control Methods	2-47
0275-3.3	Recycle/Recovery Systems and Alternative Waste Treatment Techniques	2-47
0275-3.4	Disposal of Solids	2-47
0275-4	Control of Pollution from Other Sources	2-47
0275-5	Selection of Industrial Wastewater Treatment and Disposal Methods	2-50
0275-99	Special Facilities	2-50
0275-99.0	Nonreactor Nuclear Facilities—General	2-50
0275-99.0.1	Industrial Wastes	2-50
0275-99.0.2	Process Wastes	2-50
0275-99.0.3	Nonradioactive Liquid Effluents	2-51
<b>0276</b>	<b>Construction in Floodplains or on Wetlands</b>	2-51
<b>0278</b>	<b>Power and Lighting</b>	2-52
<b>0279</b>	<b>Exterior Communications and Alarm Systems</b>	2-52

<b>0280</b>	<b>Site Improvements</b>	2-53
0280-1	Fencing	2-53
0280-2	Other Site Improvements	2-53
<b>0281</b>	<b>Vehicular and Pedestrian Circulation</b>	2-54
0281-1	General	2-54
0281-2	Pedestrian Circulation Facilities	2-54
0281-2.1	General	2-54
0281-2.2	At-Grade Pedestrian Crossings	2-54
0281-2.3	Pedestrian Grade Separation Crossings	2-55
0281-3	Vehicle Circulation Facilities	2-55
<b>0283</b>	<b>Physical Protection</b>	2-56
0283-1	General	2-56
0283-2	Physical Protection Planning	2-56
0283-3	Permanent Security Fencing	2-57
0283-3.1	General	2-57
0283-3.2	Design Considerations	2-58
0283-3.2.1	General	2-58
0283-3.2.2	Location	2-59
0283-3.2.3	Construction	2-59
0283-3.3	Fence Grounding	2-59
0283-4	Patrol Roads and Walkways	2-60
0283-5	Gates, Entry Control Points, Vehicle Barriers	2-60
0283-5.1	Gates	2-60
0283-5.1.1	General	2-60
0283-5.1.2	Gate Grounding and Bonding	2-60
0283-5.2	Entry Control Points	2-61
0283-5.3	Vehicle Barriers	2-61
0283-6	Security Inspector Posts and Guard Towers	2-61
0283-6.1	Security Inspector Posts	2-61
0283-6.2	Guard Towers	2-62
0283-7	Lighting	2-62
<b>0285</b>	<b>Solid Waste Systems</b>	2-63
0285-1	Regulatory Overview	2-63
0285-1.1	General	2-63
0285-1.2	EPA Identification Number	2-63
0285-2	Site Selection	2-63
0285-2.1	General	2-63
0285-2.2	Recommended Practices	2-64
0285-2.2.1	Regional Systems	2-64
0285-2.2.2	Environmentally Sensitive Areas	2-64
0285-2.2.3	Fault Zones and Karst Terrain	2-64
0285-2.2.4	Cost Effectiveness	2-64
0285-2.2.5	Sites Traversed by Utilities	2-64
0285-2.2.6	Characteristics and Availability of Soil Cover	2-65



	0285-2.2.7	Site Access	2-65
	0285-2.2.8	Effects on Other Facilities	2-65
	0285-2.2.9	Site Approval	2-65
0285-3	Site Design		2-65
	0285-3.1	General	2-65
	0285-3.2	Recommended Practices	2-66
		0285-3.2.1 General	2-66
		0285-3.2.2 Types and Quantities of Solid Wastes	2-66
		0285-3.2.3 Groundwater Resources	2-66
		0285-3.2.4 Surface Water Resources	2-66
		0285-3.2.5 Flood Protection	2-66
		0285-3.2.6 Plans	2-66
	0285-3.3	Selection of Methods	2-67
0285-99	Special Facilities		2-68
	0285-99.0	Nonreactor Nuclear Facilities—General	2-68
0290	Landscaping		2-68
	0290-1	General	2-68
	0290-2	Site Analysis	2-68
	0290-3	Design Considerations	2-69
		0290-3.1 General	2-69
		0290-3.2 Preservation	2-69
		0290-3.3 Trees, Shrubs, Ground Covers, and Vines	2-69
		0290-3.4 Turf	2-70
0291	Irrigation Systems		2-70
	0291-1	General	2-70
	0291-2	Landscape Irrigation	2-70
	0291-3	Agricultural Irrigation	2-70

## Division 3

### Concrete

0300	Coverage	3-1
0301	General Requirements	3-1
	0301-1 Buildings and Other Structures	3-1
	0301-2 Highway and Railway Structures	3-1
	0301-3 Sanitary Engineering Structures	3-1
0310	Concrete Formwork	3-2

<b>0320</b>	<b>Concrete Reinforcement</b>	3-2
0320-1	Materials for Reinforcement	3-2
0320-2	Detailing of Reinforcement	3-2
<b>0330</b>	<b>Cast-in-Place Concrete</b>	3-2
0330-1	Coverage	3-2
0330-2	Materials, Testing, and Quality Control	3-2
0330-3	Selecting Proportions for Concrete Mixes	3-2
0330-3.1	Normal, Heavyweight, and Mass Concrete	3-2
0330-3.2	Structural Lightweight Concrete	3-3
0330-4	Mixing, Transporting, and Placing	3-3
0330-5	Climatic Considerations	3-3
0330-5.1	Hot Weather Concreting	3-3
0330-5.2	Cold Weather Concreting	3-3
0330-6	Post-Tensioned Construction	3-3
<b>0340</b>	<b>Precast Concrete</b>	3-3
0340-1	Coverage	3-3
0340-2	General	3-3
<b>0350</b>	<b>Cementitious Decks for Buildings</b>	3-4
0350-1	Coverage	3-4
0350-2	General	3-4
<b>0370</b>	<b>Repair and Restoration of Concrete Structures</b>	3-4
0370-1	Coverage	3-4
0370-2	General	3-5
<b>0380</b>	<b>Mass Concrete</b>	3-5
0380-1	Coverage	3-5
0380-2	General	3-5

## Division 4

### Masonry

<b>0400</b>	<b>Coverage</b>	4-1
<b>0401</b>	<b>General</b>	4-1
<b>0410</b>	<b>Mortar and Grout</b>	4-1
<b>0420</b>	<b>Unit Masonry</b>	4-2

<b>0455</b>	<b>Refractories</b>	<b>4-2</b>
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## Division 5 Metals

<b>0500</b>	<b>Coverage</b>	<b>5-1</b>
<b>0512</b>	<b>Structural Steel</b>	<b>5-1</b>
0512-1	Buildings and Other Structures	5-1
0512-2	Light-Gauge Steel	5-1
0512-3	Pre-Engineered Metal Buildings	5-1
0512-4	Steel Cables	5-2
0512-5	Steel Water Tanks, Standpipes and Reservoirs	5-2
0512-6	Fuel Storage Tanks	5-2
0512-7	Highway and Railway Structures	5-2
0512-8	Transmission Towers	5-2
0512-9	Antenna Towers	5-2
0512-10	Transmission Pole Structures	5-2
0512-11	Antenna Poles and Masts	5-2
<b>0514</b>	<b>Structural Aluminum</b>	<b>5-3</b>
0514-1	Highway and Railway Structures	5-3
0514-2	Use with Dissimilar Metals or Concrete	5-3
<b>0521</b>	<b>Steel Joists</b>	<b>5-3</b>
<b>0531</b>	<b>Steel Decks</b>	<b>5-3</b>
<b>0532</b>	<b>Metal Fastening</b>	<b>5-3</b>

## Division 6

### Wood and Plastics

<b>0600</b>	<b>Coverage</b>	6-1
<b>0610</b>	<b>Wood Structures</b>	6-1
0610-1	Buildings and Other Structures	6-1
0610-2	Highway and Railway Structures	6-1
<b>0650</b>	<b>Plastic Structures</b>	6-1

## Division 7

### Thermal and Moisture Protection

<b>0710</b>	<b>Waterproofing</b>	7-1
0710-1	General	7-1
0710-1.1	Use	7-1
0710-1.2	Materials and Construction	7-1
0710-1.3	Protective Cover	7-2
0710-1.4	Capillary Water Barriers	7-3
0710-1.5	Penetrations	7-3
0710-1.6	Drainage and Drainage Piping	7-3
<b>0711</b>	<b>Sheet Membrane Waterproofing</b>	7-4
<b>0714</b>	<b>Cementitious Waterproofing</b>	7-4
<b>0715</b>	<b>Dampproofing</b>	7-4
0715-1	General	7-4
0715-1.1	Use	7-4
0715-1.2	Materials and Construction	7-4
0715-1.3	Capillary Water Barriers	7-5
0715-1.4	Drainage and Drainage Piping	7-5
0715-1.5	Base Course, Spandrel, and Through-the-Wall Flashings	7-5
<b>0719</b>	<b>Vapor and Air Retarders</b>	7-6
0719-1	Vapor Retarders	7-6

<b>0720</b>	<b>Insulation</b>	7-6
0720-1	Use	7-7
0720-2	Materials	7-7
<b>0721</b>	<b>Building Insulation</b>	7-7
0721-1	Cavity Walls	7-7
0721-2	Cold Storage Rooms	7-8
0721-3	Protection	7-8
0721-4	Window and Door Frames	7-8
<b>0722</b>	<b>Roof and Deck Insulation</b>	7-8
<b>0724</b>	<b>Exterior Insulation and Finish Systems</b>	7-8
<b>0727</b>	<b>Firestopping</b>	7-9
<b>0730</b>	<b>Roofing Tiles</b>	7-9
<b>0750</b>	<b>Membrane Roofing</b>	7-10
0750-1	Design	7-10
0750-2	Slope	7-10
0750-3	Roof-Mounted Equipment	7-10
0750-4	Walkways	7-10
0750-5	Water Retention	7-11
<b>0751</b>	<b>Built-up Bituminous Roofing</b>	7-11
<b>0753</b>	<b>Elastomeric/Plastomeric Sheet Roofing</b>	7-11
0753-1	General	7-11
0753-2	Modified Bitumen Sheet Roofing	7-11
<b>0760</b>	<b>Flashing and Sheet Metal</b>	7-12
0760-1	Sheet Metal Roofing	7-12
0760-2	Sheet Metal Flashing and Trim	7-12
0760-2.1	Expansion Joints	7-12
0760-2.2	Flashing, Trim, and Accessories	7-12
0760-2.3	Drains, Gutters, and Accessories	7-12
0760-2.3.1	General	7-12
0760-2.3.2	Drains and Gutters	7-13
<b>0790</b>	<b>Sealants and Joints</b>	7-13

## Division 8

### Doors and Windows

<b>0800</b>	<b>General</b>	.....	<b>8-1</b>
	<b>0800-1</b>	<b>Doors</b> .....	<b>8-1</b>
		<b>0800-1.1</b> <b>General</b> .....	<b>8-1</b>
		<b>0800-1.2</b> <b>Fire Protection</b> .....	<b>8-1</b>
		<b>0800-1.3</b> <b>Security</b> .....	<b>8-2</b>
	<b>0800-2</b>	<b>Windows</b> .....	<b>8-2</b>
		<b>0800-2.1</b> <b>General</b> .....	<b>8-2</b>
		<b>0800-2.2</b> <b>Fire Protection</b> .....	<b>8-3</b>
		<b>0800-2.3</b> <b>Safety</b> .....	<b>8-3</b>
		<b>0800-2.4</b> <b>Maintenance and Repair</b> .....	<b>8-3</b>
		<b>0800-2.5</b> <b>Screens</b> .....	<b>8-3</b>
		<b>0800-2.6</b> <b>Security</b> .....	<b>8-3</b>
<b>0810</b>	<b>Metal Doors and Frames</b>	.....	<b>8-4</b>
	<b>0810-1</b>	<b>Steel Doors and Frames</b> .....	<b>8-4</b>
	<b>0810-2</b>	<b>Aluminum Doors and Frames</b> .....	<b>8-4</b>
<b>0820</b>	<b>Wood and Plastic Doors</b>	.....	<b>8-4</b>
	<b>0820-1</b>	<b>Wood Doors</b> .....	<b>8-5</b>
<b>0830</b>	<b>Special Doors</b>	.....	<b>8-5</b>
<b>0833</b>	<b>Coiling Doors</b>	.....	<b>8-6</b>
<b>0836</b>	<b>Sectional Overhead Doors</b>	.....	<b>8-6</b>
<b>0839</b>	<b>Screen and Storm Doors</b>	.....	<b>8-6</b>
<b>0850</b>	<b>Metal Windows</b>	.....	<b>8-6</b>
	<b>0850-1</b>	<b>Steel Windows</b> .....	<b>8-6</b>
	<b>0850-2</b>	<b>Aluminum Windows</b> .....	<b>8-6</b>
<b>0860</b>	<b>Wood and Plastic Windows</b>	.....	<b>8-6</b>
	<b>0860-1</b>	<b>Wood Windows</b> .....	<b>8-6</b>
<b>0870</b>	<b>Hardware</b>	.....	<b>8-7</b>
<b>0880</b>	<b>Glazing</b>	.....	<b>8-8</b>

## Division 9 Finishes

<b>0900</b>	<b>General</b>	9-1
0900-1	General	9-1
0900-2	Fire Protection	9-1
0900-3	Hazardous Materials Contamination	9-1
0900-4	Indoor Air Quality	9-1
0900-99	Special Facilities	9-2
0900-99.0	Nonreactor Nuclear Facilities—General	9-2
0900-99.4	Explosives Facilities	9-2
0900-99.4.1	Radiological Design Requirements	9-2
0900-99.7	Occupational Health Facilities	9-2
0900-99.7.1	General	9-2
0900-99.7.2	Floors	9-2
0900-99.7.3	Walls	9-3
0900-99.7.4	Ceilings	9-3
0900-99.7.5	Doors and Frames	9-3
<b>0910</b>	<b>Metal Support Systems</b>	9-3
0910-1	Non-Load Bearing Wall Framing Systems	9-3
0910-2	Ceiling Suspension Systems	9-3
<b>0920</b>	<b>Lath and Plaster</b>	9-4
0920-1	Vencer Plaster	9-4
<b>0925</b>	<b>Gypsum Board</b>	9-4
<b>0930</b>	<b>Tile</b>	9-4
0930-1	Ceramic Tile	9-4
<b>0950</b>	<b>Acoustical Treatment</b>	9-4
0950-1	General	9-4
0950-99	Special Facilities	9-5
0950-99.10	Secure Conference Rooms	9-5
0950-99.11	Secure Offices	9-6
<b>0965</b>	<b>Resilient Flooring</b>	9-6
<b>0968</b>	<b>Carpet</b>	9-6
<b>0970</b>	<b>Special Flooring</b>	9-6
0970-1	Resinous Flooring	9-6
0970-2	Conductive Flooring	9-7

0970-99	Special Facilities .....	9-7
0970-99.4	Explosives Facilities .....	9-7
0980	Special Coatings .....	9-7
0990	Painting .....	9-7
0995	Wall Coverings .....	9-8

## Division 10 Specialties

1015	Compartments and Cubicles .....	10-1
1020	Louvers and Vents .....	10-1
1024	Grilles and Screens .....	10-1
1027	Access Flooring .....	10-2
1030	Fireplaces and Stoves .....	10-2
1040	Identifying Devices .....	10-2
1040-1	General .....	10-2
1040-2	Naming DOE Buildings After Individuals .....	10-2
1050	Lockers .....	10-3
1052	Fire Protection Specialties .....	10-3
1053	Protective Covers .....	10-3
1055	Postal Specialties .....	10-3
1060	Partitions .....	10-3
1065	Operable Partitions .....	10-4
1070	Exterior Sun Control Devices .....	10-4



<b>1075 Telephone Facilities</b>	<b>10-4</b>
<b>1080 Toilet and Bath Accessories</b>	<b>10-4</b>

## **Division 11 Equipment**

<b>1100 General</b>	<b>11-1</b>
<b>1161 Enclosures</b>	<b>11-1</b>
1161-1 General Considerations	11-1
1161-2 Construction	11-2
1161-3 Fire Protection	11-4
1161-4 Ventilation	11-4
1161-5 Operational Compatibility	11-5

## **Division 12 Furnishings**

<b>1201 General</b>	<b>12-1</b>
<b>1230 Manufactured Casework</b>	<b>12-1</b>
<b>1250 Window Treatment</b>	<b>12-1</b>
1250-1 Drapery and Curtain Hardware	12-2
<b>1260 Furniture and Accessories</b>	<b>12-2</b>
1260-1 Landscape Partitions and Components	12-2
1260-2 Furniture	12-2
1260-3 Furniture Systems	12-2
1260-4 Rugs and Mats	12-2
1260-99 Special Facilities	12-3
1260-99.1 Laboratory Facilities (Including Hot Laboratories)	12-3
<b>1270 Multiple Seating</b>	<b>12-3</b>

## Division 13

### Special Facilities

<b>1300</b>	<b>General Requirements</b>	13-1
1300-1	Coverage and Objectives	13-1
1300-1.1	Coverage	13-1
1300-1.2	Using Division 13	13-1
1300-1.3	Objectives	13-3
1300-1.4	Guidance on Limiting Exposure of the Public	13-4
1300-1.4.1	General	13-4
1300-1.4.2	Accidental Releases	13-4
1300-1.4.3	Routine Releases	13-4
1300-1.4.4	Monitoring of Releases	13-4
1300-2	Safety Analysis	13-5
1300-3	Safety Class Criteria	13-5
1300-3.1	General	13-5
1300-3.2	Safety Class Items	13-5
1300-3.3	Single Failure Criterion and Redundancy	13-6
1300-3.4	Equipment Environment Considerations	13-6
1300-3.4.1	General	13-6
1300-3.4.2	Environmental Qualification of Equipment	13-6
1300-3.4.3	Equipment Operability Qualification	13-7
1300-3.5	Maintenance	13-7
1300-3.6	Testing	13-7
1300-4	Nuclear Criticality Safety	13-7
1300-5	Source and Special Nuclear Material	13-9
1300-6	Radiation Protection	13-9
1300-6.1	General	13-9
1300-6.2	Shielding Design	13-9
1300-6.3	Hand and Forearm Protection	13-10
1300-6.4	Internal Radiation Exposure	13-10
1300-6.5	Monitoring, Warning, and Alarm Systems	13-10
1300-6.5.1	General	13-10
1300-6.5.2	Air Monitoring and Warning Systems	13-11
1300-6.5.3	Personnel Monitoring and Warning Devices	13-11
1300-6.5.4	Ionizing Radiation Monitoring System	13-11
1300-6.5.5	Warning and Alarm System Features	13-11
1300-6.5.6	Nuclear Accident Dosimetry	13-11
1300-6.5.7	Central Radiation Monitoring and Alarm Readout	13-11
1300-6.6	Decontamination of Personnel	13-11
1300-6.7	Meteorological Equipment	13-12
1300-6.8	Change Rooms	13-12
1300-6.9	Breathing Air System	13-12
1300-7	Confinement Systems	13-12

	1300-7.1	Objectives .....	13-12
	1300-7.2	General .....	13-12
	1300-7.3	Access Ways .....	13-14
	1300-7.4	Transfer Pipes and Encasements .....	13-14
1300-8	Waste Management .....		13-14
	1300-8.1	General .....	13-14
	1300-8.2	Hazardous Waste Requirements .....	13-15
	1300-8.3	Mixed Waste .....	13-15
	1300-8.4	Waste Segregation .....	13-15
	1300-8.5	Spill Prevention and Control .....	13-15
	1300-8.6	Approvals and Permits .....	13-15
1300-9	Effluent Control and Monitoring .....		13-16
1300-10	Physical Protection, Material Safeguards, and Storage of Special Nuclear Material .....		13-17
	1300-10.1	General .....	13-17
	1300-10.2	Physical Protection .....	13-18
		1300-10.2.1 General Protection Philosophy .....	13-18
		1300-10.2.2 Basic Physical Protection Requirements .....	13-20
		1300-10.2.3 Baseline Protection Requirements ..	13-20
		1300-10.2.4 Barriers and Access Control Systems ..	13-22
		1300-10.2.5 Detection and Alarm Systems .....	13-23
		1300-10.2.6 Assessment Systems .....	13-26
		1300-10.2.7 Communication Systems .....	13-26
		1300-10.2.8 Response Systems .....	13-27
		1300-10.2.9 Lighting Systems .....	13-27
	1300-10.3	Material Control and Accountability (MC&A) Safeguards .....	13-27
		1300-10.3.1 General .....	13-28
		1300-10.3.2 Material Control Systems .....	13-28
		1300-10.3.3 Material Accounting Systems .....	13-31
		1300-10.3.4 Other Systems .....	13-34
1300-11	Decontamination and Decommissioning .....		13-35
	1300-11.1	Decontamination .....	13-35
	1300-11.2	Decommissioning .....	13-35
1300-12	Human Factors Engineering .....		13-36
	1300-12.1	Coverage .....	13-36
	1300-12.2	Objectives .....	13-36
	1300-12.3	System Development .....	13-37
		1300-12.3.1 General .....	13-37
		1300-12.3.2 Planning the Human Factors Engineering Role in System Development	13-37
		1300-12.3.3 Requirements Analyses .....	13-37
		1300-12.3.4 Process System Design Interfaces .....	13-38
		1300-12.3.5 Test and Evaluation .....	13-39

1300-12.4	General Human Factors Implementation	
	Criteria and Considerations	13-39
1300-12.4.1	General	13-39
1300-12.4.2	Human Dimension Considerations	13-39
1300-12.4.3	Environmental Considerations	13-40
1300-12.4.4	Component Arrangement	13-41
1300-12.4.5	Protective Equipment	13-42
1300-12.4.6	Display Devices	13-42
1300-12.4.7	System Controls	13-43
1300-12.4.8	Warning and Annunciator Systems	13-44
1300-12.4.9	Communication Systems	13-44
1300-12.4.10	Maintainability	13-45
1300-12.4.11	Labels	13-45
1300-13	Accessibility and Usability by the Physically Handicapped	13-46
1304	Plutonium Processing and Handling Facilities	13-46
1304-1	Coverage	13-47
1304-2	Objectives	13-47
1304-3	Nuclear Criticality Safety	13-47
1304-4	Radiation Protection	13-47
1304-5	Special Design Features	13-48
1304-6	Confinement Systems	13-49
1304-6.1	General	13-49
1304-6.2	Primary Confinement System	13-50
1304-6.3	Secondary Confinement System	13-51
1304-6.4	Tertiary Confinement System	13-52
1304-7	Effluent Control and Monitoring	13-52
1304-7.1	Radioactive Solid Waste	13-52
1304-7.2	Radioactive Liquid Waste	13-53
1304-7.3	Effluents	13-53
1304-7.3.1	Airborne Effluents	13-53
1304-8	Decontamination and Decommissioning	13-54
1305	Plutonium Storage Facilities	13-54
1305-1	Coverage	13-54
1305-2	Objectives	13-55
1305-3	Nuclear Criticality Safety	13-55
1305-4	Special Design Features	13-55
1305-5	Confinement Systems	13-57
1305-5.1	General	13-57
1305-5.2	Primary Confinement System	13-58
1305-5.3	Secondary Confinement System	13-58
1305-5.4	Tertiary Confinement System	13-59
1305-6	Effluent Control and Monitoring	13-59
1305-6.1	General	13-59
1305-6.2	Radioactive Solid Waste	13-59
1305-6.3	Radioactive Liquid Waste	13-59
1305-6.3.1	Industrial Wastes	13-59

	1305-6.3.2	Decontamination Wastes . . . . .	13-60
1305-6.4	Effluents . . . . .		13-60
	1305-6.4.1	Airborne Effluents . . . . .	13-60
<b>1306</b>	<b>Unirradiated Enriched Uranium Storage Facilities . . . . .</b>		<b>13-60</b>
1306-1	Coverage . . . . .		13-60
1306-2	Objectives . . . . .		13-61
1306-3	Nuclear Criticality Safety . . . . .		13-61
1306-4	Radiation Protection . . . . .		13-61
1306-5	Special Design Features . . . . .		13-61
1306-6	Confinement Systems . . . . .		13-63
	1306-6.1	General . . . . .	13-63
	1306-6.2	Primary Confinement System . . . . .	13-64
	1306-6.3	Secondary Confinement System . . . . .	13-64
	1306-6.4	Tertiary Confinement System . . . . .	13-64
1306-7	Waste Management . . . . .		13-65
1306-8	Effluent Control and Monitoring . . . . .		13-65
	1306-8.1	Radioactive Solid Waste . . . . .	13-65
	1306-8.2	Radioactive Liquid Waste . . . . .	13-65
		1306-8.2.1 Process Wastes . . . . .	13-65
	1306-8.3	Effluents . . . . .	13-65
		1306-8.3.1 Airborne Effluents . . . . .	13-65
<b>1307</b>	<b>Explosives Facilities . . . . .</b>		<b>13-66</b>
1307-1	Coverage . . . . .		13-66
	1307-1.1	General . . . . .	13-66
	1307-1.2	Applicability . . . . .	13-66
	1307-1.3	Nonapplicability . . . . .	13-66
1307-2	Objectives . . . . .		13-67
1307-3	Nuclear Criticality Safety . . . . .		13-67
1307-4	Special Design Features . . . . .		13-67
	1307-4.1	General Criteria . . . . .	13-67
		1307-4.1.1 Siting of Explosives Facilities . . . . .	13-67
		1307-4.1.2 Hazard Class Activity . . . . .	13-68
		1307-4.1.3 Design Basis . . . . .	13-68
		1307-4.1.4 Unproven Facility Design . . . . .	13-70
		1307-4.1.5 Support Buildings . . . . .	13-70
	1307-4.2	Facility Criteria . . . . .	13-70
		1307-4.2.1 General . . . . .	13-70
		1307-4.2.2 Explosives-Only Bays—Facilities for Storage, Handling, and Processing . . . . .	13-70
		1307-4.2.3 Joint Explosives-Plutonium Bays/ Facilities . . . . .	13-72
		1307-4.2.4 Explosives Design Basis . . . . .	13-73
		1307-4.2.5 Utilities . . . . .	13-73
1307-5	Decontamination and Decommissioning . . . . .		13-73
1307-6	Physical Protection and Material Safeguards . . . . .		13-73

<b>1318</b>	<b>Uranium Enrichment Facilities</b>	<b>13-74</b>
1318-1	Coverage	13-74
1318-2	Objectives	13-74
1318-3	Nuclear Criticality Safety	13-75
1318-4	Special Design Features	13-75
1318-4.1	General	13-75
1318-4.2	UF <sub>6</sub> Cylinder Handling Areas	13-76
1318-4.3	Sampling, Feed, Conversion, and Preparation Areas	13-76
1318-4.4	Uranium Enrichment Areas	13-77
1318-4.5	Products and Tails Processing Areas	13-78
1318-5	Confinement Systems	13-78
1318-5.1	General	13-78
1318-5.2	Primary Confinement System	13-78
1318-5.3	Secondary Confinement System	13-79
1318-6	Effluent Control and Monitoring	13-79
1318-6.1	Radioactive Solid Waste	13-79
1318-6.2	Radioactive Liquid Waste	13-80
1318-6.2.1	Process Wastes	13-80
1318-6.3	Effluents	13-80
1318-6.3.1	Airborne Effluents	13-80
1318-7	Storage Facilities	13-80
<b>1319</b>	<b>Uranium Processing and Handling Facilities</b>	<b>13-81</b>
1319-1	Coverage	13-81
1319-2	Objectives	13-81
1319-3	Nuclear Criticality Safety	13-81
1319-4	Special Design Features	13-82
1319-5	Confinement Systems	13-83
1319-5.1	General	13-83
1319-5.2	Primary Confinement System	13-83
1319-5.3	Secondary Confinement System	13-85
1319-6	Effluent Control and Monitoring	13-85
1319-6.1	Radioactive Solid Waste	13-85
1319-6.2	Radioactive Liquid Waste	13-86
1319-6.3	Effluents	13-86
1319-6.3.1	Airborne Effluents	13-86
1319-7	Decontamination and Decommissioning	13-86
1319-8	Storage Facilities	13-86
<b>1320</b>	<b>Irradiated Fissile Material Storage Facilities</b>	<b>13-86</b>
1320-1	Coverage	13-86
1320-2	Objectives	13-87
1320-3	Nuclear Criticality Safety	13-87
1320-4	Special Design Features	13-87
1320-5	Confinement Systems	13-89
1320-5.1	General	13-89
1320-5.2	Primary Confinement System	13-89
1320-5.3	Secondary Confinement System	13-89

	1320-5.4	Structural Considerations	13-90
1320-6		Effluent Control and Monitoring	13-90
	1320-6.1	Radioactive Solid Waste	13-90
	1320-6.2	Radioactive Liquid Waste	13-90
		1320-6.2.1 Process Wastes	13-90
	1320-6.3	Effluents	13-91
		1320-6.3.1 Airborne Effluents	13-91
1320-7		Decontamination and Decommissioning	13-91
<b>1321</b>		<b>Reprocessing Facilities</b>	13-91
	1321-1	Coverage	13-91
	1321-2	Objectives	13-91
	1321-3	Nuclear Criticality Safety	13-92
	1321-4	Special Design Features	13-92
	1321-5	Confinement Systems	13-94
		1321-5.1 General	13-94
		1321-5.2 Primary Confinement System	13-95
		1321-5.3 Secondary Confinement System	13-95
		1321-5.4 Tertiary Confinement System	13-96
		1321-5.4.1 General	13-96
		1321-5.4.2 Penetrations	13-96
	1321-6	Effluent Control and Monitoring	13-96
		1321-6.1 Radioactive Solid Waste	13-96
		1321-6.2 Radioactive Liquid Waste	13-96
		1321-6.2.1 Process Wastes	13-96
		1321-6.3 Effluents	13-96
		1321-6.3.1 Airborne Effluents	13-96
	1321-7	Decontamination and Decommissioning	13-97
<b>1322</b>		<b>Uranium Conversion and Recovery Facilities</b>	13-97
	1322-1	Coverage	13-97
	1322-2	Objectives	13-97
	1322-3	Nuclear Criticality Safety	13-98
	1322-4	Special Design Features	13-98
		1322-4.1 General	13-98
		1322-4.2 Uranium Conversion Facilities	13-100
		1322-4.3 Uranium Recovery Facilities	13-100
	1322-5	Confinement Systems	13-100
		1322-5.1 General	13-100
		1322-5.2 Primary Confinement System	13-101
		1322-5.3 Secondary Confinement System	13-101
	1322-6	Effluent Control and Monitoring	13-102
		1322-6.1 Radioactive Solid Waste	13-102
		1322-6.2 Radioactive Liquid Waste	13-102
		1322-6.3 Effluents	13-102
		1322-6.3.1 Airborne Effluents	13-102
	1322-7	Decontamination and Decommissioning	13-102
	1322-8	Storage Facilities	13-103

<b>1323</b>	<b>Radioactive Liquid Waste Facilities</b>	13-103
1323-1	Coverage	13-103
1323-2	Objectives	13-103
1323-3	Nuclear Criticality Safety	13-103
1323-4	Special Design Features	13-104
1323-4.1	General	13-104
1323-4.2	Collection Systems	13-104
1323-4.3	Storage and Transfer Systems	13-105
1323-4.4	Treatment Systems	13-105
1323-5	Confinement Systems	13-105
1323-5.1	General	13-105
1323-5.2	High-Level Liquid Waste Confinement	13-107
1323-5.3	Low-Level Liquid Waste Confinement	13-109
1323-5.4	Transuranic-Contaminated Liquid Waste Confinement	13-109
1323-6	Effluent Control and Monitoring	13-110
1323-6.1	Contaminated Solid Waste	13-110
1323-6.2	Contaminated Liquid Waste	13-110
1323-6.2.1	Process Wastes	13-110
1323-6.3	Effluents	13-110
1323-6.3.1	Airborne Effluents	13-110
<b>1324</b>	<b>Radioactive Solid Waste Facilities</b>	13-111
1324-1	Coverage	13-111
1324-2	Objectives	13-111
1324-2.1	General	13-111
1324-2.2	Siting Design Dose Objectives for Normal Operations and Anticipated Operational Occurrences	13-111
1324-2.2.1	Disposal (Permanent Isolation) Facilities	13-111
1324-2.2.2	Waste Management and Storage Facilities (Not to Include Disposal)	13-112
1324-3	Nuclear Criticality Safety	13-112
1324-4	Radiation Protection	13-112
1324-5	Special Design Features	13-113
1324-5.1	General	13-113
1324-5.2	High-Level Waste Disposal Facility Confinement	13-113
1324-5.3	Low-Level Waste Disposal Facility Confinement	13-114
1324-6	Confinement Systems	13-115
1324-6.1	General	13-115
1324-6.2	Primary Confinement System	13-116
1324-6.3	Secondary Confinement System	13-116
1324-6.4	Tertiary Confinement System	13-116
1324-7	Effluent Control and Monitoring	13-117
1324-7.1	Radioactive Solid Waste	13-117
1324-7.2	Radioactive Liquid Waste	13-117
1324-7.2.1	Process Wastes	13-117
1324-7.3	Effluents	13-118
1324-7.3.1	Airborne Effluents	13-118



<b>1325</b>	<b>Laboratory Facilities (Including Hot Laboratories)</b>	13-118
1325-1	Coverage	13-118
1325-2	Objectives	13-118
1325-3	Nuclear Criticality Safety	13-118
1325-4	Confinement Systems	13-119
1325-4.1	General	13-119
1325-4.2	Primary Confinement System	13-119
1325-4.3	Secondary Confinement System	13-121
1325-4.4	Tertiary Confinement System	13-121
1325-5	Effluent Control and Monitoring	13-122
1325-5.1	Radioactive Solid Waste	13-122
1325-5.2	Radioactive Liquid Waste	13-122
1325-5.2.1	Process Wastes	13-122
1325-5.3	Effluents	13-122
1325-5.3.1	Airborne Effluents	13-122
1325-6	Decontamination and Decommissioning	13-123
<b>1326</b>	<b>Tritium Facilities</b>	13-123
1326-1	Coverage	13-123
1326-2	Objectives	13-123
1326-3	Nuclear Criticality Safety	13-123
1326-4	Source and Special Nuclear Material	13-124
1326-5	Radiation Protection	13-124
1326-5.1	Design Features	13-124
1326-5.2	Radiation Monitoring Systems	13-124
1326-6	Special Design Features	13-124
1326-7	Confinement Systems	13-126
1326-7.1	General	13-126
1326-7.2	Primary Confinement System	13-126
1326-7.3	Secondary Confinement System	13-126
1326-8	Effluent Control and Monitoring	13-127
1326-8.1	General	13-127
1326-8.2	Contaminated Solid Waste	13-127
1326-8.3	Contaminated Liquid Waste	13-127
1326-8.4	Effluents	13-128
1326-8.4.1	Airborne Effluents	13-128
1326-9	Decontamination and Decommissioning	13-128
1326-10	Storage Facilities	13-128
1326-11	Physical Protection and Material Safeguards	13-128
<b>1328</b>	<b>Fusion Test Facilities</b>	13-128
1328-1	Coverage	13-128
1328-2	Objectives	13-129
1328-3	Nuclear Criticality Safety	13-129
1328-4	Source and Special Nuclear Material	13-130
1328-5	Radiation Protection	13-130
1328-5.1	Design Features	13-130

	1328-5.2	Radiation Monitoring Systems	13-130
1328-6		Special Design Features	13-130
1328-7		Confinement Systems	13-131
	1328-7.1	General	13-131
	1328-7.2	Primary Confinement System	13-132
	1328-7.3	Secondary Confinement System	13-132
		1328-7.3.1 General	13-132
		1328-7.3.2 Fusion Machine Building	13-132
		1328-7.3.3 Auxiliary Systems Located Outside the Fusion Machine Building	13-133
1328-8		Effluent Control and Monitoring	13-133
	1328-8.1	Radioactive Solid Waste	13-133
	1328-8.2	Radioactive Liquid Waste	13-133
	1328-8.3	Effluents	13-133
		1328-8.3.1 Airborne Effluents	13-134
1328-9		Decontamination and Decommissioning	13-134
1328-10		Storage Facilities	13-134
1328-11		Physical Protection and Material Safeguards	13-134

## Division 14

### Conveying Systems

1401	General	14-1
1420	Elevators	14-2
1440	Lifts	14-3
	1440-1 Wheelchair Lifts	14-3
1460	Cranes	14-3

## Division 15

### Mechanical

<b>1525</b>	<b>Mechanical Insulation</b>	<b>15-1</b>
1525-1	Applicability	15-1
1525-2	Minimization of Energy Loss	15-1
1525-3	Condensation Prevention	15-1
1525-4	Safe Surface Temperatures	15-1
<b>1530</b>	<b>Fire Protection</b>	<b>15-2</b>
1530-1	General	15-2
1530-2	Improved Risk Concept for Fire Protection Systems	15-2
1530-2.1	General	15-2
1530-2.2	Vital Programs	15-2
1530-2.3	Maximum Possible Fire Loss	15-2
1530-2.3.1	General	15-2
1530-2.3.2	Criterion I	15-2
1530-2.3.3	Criterion II	15-2
1530-2.3.4	Criterion III	15-3
1530-2.3.5	Criterion IV	15-3
1530-2.3.6	Criterion V	15-4
1530-3	Water Flow and Pressure Requirements for Fire Protection	15-5
1530-3.1	General	15-5
1530-3.2	Occupancy Hazard Classification	15-5
1530-3.3	Water Demands for Sprinklered Facilities	15-5
1530-3.3.1	Schedule-Designed Sprinkler Systems	15-5
1530-3.3.2	Hydraulically Designed Sprinkler Systems	15-5
1530-3.3.3	Fire Hydrant Demand	15-5
1530-4	Automatic Sprinkler Protection	15-6
1530-4.1	General	15-6
1530-4.2	Types of Sprinkler Systems	15-6
1530-4.2.1	Wet Pipe	15-6
1530-4.2.2	Dry Pipe	15-6
1530-4.2.3	Preaction	15-6
1530-4.2.4	Deluge	15-6
1530-4.2.5	Self-Restoring	15-7
1530-4.2.6	Quick-Response	15-7
1530-5	Special Protection Systems	15-7
1530-5.1	General	15-7
1530-5.2	Types of Special Suppression Systems	15-7
1530-5.2.1	Water Spray	15-7
1530-5.2.2	Carbon Dioxide	15-7
1530-5.2.3	Dry Chemical	15-7
1530-5.2.4	Foam	15-7
1530-5.2.5	Halon	15-8

1530-6	Standpipes and Hose Systems	15-8
1530-7	Portable Fire Extinguishers	15-8
1530-8	Fire Detection and Alarm Systems	15-8
1530-8.1	General	15-8
1530-8.2	Alarm Systems	15-8
1530-8.2.1	General	15-8
1530-8.2.2	Alarm Actuating Devices	15-9
1530-8.3	Automatic Fire Detection Systems	15-9
1530-8.3.1	General	15-9
1530-8.3.2	Heat-Actuated Detectors	15-9
1530-8.3.3	Flame-Actuated Detectors	15-9
1530-8.3.4	Smoke Detectors	15-10
1530-9	Water Storage and Distribution	15-10
1530-99	Special Facilities	15-11
1530-99.0	Nonreactor Nuclear Facilities—General	15-11
1530-99.2	Emergency Preparedness Facilities	15-13
1530-99.4	Explosives Facilities	15-14
1530-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations	15-14
1530-99.12	Uranium Enrichment Facilities	15-15
1530-99.12.1	Gaseous Diffusion and Centrifuge Facilities	15-15
1530-99.12.2	Atomic Vapor Laser Isotopes Separation Facilities	15-15
1530-99.16	Uranium Conversion and Recovery Facilities	15-15
1530-99.19	Tritium Facilities	15-16
1540	Plumbing/Service Piping	15-16
1540-1	Plumbing	15-16
1540-1.1	General	15-16
1540-1.2	Fixtures	15-17
1540-1.3	Piping	15-17
1540-1.3.1	Supply	15-17
1540-1.3.2	Drain, Waste and Vent	15-18
1540-1.4	Service Water Heating Equipment	15-18
1540-1.5	Safety Devices	15-18
1540-1.6	Appurtenances	15-19
1540-1.6.1	Pressure Modification	15-19
1540-1.6.2	Water Treatment	15-19
1540-1.6.3	Trap Seal Protection	15-19
1540-1.6.4	Hose Bibbs, Wall Hose Outlets, and Yard Hose Outlets	15-20
1540-1.6.5	Insulation	15-20
1540-1.6.6	Sterilization	15-20
1540-1.6.7	Miscellaneous	15-20

<b>1540-99</b>	<b>Special Facilities</b>	15-20
1540-99.0	Nonreactor Nuclear Facilities—General	15-20
1540-99.0.1	General Cooling System Criteria	15-20
1540-99.0.2	Water Collection System	15-22
1540-99.0.3	Other Collection Systems	15-22
1540-99.0.4	Equipment Operability Qualification	15-23
1540-99.0.5	Water Supplies and Other Utility Services	15-23
1540-99.0.6	System Installation	15-23
1540-99.4	Explosives Facilities	15-24
1540-99.4.1	Drains and Sumps	15-24
1540-99.12	Uranium Enrichment Facilities	15-25
1540-99.14	Irradiated Fissile Material Storage Facilities	15-25
1540-99.15	Reprocessing Facilities	15-25
1540-99.18	Radioactive Solid Waste Facilities	15-25
<b>1550</b>	<b>Heating, Ventilating and Air-Conditioning Systems</b>	15-25
1550-1	General Sizing and Design Criteria	15-25
1550-1.1	General Selection Procedures for HVAC Systems	15-26
1550-1.2	Heat Gain and Heat Loss Calculations	15-26
1550-1.2.1	Building Envelope Thermal Transmittance ("U") Values	15-26
1550-1.2.2	Inside Design Temperature and Relative Humidities	15-26
1550-1.2.3	Outside Design Temperatures	15-27
1550-1.2.4	Infiltration Calculations	15-27
1550-1.2.5	Weather Data	15-27
1550-1.3	Heating and Air-Conditioning Equipment Sizing and Performance	15-28
1550-1.4	Use of Evaporative/Adiabatic Cooling	15-28
1550-1.5	Ventilation-Exhaust Systems Design Requirements	15-29
1550-1.5.1	General	15-29
1550-1.5.2	Outdoor Air Quality	15-29
1550-1.5.3	Personnel Ventilation Air Requirements	15-30
1550-1.5.4	Recirculation	15-30
1550-1.5.5	Industrial Ventilation Requirements	15-31
1550-1.5.6	Local Exhaust Systems	15-31
1550-1.5.7	Equipment Room Ventilation	15-31
1550-1.6	Energy Conservation—Waste Heat Recovery Systems	15-31
1550-2	Heating Ventilating and Air-Conditioning Systems Selection	15-32
1550-2.1	Central Station Cooling Equipment and Systems	15-32
1550-2.1.1	General	15-32
1550-2.1.2	Water Chillers	15-33
1550-2.1.3	Condensers/Condensing Units	15-34
1550-2.1.4	Cooling Towers	15-34

1550-2.2	Central Station Heating Equipment and Systems . . . . .	15-35
1550-2.2.1	General . . . . .	15-35
1550-2.2.2	Interfacing with Central Plant Heat Generation/Distribution Systems . . . . .	15-35
1550-2.2.3	Building Heat Generation Equipment/Distribution Systems . . . . .	15-36
1550-2.3	Water Distribution Systems . . . . .	15-37
1550-2.3.1	General . . . . .	15-37
1550-2.3.2	Pumps and Pumping Systems . . . . .	15-37
1550-2.3.3	Piping, Fittings and Accessories . . . . .	15-38
1550-2.4	Steam Distribution Systems . . . . .	15-38
1550-2.5	Air Handling and Air Distribution Systems . . . . .	15-38
1550-2.5.1	General . . . . .	15-38
1550-2.5.2	Air Handling Units . . . . .	15-39
1550-2.5.3	Fans/Motors . . . . .	15-39
1550-2.5.4	Coils . . . . .	15-40
1550-2.5.5	Air Cleaning Devices . . . . .	15-40
1550-2.5.6	Ductwork Systems . . . . .	15-42
1550-3	Testing, Adjusting and Balancing . . . . .	15-44
1550-3.1	System Performance Tests . . . . .	15-44
1550-3.2	Testing and Balancing Devices . . . . .	15-44
1550-3.3	General Guidelines . . . . .	15-44
1550-99	Special Facilities . . . . .	15-44
1550-99.0	Nonreactor Nuclear Facilities—General . . . . .	15-44
1550-99.0.1	General Ventilation and Off-Gas Criteria . . . . .	15-44
1550-99.0.2	Confinement Ventilation Systems . . . . .	15-45
1550-99.0.3	Off-Gas Systems . . . . .	15-50
1550-99.4	Explosive Facilities . . . . .	15-51
1550-99.4.1	Ventilation Requirements . . . . .	15-51
1550-99.4.2	Collection of Explosives Wastes . . . . .	15-52
1550-99.4.3	Air Monitoring Requirements for Explosives/Plutonium Bays . . . . .	15-53
1550-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations . . . . .	15-54
1550-99.8.1	General . . . . .	15-54
1550-99.8.2	Air-Conditioning and Ventilating Systems . . . . .	15-54
1550-99.10	Secure Conference Rooms . . . . .	15-55
1555	Central Plant Heat Generation/Distribution . . . . .	15-56
1555-1	Planning . . . . .	15-56
1555-1.1	General . . . . .	15-56
1555-1.2	Facility Sizing . . . . .	15-56
1555-1.3	Generating Facility Location . . . . .	15-57
1555-1.4	Central Facilities Versus Satellite Facilities . . . . .	15-57
1555-1.5	Selection of Fuels . . . . .	15-57
1555-1.6	Cogeneration . . . . .	15-57

<b>1555-2</b>	<b>Steam and High-Temperature Water Generation</b>	<b>15-58</b>
1555-2.1	General	15-58
1555-2.2	Package-Type Versus Field-Erected Boilers	15-58
1555-2.3	Comparison of Steam and High-Temperature Water Systems	15-59
1555-2.4	Steam Generation Units	15-59
1555-2.5	High-Temperature Water Generation Units	15-59
1555-2.5.1	Definition	15-59
1555-2.5.2	General	15-59
1555-2.5.3	System Pressurization	15-60
1555-2.5.4	Circulating Pumps	15-60
1555-2.6	Associated Systems for Steam and High-Temperature Water Generation	15-60
1555-2.6.1	Fuel Storage and Handling Systems	15-60
1555-2.6.2	Fuel Burning Systems	15-60
1555-2.6.3	Ash Handling Systems	15-61
1555-2.6.4	Combustion Auxiliaries	15-61
1555-2.6.5	Boiler Water Treatment	15-61
1555-2.6.6	Boiler Water Makeup	15-61
1555-2.6.7	Boiler Room Controls and Instrumentation	15-62
1555-2.6.8	Plant Insulation	15-62
<b>1555-3</b>	<b>Steam and High-Temperature Water Distribution</b>	<b>15-62</b>
1555-3.1	General	15-62
1555-3.2	Steam Distribution Systems	15-63
1555-3.3	HTW Distribution Systems	15-63
1555-3.4	Piping Insulation	15-63
<b>1565</b>	<b>Refrigeration</b>	<b>15-64</b>
1565-1	Chlorofluorocarbon (CFC) Limitation as a Refrigerant	15-64
1565-1.1	Coverage	15-64
1565-1.2	Effective Date	15-64
1565-1.3	Implementation	15-65
1565-1.4	Exceptions	15-65
<b>1574</b>	<b>Cryogenic Systems</b>	<b>15-65</b>
1574-1	Coverage	15-65
1574-2	System Design	15-65
1574-2.1	General	15-65
1574-2.2	Compressors	15-66
1574-2.2.1	Screw or Lobe Compressors	15-66
1574-2.2.2	Reciprocating Compressors	15-66
1574-2.2.3	Centrifugal Compressors	15-66
1574-2.2.4	High-Pressure Centrifugal Compressors	15-66
1574-2.2.5	Axial Compressors	15-66
1574-2.2.6	Diaphragm Compressors	15-67
1574-2.2.7	Multistage Compressors	15-67
1574-2.2.8	Compressor Support and Isolation	15-67
1574-2.3	Pumps	15-67

	1575-2.3.1	Axial-Piston Pumps .....	15-67
	1574-2.3.2	Centrifugal Pumps .....	15-67
	1574-2.3.3	Pressure Vessel Pumping .....	15-67
1574-2.4	Vaporizers .....		15-67
	1574-2.4.1	Ambient Air Vaporizers .....	15-67
	1574-2.4.2	Forced-Flow Ambient Air Vaporizers ..	15-68
	1574-2.4.3	Electric Vaporizers .....	15-68
	1574-2.4.4	Steam Vaporizers .....	15-68
1574-2.5	Storage Vessels .....		15-68
	1574-2.5.1	General .....	15-68
	1574-2.5.2	Inner Vessel Design .....	15-68
	1574-2.5.3	Outer Vessel Design .....	15-68
1574-2.6	Instrumentation and Control .....		15-69
1574-2.7	Piping and Fittings .....		15-69
1574-2.8	Joining Methods .....		15-69
	1574-2.8.1	Welded Joints .....	15-69
	1574-2.8.2	Reweldable Joints .....	15-70
	1574-2.8.3	Bayonet Joints .....	15-70
	1574-2.8.4	Flanged Joints .....	15-70
	1574-2.8.5	Compression Joints .....	15-70
	1574-2.8.6	Copper Shear Seals .....	15-70
	1574-2.8.7	Vacuum Seals .....	15-70
	1574-2.8.8	Transition Couplings .....	15-70
1574-2.9	Valves and Pressure Relief Devices .....		15-70
1574-2.10	Miscellaneous Materials .....		15-71
1574-2.11	Insulation .....		15-71
1574-3	Facility Siting and Equipment Installation .....		15-71
	1574-3.1	General .....	15-71
	1574-3.2	Siting .....	15-71
	1574-3.3	Noise .....	15-72
	1574-3.4	Spills .....	15-72
1574-4	Quality Assurance .....		15-72
	1574-4.1	General .....	15-72
	1574-4.2	Cleaning .....	15-73
	1574-4.3	Testing .....	15-73
1574-5	Safety Review .....		15-73
1589	Air Pollution Control .....		15-73
1589-1	General .....		15-73
1589-2	Improved Risk Concept .....		15-73
1589-3	Planning for Air Pollution Control .....		15-74
1589-4	Combustion Process Installations .....		15-74
	1589-4.1	Selection of Fuel .....	15-74
	1589-4.2	Firing Equipment .....	15-75
	1589-4.3	Draft Auxiliaries .....	15-75
	1589-4.4	Emission Detectors .....	15-76
	1589-4.5	Coal and Ash Handling .....	15-76
	1589-4.6	Facilities for Testing .....	15-77
1589-5	Refuse Disposal Facilities .....		15-77



	1589-5.1	Incinerators .....	15-77
	1589-5.2	Off-Site Disposal .....	15-77
	1589-5.3	Landfill and Dumping .....	15-78
1589-6	Gas-Cleaning Equipment and Emission Control Devices .....		15-78
	1589-6.1	Gases .....	15-78
	1589-6.2	Particulates .....	15-78
1589-7	Storage Facilities for Volatile Liquids .....		15-78
1589-99	Special Facilities .....		15-78
	1589-99.0	Nonreactor Nuclear Facilities—General .....	15-78
		1589-99.0.1 Radioactive Airborne Effluents .....	15-78
		1589-99.0.2 Nonradioactive Airborne Effluents ....	15-79
1595	Controls .....		15-79
	1595-1	General .....	15-79
	1595-2	Zoning .....	15-80
	1595-3	Control Setback and Shutoff Devices .....	15-81
	1595-4	Humidity Control .....	15-81
	1595-5	Simultaneous Heating and Cooling .....	15-81
	1595-6	Control of Air Handling Systems .....	15-82
		1595-6.1 Mechanical Ventilation Control .....	15-82
		1595-6.2 Outdoor Air Cooling Control (Economizer Cycle) .....	15-82
		1595-6.3 Automatic Control Dampers .....	15-82
		1595-6.4 Variable-Air-Volume System Fan Control .....	15-82
		1595-6.5 Fire and Smoke Detection and Protection Controls .....	15-83
		1595-6.6 Gas-Fired Air Handling Unit Control .....	15-83
	1595-7	Control of Chilled Water and Hot Water Distribution Systems ....	15-84
		1595-7.1 Zone Control/Distribution System Control .....	15-84
		1595-7.2 Control Valve Selection .....	15-84
		1595-7.3 Two-Pipe and Three-Pipe Combination Heating and Cooling Systems .....	15-84
		1595-7.4 Load Control for Hot Water Systems .....	15-85
		1595-7.5 Load Control for Chilled Water Systems .....	15-85
	1595-8	Cooling Tower and Water-Cooled Condenser System Controls ....	15-85
	1595-9	Control of Steam Systems .....	15-85
		1595-9.1 Zone Control .....	15-85
		1595-9.2 Control Valve Selection .....	15-85
		1595-9.3 Load Control for Steam Systems .....	15-86
	1595-10	Energy Management Systems .....	15-86
	1595-11	Energy Metering .....	15-86

## Division 16

### Electrical

<b>1600</b>	<b>General Requirements</b>	16-1
<b>1605</b>	<b>Basic Electrical Materials and Methods</b>	16-1
1605-1	General	16-1
1605-2	Wiring Systems	16-2
1605-2.1	Raceways	16-2
1605-2.1.1	General	16-2
1605-2.1.2	Electrical Metallic Tubing	16-2
1605-2.1.3	Flexible Steel Conduit	16-2
1605-2.1.4	Rigid Steel Conduit and Intermediate Metal Conduit	16-2
1605-2.1.5	Aluminum Conduit	16-2
1605-2.1.6	Nonmetallic Conduit	16-2
1605-2.1.7	Surface-Metal or Nonmetallic Systems	16-3
1605-2.1.8	Cable Trays	16-3
1605-2.2	Conductors	16-3
1605-2.2.1	General	16-3
1605-2.2.2	Aluminum Conductor Termination	16-4
1605-2.2.3	Conductor Identification	16-4
1605-2.3	Receptacles	16-5
<b>1620</b>	<b>Power Generation</b>	16-5
1620-1	General	16-5
<b>1630</b>	<b>Exterior Electrical Utility Service</b>	16-5
1630-1	General	16-5
1630-1.1	Load Requirements	16-5
1630-1.2	Power Factor	16-5
1630-1.3	Redundancy	16-6
1630-1.4	Utility Corridor	16-6
1630-2	Supply Equipment and Facilities	16-6
1630-2.1	General	16-6
1630-2.2	Power Supply Lines	16-6
1630-2.2.1	General	16-6
1630-2.2.2	Overhead Lines	16-7
1630-2.2.3	Underground Lines	16-7
1630-2.3	Substations and Switching Stations	16-7
1630-2.3.1	General	16-8
1630-2.3.2	Metering	16-8
1630-2.3.3	Grounding	16-8
1630-2.3.4	Surge Protection	16-8
1630-2.3.5	Oil-Filled Equipment	16-8

1630-3	Power Supply for Exterior Lighting	16-8
1630-3.1	Primary Power	16-8
1630-3.2	Emergency Power	16-8
1630-3.3	Switching	16-8
1630-4	Power Supply for Buildings	16-9
1630-4.1	General	16-9
1630-4.2	Voltage Levels	16-9
1630-5	Lightning Protection	16-9
1630-99	Special Facilities	16-9
1630-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations	16-10
1639	Grounding	16-10
1639-1	General	16-10
1639-2	Substation and Switching Station Grounding	16-10
1639-3	Fence Grounding	16-10
1639-4	Isolated Ground Systems	16-10
1640	Interior Electrical Systems	16-11
1640-1	General	16-11
1640-1.1	Demand and Diversity Factors	16-11
1640-1.2	Power Factor	16-11
1640-1.3	Interior Distribution Voltage Levels	16-11
1640-1.4	Power System Reliability	16-11
1640-1.5	Power Quality Requirements	16-11
1640-1.6	System Protection	16-11
1640-1.7	Ground-Fault Protection	16-11
1640-1.8	Neutral Conductors	16-11
1640-2	Service Equipment and Facilities	16-12
1640-2.1	General	16-12
1640-2.2	Metering	16-12
1640-2.3	Transformers	16-12
1640-2.4	Motors	16-12
1640-2.5	Motor Control	16-13
1640-3	Power Service for Security, Communications, and Alarm Systems	16-13
1640-3.1	General	16-13
1640-3.2	Primary Power Supply	16-14
1640-3.3	Standby or Emergency Power Supplies	16-14
1640-99	Special Facilities	16-14
1640-99.2	Emergency Preparedness Facilities	16-14
1640-99.7	Occupational Health Facilities	16-14
1640-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations	16-14
1640-99.8.1	General	16-14
1640-99.8.2	ADP Centers	16-14
1640-99.8.3	Radio Control Centers	16-15

<b>1650</b>	<b>Exterior Lighting</b>	16-15
1650-1	General	16-15
1650-2	Lighting Sources	16-15
<b>1655</b>	<b>Interior Lighting</b>	16-15
1655-1	General	16-15
1655-2	Lighting Sources	16-15
1655-3	Fixtures	16-16
1655-99	Special Facilities	16-16
1655-99.8	Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations	16-16
<b>1660</b>	<b>Special Systems</b>	16-16
1660-1	General	16-16
1660-2	Emergency Power Systems	16-17
1660-3	Uninterruptible Power Systems	16-18
1660-99	Special Facilities	16-18
1660-99.0	Nonreactor Nuclear Facilities—General	16-18
1660-99.0.1	Safety Class (Emergency) Electrical Systems	16-18
1660-99.0.2	Protection System and Instrumentation and Controls	16-19
1660-99.0.3	Qualification	16-20
1660-99.0.4	Separation and Physical Protection	16-20
1660-99.0.5	Test and Calibration	16-20
1660-99.0.6	Power Sources	16-20
1660-99.0.7	Control Areas	16-20
1660-99.4	Explosives Facilities	16-21
1660-99.4.1	General	16-21
1660-99.4.2	Electrical Equipment and Wiring	16-21
1660-99.4.3	Static Electricity	16-23
1660-99.4.4	Lightning Protection	16-24
<b>1670</b>	<b>Exterior Communications and Alarm Systems</b>	16-24
1670-1	General	16-24
1670-2	Fire Alarm and Supervisory Systems	16-25
1670-3	Security Alarm and Assessment Systems	16-25
1670-4	Secure Communications Systems	16-27
1670-5	Energy Management Systems and Devices	16-27
1670-6	Antenna Towers, Poles, and Masts	16-28
<b>1671</b>	<b>Interior Communications and Alarm Systems</b>	16-28
1671-1	Planning	16-28
1671-1.1	General	16-28
1671-1.2	Joint Use	16-28
1671-1.3	Hazardous Locations	16-29
1671-1.4	Security Conference Rooms and Security Offices	16-29

1671-2	Fire Alarm and Supervisory Systems .....	16-29
1685	Electric Space Heating .....	16-29
1694	Energy Conservation .....	16-29
1694-1	General .....	16-29
1694-2	Energy Management Systems and Devices .....	16-29

## Indexes

Key Word Index .....	17-1
Referenced Documents Index .....	17-35

## Sample Document Improvement Proposal

Sample Document Improvement Proposal .....	17-45
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## Abbreviations

<b>A/E</b>	Architect-engineer
<b>AA</b>	Aluminum Association
<b>AAC</b>	Associated Air Balance Council
<b>AMMS</b>	American Architectural Manufacturers Association
<b>AASHTO</b>	Amerian Association of State Highway and Transportation Officials
<b>ABMA</b>	American Boiler Manufacturers Association
<b>ABS</b>	Acrylonitrile-butadiene-styrene
<b>AC</b>	Alternating current
<b>ACB</b>	Asbestos-cement board
<b>ACFM</b>	Actual cubic feet per minute
<b>ACGIH</b>	American Conference of Governmental Industrial Hygienists
<b>ACI</b>	American Concrete institute
<b>ACSM</b>	American Congress on Surveying and Mapping
<b>ADM</b>	Action description memorandum
<b>ADP</b>	Automated data processing
<b>AEC</b>	Atomic Energy Commission
<b>AFM</b>	U.S. Air Force Manual
<b>AFR</b>	U.S. Air Force Regulation
<b>AFWL</b>	U.S. Air Force Weapons Laboratory
<b>AGA</b>	American Gas Association
<b>AHU</b>	Air handling unit
<b>AIA</b>	American Institute of Architects
<b>AISC</b>	American Institute of Steel Construction
<b>AISI</b>	American Iron and Steel Institute
<b>ALARA</b>	As low as reasonably achievable
<b>AMC</b>	Army Materiel Command
<b>AMCA</b>	Air Movement Contractors Association
<b>AMC-R</b>	Army Materiel Command Regulation
<b>ANL</b>	Argonne National Laboratory
<b>ANS</b>	American Nuclear Society
<b>ANSI</b>	American National Standards Institute
<b>API</b>	American Petroleum Institute
<b>AR</b>	Army regulation
<b>AREA</b>	American Railway Engineering Association
<b>ARI</b>	American Refrigeration Institute
<b>ARMA</b>	Asphalt Roofing Manufacturers Association
<b>ASCE</b>	American Society of Civil Engineers
<b>ASHRAE</b>	American Society of Heating Refrigeration and Air-Conditioning Engineers

ASME	American Society of Mechanical Engineers
ASTM	(formerly American Society for Testing and Materials, now ASTM)
AVLIS	Atomic Vapor Laser Isotope Separation
AWG	American Wire Gauge
AWS	American Welding Society
AWWA	American Water Works Association
BAT	Best available technology
BATEA	Best available technology economically achievable
BCPCT	Best conventional pollutant control technology
BESEP	Base Electronic System Engineering Plan
BHP	Brake horsepower
BIA	Brick Institute of America
BIL	Basic impulse insulation level
BNL	Brookhaven National Laboratory
BOCA	Building Official Code Association
BOD	Biochemical oxygen demand
BRAB	Building Research Advisory Board (now Building Research Board)
BRB	Building Research Board
BTU	British thermal unit
°C	Degrees centigrade (Celsius)
C&GS	U.S. Coast and Geodetic Survey (now National Geodetic Survey)
CAA	Clean Air Act
CAMS	Continuous Air Monitoring System
CAS	Central alarm station
CCTV	Closed circuit television
CDR	Conceptual design report
CEM	Continuous emissions monitoring
CERC	Coastal Engineering Research Center
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act
CFC	Chlorofluorocarbon
CFM	Cubic feet per minute
CFR	Code of Federal Regulations
CGA	Compressed Gas Association
CI	Cast iron
CISCA	Ceiling & Interior Systems Contractors Association
CISPI	Cast Iron Soil Pipe Institute
CMP	Corrugated metal pipe
CO <sub>2</sub>	Carbon dioxide
COE	Army Corps of Engineers
CP	Concrete pipe
CPSC	Consumer Product Safety Commission
CPVC	Chlorinated polyvinyl chloride
CRI	Carpet and Rug Institute
CRT	Cathode ray tube
CSI	Construction Specifications Institute
CSOM	Computer Security Operations Manager
CSSO	Computer System Security Officer
CTI	Cooling Tower Institute
CUFT	Cubic foot



<b>C</b>	Flow coefficient
<b>CWA</b>	Clean Water Act
<b>DAC</b>	Derived air concentration
<b>DARCOM</b>	Department of the Army Readiness Command
<b>DB</b>	Dry bulb
<b>DBA</b>	Design basis accident
<b>DBE</b>	Design basis earthquake
<b>DBF</b>	Design basis fire
<b>DBFL</b>	Design basis flood
<b>DBT</b>	Design basis tornado
<b>DBW</b>	Design basis wind
<b>DC</b>	Direct current
<b>DCG</b>	Derived concentration guide
<b>DCPA</b>	Defense Civil Preparedness Agency
<b>DEAR</b>	DOE Acquisition Regulation
<b>DL</b>	Dead load
<b>DM</b>	NAVFAC Design Manual
<b>DOD</b>	U.S. Department of Defense
<b>DOE/OR</b>	U.S. Department of Energy/Oak Ridge
<b>DOE</b>	U.S. Department of Energy
<b>DOP</b>	Diocetylphthalate
<b>DOT</b>	U.S. Department of Transportation
<b>DP-1</b>	Assistant Secretary for Defense Programs
<b>DP-34</b>	Director of Safeguards and Security Agreement
<b>DSC</b>	Differential scanning calorimetry
<b>DTA</b>	Differential thermal analysis
<b>ECC</b>	Emergency Control Center
<b>ECP</b>	Entry control point
<b>EMCS</b>	Energy monitoring and control system
<b>ECS</b>	Emergency control station
<b>EDE</b>	Effective dose equivalent
<b>EED</b>	Electroexplosive device
<b>EIA</b>	Electronics Industries Association
<b>EIMA</b>	Exterior Insulation Manufacturers Association
<b>EIS</b>	Environmental impact statement
<b>EM</b>	Army Engineering Manual
<b>EMS</b>	Energy management system
<b>EMT</b>	Electrical metallic tubing
<b>EO</b>	Executive Order
<b>EOC</b>	Emergency operating center
<b>EPA</b>	U.S. Environmental Protection Agency
<b>EPS</b>	Emergency power system
<b>ERDA</b>	Energy Research and Development Administration (precursor to DOE)
<b>ESF</b>	Engineered safety feature
<b>°F</b>	Degrees Fahrenheit
<b>FAA</b>	Federal Aviation Administration
<b>FAI</b>	Fauske and Associates, Inc,
<b>FAR</b>	Federal Acquisition Regulation
<b>FCC</b>	Federal Construction Council
<b>FEMA</b>	Federal Emergency Management Agency

FGA	Flat Glass Marketing Association
FGCC	Federal Geodetic Control Committee
FGD	Flue gas desulphurization
FHA	Federal Highway Administration
FHDA	Fir and Hemlock Door Association
FIPS	Federal Information Processing Standards
FM	Factory Mutual
FPM	Feet per minute
FPMR	Federal Property Management Regulation
FR	Federal Register
FS	Federal Specifications
FSAR	Final safety analysis report
FWPCA	Federal Water Pollution Control Act
$f_y$	Yield strength
GA	Gypsum Association
GDC	General Design Criteria, DOE 6430.1A
GPM	Gallons per minute
GSA	General Services Administration
HE	High explosives
HEPA	High-efficiency particulate air
HE-Pu	High explosives-plutonium
HF	High frequency
HF	Hydrogen fluoride
HI	Hydraulic Institute
HID	High intensity discharge
HLW	High-level waste
HOA	Hands-off-automatic
HP	Horsepower
HR	Hour
HTW	High temperature water
HVAC	Heating ventilating and air-conditioning
Hz	Hertz frequency
IAS	Intrusion alarm system
ICBO	International Conference of Building Officials
ICRP	International Commission on Radiological Protection
ID	Inside diameter
IDA	Intrusion detection and assessment
IDS	Intrusion detection system
IEEE	Institute of Electrical and Electronic Engineers
IES	Illumination Engineering Society
IFM	Irradiated fissile material
IFMSF	Irradiated fissile material storage facility
IHE	Insensitive high explosives
IMC	Intermediate metal conduit
ISDSI	Insulated Steel Door Systems Institute
J	Joule
°K	Degrees Kelvin
K	Subgrade modulus
kPa	Kilo Pascal
kVA	Kilovolt ampere

<b>kW</b>	<b>kilowatt</b>
<b>kWh</b>	<b>Kilowatt hour</b>
<b>LANL</b>	<b>Los Alamos National Laboratory</b>
<b>LCC</b>	<b>Life-cycle cost</b>
<b>LCD</b>	<b>Liquid crystal display</b>
<b>LL</b>	<b>Live load psf - pounds per square foot</b>
<b>LLNL</b>	<b>Lawrence Livermore National Laboratory</b>
<b>LLW</b>	<b>Low-level waste</b>
<b>LPG</b>	<b>Liquified petroleum gas</b>
<b>MA</b>	<b>Management and Administration (U.S. DOE)</b>
<b>MAA</b>	<b>Material access area</b>
<b>MBA</b>	<b>Material balance area</b>
<b>MBMA</b>	<b>Metal Building Manufacturers' Association</b>
<b>MC&amp;A</b>	<b>Material control and accountability</b>
<b>mg/l</b>	<b>Milligrams per liter</b>
<b>MI</b>	<b>Miles, total level route</b>
<b>MIL-HDBK</b>	<b>DOD military handbook</b>
<b>MIN</b>	<b>Minute</b>
<b>ML/SFA</b>	<b>Metal Lath/Steel Framing Association</b>
<b>MPH</b>	<b>Miles per hour</b>
<b>mr/h</b>	<b>(milli) roentgen/hour</b>
<b>mrad/h</b>	<b>(milli) radiation, absorbed dose/hour</b>
<b>mrem</b>	<b>(milli) roentgen equivalent man</b>
<b>MSSA</b>	<b>Master safeguards and security agreement</b>
<b>MVA</b>	<b>Million-volt-amps</b>
<b>N</b>	<b>Nitrogen</b>
<b>NAAMM</b>	<b>National Association of Architectural Metal Manufacturers</b>
<b>NAD</b>	<b>North American Datum</b>
<b>NAPHCC</b>	<b>National Association of Plumbing-Heating-Cooling Contractors</b>
<b>NASA</b>	<b>National Aeronautics and Space Administration</b>
<b>NAVFAC</b>	<b>Naval Facilities Engineering Command</b>
<b>NBS</b>	<b>National Bureau of Standards</b>
<b>NC</b>	<b>Noise criteria</b>
<b>NCEL</b>	<b>Naval Civil Engineering Laboratory (references listed under NAVFAC)</b>
<b>NCMA</b>	<b>National Concrete Masonry Association</b>
<b>NDA</b>	<b>Nondestructive assay</b>
<b>NEC</b>	<b>National Electrical Code</b>
<b>NEMA</b>	<b>National Electrical Manufacturers Association</b>
<b>NEPA</b>	<b>National Environmental Policy Act</b>
<b>NFGS</b>	<b>Naval Facilities Guide Specification (references listed under NAVFAC)</b>
<b>NFPA</b>	<b>National Fire Protection Association</b>
<b>NGS</b>	<b>National Geodetic Survey (formerly U.S. Coast and Geodetic Survey)</b>
<b>NGVD</b>	<b>National Geodetic Vertical Datum</b>
<b>NHPA</b>	<b>National Historic Preservation Act</b>
<b>NIJ</b>	<b>National Institute of Justice</b>
<b>NIST</b>	<b>National Institute of Standards and Technology (see NBS)</b>
<b>NOAA</b>	<b>National Oceanic and Atmospheric Administration</b>
<b>NO<sub>x</sub></b>	<b>Oxides of nitrogen</b>
<b>NPDES</b>	<b>National Pollutant Discharge Elimination System</b>
<b>NPDWS</b>	<b>National Primary Drinking Water Standards</b>

NPSH	Net positive suction head
NRC	Nuclear Regulatory Commission
NRCA	National Roofing Contractors Association
NRTA	Near-real-time accountancy
NMTL	Nationally recognized testing laboratory
NSA	National Security Agency
NSPC	National Standard Plumbing Code
NSPS	New Source Performance Standards
NITL	National Telecommunications and Information Administration
NTMA	National Terrazzo and Mosaic Association
NUREG	Nuclear Regulatory Commission-produced reference document
NWWDA	National Wood Window and Door Association
O&M	Operations and maintenance
OBA	Operating basis accident
OBE	Operating basis earthquake
OCS	Office of Computer Services (U.S. DOE)
ODH	Oxygen deficiency hazards
OMB	Office of Management and Budget
OPFM	Office of Project and Facilities Management (U.S. DOE)
ORNL	Oak Ridge National Laboratory
OS&Y	Outside screw and yoke
OSHA	Occupational Safety and Health Administration
OSR	Operational safety requirement
OSS	Office of Safeguards and Security (U.S. DOE)
OSTI	Office of Scientific and Technical Information (U.S. DOE)
P	Minimum reinforcing ratio
PA	Protected area
PB	Polybutylene
PCB	Polychlorinated biphenyls
PCI	Prestressed Concrete Institute
PEL	Permissible exposure limit
PF	Protection factor
PI	Point of intersection
PI	Proportional-plus integral
PIV	Post indicator valve
PLF	Pounds per linear foot
PMFL	Probable maximum flood
POL	Petroleum, oil, and lubricants
POTW	Publicly-owned treatment works
PPHF	Plutonium processing and handling facility
PPM	Parts per million
PSAR	Preliminary safety analysis report
PSF	Plutonium storage facility
PSF	Pound-force per square foot
PSI	Pound-force per square inch
PSIG	Pound-force per square inch gauge
PTI	Post Tensioning Institute
Pu	Plutonium
PUBN	Publication
PURPA	Public Utility Regulatory Policy Act

PVC	Polyvinyl chloride
QA	Quality assurance
°R	Degrees Rankine
RCP	Reinforced concrete pipe
RCRA	Resource Conservation and Recovery Act
RDF	Refuse-derived fuel
REM	Roentgen equivalent man
RFCI	Resilient Floor Covering Institute
RG	Regulatory guide
RLWF	Radioactive liquid waste facility
RPFM	Real Property and Facilities Management (U.S. DOE)
RPIS	Real Property Inventory System (U.S. DOE)
RSWF	Radioactive solid waste facility
S&S	Safeguards and security
SAR	Safety analysis report
SARS	Safety analysis and review system
SAS	Secondary alarm station
SC	Safety class
SCFM	Standard cubic feet per minute
SCS	U.S. Department of Agriculture, Soil Conservation Service
SDI	Steel Deck Institute
SDI	Steel Door Institute
SDWA	Safe Drinking Water Act
SF	Safety factor
SISL	Special isotope separation laser
SJI	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors National Association
SNL	Sandia National Laboratory
SNM	Special nuclear materials
SO <sub>2</sub>	Sulfur dioxide
SOP	Standard operating procedure
SP	Special publication (of the American Concrete Association)
SPCC	Spill prevention control and countermeasure
SPRI	Single Ply Roofing Institute
SQFT	Square foot
SSE	Safe shutdown earthquake
SSFI	Scaffolding, Shoring, and Framing Institute
SSSP	Site safeguards and security plan
STC	Sound transmission classification
SWI	Steel Window Institute
TCA	Tile Council of America, Inc.
TCDD	Tetrachlorodibenzo-p-dioxin
TEC	Total estimated cost
TID	Tamper indicating device
TIMA	Thermal Insulation Manufacturers Association
TLV	Threshold limit value
TM	Army technical manual
TR	DOD technical report
TRU	Transuranic

TSCA	Toxic Substances Control Act
TSD	Treatment, storage and disposal
TV	Television
U value	Overall heat transfer coefficient value
UBC	Uniform Building Code
UCRF	Uranium conversion and recovery facility
UCRL	University of California Research Laboratory (references listed under LLNL)
UEF	Uranium enrichment facility
UEU	Unirradiated enriched uranium
UEUSF	Unirradiated enriched uranium storage facility
UF <sub>4</sub>	Uranium tetrafluoride
UF <sub>6</sub>	Uranium hexafluoride
UFAS	Uniform Federal Accessibility Standards
UHF	Ultra high frequency
UL	Underwriters Laboratory
UO <sub>2</sub>	Uranium dioxide
UO <sub>3</sub>	Uranium trioxide
UPA	Unit process area
UPC	Uniform Plumbing Code
UPHF	Uranium processing and handling facility
UPS	Uninterruptible power supply
URF	Uranium recovery facility
USC	U.S. Code
USGS	U.S. Geological Survey
USPHS	U.S. Public Health Service
USPS	U.S. Postal Service
VHF	Very high frequency
WB	Wet Bulb
WIPP	Waste isolation pilot plant
WPCF	Water Pollution Control Federation
WRC	Water Resources Council

## Glossary

**Accident (explosive).** An incident or occurrence that results in an uncontrolled chemical reaction involving explosives.

**Allowable soil-bearing capacity.** The maximum permissible pressure on foundation soils under which the settlements of various footings will not exceed a reasonable value.

**Ambient.** Surrounding environmental conditions.

**Anaerobic digestion.** Biological stabilization of domestic wastewater sludge by microorganisms that function in the absence of oxygen.

**Anticipated Operational Occurrence.** An abnormal event that is expected to occur once or more during the lifetime of the facility (e.g., small radioactive materials spills, small fires).

**Approved storage container.** A container that is fabricated from noncombustible material(s); that satisfies container integrity criteria developed from the safety analysis for the particular form(s) of stored material under normal storage conditions, design basis fire and other design basis accident conditions; and that is approved for its intended use by the responsible DOE operating contractor and the responsible DOE field organization.

**Aquifer.** A groundwater bearing stratum sufficiently permeable to transmit and yield water in usable quantities.

**As low as reasonably achievable (ALARA).** As defined in DOE 5480.11.

**Auxiliary air unit.** A factory-fabricated option or addition to a fume hood that introduces some portion of the make-up air directly at the hood with features that do not minimize the performance of the hood nor create operator discomfort.

**Ballast (railroad).** Crushed stone used in a railroad bed to support the ties, hold the track in line, and help drainage.

**Base course.** The first layer of underlying material installed prior to the placement of a roadway pavement wearing surface.

**Bearing capacity.** A loading intensity that the bearing materials can sustain without such deformation as would result in settlement damaging to the structure.

**Bench mark.** A survey control monument installed to provide vertical control for construction purposes

**Bentonite clay.** A particular type of colloidal clay that swells when wet and forms a gel membrane.

**Best available technology.** The best available technology (BAT) that is economically achievable. This term is used only in the context of liquid waste treatment processing. BAT takes into account such factors as the age of equipment being used and facilities involved, the process used, the engineering aspects of the application of various types of control techniques, process changes, safety considerations, the cost of achieving effluent resuction, and non-water-quality environmental impact.

**Bird strike.** Airspace conflict between aircraft flight patterns and birds or waterfowl.

**Borings.** Boreholes drilled to collect soil samples as part of subsurface investigations conducted for the purpose of structural foundation design.

**Building acquisitions (by lease or purchase).** New pre-engineered metal buildings, other semipermanent or temporary facilities such as in-plant-fabricated modular/relocatable buildings and trailer units, and other buildings to be acquired.

**Caisson foundation.** A shaft of concrete placed under a building column or wall that extends down to rock or solid substratum (also known as a pier foundation).

**Cantilever footing.** A footing used to support a wall column near its edge without causing nonuniform soil pressure.

**Capillary water.** Soil moisture held as a continuous adsorbed film around soil particles and in interstices between the soil particles due to surface attraction.

**Cased explosives.** Explosives that are enclosed in a physical protective covering that will retain the explosives securely and will offer significant protection against accidental detonation during approved handling and intraplant transportation operations.

**Classified information.** Top Secret, Secret, and Confidential Restricted Data, Formerly Restricted Data, and National Security Information, for which the Department is responsible and that requires safeguarding in the interest of national security and defense.

**Classified interest.** Classified documents, information, or material including classified special nuclear material possessed by the Department, a contractor of the Department, a Departmental facility, or any other facility under the Department's jurisdiction.

**Classified matter.** Classified information, documents, parts components, or other material.

**Classified telecommunications facility.** A facility that contains both crypto equipment and input/output equipment for the electronic transmission, receipt, or processing of classified information. The crypto equipment and input/output equipment may either be installed in the same area and share common security measures or be installed in different parts of the



same security area connected by a protected distribution system, with each area having its own security measures.

**Cognizant DOE authority.** An entity in the DOE field organization unless otherwise stated.

**Confinement area.** An area having structures or systems from which releases of hazardous materials are controlled. The primary confinement systems are the process enclosures (glove boxes, conveyors, transfer boxes, other spaces normally containing hazardous materials), which are surrounded by one or more secondary confinement areas (operating area compartments).

**Confinement system.** The barrier and its associated systems (including ventilation) between areas containing hazardous materials and the environment or other areas in the facility that are normally expected to have levels of hazardous materials lower than allowable concentration limits.

**Construction joint.** A vertical or horizontal concrete surface where construction can be temporarily interrupted and continued later.

**Construction projects.** New facility, facility addition, and facility alteration projects where engineering and design are required in their performance

**Construction project planning.** All activities that are performed, after the initial identification of a project, for the purposes of developing the project concept, reliable cost estimates, realistic performance schedules, and methods of performance

**Cooper E.** The recommended live load in pounds per axle and the uniform trailing load for each track.

**Corrosivity.** The tendency of a metal to wear away another material by chemical attack

**Cover.** The depth of soil coverage above an underground utility.

**Credible accident.** Those accidents with an estimated probability of occurrence  $> 10^{-4}/\text{year}$ . Natural phenomena use separate probability criteria as stated in UCRL-15910.

**Critical area.** Those structures and enclosures containing safety class items whose continued integrity is essential to ensure the operability of those safety class items in the event of a DBA.

**Critical facilities.** Facilities such as those for radioactive material handling, processing, or storage and those facilities having high replacement value or vital importance to DOE programs

**Criticality incident.** An accidental, self-sustained atomic chain reaction.

**Crossing frogs.** A device that enables the wheels of a train to cross the rail of an intersecting track.

**Crown, roadway.** The high point of a roadway cross-section (usually at the centerline).

**Crypto.** A designation or marking applied to classified and unclassified telecommunications keying material indicating that it requires special accounting and safeguarding.

**Cultural resource sites.** Human-associated ruins of archaeologic significance.

**Curb inlet.** An inlet to a subsurface stormwater conveyance system.

**Curb return.** The end point of a curb radius.

**Datum.** A direction, level or position from which angles, heights or distances are conveniently measured

**Dead load.** A non-varying load exerted by the weight of a mass at rest.

**Decommissioning.** The process of closing and securing a nuclear facility, or nuclear materials storage facility so as to provide adequate protection from radiation exposure and to isolate radioactive contamination from the human environment.

**Decontamination.** The act of removing a chemical, biological, or radiologic contaminant from, or neutralizing its potential effect on, a person, object or environment by washing, chemical action, mechanical cleaning, or other techniques.

**Dedicated fire water system.** A water storage and distribution system that is available for and used solely for fire protection purposes, as opposed to a combined system that may be used for potable and process water supply in addition to fire protection.

**Deflagration.** A rapid chemical reaction in which the output of heat is sufficient to enable the reaction to proceed and be accelerated without input of heat from another source. Deflagration is a surface phenomenon, with the reaction products flowing away from the unreacted material along the surface at subsonic velocity. The effect of a true deflagration under confinement is an explosion. Confinement of the reaction increases pressure, rate of reaction and temperature and may cause transition into a detonation.

**Deflection angle.** The angle measured between a foresight and a prolongation of the backsight.

**Departmental-approved equipment.** Equipment (e.g., alarm, assessment, monitoring detection) used in conjunction with all or other elements of a site-specific safeguards and security system as described in the site-specific safeguards and security plan (after such plan is approved by the Departmental element).

**Departmental elements.** DOE headquarters and field organizations.

**Design basis accidents (DBAs).** Postulated accidents, or natural forces, and resulting conditions for which the confinement structure, systems, components and equipment must meet their functional goals. These safety class items are those necessary to assure the capability to safely shut down operations, maintain the plant in a safe shutdown condition, and maintain integrity of the final confinement barrier of radioactive or other hazardous

materials; to prevent or mitigate the consequences of accidents; or to monitor releases that could result in potential offsite exposures.

**Design basis earthquake (DBE)** (equivalent to safe shutdown earthquake). An earthquake that is the most severe design basis accident of this type and that produces the vibratory ground motion for which safety class items are designed to remain functional.

**Design basis fire (DBF)**. A fire that is the most severe design basis accident of this type. In postulating such a fire, failure of automatic and manual fire suppression provisions shall be assumed except for those safety class items/systems that are specifically designed to remain available (structurally or functionally) through the event.

**Design basis flood (DBFL)**. A flood that is the most severe design basis accident of that type applicable to the area under consideration.

**Design basis tornado (DBT)**, explosion or criticality. A tornado that is the most severe design basis accident of that type applicable to the area under consideration.

**Design flood**. The flood, (either observed or synthetic) chosen as the basis for the design of a hydraulic structure.

**Detection**. The positive assessment that a specific object is the cause of an alarm.

**Detection equipment**. Any equipment or system that is designed to provide a high probability of positive assessment of intrusion.

**Detonation or explosion**. A violent chemical reaction within a chemical compound or mechanical mixture evolving heat and pressure. It is a reaction that proceeds through the reacted material toward the unreacted material at a supersonic velocity. The result of the chemical reaction is the exertion of extremely high pressure on the surrounding medium, forming a propagating shock wave of supersonic velocity. For the purposes of these criteria the terms detonation and explosion will be used interchangeably regardless of the velocity of the reaction or propagating shock wave.

**Detonator**. The explosive device that is used to initiate the detonation of other explosives.

**DOE cognizant authority**. See Cognizant DOE authority.

**DOE Energy Management Coordinator**. The DOE site representative designated responsible for energy management.

**DOE Fire Protection Authority**. The DOE site representative responsible for fire protection.

**DOE Safeguards and Security Coordinator**. The DOE site representative designated responsible for safeguards and security.

**Duress system**. A system that can covertly communicate a situation of duress to a security control center or other personnel who can notify a security control center.

**Earth-lined channel.** An open channel conveyance structure with sides and bottom constructed of naturally occurring earth materials.

**Effective dose equivalent.** The dose equivalent from both external and internal irradiation defined by  $\sum_T W_T H_T$ , where  $H_T$  is the dose equivalent in tissue T and  $W_T$  is the weighting factor representing the ratio of the risk arising from irradiation of tissue T to the total risk when the whole body is irradiated uniformly. The effective dose equivalent is expressed in units of rem.

**Effluent.** Treated wastewater or airborne emissions discharged into the environment.

**Egress.** The act of departing from a point of access.

**Electroexplosive device (EED).** A device containing some reaction mixture (explosive or pyrotechnic) that is electrically initiated. The output of the initiation is heat, shock, or mechanical action.

**Emergency control center (ECC).** A facility from which designated management can immediately direct the response to an emergency. The ECC may be an office, conference room, or other predesignated location having communication and informational materials appropriate to carry on the necessary supportive functions of directing an emergency response.

**Emergency control station (ECS).** A location within or near a designated critical facility or plant area for the purpose of maintaining control, orderly shutdown, and/or surveillance of operations and equipment during an emergency.

**Emergency operations center (EOC).** An alternate control center at a secure and protected location (where possible), designed and equipped to support a cadre of management and supporting personnel, who will direct DOE field-organization operations necessary to carry out assigned, essential, major-emergency responsibilities.

**Emergency planning zone.** An area for which planning is done to ensure that prompt and effective actions can be taken to protect the environment and the health and safety of on-site personnel and the public in the event of a major emergency.

**Emergency power.** DBA-qualified and seismic category-I-qualified, fully redundant power generation, switching, and distribution system that meets the IEEE 1E criteria. It is designed to activate on loss of the normal power supply (or in the case of UPS systems, be on-line) and is used to supply SC-1 items, components, and/or systems with power to allow them to maintain their safety class functions.

**Emergency Power Systems.** The auxiliary power systems that provide power to safety and security related equipment during periods of partial or total power failure of associated primary power system.

**Encasement, concrete.** Placement of concrete around a sewer at its point of intersection with a potable waterline to provide a leakage barrier.

**Enclosure.** A primary confinement system such as process systems, glove boxes, conveyors, hot cells, and canyons.

**Enegy monitoring and control system.** See energy management system.

**Energy management system.** An automated system for monitoring and controlling energy-related systems and devices.

**Engineered safety feature (ESF).** Systems or design characteristics that are provided to prevent or mitigate the potential consequences of postulated design basis accidents. Art engineered-safety-feature system is a safety class system.

**Entry control point.** Controlled access entry point to a site or a secured area.

**Exclusion area.** A security area for the protection of classified matter where mere access to the area would result in access to classified matter.

**Expansion joint.** A joint between parts of a structure to avoid distortion when subjected to temperature change.

**Explosive.** Any chemical compound or mechanical mixture that, when subjected to heat, impact, friction, shock, or other suitable initiation stimulus, undergoes a very rapid chemical change with the evolution of large volumes of highly heated gases that exert pressures in the surrounding medium. The term applies to materials that either detonate or deflagrate.

**Explosives activity.** Each function (storage, handling, and processing) involving explosives from the manufacture or receipt of the explosives through the final shipping configuration, including final storage but excluding the movement of explosives between explosives areas.

**Explosives bay.** A location (room, cubicle, cell, work area) containing a single type of explosives activity that affords the requirement protection for the appropriate hazard classification (Class I, II, III, or IV as defined below) of the explosives activity involved. Examples of such explosives activities are machining, pressing, meltcasting, nondestructive testing, and assembly operations.

**Explosives building.** Any structure containing one or more explosives bays.

**Explosives hazard classes.** The level of protection required for any specific explosives activity, based on the hazard class (accident potential) for the explosives activity involved. Four hazard classes are defined for explosives activities as follows in definitions for explosives hazard classes I-IV.

**Explosives hazard Class I.** Class I consists of those explosives activities involving a high *potential* for an accident that is unacceptable for the exposure of any personnel, thus requiring remote operations. In general, this would include activities where the energies that may interface with the explosives are approaching the upper limits of safety, and/or loss of control of the energy is likely to exceed the safety limits for the explosives involved. This category includes those research and development activities where the safety implications have not been fully characterized. Examples of class I activities are screening blending, pressing, extrusion, drilling of holes, dry machining, some wet machining, machining

explosives and metal in combination, development of some new explosives or explosives processing methods, and explosives disposal.

**Explosives hazard, Class II.** Class II consists of those explosives activities that involve a *moderate potential* for an accident because of the type of explosives, the condition of the explosives and/or the nature of the operations involved. This category consists of activities where the accident potential is greater than Class III but the exposure of personnel performing contact operations is acceptable. Included are activities where the energies that do or may interface with the explosives are normally well within the safety boundaries for the explosives involved but where the loss of control of these energies might approach the safety limits of the explosives. Examples of Class II activities involving HE are weighing, some wet machining, assembly and disassembly, and environmental testing (exposure of explosives samples to variations in temperature, humidity, etc.). It should be noted that some environmental testing is a Class I (remote) activity (e.g., heating an explosives sample to within **10°C** of its critical temperature).

**Explosives hazard Class III.** Class III consists of those explosives activities that represent a *low potential* for an accident because of the type of explosives, the conditions of the explosives and/or the nature of the activity involved. Class III includes explosives activities where the accident potential of the operation being performed is not significantly different from explosives storage. Examples are normal handling, storage, packaging, unpackaging, and some inspection and nondestructive testing.

**Explosives hazard, Class IV.** Class IV consists of those explosives activities with insensitive high explosives (IHE) or IHE subassemblies that, although mass detonating, are so insensitive that there is negligible probability for accidental initiation or transition from burning to detonation. Explosions will be limited to pressure ruptures of containers heated in a fire. Although the fire hazards of IHE or IHE subassemblies are not as great as those of other explosives, it is classified as hazard class/division 1.3 (mass fire) to be consistent with DOD 6055.9. Most processing and storage activities with IHE and IHE subassemblies are class IV. However, the following are examples of explosive activities with IHE or IHE subassemblies that remain class I: pressing, some machining (see DOE/EV 06194); dry blending, dry milling, and dry screening.

**External corrosion.** Corrosion of that portion of a metal structure (i.e., pipe) that is exposed to external elements such as air, water, or soil.

**Facilities.** Buildings and other structures, their functional systems and equipment, and other fixed systems and equipment installed therein; outside plant, including site development features such as landscaping, roads, walks, and parking areas; outside lighting and communication systems; central utility plants; utilities supply and distribution systems; and other physical plant features. As used in these criteria, the term “nuclear facilities” is synonymous with the definition of this same term as contained in DOE 5480.5.

**Facility authority.** The individual, designated by the DOE project manager, developing specific project criteria not contained in the DOE 6430.1A.

**Facility boundary.** The fence or other barrier that surrounds and prevents uncontrolled access to the facility or facilities.

**Fail-safe.** A design characteristic by which a unit or system will become safe and remain safe if a system or component fails or loses its activation energy.

**Field element.** Any departmental organizational component located outside the Washington, D.C., metropolitan area.

**Fissile material.** A nuclide capable of undergoing fission by interaction with slow neutrons provided the effective thermal neutron production cross section,  $\overline{\nu\sigma_f}$ , exceeds the effective thermal neutron absorption cross section,  $\overline{\sigma_a}$ .

**Flexural strength.** The strength of a material in bending that is, resistance to fracture.

**Force main.** The discharge line from a sewage or stormwater lift station.

**Freeboard.** The height between the normal water surface elevation and the top of a hydraulic structure.

**GDC Planning Board.** The DOE advisory group of major Headquarters and field organizations involved in the construction of facility acquisitions, which includes those organizations having planning, design, construction, environmental, safety and health, research, operations, and maintenance functions.

**Grade beam.** A reinforced concrete beam placed directly on the ground to provide the foundation for the superstructure.

**Hazardous material.** Any material that has been determined to be capable of posing an unreasonable risk to health, safety, or property.

**Halogenated.** Compounds that contain a halogen element (i.e., fluorine, chlorine, bromine or iodine).

**High-efficiency particulate air (HEPA) filters.** A high-efficiency particulate air filter having a fibrous medium that produces a particle removal efficiency of at least 99.97% for 0.3-micrometer particles of dioctylphthalate (DOP) when tested in accordance with MIL-STD-282.

**High explosives (HE).** Explosive substances capable of mass detonation, and for which there is a significant probability of accidental initiation or transition from burning to detonation.

**High-level waste (HLW).** The highly radioactive waste material that results from the reprocessing of spent nuclear fuel, including liquid waste produced directly in reprocessing and any solid waste derived from the liquid, that contains a combination of TRU waste and fission products in concentrations as to require permanent isolation.

**Higher standard of protection.** A level of fire protection that exceeds the improved risk level of protection. This level of protection may sometimes be justified for the purpose of national security, program continuity, or protection of the public.

**Holdup (nuclear material).** Holdup is the nuclear material that is retained in process equipment at inventory time.

**Hot lines.** Phone numbers of local service companies factory-authorized to replace system components or appurtenances or value repairs to same. Direct customer service phone numbers of manufacturers shall also be considered as "hot lines."

**Human factors.** The biomedical, psychosocial, work place environment, and engineering considerations pertaining to people in a human-machine system. Some of these considerations are allocation of functions, task analysis, human reliability, training requirements, job performance aiding, personnel qualification and selection, staffing requirements, procedures, organizational effectiveness, and workplace environmental conditions.

**Human factors engineering.** The application of knowledge about human performance capabilities and behavioral principles to the design, operation, and maintenance of human-machine systems so that personnel can function at their optimum level of performance.

**Hydraulic structures.** A structure for the conveyance and/or control of water under nonpressure open-channel flow.

**IHE subassemblies.** IHE hemispheres or spheres with booster charges, with or without detonators, that pass the DOE qualification tests listed in Table IX-2 of DOE/EV 06194.

**IHE weapons.** Weapons listed in DOE/DNA TP 20-7 as exempt from storage and transportation limits are classified as IHE weapons when stored or transported alone or in combination with each other. This classification is valid only by storage/shipping containers or, if out of containers, by the spacing specified in TP 20-7.

**Impervious.** That property of a surface that does not allow water or other fluids to pass through.

**Improved risk.** Generally, an improved risk level of property protection is one that would qualify for complete insurance coverage by the Factory Mutual system, the industrial risk insurers or other industrial insurance companies that limit their insurance underwriting to the best protected class of industrial risk.

**Ingress.** The act of entering a structure or area through a point of access.

**Inhabited building distance.** The minimum separation distance allowed between any explosives building and an installation boundary, between adjacent explosives buildings and/or other concentrations of personnel in non-explosives facilities such as administrative offices, shops, warehouses, inspection and test facilities, explosives laboratories, and so forth. This distance shall be determined based on maximum explosives weight, using the table in DOD 6055.9.

**Initiation stimulus.** Energy input to an explosive in a form potentially capable of initiating a rapid decomposition reaction. Typical initiation stimuli arc heat, friction, impact, electrical



discharge, and shock. An initiator is a device that provides initiation stimuli (e.g. detonators, squibs, etc.).

**In-process or in-use material.** Material that is integral to the manufacturing or production processes and is needed to maintain continuity of operations. Other material that requires temporary location near the pertinent process areas in readiness for near-term use or for movement to other process areas may also be considered "in-process." For material involved in laboratory operations, analogous definitions shall be applied to determine eligibility for the "in-process" or "in-use" category and consequent exclusion from storage requirements of these criteria.

**Insensitive High Explosives (IHE).** Explosive substances that, although mass detonating, are so insensitive that there is negligible probability of accidental initiation or transition from burning to detonation. The materials passing the DOE qualification tests in Table IX-1 of DOE/EV 06194 are classified as IHE, and are listed in Table IX-2 of the same document.

**In-situ.** In the existing or original location.

**Interfaces.** The relationships between two or more system components, or between the work environment and one or more system components. Human performance is a function of the physical interfaces between people and equipment; the environments within which people or equipment work; the type and amount of training people receive; the accuracy and ease of use of the procedures people are given for guidance; and the effectiveness of the organizations in which people work.

**Intraline separation (barricaded).** The minimum quantity-distance separation allowed between buildings as described in the paragraph below when an effective barricade (as defined in DOD 6055.9) is interposed between building. This distance is one-half the unbarricaded intraline separation. This distance (corresponding to approximately 82.7Kpa (12 psi) peak overpressure for Class 1.1 explosives) shall be determined based on the maximum explosives weight, using the tables in DOD 6055.9.

**Intraline separation (unbarricaded).** The minimum quantity-distance separation allowed between explosives buildings on a plant site unless equivalent protection to personnel and property is provided by building design and construction, or a barricade as noted in the paragraph above. This distance (corresponding to approximately 24kPa (3.5 psi) peak overpressure for Class 1.1 explosives) shall be determined based on the maximum explosives weight, using the tables in DOD 6055.9.

**Intrusion alarm system (perimeter or interior).** Detection hardware and/or software composed of sensors, alarm assessment systems, and alarm reporting systems (including alarm communications and information display equipment).

**Inverted siphon.** A pressure pipeline crossing under a highway or other obstruction.

**Ion exchange.** A chemical reaction used in water or wastewater treatment processes in which mobile hydrated ions of a solid are exchanged (with ions of like charge in solution).

**Isolation zone.** An area surrounding a protected facility that has been cleared of any objects that could conceal vehicles or individuals, and that affords unobstructed observation of, or other means of detection of, entry into the area.

**Joint frequency distribution.** The result of a frequency analysis of the probability of the occurrence of two or more random events (e.g., hydrologic or meteorologic] parameters).

**Karst terrain.** An irregular limestone region with sinks, underground streams and caverns

**Land application.** A disposal method for wastewater effluents and sludges.

**Landfill.** A site for disposal of solid waste in which compacted layers are covered with soil.

**Leachate.** A solution containing dissolved and finely suspended solid matter and microbial waste products produced by groundwater or infiltrating surface water movement through solid waste.

**Life-cycle cost.** All costs except the cost of personnel occupying the facility incurred from the time that a space requirement is defined until that facility passes out of the government's hands.

**Limited area.** A security area for the protection of classified matter where guards, security inspectors, or other internal controls can prevent access by unauthorized persons to classified matter.

**Live load.** A moving load or a load of variable force acting on a structure, in addition to its own weight.

**Load factor.** The strength-to-service-load ratio.

**Low-level waste (LLW).** Radioactive waste not classified as high-level waste, TRU waste, spent nuclear fuel, or byproduct material, as defined by DOE 5820.2A

**Magazine.** Any building or structure, except an operating building, used for the storage of ammunition or explosives. A storage area containing magazines shall be located at not less than an inhabited building separation from other areas, such as operational explosives buildings, administration/office buildings, shop, and installation boundaries.

**Magazine separation.** The minimum quantity-distance separation between magazines (not including service magazines) within a storage area. Siting of magazines within a storage area with respect to one another and location of facilities such as guard shelters and loading docks in storage areas are covered in DOD 6055.9. Maximum explosives weight shall be used in determining separation distances.

**Mass concrete.** A large volume of cast-in-place concrete with dimensions large enough to require that measures be taken to cope with the generation of heat and attendant volume change and to minimize cracking.

**Material access area.** An area that contains a Category I quantity of special nuclear material and is specifically defined by physical barriers, located within a protected area, and subject to specific access controls.

**Material balance area (MBA).** A subsidiary account of a facility designed to establish accountability and to localize inventory differences.

**Maximal effective pressure.** The highest of: (1) the peak incident pressure, (2) the incident plus dynamic pressure, or (3) the reflected pressure.

**Maximum probable flood.** A hypothetical flood (peak discharge, volume, and hydrography shape) that is considered to be the most severe reasonably possible, based on comprehensive hydro-meteorological application of probable maximum precipitation and other hydrological factors favorable for maximum flood runoff such as sequential storms and snowmelts.

**Monumentation.** The act of setting a permanent survey control point.

**New storage facility.** A newly constructed facility or the conversion of existing facility, or portion of an existing facility, for use as an unirradiated enriched uranium storage facility.

**Nationally recognized testing laboratory.** An organization that is recognized by OSHA in accordance with Appendix A of 29 CFR 1910.7 and that tests for safety, and lists or labels or accepts equipment or materials. (Examples include FM and UL.)

**Nuclear facility.** A facility whose operations involve radioactive materials in such form and quantity that a significant nuclear hazard potentially exists to the employees or the general public. Includes are facilities that: (1) produce, process, or store radioactive liquid or solid waste, fissionable materials, or tritium; (2) conduct separations operations; (3) conduct irradiated materials inspection, fuel fabrication, decontamination, or recovery operations; or, (4) conduct fuel enrichment operations. Incidental use of radioactive materials in a facility operation (e.g., check sources, radioactive sources, and X-ray machines) does not necessarily require the facility to be included in this definition. Accelerators and reactors and their operations are not included.

**Occupiable area.** See definition in 41 CFR 101-17.003.

**Occupied area (explosives).** Any work area to which personnel are assigned or any non-work area where persons regularly congregate. In the context of Class II bays for explosives facilities, sabb ramps and plant roads are not considered occupied areas.

**Operating area compartment.** An area or series of areas that contain process enclosures, and/or their attendant equipment located within that area or series of areas.

**Operating basis accident (OBA).** Maximum severity accident under which the plant structure, systems, and components are designed to either remain operable or be readily restored to operating condition. This is the highest severity event that the operating contractor may recover from without DOE approval.

**Operational DBA.** Any design basis accident caused by an internal event. Direct causes are usually poor design or procedures, operator errors, equipment failures, or inadequate

technical development (unknowns) that lead to the accident. The major accident categories are explosion, fire, nuclear criticality, leaks to the atmosphere, and leaks to the aquatic environment.

**Operational safety requirements (OSR).** Those requirements that define the conditions, safe boundaries, and bases thereof and management control required to assure the safe operation of a nuclear facility.

**Overpressure.** The maximal effective pressure is the highest of (1) the peak incident pressure, (2) the incident plus dynamic pressure, or (3) the reflected pressure (ref. TM 5-1300).

**Peak positive incident pressure** The almost instantaneous rise from the ambient pressure caused by a blast wave's pressure disturbance.

**Pervious.** That property of a surface that allows water or other fluids to pass through

**Permafrost.** A permanently frozen layer of variable depth below the earth's surface in frigid regions.

**pH.** A term used to describe the hydrogen-ion activity or concentration of a solution.

**Physical protection (physical security).** The application of methods for preventing diversion of nuclear material or for detecting such diversion as it occurs.

**Physically separated.** Set apart by distance, fences, walls or similar obstructions.

**Plastic yielding.** The point at which permanent deformation occurs when tensile stress is imposed on a material.

**Plutonium processing and handling facility.** Any facility constructed primarily to process plutonium (including Pu 238) and that handles substantial quantities of in-process plutonium where there is a possibility of a release of plutonium to the environs under normal operations or design basis accident conditions in excess of limits set forth in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series.

**Plutonium storage facility.** Any facility constructed to store strategic (category I) quantities of plutonium.

**Point of nearest public access.** Location inside or outside the site boundary where a member of the public could legally be (e.g., visitor center or public highway) without the specific knowledge of the owner or operator

**Portland cement.** A mixture of lime-and clay-bearing materials that are calcined to form a clinker, which is then pulverized, to form a fine powder for mortar and concrete mixtures.

**Preliminary safety analysis report (PSAR).** See safety analysis report.

**Primary confinement system.** See confinement area.

**Probable maximum flood (PMF).** The hypothetical flood (peak discharge, volume, and hydrograph shape) that is considered to be the most severe reasonably possible, based on comprehensive hydrometeorological application of maximum precipitation and other hydrological factors favorable for maximum flood runoff such as sequential storms and snowmelts.

**Project design criteria.** Those technical data and other project information developed during the project identification, conceptual design and/or preliminary design phases. They define the project scope, construction features and requirements, design parameters, applicable design codes, standards, and regulation, applicable health, safety, fire protection, safeguards, security, energy conservation, and quality assurance requirements; and other requirements. The project design criteria are normally consolidated into a document that provides the technical base for any further design performed after the criteria are developed.

**Property protection area.** An area set aside for the protection of property as required by these criteria.

**Protected area.** An area encompassed by physical barriers (e.g., walls or fences), subject to access controls, surrounding a material access area or containing Category II special nuclear material.

**Public travel route.** Any public street, road, highway, or passenger railroad (including roads on DOE-controlled land open to public travel).

**Pyrophoric-igniting spontaneously.** Emitting sparks when scratched or struck especially with steel.

**Quality assurance.** All those planned and systematic actions necessary to provide adequate confidence that a facility, structure, system, or component will perform satisfactorily and safely in service. Quality assurance includes quality control, which is all those actions necessary to control and verify the features and characteristics of a material, process, product, or service to specified requirements.

**Quality assurance records.** Includes results of reviews, inspections, audits, and material analyses; monitoring of work performance; qualification of personnel, procedures, and equipment; and other documentation such as drawings, special reports, and corrective action reports.

**Quantity-distance.** The quantity of explosives and the distance separation relationship that provides defined types of protection. These relationships are based on levels of risk considered acceptable for a stipulated exposure and are tabulated in the appropriate quantity-distance tables in DOD 6055.9. Separation distances shall be considered minimum distances; greater distances should be used whenever practicable.

**Radio repeater stations.** Unmanned radio transmission facilities, usually located in remote areas.

**Rational method.** As applied to drainage design, the expression of peak discharge as equal to the product of rainfall intensity, drainage area and a runoff coefficient depending on drainage basin characteristics.

**Real Property Inventory System (RPIS).** The Department of Energy's automated real property reporting system.

**Receiving stream.** Stream that receives outfall discharge of wastewater effluents.

**Refractories.** Refractoria include nonmetallic materials having those chemical and physical properties that make them applicable for structures, or as components of systems, that are exposed to environments above 4,000°F.

**Regional frequency analysis.** An analysis that addresses the probability of the occurrence of two or more random hydrologic events.

**Reinforcement ratio.** The percentage of tension reinforcement in a reinforced concrete beam.

**Rem.** A unit of dose equivalent that is the product of absorbed dose (D) in rads in tissue, a quality factor (Q), and other modifying factors (N). Derived from roentgen equivalent man.

**Remote interrogation points.** Locations for receiving information (e.g., printouts) transmitted by automatic data processing centers.

**Required strength (U).** Required strength to resist factored loads or related internal moments and forces.

**Reservoir routing.** A technique used in hydrology to compute the effect of reservoir inflow on reservoir outflow.

**Response time.** This term when used to specify performance of a rapid action deluge fire protection system represents the elapsed time between the initiation of the incident and water application to the material being protected.

**Retaining wall.** A wall designed to maintain differences in ground elevations by holding back a bank of material.

**Return period.** The average number of years within which a given hydrologic event will be equaled or exceeded.

**Routine waste.** Waste generated due to normal operations and anticipated abnormal events.

**Safeguards.** An integrated system of physical protection, material accounting, and material control measures designed to deter, prevent, detect, and respond to unauthorized possession, use, or sabotage of special nuclear materials. In practice, safeguards involve the development and application of techniques and procedures dealing with the establishment and continued maintenance of a system of activities including physical protection, quantitative knowledge of the location and use of special nuclear materials, and administrative controls and surveillance to assure that procedures and techniques of the system are effective and are being carried out. Safeguards include the timely indication of possible diversion or credible assurances by audits and inventory verification that no diversion has occurred.

**Safe shutdown earthquake.** See Design basis earthquake.

**Safety analysis report (SAR).** A report, prepared in accordance with DOE 5481.1B, that summarizes the hazards associated with the operation of a particular facility and defines minimum safety requirements. A Safety Analysis Report is designated as final when it is based on final design information. Otherwise, it is designated as preliminary.

**Safety class (SC).** Three levels that are assigned to items (components, systems, or structures) that must be designed to provide specific functions to protect operators, the public, or the environment. These levels are as follows:

**SC-1:** Provides function and/or structural integrity for mitigation of event severities up to and including DBAs.

**SC-2:** Provides function and/or structural integrity for mitigation of event severities up to and including OBAs.

**SC-3:** Provides function and/or structural integrity for mitigation of event severities up to and including UBC and those that are industrial safety related.

Further description is contained in Section 1300-3.2, Safety Class Items.

**Safety class item.** Systems, components and structures, including portions of process systems, whose failure could adversely affect the environment or safety and health of the public. Determination of classification is based on analysis of the potential abnormal and accidental scenario consequences as presented in the SAR (as required by 5481.1B).

**Safety limit.** A limit on an important process variable that is necessary to provide reasonable protection to the integrity of certain physical barriers that guard against the uncontrolled release of radioactivity or an accidental criticality.

**Sanitary engineering structures.** Tanks, reservoirs, and other structures commonly used in water and waste treatment works, where dense, impermeable concrete with high resistance to chemical attack is required.

**Sanitary landfill.** A system for disposal of garbage, trash, and other rubbish from domestic sources in compacted layers covered with soil to a depth sufficient to exclude rats, flies, and other vectors. Most sites provide for leachate control.

**Saturated zone.** That region below the ground surface where the groundwater is above atmospheric pressure.

**Secure communications center.** A security area devoted in whole or in part to the encryption and decryption of sensitive and/or classified information.

**Security.** Activities through which DOE defines, develops, and implements its responsibilities under the Atomic Energy Act of 1954, as amended, Federal statutes, Executive Orders, and other directives, for the protection of Restricted Data and other classified information or matter, nuclear weapons and nuclear weapon components, and for the protection of Department and Departmental contractor facilities, property, and equipment. Security is also applied to special nuclear materials. When physical, personnel, and technical security are

combined with material control and material accountability, the protection is referred to as **safeguards**.

**Security area.** A physically defined space containing a Departmental security interest and subject to physical protection and access controls.

**Security interest.** Any of the following that requires special protection classified matter, special nuclear material, security shipments, secure communications centers, sensitive compartmented information facilities, automatic data processing centers, or other systems including classified information, or Departmental property.

**Seismic category I.** A level and method of seismic qualification that provides documented assurance that an item, component, or system can continue to perform its required function. Qualification includes all SC-1 and selected SC-2 and SC-3 items, components, or systems.

**Service magazine.** An auxiliary building of an operating line used for the intermediate storage of explosives within the operational plant area. The amount of explosives is normally limited to a maximum consistent with intraline separation from other explosives buildings based on the quantity of explosives in the service magazine

**Setback.** Building offset from a property line, sidewalk, or street right-of-way.

**Shall.** Denotes a requirement.

**Shall consider.** Requires that an objective assessment be performed to determine to what extent the specific factor, criterion, guideline, standard, etc., will be incorporated into or satisfied by the design. The results and basis of this assessment shall be documented. Such documentation shall be retrievable and can be in the form of engineering studies, meeting minutes, reports, internal memoranda, etc.

**Sheet piling.** Closely-spaced piles of wood, steel, or concrete driven vertically into the ground to obstruct lateral movement of earth or water.

**Shoring.** Temporary bracing of an existing building foundation to provide support during adjacent excavations. Also applies to supporting construction of above grade floors.

**Should.** Denotes a recommendation.

**Single failure.** An occurrence that results in the loss of capability of a component to perform its intended safety function(s). Multiple failures, i.e., loss of capability of several components, resulting from a single occurrence are considered to be a single failure. Systems are considered to be designed against an assumed single failure if neither (1) a single failure of any active component (assuming passive components function properly) nor, (2) a single failure of any passive component (assuming active components function properly) results in loss of the system's capability to perform its safety function(s).

**Site boundary.** A well-marked boundary of the property over which the owner or operator an exercise strict control without the aid of outside authorities.



**Site-specific safeguards and security plan.** A specific description of the systems and procedures implemented and planned to protect Departmental security interests and other property. The format for site-specific safeguards and security plans can be obtained from DP-34.

**Slanting.** The incorporation, without appreciable extra cost or reduction in efficiency, of certain architectural and engineering features into new structures (except temporary type) or portions of the structures to improve their ability to resist the effects of an attack and to offer protection to personnel and material.

**Soil resistivity.** The measured potential difference between two points in a naturally occurring soil between which a known electric current is passed.

**Soil mechanics.** The application of the laws of solid and fluid mechanics to soils and similar granular materials as a basis for design, construction, and maintenance of stable foundations and earth structures.

**Special nuclear material (SNM).** Plutonium, uranium-233, uranium enriched in uranium-233 or in the uranium-235, or any material artificially enriched in any of the foregoing (but does not include source material) and any other material that, pursuant to the provisions of Section 51 of the Atomic Energy Act of 1954, as amended, has been determined to be special nuclear material.

**SNM vault.** A penetration-resistant, windowless enclosure that has (a) walls, floor, and ceiling substantially constructed of materials that afford penetration resistance at least equal to that of 8-inch thick reinforced concrete; (b) any openings greater than 96 square inches in area and over 6 inches in the smallest dimension protected by imbedded steel bars at least 5/8 inches in diameter on 6-inch centers both horizontally and vertically; (c) a built-in combination locked steel door that in existing structures is at least 1-inch thick exclusive of bolt work and locking devices and that for new structures at least meets the Class 5 standards as set forth in FS AA-D-6008 of the Federal Specifications and Standards cited in 41 CFR 101.

**Staging bays (in-process).** A bay(s) within an operating building used to stage explosives in excess of four hours supply. This practice is permissible as long as the bay(s) is designed to provide Class II level of protection.

**Standby power.** A reserve power generation or supply with switching devices that will supply power to selected loads in the event of a normal power failure. It is *not* required to have redundant equipment or to operate through events greater than UBC. A standby power system shall not be classified SC-1.

**Storage area compartment.** An area or series of areas that contain storage enclosures.

**Structural collapse.** The failure of a structural component as a direct result of loss of structural integrity of the facility being subjected to various loadings.

**Subbase.** A layer of granular material located beneath the base course of a highway pavement.

**Subcritical flow.** Open channel flow having a low velocity and a froude number less than unity (also described as tranquil or streaming flow).

**Subgrade modulus.** The slope of a load-settlement diagram constructed with data from field loading tests on the actual subgrade.

**Subslab.** Also known as a structural slab, base slab, mud slab, or wearing slab. The concrete slab below the waterproofing membrane in a double-slab configuration.

**Substantial construction.** If determined by the cognizant DOE security personnel, classified matter shall be stored in a building or portion thereof that provides a physical barrier of the required penetration times and resistance. NBS Technical Note 837 shall be used for a comparison of the forcible penetration time through different structural barriers.

**Supercritical flow.** Open channel flow having a high velocity and a froude number greater than unity (also described as rapid, shooting or torrential flow).

**Superelevation.** The practice of elevating one side of a roadway over the other on curves in alignment.

**Support building.** Any structure (including utilities) directly supporting explosives activities but containing no explosives.

**Surfactant (surface-active agent).** A soluble compound that reduces the surface tension of liquids, or reduces interracial tension between two liquids or a liquid and a solid.

**Tactical response force.** An armed combat force trained in security protection.

**Tension wires.** Wires placed along the top and bottom of a chain link fence to provide tension and structural rigidity.

**TNT equivalent.** A measure of the blast effects from the explosion for a given quantity of material expressed in terms of the weight of TNT that would produce the same blast effects when detonated. For safety and design purposes, a reasonable value can be obtained by substituting a measurement of energy release of blast effects.

**Transient (re: explosives facilities).** Any person within inhabited building distance but not inside an explosives bay or other occupied areas (offices, break areas, shops, etc.).

**Transuranic elements.** Those elements having an atomic number greater than 92 (uranium).

**Transverse.** That which is extended or is lying across.

**TRU waste.** Without regard to source or form, radioactive waste that at the end of institutional control periods is contaminated with alpha-emitting transuranic radionuclides with half-lives greater than 20 years and concentrations greater than 100 nCi/g.

**Regarding the Waste Isolation Pilot Plant, high-level waste and spent nuclear fuel as defined by DOE 5820.2A are specifically excluded by this definition.**

**Unattended openings.** Doors, operable windows, hatches, louvered openings, etc., that are not attended by security guards or guarded by safety devices.

**Underpinning.** Permanent supports replacing or reinforcing the older supports beneath a wall or column.

**Uninterruptible power supply (UPS).** A power supply that provides automatic, instantaneous power, without delay or transients, on failure of normal power. It can consist of batteries or full-time operating generators. It can be designated as standby or emergency power depending on the application. Emergency installations must meet the requirements specified for emergency power.

**Unirradiated enriched uranium.** Naturally occurring uranium enriched with U-235 above its natural abundance of approximately 0.72% (weight percent) that has not been exposed to a neutron flux.

**Unit hydrography.** A hydrography with a volume of 1-inch of rainfall resulting from a storm of specified duration and areal pattern.

**Unit masonry.** Includes brick made of clay or shale, sand lime, and concrete; structural clay, concrete masonry units, solid load bearings, tile, load-bearing and non-load-bearing, hollow load-bearing, and hollow non-load-bearing; natural stone and cast stone; ceramic glazed clay masonry, solid units, and hollow units; and prefaced concrete masonry units.

**Unpackaging room.** The spaces in which receiving containers are opened and unpackaged and repackaged for storage or shipment and are surrounded by one or more secondary confinement areas.

**Useful life.** The time period in which a building element can be expected to perform effectively with proper maintenance.

**Vault-type room.** A DOE-approved room having combination-locked door(s) and protected by a Departmental-approved intrusion alarm system activated by any penetration of walls, floor, ceiling, or openings or by motion within the room.

**Vector.** An agent such as an insect, rodent, or the wind capable of mechanical or biologically transferring a pathogen from one location to another.

**Vital activity.** Relating to integrity of a national security program or a public health and safety function.

**Vital area.** A security area for the protection of vital equipment.

**Vital equipment.** Equipment, systems, or components whose failure or destruction would cause unacceptable interruption to a national security program or harm to the health and safety of the public.

**Vital facility.** A facility where vital activities occur.

**Vital program.** A program designated vital by the program senior official.

**Water hammer.** Pressure rise in a pipeline caused by a sudden change in the rate of flow or stoppage of flow in the line.

**Work environment.** The surroundings in which systems operate. Includes all of the conditions that may affect one or more system components, e.g., temperature/humidity, noise, light, vibration, toxic materials, radioactive materials.

## **Division 1**

### **General Requirements**

#### **0101            CRITERIA PURPOSE AND APPLICATION**

##### **0101-1            GENERAL**

These criteria provide mandatory, minimally acceptable requirements for facility design. The predominant model building code in the region shall govern on issues not covered in these criteria.

State, municipal, county, and other local building and zoning codes and ordinances should be reviewed for possible conflicts with these criteria. While it is not mandatory that DOE projects comply with such local codes and regulations, the design professional is encouraged to cooperate with local officials and DOE personnel to accommodate the intent of local codes and regulations as much as possible.

These criteria apply to any building acquisition, new facility, facility addition and alteration, and leased facility that is required to comply with DOE 4300.1B. This includes on-site constructed buildings, pre-engineered buildings, plant-fabricated modular buildings, and temporary facilities. For existing facilities, original design criteria apply to the structure in general; however, additions or modifications shall comply with this Order and the associated latest editions of the references herein. Reactors and their safety systems shall be sited and designed according to DOE 5480.6.

These criteria shall be applied in the planning, design and development of specifications for facilities, including the preparation of site-specific general design criteria and project-specific design criteria during the project planning phase.

If there are any conflicts between these criteria and DOE directives, these criteria shall govern. Any such conflicts shall be brought to the attention of the Headquarters OPFM.

Information cited in these criteria as being provided by the cognizant DOE authority shall be obtained by the design professional through the designated cognizant DOE authority.

## **0101-2 CRITERIA DEVIATIONS**

DOE organizations with first-line responsibilities for facility projects shall determine to what extent these criteria shall be applied to projects in process under prior issuances of DOE 6430.1. In making this determination for projects already in the planning, design, construction, operating, or decommissioning phases, consideration shall include the current stage of budgeting, design, or construction and the potential cost and schedule effects of applying these criteria.

For all projects subject to DOE 6430.1 series, these criteria are not intended to impose unnecessary design restrictions or requirements or to discourage design innovation. Professional architectural and engineering judgment shall be used in the interpretation and application of these criteria to specific projects.

The contractor and/or DOE organizations responsible for facility projects shall review these criteria early in the planning phase and at later phases during the project construction process to determine if any of these criteria are not applicable or are not appropriate. The contractor shall document the criteria being used for each project in the project's SAR (per Section 0110-5.2, Safety Analysis) such that compliance with these criteria can be verified during design, construction, and facility operation. Site-specific criteria shall be included in this documentation.

Deviations may be granted by DOE organizations responsible for facility projects or granted by the DOE programmatic office responsible for the design of facilities when any of the following apply:

- A specific portion of the general design criteria is determined to be inadequate or inappropriate for the facility under design.
- Minor deviations are necessary or advantageous in the design professional's professional judgment.
- A criterion does not reflect currently applicable codes, standards, regulations, or architectural or engineering principles and practices.
- A criterion affecting environmental protection or safety is less stringent than local or State codes or regulations.
- Deviations will achieve economies in facility construction, operation, or maintenance without significant adverse effects on programmatic or operating needs or DOE design policy and objectives.
- Deviations will not affect DOE design policy and objectives and are determined to be necessary in the acquisition of buildings by lease or purchase.
- Deviations will not affect DOE design policy and objectives, are necessary, and are allowable under existing exemption or variance provisions of another DOE directive.

Headquarters-level review and approval are not required for deviations from local or State codes or regulations that do not affect compliance with DOE policies or objectives.

When a deviation is granted without Headquarters-level review and approval, the project file or other files as appropriate shall include full documentation of the deviation, including an analysis and justification for giving the deviation.

Headquarters-level review and approval shall be required for the following deviations from these criteria:

- Deviations proposed for safety-class items (as defined in Section 1300-3.2, Safety Class Items, and determined by DOE 5481.1B) when such deviation will or may constitute an adverse impact on environmental protection, safety or health or other DOE design policies or objectives
- Deviations from requirements in Federal laws or regulations or Executive Orders; such deviations cannot be approved unless such laws, regulations, or Executive Orders provide for deviations or waivers

OPFM shall, where responsibility is not otherwise prescribed by Executive Order or statute:

- Be notified of any deficiencies in these criteria or conflicts that exist between them and other DOE directives
- Determine the need for formal submittal of a proposed deviation
- Coordinate with and determine appropriate Headquarters organizations necessary to review and approve any deviations
- Review design project files or other files as appropriate containing documentation of deviations

Requests for deviations requiring Headquarters level approval shall be prepared by the responsible field organization and submitted with justification to OPFM, the Headquarters outlay program organization(s) involved, and any other Headquarters organization as determined appropriate by OPFM.

Nothing in these criteria shall preempt the specific requirements contained in other DOE directives relative to their processes and procedures for requesting exemptions, variances, or deviations.

## **0101-3 ORGANIZATION AND USE OF THESE CRITERIA**

### **0101-3.1 General**

The organization of these criteria is adapted from the MASTERFORMAT system developed by the Construction Specifications Institute.

The 16 numerical divisions of these criteria are devoted to major building systems or design specialties. For example, Division 15 covers mechanical systems; Division 2, site and civil. The number of each section, paragraph, and subparagraph within each division includes that

division's number (for example, 1550-2.2 is the second paragraph in section 1550-2, which is in Division 15).

**0101-3.2            "Shall" and "Shall Consider"**

"Shall" in these criteria denotes a requirement.

"Shall consider" requires that an objective assessment be performed to determine to what extent the specified factor, criterion, guideline, standard, etc., will be incorporated into or satisfied by the design. The results and basis of this assessment shall be adequately documented. Such documentation shall be retrievable and can be in the form of meeting minutes, reports, internal memoranda, etc. Some sections of these criteria contain other documentation requirements.

**0101-3.3            References to Other Sections and Documents**

References to other parts of these criteria take the form "See Section 0110-12, Energy Conservation." The term "Section" is used to refer to topics, sections, paragraphs, and subparagraphs. The section's title is given after its number. The 16 divisions are referred to as divisions.

References to other documents are generally shorthand, as in "ACI 234" or "NFPA 13." Readers who are unfamiliar with an abbreviation can consult the Abbreviations section of these criteria.

Each standard, regulation, DOE directive, or other referenced document is listed in Section 0106, Regulatory Requirements, or Section 0109, Reference Standards and Guides. These sections are not comprehensive lists of all major design standards and guides. They contain *only* those standards specifically mandated in one or more sections of these criteria.

**0101-3.4            Special Facilities**

**0101-3.4.1        "-99" Sections**

Most criteria apply to all DOE facilities, including special facilities. Each division also contains requirements for special facilities; these criteria appear in sections numbered -99. For example, Division 15, Mechanical, contains criteria that apply to the design of all DOE facilities, both non-special and special facilities. *In addition*, Section 1550-99, Special Facilities, contains additional criteria on mechanical systems that apply only to the design of special facilities.

Within the -99 sections, facility types are designated by the following numbers:

- 99.0, Nonreactor Nuclear Facilities-General
- 99.1, Laboratory Facilities (Including Hot Laboratories)
- 99.2, Emergency Preparedness Facilities
- 99.3, Plutonium Processing and Handling Facilities



- 99.4, Explosives Facilities
- 99.5, Unirradiated Enriched Uranium Storage Facilities
- 99.6, Plutonium Storage Facilities
- 99.7, Occupational Health Facilities
- 99.8, Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations
- 99.9, Vaults and Vault-Type Rooms for Storage of Classified Matter
- 99.10, Secure Conference Rooms
- 99.11, secure offices
- 99.12, Uranium Enrichment Facilities
- 99.13, Uranium Processing and Handling Facilities
- 99.14, Irradiated Fissile Material Storage Facilities
- 99.15, Reprocessing Facilities
- 99.16, Uranium Conversion and Recovery Facilities
- 99.17, Radioactive Liquid Waste Facilities
- 99.18, Radioactive Solid Waste Facilities
- 99.19, Tritium Facilities
- 99.20, Fusion Facilities

If a -99 section has no criteria related to a given specialized facility type, no section with that facility type's number appears. This can cause the numbering in -99 sections to have gaps (for example, -99.1, -99.2, -99.4, -99.12).

#### 0101-3.4.2 Division 13

Some special DOE facilities have additional design criteria that do not relate to the major building systems or design specialties in the "standard" MASTERFORMAT divisions. Such material appears in Division 13, Special Facilities.

#### 0101-3.5 Indexes and Glossary

This document has a glossary containing definitions of key terms and two indexes-a key word index and an index to standards, regulations, and other documents referenced within these criteria.

**0101-3.6      Document Improvement Proposals**

A sample document improvement proposal sheet for suggesting changes to these criteria appears at the end of this document. See DOE 6430.1A, Cover Order, Section 9d.

**0101-4      HANDICAPPED PROVISIONS**

Any DOE facility whose intended use either will require that the building or facility be accessible to the public, or may result in the employment of physically handicapped persons therein, shall be designed in accordance with the Uniform Federal Accessibility Standards in 41 CFR 101.19.6.

The standards in 41 CFR 101-19.6 shall apply to the design, construction, alteration, or lease of any portion of a facility except when:

- Because of its intended use, it need not be made accessible to, or usable by, the public or by physically handicapped persons. This exception shall not be taken solely on the basis that the facility is not, or will not be, accessible to the public. Every facility shall be designed to assure access to physically handicapped persons unless a facility's intended use is specifically restricted to able-bodied personnel.
- The alteration of an existing building if the alteration does not involve the installation of, or work on, existing stairs, doors, elevators, toilets, entrances, drinking fountains, floors, telephone locations, curbs, parking areas, or any other facilities susceptible to installation or improvements to accommodate the physically handicapped.
- The alteration of an existing building, or of portions thereof, to which application of the standards is not structurally possible.
- The construction or alteration of a building for which plans and specifications were completed or substantially completed on or before September 2, 1969, provided, however, that any building defined in 41 CFR 101-19.6 shall be designed, constructed, or altered in accordance with the standards prescribed in 41 CFR 101-19.6 regardless of design status or bid solicitation as of September 2, 1969.
- The leasing of space when it is found after receiving bids or offers not otherwise legally acceptable that a proposal meets most of the requirements of the Uniform Federal Accessibility Standards. If no offeror or bidder meets all the requirements, then preference must be given to the offeror or bidder who most nearly meets the standards in 41 CFR 101-19.6. If the award is proposed for a firm other than the one that most nearly meets these standards and whose bid or offer is reasonable in price, and is otherwise legally acceptable, a waiver or modification of the standards must be obtained.

The Real Property and Facilities Management Division, MA-22, is responsible for developing the composite DOE annual report on building accommodations for the physically handicapped from feeder reports provided by the responsible Departmental field elements. This division is responsible for submittal of those reports to GSA by September 15 each year.

Record keeping and reporting by Departmental elements responsible for design, construction, alteration, or lease of buildings and related facilities shall be in accordance with 41 CFR 101-19.6. Formalization of this requirement shall be made through the DOE RPIS.

Guidance on implementation of the above requirements and copies of the prescribed standards can be obtained from the Real Property and Facilities Management Division, MA-222.

Annual reporting information shall be installed into the DOE RPIS by the fifth working day of September each year.

Also see Section 0110-8, Accommodations for the Physically Handicapped.

## **0106            REGULATORY REQUIREMENTS**

This section lists the regulatory requirements cited in these criteria.

**CFR            Code of Federal Regulations  
                 Superintendent of Documents  
                 Government Printing Office  
                 Washington, DC 20402  
                 202/783-3238**

- 10 CFR 20, Standards for Protection Against Radiation**
- 10 CFR 60, Disposal of High-Level Radioactive Wastes in Geologic Repositories, Licensing Procedures**
- 10 CFR 61, Licensing Requirements for Land Disposal of Radioactive Wastes**
- 10 CFR 72, Licensing Requirements for the Storage of Spent Fuel in an Independent Spent Fuel Storage Installation (ISFSI)**
- 10 CFR 435, DOE Energy Conservation (Interim)**
- 10 CFR 436, Federal Energy Management and Planning Programs**

- **10 CFR 1022, Compliance with Floodplains/Wetlands Environmental Review Requirements**
- **16 CFR 1630, Standards for Surface Flammability of Carpets and Rugs (FF 1)**
- **29 CFR 1910, Occupational Safety and Health Standards**
- **29 CFR 1926, Safety and Health Regulations for Construction**
- **36 CFR 800, Protection of Historic Properties**
- **40 CFR 61, National Emission Standard for Radionuclide Emissions from Department of Energy Facilities**
- **40 CFR 112, Oil Pollution Prevention**
- **40 CFR 122, Permitting Requirements for Land Disposal Facilities**
- **40 CFR 125, Criteria and Standards for the NPDES (National Pollutant Discharge Elimination System)**
- **40 CFR 141, National Primary Drinking Water Regulations**
- **40 CFR 142, National Interim Primary Drinking Water Regulations Implementation**
- **40 CFR 191, Environmental Radiation Protection Standards for Management and Disposal of Spent Nuclear Fuel, High Level and Transuranic Radioactive Wastes**
- **40 CFR 192, Health and Environmental Protection Standards for Uranium and Thorium Mill Tailings**
- **40 CFR 240, Guidelines for the Thermal Processing of Solid Wastes**
- **40 CFR 241, Guidelines for the Land Disposal of Solid Wastes**
- **40 CFR 249, Guideline for the Federal Procurement of Cement and Concrete Containing Fly Ash**
- **40 CFR 256, EPA Guidelines for State Solid Waste Management Plans**
- **40 CFR 260, Hazardous Waste Management System: General**
- **40 CFR 261, Hazardous Waste Management System: Identification and Listing of Hazardous Wastes**
- **40 CFR 262, Standards for Generators of Hazardous Wastes**
- **40 CFR 263, Standards for Transporters of Hazardous Wastes**

- 40 CFR 264, Standards for Owners and Operators of Hazardous Waste Treatment, Storage and Disposal Facilities
- 40 CFR 265, Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
- 40 CFR 267, Interim Standards for Owners and Operators of New Hazardous Waste Land Disposal Facilities
- 40 CFR 270, EPA Ministered Permit Programs: The Hazardous Waste Permit Program
- 40 CFR 271, Requirements for Authorization of State Hazardous Waste Programs
- 40 CFR 280, Underground Storage Tanks
- 40 CFR 423, Protection of the Environment, Steam-Electric Power Generating Point Source Category
- 40 CFR 761, Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
- 41 CFR 101, Federal Property Management Regulations
- 48 CFR 10, Federal Acquisition Regulations
- 49 CFR 101-19.6, Uniform Federal Accessibility Standards

CONGRESSIONAL  
ACTS

Superintendent of Documents  
Government Printing Office  
Washington, DC 20402  
202/275-3030

- (CAA) Clean Air Act, Pub. L. 88-206, 42 U.S.C. 1857 et seq.
- (CERCLA) Comprehensive Environmental Response, Compensation, and Liability Act, Pub. L. 96-510, 42 U.S.C. 9601 et seq.
- (CWA) Clean Water Restoration Act, Pub. L. 89-753, 43 U.S.C. 431 et seq.
- (FUA) Powerplant and Industrial Fuels Use Act, Pub. L. 95-620, 42 U.S.C. 8301 et seq.
- (FWPCA) Federal Water Pollution Control Act, Pub. L. 86-70, 33 U.S.C. 1157 et seq.
- (NEPA) National Environmental Policy Act, Pub. L. 91-190, 42 U.S.C. 4321 et seq.
- (NHPA) National Historic Preservation Act, Pub. L. 89-665, 16 U.S.C. 470 et seq.

- (PURPA) Public Utility Regulatory Policy Act, Pub. L. 95-617, 16 U.S.C. 823a et seq.
- (RCRA) Resource Conservation and Recovery Act, Pub. L. 94-580, 42 U.S.C. 6901 et seq.
- (SDWA) Safe Drinking Water Act, Pub. L. 93-523, 42 U.S.C. 201 et seq.
- (TSCA) Toxic Substances Control Act, Pub. L. 94-469, 15 U.S.C. 2601 et seq.
- (WQA) Water Quality Act, Pub. L. 89-234, 33 U.S.C. 1151 et seq.

DOE                      U.S. Department of Energy  
                             1000 Independence Avenue, SW  
                             Washington, DC 20585  
                             202/586-9642

For non-directives DOE documents, see Section 0109, Reference Standards and Guides

- DOE 1360.2A, Unclassified Computer Security Program
- DOE 4300.1B, Real Property and Site Development Planning
- DOE 4330.2C, In-House Energy Management
- DOE 4700.1, Project Management System
- DOE 5100.4, Internal Review Budget Process
- DOE 5300.1B, Telecommunications
- DOE 5300.2B, Telecommunications: Emission Security (Tempest)
- DOE 5300.3B, Telecommunications: Communications Security
- DOE 5300.4B, Telecommunications: Protected Distribution System
- DOE 5400 series on:
  - Radiation Protection of the Public and the Environment
  - Radiological Effluent Monitoring and Environmental Surveillance
- DOE 5400.1, General Environmental Protection Program Requirements
- DOE 5400.3, Hazardous and Radioactive Mixed Waste Program
- DOE 5440.1C, National Environmental Policy Act

- DOE 5480.1B, Environmental, Safety, and Health Program for DOE Operations
- DOE 5480.3, Safety Requirements for the Packaging and Transportation of Hazardous Materials, Hazardous Substances, and Hazardous Wastes
- DOE 5480.4, Environmental Protection, Safety, and Health Protection Standards
- DOE 5480.5, Safety of Nuclear Facilities
- DOE 5480.6, Safety of Department of Energy-Owned Nuclear Reactors
- DOE 5480.7, Fire Protection
- DOE 5480.8, Contractor Occupational Medical Program
- DOE 5480.10, Contractor Industrial Hygiene Program
- DOE 5480.11, Radiation Protection for Occupational Workers
- DOE 5480.16, Firearms Safety
- DOE 5481.1B, Safety Analysis and Review System (SARS)
- DOE 5500.1A, Emergency Management System
- DOE 5500.3, Reactor and Nonreactor Nuclear Facility Emergency Planning, Preparedness, and Response Program for DOE Operations
- DOE 5630.11, Safeguards and Security Program
- DOE 5630.12, Safeguards and Security Inspection and Evaluation Program
- DOE 5630.13, Master Safeguards and Security Agreements
- DOE 5632 series on
  - Protection program operations
  - Physical protection of special nuclear material and vital equipment
  - Physical protection of classified matter
  - Physical protection of DOE property and unclassified facilities
  - Protective program operations - systems performance tests
  - Issuance, control, and use of badges, passes, and credentials
- DOE 5632.7, Protective Force
- DOE 5633.2, Control and Accountability of Nuclear Materials: Responsibilities and Authorities
- DOE 5633.3, Control and Accountability of Nuclear Materials
- DOE 5633.4, Nuclear Materials Transactions: Documentation and Reporting

- DOE 5636.3A, Technical Surveillance Countermeasures Program
- DOE 5637.1, Classified Computer Security Programs
- DOE 5700.6B, Quality Assurance
- DOE 5820.2A, Radioactive Waste Management

ERDA (See DOE)

**EXECUTIVE  
ORDERS**

National Archives and Records Administration  
8th Street and Pennsylvania Avenue, NW  
Washington, DC 20408  
202/523-5230

- Executive Order 11490, Assigning Preparedness Functions to Federal Departments and Agencies
- Executive Order 11593, Protection and Enhancement of the Cultural Environment
- Executive Order 11988, Floodplain Management
- Executive Order 11990, Protection of Wetlands
- Executive Order 12088, Federal Compliance with Pollution Control Standards

**FR**

Federal Register  
Superintendent of Documents  
U.S. Government Printing Office  
710 North Capitol Street, NW  
Washington, DC 20402  
GPO Order Desk 202/783-3238 (Charge Orders)  
GPO Bookstore: 202/275-2091 (Cash Orders)

- 45 FR 12746, Preliminary Notification of Hazardous Waste Activity
- 54 FR 20694, DOE Guidelines for Compliance With the National Environmental Policy Act



**GSA**                    **General Services Administration**  
                         **Public Building Service**  
                         **Office of Government-wide Real Property Policy and Oversight**  
                         **19th and F Streets, NW**  
                         **Washington, DC 20405**  
                         **202/566-1426**

- [Annual] Summary Report of Real Property Owned by the United States Throughout the World

**OMB**                    **Office of Management and Budget**  
                         **Old Executive Office Building**  
                         **Washington, DC 20503**  
                         **202/395-3000**

- OMB Circular A-130, Management of Federal Information Resources

**UFAS**                    (See 49 CFR 101-19.6)

## **0109                    REFERENCE STANDARDS AND GUIDES**

This section lists the reference standards and guides cited in these criteria.

The latest edition of standards and guides shall be used.

**AA**                    **Aluminum Association**  
                         **900 19th Street, NW, Suite 300**  
                         **Washington, DC 20006**  
                         **202/862-5100**

- Aluminum Finishes for Architecture
- Finishes for Aluminum in Building

**AABC**                    **Associated Air Balance Council**  
                         **1518 K Street, NW**  
                         **Washington, DC 20005**  
                         **202/737-0202**

- Volume A-82, National Standards for Total System Balance Air Distribution-Hydraulic Systems-Sound-Vibration-Field Surveys for Energy Audits

**AAMA**            **American Architectural Manufacturers Association**  
**2700 River Road, Suite 118**  
**Des Plaines, IL 60018**  
**312/699-7310**

- **AAMA 101, Aluminum Prime Windows and Sliding Glass Doors**
- **AAMA 800, Sealant Specifications for Use With Architectural Aluminum**
- **AAMA 1002.10, Aluminum Insulating Storm Products for Windows and Sliding Glass Doors**
- **AAMA 1102.7, Aluminum Storm Doors**

**AASHTO**        **American Association of State Highway and Transportation Officials**  
**444 N. Capitol St, NW, Suite 225**  
**Washington, DC 20001**  
**202/624-5800**

- **AASHTO GD-2, A Policy on Geometric Design of Rural Highways**
- **AASHTO GDHS, A Policy on Geometric Design of Highways and Streets**
- **AASHTO GSDB, Guide Specification for Seismic Design of Highway Bridges**
- **AASHTO GU-2, Policy on Design of Urban Highways and Arterial Streets**
- **AASHTO HB-13, Standard Specifications for Highway Bridges**
- **AASHTO LTS-1, Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals**
- **AASHTO T 258, Determining Expansive Soils**

**ABMA**            **American Boiler Manufacturers Association**  
**590 North Glebe Road**  
**Suite 160**  
**Arlington, VA 22203**  
**703/522-7350**

**ACGIH**           **American Conference of Governmental Industrial Hygienists**  
**6500 Glenway Avenue, Building D-7**  
**Cincinnati, OH 45211**  
**513/661-7881**

- **Industrial Ventilation Manual of Recommended Practice**

**- TLVs: Threshold Limit Values and Biological Exposure Indices**

ACI            American Concrete Institute  
                 P.O. Box 19150  
                 Detroit, MI 48219  
                 313/532-2600

- ACI 207.1R, Mass Concrete for Dams and Other Massive Structures
- ACI 207.4R, Cooling and Insulating Systems for Mass Concrete
- ACI 211.1, Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
- ACI 211.2, Standard Practice for Selecting Proportions for Structural Lightweight Concrete
- ACI 304, Recommended Practice for Measuring, Mixing, Transporting, and Placing Concrete
- ACI 305R, Hot Weather Concreting
- ACI 306R, Cold Weather Concreting
- ACI 318, Building Code Requirements for Reinforced Concrete
- ACI 336.2R, Suggested Design Procedures for Combined Footing and Mats
- ACI 336.3R, Suggested Design and Construction Procedures for Pier Foundations
- ACI 347, Recommended Practice for Concrete Formwork
- ACI 349, Code Requirements for Nuclear Safety Related Concrete Structures
- ACI 350R, Concrete Sanitary Engineering Structures
- ACI 352R, Recommendations for Design of Beam-Column Joints in Monolithic Reinforced Concrete Structures
- ACI 503.4, Standard Specifications for Repairing Concrete with Epoxy Mortars
- ACI 531, Building Code Requirements for Concrete Masonry Structures
- ACI 531.1, Specifications for Concrete Masonry Construction
- ACI 543R, Recommendation for Design, Manufacture and Installation of Concrete Piles
- ACI 546.1R, Guide for Repair of Concrete Bridge Superstructures

- ACI SP-4, Formwork for Concrete
- ACI SP-66, Detailing Manual
- Guide to the Use of Waterproofing, Dampproofing, Protective, and Decorative Barrier Systems for Concrete

ACSM            American Congress on Surveying and Mapping  
                  210 Little Falls Street,  
                  Falls Church, VA 22046  
                  703/241-2446

- ACSM Horizontal Control as Applied to Local Surveying Needs

AFM            (see USAF)

AFWL          (see USAF)

AISC           American Institute of Steel Construction  
                  400 North Michigan Avenue  
                  Chicago, IL 60611  
                  312/670-2400

- AISC M011, Manual of Steel Construction
- AISC N690, Nuclear Facilities Steel Safety-Related Structures for Design, Fabrication and Erection
- AISC S326, Specification for the Design, Fabrication and Erection of Structural Steel for Buildings (included in AISC Manual of Steel Construction)

AISI            American Iron and Steel Institute  
                  1133 15th Street, NW  
                  Washington, DC 20005  
                  202/452-7100

- Manual for Structural Applications of Steel Cables for Buildings
- Specifications for the Design of Cold-Formed Steel Structural Members

AMCA          Air Movement and Control Association  
                  30 West University Drive  
                  Arlington Heights, IL 60004  
                  312/394-0150

- Publication 99, Standards Handbook
- Publication 201, Fans and Systems
- Publication 261, Directory of Products Licensed to Bear the AMCA Certified Rating Seal
- Standard 210, Laboratory Methods for Testing Fans for Ratings

AMCR/  
DARCOM (See ARMY)

ANL Argonne National Laboratory  
9800 South Cass Avenue  
Argonne, IL 60439  
312-972-2000

- ANL/EES TM-264, Rev.1, Environmental Protection Appraisals: A Suggested Guide for U.S. Department of Energy Field Organizations

-  
ANS American Nuclear Society  
555 North Kensington Avenue  
LaGrange Park, IL 60525  
312/352-6611

- ANS 6.4, Guidelines on the Nuclear Analysis and Design of Concrete Radiation Shielding for Nuclear Power Plants
- ANS 6.4.2, Specification for Radiation Shielding Materials
- ANS 8.1, Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors
- ANS 8.3, Criticality Accident Alarm Systems
- ANS 8.5, Use of Borosilicate-Glass Raschig Rings as a Neutron Absorber in Solutions of Fissile Materials
- ANS 8.6, Guide for Nuclear Criticality Safety in the Storage of Fissile Materials
- ANS 8.9, Nuclear Criticality Safety Guide for Pipe Intersections Containing Aqueous Solutions of Uranyl Nitrate
- ANS 8.10, Criteria for Nuclear Criticality Controls in Operations Where Shielding Protects Personnel

- **ANS 8.12, Nuclear Criticality Control and Safety of Homogeneous Plutonium-Uranium Fuel Mixtures Outside Reactors**
- **ANS 8.15, Nuclear Criticality Control of Special Actinide Elements**
- **ANS 8.17, Criticality Safety Criteria for the Handling, Storage, and Transportation of LWR Reactor Fuel Outside Reactors**
- **ANS 8.19, Administrative Practices for Nuclear Criticality Safety**

**ANSI**            **American National Standards Institute (Note: Generally, references cross-listed as ANSI/ASME, ANSI/IEEE, etc., are listed only once-under their originating organization rather than under ANSI.)**

**1430 Broadway  
New York NY 10018  
212/354-3300**

- **ANSI A39.1, Safety Requirements for Window Cleaning**
- **ANSI A58.1, Building Code Requirements for Minimum Design Loads in Buildings and other Structures**
- **ANSI A1 15 series, Door and Frame Preparation**
- **ANSI A156 series, Hardware**
- **ANSI A216.1, Sectional Overhead Type Doors**
- **ANSI C2, National Electrical Safety Code**
- **ANSI C84.1, Electric Power Systems and Equipment - Voltage Ratings (60 Hz)**
- **ANSI C136 series, Roadway Lighting**
- **ANSI D6.1, Manual on Uniform Traffic Control Devices for Streets and Highways**
- **ANSI D12.1, Roadway Lighting**
- **ANSI N2.3, Immediate Evacuation Signal for Use in Industrial Installations**
- **ANSI N13.1, Guide to Sampling Airborne Radioactive Materials in Nuclear Facilities**
- **ANSI N16.1, Safety Standards for Operations with Fissionable Materials**
- **ANSI N42.18, Specification and Performance of On-Site Instrumentation for Continuously Monitoring Radioactive Effluents**
- **ANSI N512, Protective Coatings (Paint) for the Nuclear industry**

- **ANSI Z88.2, Practices for Respiratory Protection**
- **ANSI Z358.1, Eyewash and Shower Equipment, Emergency**

**API**            **American Petroleum Institute**  
1220 L Street, NW  
Washington, DC 20037  
202/682-8159

- **API 650, Welded Steel Tanks for Oil Storage**

**AREA**            **American Railway Engineering Association**  
50 F Street, NW, Suite 7702  
Washington, DC 20001  
202/639-2190

**AREA Manual for Railway Engineering (Fixed Properties), Volume I and II**

**ARI**            **Air Conditioning and Refrigeration Institute**  
1501 Wilson Boulevard, 6th Floor  
Arlington, VA 22209  
703/524-8800

- **ARI 410, Forced Circulation Air Cooling and Air-Heating Coils**
- **ARI 430, Central Station Air Handling Units**
- **ARI 450, Water-Cooled Refrigerant Condensers, Remote Type**
- **ARI 460, Remote Mechanical-Draft Air-Cooled Refrigerant Compressors**
- **ARI 520, Positive Displacement Refrigerant Compressors and Condensing Units**
- **ARI 550, Centrifugal Water Chilling Packages**
- **ARI 590, Reciprocating Water Chilling Packages**
- **ARI 850, Commercial and Industrial Air Filter Equipment**
- **ARI 1010, Drinking Fountains and Self-Contained Mechanically Refrigerated Drinking Water Coolers**

**ARMA**            **Asphalt Roofing Manufacturers Association**  
**6288 Montrose Road**  
**Rockville, MD 20852**  
**301/231-9050**

- **Guide to Preparing Built-Up Roofing Specifications**
- **Recommended Performance Criteria for Roofing Membranes Using Polymer Modified Bituminous Products**
- **Residential Asphalt Roofing Manual**

**ARMY**            **U.S. Department of the Army**  
**National Technical Information Services**  
**5485 Port Royal Road**  
**Springfield, VA 22161**  
**703/487-4684**

- **AMCR/DARCOM 385-100, Safety Manual (available from NTIS)**
- **TM 5-809-10, Seismic Design for Buildings (available from NTIS)**
- **TM 5-809-10.1, Seismic Design Guidelines for Essential Facilities, 2/86 (available from NTIS)**
- **TM 5-810-7/AFM 88-12, Joint Department of the Army and Air Force, USA Technical Manual, High Pressure Gas and Cryogenic systems (available from NTIS)**
- **TM 5-814-1, Sanitary and Industrial Wastewater Collection-Gravity Sewers and Appurtenances (available from NTIS)**
- **TM 5-814-2, Sanitary and Industrial Wastewater Collection-Pumping Stations and Force Mains (available from NTIS)**
- **TM 5-815-2, Energy Monitoring and Control Systems (available from NTIS)**
- **TM 5-818-1, Procedures for Foundation Design of Buildings and Other Structures (Except Hydraulic Structures) (available from NTIS)**
- **TM 5-818-5, Dewatering and Groundwater Control (available from NTIS)**
- **TM 5-830-3, Dust Control (available from NTIS)**
- **TM 5-1300, Structures to Resist the Effects of Accidental Explosions (available from NTIS)**
- **TM 11-486-5, Electrical Communications Systems Engineering Outside Plant, Wire (available from NTIS)**



ASCE            American Society of Civil Engineers  
                  345 East 47th Street  
                  New York NY 10017  
                  212/705-7496

- ASCE 37, Design and Construction of Sanitary and Storm Sewers
- ASCE 52, Guide for Design of Steel Transmission Towers
- ASCE 1978-1, Design of Steel Transmission Pole Structures
- ASCE Manual 63, Structural Plastic Design Manual

ASHRAE        American Society of Heating Refrigerating and Air-Conditioning Engineers  
                  1791 Tullie Circle, NE  
                  Atlanta, GA 30329  
                  404/636-8400

- Standard 15, Safety Code for Mechanical Refrigeration
- Standard 20, Methods of Testing for Rating Remote Mechanical Draft Air Cooled Refrigerant Condensers
- Standard 24, Methods of Testing for Rating Liquid Coolers
- Standard 51, Methods of Testing Fans for Rating
- Standard 55, Thermal Environmental Conditions for Human Occupancy
- Standard 62, Ventilation for Acceptable Indoor Air Quality
- Standard 90, Energy Conservation in New Building Design
- Standard 100, Energy Conservation In Existing Buildings
- Applications Handbook
- Equipment Handbook
- Fundamentals Handbook
- Refrigeration Handbook
- Systems Handbook
- Manual, Design of Smoke Control Systems for Buildings

**- Publication GRP 158, Cooling and Heating Load Calculation Manual**

**ASME**                      **American Society of Mechanical Engineers**  
**22 Law Drive**  
**Box 2300**  
**Fairfield, NJ 07007**  
**201/882-1167, 800/843-2763**

- ASME A17.1, Elevators and Escalators**
- ASME B16 series, Fittings, Flanges and Valves**
- ASME B31.1, Power Piping**
- ASME B31.3, Chemical Plant and Petroleum Refinery Piping**
- ASME N509, Nuclear Power Plant Air Cleaning Units and Components**
- ASME N510, Testing of Nuclear Air Cleaning System**
- ASME NQA-1, Quality Assurance Program Requirements for Nuclear Facilities**
- ASME PTC 4.1, Steam Generating Units**
- ASME Boiler and Pressure Vessel Code**

**ASTM**                      **formerly, American Society for Testing and Materials; now ASTM**  
**1916 Race Street**  
**Philadelphia, PA 19103**  
**215/299-5585**

- ASTM A312, Specification for Seamless and Austenitic Stainless Steel Pipe**
- ASTM B1, Specification for Hard-Drawn Copper Wire**
- ASTM B8, Specification for Concentric-Lay Stranded Copper Conductors**
- ASTM C55, Concrete Building Brick**
- ASTM C62, Building Brick**
- ASTM C71, Definition of Terms Relating to Refractories**
- ASTM C90, Hollow Load-Bearing Concrete Masonry Units**
- ASTM C145, Solid Load-Bearing Concrete Masonry Units**
- ASTM C270, Mortar for Unit Masonry**

- ASTM C635, Standard Specification for Metal Suspension Systems for Acoustical Tile and for Lay-in Panels
- ASTM C636, Standard Recommended Practice for Installation of Metal Ceiling Systems for Acoustical Tile and for Lay-in Panels
- ASTM C840, Standard Specification for Application and Finishing of Gypsum Board
- ASTM C1036, Specification for Flat Glass
- ASTM D1586, Penetration Test and Split-Barrel Sampling of Soils
- ASTM D1587, Thin-Walled Tube Sampling of Soils
- ASTM D2113, Diamond Core Drilling for Site Investigation
- ASTM D2488, Description and Identification of Soils (Visual-Manual Procedure)
- ASTM D3656, Specification for Insect Screening and Louver Cloth Woven From Vinyl-Coated Glass Fiber Yarn
- ASTM D4256, Test Method for Determination of the Decontaminability of Coatings Used in Light-Water Nuclear Power Plants
- ASTM D4546, Test Methods for One-Dimensional Swell or Settlement Potential of Cohesive Soils
- ASTM E84, Test Method for Surface Burning Characteristics of Building Materials
- ASTM E413, Determination of Sound Transmission Class
- ASTM E580, Standard Recommended Practice for Application of Ceiling Suspension Systems for Acoustical Tile and for Lay-in Panels
- ASTM E648, Test Method for Critical Radiant Flux of Floor Covering Systems Using a Radiant Heat Energy Source
- ASTM F693, Practice for Sealing Seams of Resilient Sheet Flooring Products by Use of Liquid Seam Sealers
- ASTM G46, Recommended Practice for Examination and Evaluation of Pitting Corrosion

AWS                      American Welding Society  
550 NW LeJeune Road  
P.O. Box 351040  
Miami, FL 33135  
305/443-9353

- AWS D1.1, Structural Welding Code - Steel
- AWS D1.2 Structure Welding Code - Aluminum
- AWS D1.3, Structural Welding Code - Sheet Steel
- AWS D5.2, Standard for Welded Steel, Elevated Tanks, Standpipes and Reservoirs for Water Storage

AWWA            American Water Works Association  
                    6666 West Quincy Avenue  
                    Denver, CO 80235  
                    303/794-7711

- AWWA C652, Standard for Disinfection of Water Storage Facilities
- AWWA C5186, Standard for Disinfecting Water Mains
- AWWA D100, Welded Steel Tanks for Water Storage
- Water Treatment Plant Design

BIA              Brick Institute of America  
                    11490 Commerce Park Drive, Suite 300  
                    Reston, VA 22091  
                    703/620-0010

- Building Code Requirements for Engineered Brick Masonry
- Dampproofing and Waterproofing Masonry Walls

BOCA            Building Officials and Code Administrators International, Inc.  
                    4051 West Flossmoor Road  
                    Country Club Hills, IL 60477  
                    312/799-2300

- Basic/National Mechanical Code

CAA              (See Congressional Acts in Section 0106, Regulatory Requirements)

CERC            Coastal Engineering Research Center  
                  U.S. Army Corps of Engineers  
                  P.O. Box 631  
                  Vicksburg, MA 39180  
                  601/634-2485

**- Shore Protection Manual**

CERCLA        (See Congressional Acts in Section 0106, Regulatory Requirements)

CFR            (See Section 0106 Regulatory Requirements)

CGA            Compressed Gas Association  
                  Crystal Gateway One, Suite 501  
                  1235 Jefferson Davis Highway  
                  Arlington, VA 22202  
                  703/979-0900

**Pamphlet G-4.1, Cleaning Equipment for Oxygen**

**Pamphlet G-4.4, Industrial Practices for Gaseous Oxygen Transportation and Distribution Piping Systems**

**Pamphlet P-1, Safe Handling of Compressed Gases in Containers**

**Pamphlet S-1.1, Pressure Relief Device Standards, Part 1-Cylinders for Compressed Gases**

**Pamphlet S-1.2, Pressure Relief Device Standards, Part 2-Cargo and Portable Tanks for Compressed Gases**

**Pamphlet S-1.3, Pressure Relief Device Standards, Part 3-Compressed Gas Storage Containers**

CISCA        Ceiling & Interior Systems Contractors Association  
                  1800 Pickwick Avenue  
                  Glenview, IL 60025  
                  312/940-8800

**- Acoustical Ceilings-Use and Practice**

CMAA        Crane Manufacturers Association of America  
                  1326 Freeport Road  
                  Pittsburgh, PA 15238  
                  704/522-8644

- CMAA-70, Specification for Electric Overhead Traveling Cranes

CONF (See DOE)

CONGRESSIONAL  
ACTS

(See Section 0106 Regulatory Requirements)

**CRI** Carpet and Rug Institute  
310 Holiday Avenue  
Box 2048  
Dalton, GA 30720  
404/270-3176

- Carpet Specifiers Handbook
- Standard for Installation of Textile Floor Covering Materials

**CTI** Cooling Tower Institute  
P.O. Box 73383  
Houston, TX 77273  
713/350-1995

- Bulletin ATC-105, Test for Water Cooling Towers

CWA (See Congressional Acts in Section 0106, Regulatory Requirements)

DM (See NAVFAC)

**DNA** Defense Nuclear Agency  
6801 Telegraph Road  
Alexandria, VA 22310  
703/325-7060

**DOD** U.S. Department of Defense  
Attention: NPFC Code 1052  
Naval Publications and Forms Center  
5801 Tabor Avenue  
Philadelphia, PA 19120-5099  
215/697-4374  
Emergency Requests (24 Hours/Day): 215/697-3321  
For additional information write for: A Guide for Private Industry

DOD 6055.9, Ammunition and Explosives Safety Standards

- MIL-HDBK-419, Grounding, Bonding and Shielding for Electronic Equipment and Facilities
- MIL-HDBK-1004/4, Electric Utilization Systems
- MIL-HDBK-1013/1, Physical Security
- MIL-P-43951, Padlocks and Padlock Sets, Key Operated, Medium Security, Regular Shackle
- MIL-STD-282, Filter Units, Protective Clothing, Gas Mask Components and Related Products: Performance Test Methods
- MIL-STD-1330, Cleaning Test on Shipboard Oxygen and Nitrogen Gas Piping Systems
- MIL-STD-1472C, Human Engineering Design Criteria for Military Systems, Equipment, and Facilities
- MIL-STD-1630, Oxygen Systems and Component Cleanliness; Servicing and Certification Requirements For
- Van Cott and Kincade, Editors, Human Engineering Guide to Equipment Design Joint Army-Navy-Air Force Steering Committee, 1972, U.S. Government Printing Office, Washington, DC

DOE                      U.S. Department of Energy

For DOE Orders, see Section 0106, Regulatory Requirements

- CONF-86-09116-1 (Conference paper), Evaluation of Potential for Incidents Having Health or Safety Impact, by I. G. Speas (available from DOE/OSTI)
- DOE/DNA TP-20-7, Nuclear Safety Criteria (Classified) (available from DNA)
- DOE/EP/0035, Safeguards Seal Reference Manual (12/86) (available from NTIS)
- DOE/EP 0108, Standard for Fire Protection of DOE Electronic Computer/Data Processing Systems (available from NTIS)
- DOE/EV 0051/1, Electrical Safety Criteria for Research and Development Activities (available from Water Maybee, DOE/EH-332, tel. 301/353-5609)
- DOE/EV 06194, DOE Explosives Safety Manual (available from NTIS)
- DOE/MA 0129, Site Development Planning for Energy Management (P-3) (available from DOE/OSTI)

- DOE/TIC 11268, A Manual for the Prediction of Blast and Fragment Loading on Structures (available from NTIS)
- DOE/TIC 11603, Rev. 1, Nonreactor Nuclear Facilities: Standards and Criteria Guide (available from NTIS)
- DOE Design Guide, Graphic Design Standard 8 (available from Jack Metier, DOE/MA-222, tel. 202/586-4543)
- DOE Radiation Standards for Protection of the Public in the Vicinity of DOE Facilities (William A. Vaughn memorandum, August 5, 1985) (available from Darrell Huff, EH-332, tel. 301/353-2136)
- DOE Threat Statement, Generic Threats for DOE Nuclear Programs and Facilities, 1/31/83 (Confidential, NSI) (available from DOE Safeguards and Security Coordinators)
- DOE TSCM (Technical Surveillance Countermeasures) Procedural Guide (available from DOE Safeguards and Security Coordinators)
- ERDA 76-21 (ORNL-NSIC-65-1), Nuclear Air Cleaning Handbook The Design, Construction and Testing of High Efficiency Air Cleaning Systems (available from NTIS)

DOE/OSTI      DOE/Office of Scientific and Technical Information  
P.O. Box 62  
Oak Ridge, TN 37831  
615/576-1222

DREW  
CHEMICAL      One Drew Chemical Plaza  
Boonton, NJ 07005  
201/263-7600

- Ameroid Engineer's Manual of Marine Boiler and Feed Water Treatment, 1972
- Principles of Industrial Water Treatment, 1977

EIA              Electronics Industries Association  
2001 Eye Street NW  
Washington, DC 20006  
202/457-4900

- EIA-222-D, Structural Standards for Steel Antenna Towers and Antenna Supporting Structures



**EIMA**            **Exterior Insulation Manufacturers Association**  
Box 75037  
Washington, DC 20013  
202/783-6582

- **Guideline Specification for Exterior Insulation and Finish Systems Class PB Type A**
- **Guideline Specification for Exterior Insulation and Finish Systems Class PM Type A and B**

**EPA**            **Environmental Protection Agency**  
401 M. Street, SW  
Washington, DC 20460  
202/829-3535

- **EPA 430/9-75-002, A Guide to the Selection of Cost Effective Wastewater Treatment systems**
- **EPA 450/4-80-023, Determination of Good Engineering Practice Stack Height**
- **EPA 450/4-81-003, Guideline for Use of Fluid Modeling to Determine Good Engineering Practice: Stack Height**
- **EPA 600/8-81-009, Guideline for Fluid Modeling for Atmospheric Diffusion**
- **EPA 625/1-77-009, Process Design Manual: Wastewater Treatment Facilities for Sewered Small Communities**
- **EPA 625/1-80-012, Design Manual: On-Site Wastewater Treatment and Disposal Systems**
- **EPA Project #17090, Estimating Costs and Manpower Requirements for Conventional Wastewater Treatment Facilities**
- **EPA Radiation Protection Guidance to the Federal Agencies for Occupational Exposure, Federal Register, Vol. 52 No. 17, 1987**

**EPRI**            **Electric Power Research Institute**  
P.O. Box 10412,  
Palo Alto, CA 94303  
415/855-2000

- **EPRI NP-3659, Human Factors Guide for Nuclear Power Plant Control Room**

**ERDA**            **(See DOE)**

Executive  
Orders (See Section 0106, Regulatory Requirements)

FAA Federal Aviation Administration  
U.S. Department of Transportation  
400 7th Street, SW  
Washington, DC 20590  
202/366-5580

- FAA AC 150/5020-1, Noise Control and Compatibility Planning for Airports
- FAA AC 150/5050-5, The Continuous Airport System Planning Process
- FAA AC 150/5070-6A, Airport Master Plans
- FAA AC 150/5210-6C, Aircraft Fire and Rescue Facilities and Extinguishing Agents
- FAA AC 150/5300-2D, Airport Design Standards-Site Requirements for Terminal Navigational Facilities
- FAA AC 150/5300-4B, Utility Airports-Air Access to National Transportation
- FAA AC 150/5300-12, Airport Design Standards-Transport Airports
- FM AC 150/5320-5B, Airport Drainage
- FAA AC 150/5320-6C, Airport Pavement Design and Evaluation
- FM AC 150/5325-5B, Aircraft Data
- FAA AC 150/5340-1E, Marking of Paved Areas on Airports
- FAA AC 150/5390-2, Heliport Design Guide

FAI Fauske & Associates, Inc.  
16W070 West 83rd Street  
Burr Ridge, IL 60521  
312/323-8750

- FAI/83-9, Safety Analysis of SISL Process Module

FCC Federal Construction Council  
Building Research Board  
National Research Council  
2101 Constitution Avenue, NW  
Washington, DC 20418  
202/334-3378

- FCC Technical Report No. 37, High Temperature Water for Heating and Light Process Loads
- FCC Technical Report No. 44, Boiler Rating Criteria for Nonresidential Heating Boilers
- FCC Technical Report No. 51, Combustion Equipment for Nonresidential Heating Boilers
- FCC Technical Report No. 57, Impact of Air Pollution Regulations on Fuel Selection for Federal Facilities
- FCC Technical Report No. 69, Stationary Diesel Engines for Use with Generators to Supply Electric Power
- FCC Technical Report No. 71, Nonresidential Steam Boilers and Hot Water Generators

FEMA            Federal Emergency Management Agency  
Federal Center Plaza  
500 C Street, SW  
Washington, DC 20472  
202/646-4600

- FEMA CPG2-17, Electromagnetic Pulse Protection Guidance
- FEMA TR-83A, Interim Guidelines for Building Occupant Protection From Tornadoes and Extreme Winds
- FEMA TR-83B, Tornado Protection-Selecting and Designing Safe Areas in Buildings
- FEMA TR-87, Standards for Fallout Shelters

FGMA           Flat Glass Marketing Association  
White Lakes Professional Building  
3310 Harrison Street  
Topeka, KS 66611  
913/266-7013

- Glazing Manual
- Sealant Manual

**FINK AND BEATY**

- Donald G. Fink and H. Wayne Beaty, *Standard Handbook for Electrical Engineers*, 12th Edition, McGraw-Hill Book Co., New York

**FIPS**            **Federal Information Processing Standards**  
National Bureau of Standards  
Room 64-B, Technology  
Gaithersburg, MD 20899  
301/975-2816

- **FIPS PUB 94, Guidelines on Electrical Power for ADP Installations**

**FM**            **Factory Mutual Engineering and Research**  
1151 Boston Providence Turnpike  
Norwood, MA 02062  
617/762-4300

- **FM 1-57, Loss Prevention Data Sheet On Rigid Foamed Polyurethane**
- **FM 5-4/14-8, Loss Prevention Data Sheet On Transformers**
- **Approval Guide**

**FR**            (See Section 0106, Regulatory Requirements)

**FS**            **Federal Specifications**  
Attention: NPFC Code 1052  
Naval Publications and Forms Center  
5801 Tabor Avenue  
Philadelphia, PA 19120-5099  
215/697-2667  
Emergency Requests (24 Hours/Day): 215/697-3321  
For additional information write for: A Guide for Private Industry

- **FS AA-D-600B, Door, Vault, Security**
- **FS FF-P-001480, Padlock, Key Operated**
- **FS FF-P-110, Padlock, Changeable Combination**
- **FS W-A-450B, Alarm Systems, Interior, Security, Components for**
- **FS W-C-596, General for Connector, Electrical, Power**
- **FS WW-P-541 series, Plumbing Fixtures**

**FUA**            (See Congressional Acts in Section 0106, Regulatory Requirements)

GA                    Gypsum Association  
                      810 First Street, NW, Suite 300  
                      Washington, DC 20002  
                      202/289-5440

**- Manual of Gypsum Veneer Plaster**

HES                  Health Education Services  
                      P.O. Box 7282  
                      Albany, NY 12224

**- Recommended Standards for Sewage Works (Ten States Standards)**

IAEA                International Atomic Energy Agency  
                      Vienna International Center  
                      Wagramerstrasse 5  
                      Post Fach 100  
                      A-1400 Vienna, Austria

**- Safety Series No. 30, Manual on Safety Aspects of the Design and Equipment of Hot Laboratories**

IAPMO              International Association of Plumbing and Mechanical Officers  
                      5032 Alhambra Avenue  
                      Los Angeles, CA 90032-3490  
                      A-1400 Vienna, Austria

**- UPC, Uniform Plumbing Code**

ICBO                International Conference of Building Officials  
                      5360 South Workman Mill Road  
                      Whittier, CA 90601  
                      213/699-0541

**- Report 4071, Suspended Ceilings, July 1984**

**- UBC (Uniform Building Code)**

**- UBC Standard No. 17-6, Method of Test for the Evaluation of Flammability Characteristics of Exterior, Nonload-Bearing Wall Panel Assemblies Using Foam Plastic Insulation**

**- UBC Standard No. 47-18, Metal Suspension Systems for Acoustical Tile and for Lay-in Panel Ceilings**

**ICRP**            **International Commission on Radiological Protection**  
**Maxwell House**  
**Fairview Park**  
**Elmsford, NY 10523**  
**914/592-7700**

**- Report No. 26, Recommendations of the ICRP**

**IEEE**            **Institute of Electrical and Electronics Engineers**  
**345 East 47th Street**  
**New York, NY 10017**  
**212/705-7960**

**IEEE 80, Guide for Safety in Substation Grounding**

**IEEE 141, Recommended Practice for Electric Power Distribution for Industrial Plants**

**IEEE 142, Recommended Practice for Grounding Industrial and Commercial Power Systems**

**IEEE 242, Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems**

**IEEE 308, Standard Criteria for Class 1E Power System for Nuclear Power Generating Stations**

**IEEE 379, Standard Application of the Single Failure Criterion to Nuclear Power Generating Station Class 1E Systems**

**IEEE 384, Standard Criteria for Independence of Class 1E Equipment and Circuits**

**IEEE 399, Recommended Practice for Power System Analysis**

**IEEE 446, Recommended Practice for Emergency and Standby Power for Industrial and Commercial Applications**

**IEEE 493, Recommended Practice for Design of Reliable Industrial and Commercial Power systems**

**IEEE 602, Recommended Practice for Electrical Power Systems in Health Care Facilities**

**IEEE 739, Recommended Practice for Energy Conservation and Cost-Effective Planning in Industrial Facilities**

**IEEE 837, Standard for Qualifying Permanent Connections Used in Substation Grounding**

**IEEE C37 series, Circuit Breakers, Switchgear, Relays, Substations and Fuses**

- IEEE C37.91, Guide for Protective Relay Applications to Power Transformers

**IES**                      Illuminating Engineering Society of North America  
345 East 47th Street  
New York, NY 10017  
212/705-7920

- Lighting Handbook, application and reference volumes

**ISDSI**                   Insulated Steel Door Systems Institute  
712 Lakewood Center North  
14600 Detroit Avenue  
Cleveland, OH 44107  
216/226-7700

- ISDSI 102, Installation Standard for Insulated Steel Door Systems

**LANL**                   Los Alamos National Laboratory  
P.O. Box 1663  
Los Alamos, NM 87545  
505/667-7000

- LA-10294-MS, A Guide to Radiological Accident Considerations for Siting and Design of Nonreactor Nuclear Facilities (available from NTIS)

**LBL**                    Lawrence Berkeley Laboratory  
1 Cyclotron Road  
Berkeley, CA 94720  
415/486-4000

- LBL-9143, Seismic Safety Guide (available from DOE/OSTI)

**LLNL**                   Lawrence Livermore National Laboratory  
Livermore, CA 94550  
415/422-4599

- UCRL 15673, Human Factors Design Guidelines for Maintainability of DOE Nuclear Facilities (available from NTIS)
- UCRL 15714, Suspended Ceiling System Survey and Seismic Bracing Recommendations (available from NTIS)
- UCRL 15910, Design and Evaluation Guidelines for Department of Energy Facilities Subjected to Natural Phenomena Hazards, 1988 (available from NTIS)

- UCRL 53526, Natural Phenomena Hazards Modeling Project: Extreme Wind/Tornado Hazard Models for Department of Energy, Sites, by D.W. Coats and R.C. Murray, August 1985 (available from NTIS)
- UCRL 53582, Natural Phenomena Hazards Modeling Project: Seismic Hazard Models for Department of Energy Sites, by D.W. Coats and R.C. Murray, November 1984 (available from NTIS)

**MBMA**            **Metal Building Manufacturers Association**  
1230 Keith Building  
Cleveland, OH 44115-2180  
216/241-7333

- **Metal Building Systems Manual**

**MEISTER AND  
RABIDEAU**

- **D. Meister and G. Rabideau, *Human Factors Evaluation in System Development*, John Wiley & Sons, New York 1965**

**MIL**                    (See DOD)

**MLSFA**            **Metal Lath/Steel Framing Association**  
221 North LaSalle Street  
Chicago, IL 60601  
312/346-1600

- **Steel Framing Systems Manual**

**NAAMM**            **National Association of Architectural Metal Manufacturers**  
600 South Federal Street  
Chicago, IL 60605  
312/922-6222

- **Metal Finishes Manual**

**NAPIICC**            **National Association of Plumbing-Heating-Cooling Contractors**  
180 South Washington Street  
P.O. Box 6808  
Falls Church, VA 22046  
703/237-8100



**- NSPC, National Standard Plumbing Code**

**NASA**            **National Aeronautics and Space Administration**  
                     **Code NTT-3**  
                     **Washington, DC 20546**  
                     **202/453-2928**

**- NHB 7320.1B, NASA Facilities Engineering Handbook**

**- SP-3072, ASRDI Oxygen Survey Vol.2, 1972, Cleaning Requirements, Procedures and Verification Techniques**

**NAVFAC**        **U.S. Naval Facilities Engineering Command**  
                     **Attention Cash Sales/Code 1051**  
                     **Naval Publications and Forms Center**  
                     **5801 Tabor Avenue**  
                     **Philadelphia, PA 19120-5099**  
                     **215/697-4374/6**

**- DM-7.03, Soil Dynamics, Deep Stabilization, and Special Geotechnical Construction**

**- NCEL UG-0010, User Guide for Single Building Controllers (available from NTIS by requesting Pub. No. ADA 180490)**

**NBS**            **National Bureau of Standards (currently National Institute of Standards and Technology)**  
                     **Gaithersburg, MD**  
                     **301/975-2000**

**- Handbook 135, Life Cycle Cost Manual for Federal Energy Management Programs**

**- Technical Note 837, Barrier Penetration Tests**

**NCEL**            **(See NAVFAC)**

**NCMA**        **National Concrete Masonry Association**  
                     **2302 Horse Pen Road**  
                     **P.O. Box 781**  
                     **Herndon, VA 22070**  
                     **703/435-4900**

**- NCMA TR 75B, Specifications for the Design and Construction of Load-Bearing Concrete Masonry**

**- Waterproof Coatings for Concrete Masonry**

– **Waterproofing Concrete Masonry Basements and Earth-Sheltered Structures**

**NEC** (See NFPA 70)

**NEMA** National Electrical Manufacturers Association  
2101 L Street, NW, Suite 300  
Washington, DC 20037  
202/457-8400

- **NEMA ICS, Industrial Controls and Systems**
- **NEMA MG-1, Motors and Generators**

**NEPA** (See Congressional Acts in Section 0106, Regulatory Requirements)

**NFPA** National Fire Protection Association  
Batterymarch Park  
Quincy, MA 02269  
800/344-3555

- **NFPA 10, Portable Fire Extinguishers**
- **NFPA 11, Low Expansion Foam and Combined Agent Systems**
- **NFPA 11A, Medium High Expansion Foam Systems**
- **NFPA 12, Carbon Dioxide Extinguishing Systems**
- **NFPA 12A, Halon 1301 Fire Extinguishing Systems**
- **NFPA 12B, Halon 1211 Fire Extinguishing Systems**
- **NFPA 13, Installation of Sprinkler Systems**
- **NFPA 14, Installation of Standpipe and Hose Systems**
- **NFPA 15, Water Spray Fixed Systems for Fire Protection**
- **NFPA 16, Installation of Deluge Foam-Water Sprinkler and Foam-Water Spray Systems**
- **NFPA 16A, Installation of Closed-Head Foam-Water Sprinkler Systems**
- **NFPA 17, Dry Chemical Extinguishing Systems**
- **NFPA 20, Installation of Centrifugal Fire Pumps**

- NFPA 22, Standard for Water Tanks for Private Fire Protection
- NFPA 24, Installation of Private Fire Service Mains and Their Appurtenances
- NFPA 30, Flammable and Combustible Liquids Code
- NFPA 31, Oil Burning Equipment
- NFPA 37, Stationary Combustion Engines and Gas Turbines
- NFPA 45, Fire Protection for Laboratories Using Chemicals
- NFPA 50, Oxygen Systems, Bulk, at Consumer Sites
- NFPA 54, National Fuel Gas Code (ANSI Z 223.1)
- NFPA 58, Storage and Handling of Liquid Petroleum Gas
- NFPA 68, Explosion Venting
- NFPA 70, National Electrical Code (NEC)
- NFPA 71, Installation, Maintenance and Use of Central Station Signalling Systems
- NFPA 72A, Installation, Maintenance and Use of Local Protective Signaling Systems
- NFPA 72B, Installation, Maintenance and Use of Auxiliary Protective Signaling Systems
- NFPA 72C, Installation, Maintenance and Use of Remote Station Signaling systems
- NFPA 72D, Installation, Maintenance and Use of Proprietary Protective Signaling Systems
- NFPA 72E, Standard on Automatic Fire Detectors
- NFPA 72F, Standard on Emergency Voice/Alarm Communication Systems
- NFPA 72G, Guide on Notification Appliances for Protective Signaling Systems
- NFPA 72H, Guide on Testing Procedures for Local, Auxiliary, Remote Station and Proprietary Protective Signaling Systems
- NFPA 75, Protection of Electronic Computer/Data Processing Equipment
- NFPA 78, Lightning Protection Code
- NFPA 80, Fire Doors and Windows
- NFPA 80A, Protection from Exposure Fires

- NFPA 85A, Prevention of Furnace Explosions in Fuel Oil and Natural Gas Fired Single Burner Boiler Furnaces
- NFPA 85B, Prevention of Furnace Explosions in Natural Gas Fired Multiple Burner Boiler Furnaces
- NFPA 85D, Prevention of Furnace Explosions in Fuel Oil Fired Multiple Burner Boiler Furnaces
- NFPA 85E, Prevention of Furnace Explosions in Pulverized Coal Fired Multiple Burner Boiler Furnaces
- NFPA 8SF, Installation and Operation of Pulverized Fuel Systems
- NFPA 85G, Furnace Implosions in Multiple Burner-Boiler Furnaces
- NFPA 90A, Air Conditioning and Ventilation Systems
- NFPA 91, Blower and Exhaust Systems
- NFPA 96, Cooking Equipment, Vapor Removal
- NFPA 99, Standard for Health Care Facilities
- NFPA 101, Life Safety Code
- NFPA 110, Standard for Emergency and Standby Power Systems
- NFPA 232, Protection of Records
- NFPA 255, Test of Surface Burning Characteristics of Building Materials
- NFPA 403, Aircraft Rescue and Firefighting Service at Airports and Heliports
- NFPA 407, Aircraft Fuel Servicing
- NFPA 409, Aircraft Hangers
- NFPA 496, Purged and Pressurized Enclosures for Electrical Equipment
- NFPA 1221, Public Fire Service Communication Systems
- NFPA 1410, Training Standard on Initial Fire Attack

NHPA (See Congressional Acts in Section 0106, Regulatory Requirements)

**NIJ**                      National Institute of Justice  
633 Indiana Avenue, NW  
Washington, DC 20531  
202/724-2942

- NIJ Standard 0108.01, Ballistic Resistant Protective Materials

**NOAA**                      National oceanic and Atmospheric Administration  
Washington Science Center, Building 5  
6010 Executive Blvd.  
Rockville, MD 301/443-8330

- NGS Special Publication 247, Manual of Geodetic Triangulation
- NOAA Atlas 2, Precipitation-Frequency Atlas of the Western United States, Volumes I-XI
- NOAA Manual NOS NGS 1, Geodetic Bench Marks
- NOAA Manual NOS NGS 3, Geodetic Leveling
- NOAA/National Weather Service Technical Paper No. 40, Rainfall Frequency Atlas of the United States for Durations From 30 Minutes to 24 Hours and Return Periods From One to 100 Years

**NORDELL**

- Eskel Nordell, *Water Treatment for Industrial and Other Uses*, Reinhold Publishing, New York 1961

**NPDES**                      (See 40 CFR 125 in Section 0106, Regulatory Requirements)

**NRC**                      U.S. Nuclear Regulatory Commission  
Publications Division  
Washington, DC 20555  
202/492-9508

- NUREG 0700, Guidelines for Control Room Design Reviews
- NUREG CR-2496, Human Engineering Design Considerations for Cathode Ray tube Generated Displays
- NUREG CR-3331, A Methodology for Allocating Nuclear Power Plant Control Functions to Human or Automatic Control

- R.G. 3.10, Liquid Waste Treatment System Design Guide for Plutonium Processing and Fuel Fabrication Plants
- R.G. 3.12, General Design Guide for Ventilation Systems of Plutonium Processing and Fuel Fabrication Plants
- R.G. 3.13, Guide for Acceptable Waste Storage Methods at UF<sub>6</sub> Production Plants
- R.G. 3.14, Seismic Design Classification for Plutonium Processing and Fuel Fabrication Plants
- R.G. 3.17, Earthquake Instrumentation for Fuel Reprocessing Plants
- R.G. 3.18, Confinement Barriers and Systems for Fuel Reprocessing Plants
- R.G. 3.20, Process Off-Gas Systems for Fuel Reprocessing Plants
- R.G. 3.22, Periodic Testing of Fuel Reprocessing Plant Protection System Actuation Functions
- R.G. 3.27, Nondestructive Examination of Welds in the Liners of Concrete Barriers in Fuel Reprocessing Plants
- R.G. 3.32, General Design Guide for Ventilation Systems for Fuel Reprocessing Plants
- R.G. 3.33, Assumptions Used for Evaluating the Potential Radiological Consequences of Accidental Nuclear Criticality in a Fuel Reprocessing Plant
- R.G. 3.34, Assumptions Used for Evaluating the Potential Consequences of Accidental Nuclear Criticality in a Uranium Fuel Fabrication Plant
- R.G. 3.35, Assumptions Used for Evaluating the Potential Radiological Consequences of Accidental Nuclear Criticality in a Plutonium Processing and Fuel Fabrication Plant
- R.G. 3.43, Nuclear Criticality in the Storage of Fissile Materials
- R.G. 3.49, Design of an Independent (Water Basin Type) Spent Fuel Storage Installation
- R.G. 3.54, Spent Fuel Heat Generation in an Independent Spent Fuel Storage Installation
- R.G. 8.8, Information Relevant to Ensuring That Occupational Radiation Exposures at Nuclear Power Stations Will Be As Low As Reasonably Achievable

NRCA            National Roofing Contractors Association  
One O'Hare Center, Suite 8030  
6250 River Road  
Rosemont, IL 60018  
312/318-6722

- **Construction Details**
- **Handbook of Accepted Roofing Knowledge**
- **Roofing and Waterproofing Manual**
- **Steep Roofing Manual**

NSA                National Security Agency/Central Security Service  
Fort Meade, MD 20755  
301/688-7111

- **NACSIM 5203, Guidelines for Facility Design and Red/Black Installation**

NSPC             (See NAPHCC)

NTIA             National Telecommunications and Information Administration  
Main Commerce Building  
Washington, DC 20230  
202/377-1551

- **NTIA Manual, Regulations and Procedures for Radio Frequency Management**

NTIS             National Technical Information Service  
5485 Port Royal Road  
Springfield, VA 22161  
703/487-4650

NUREG          (See NRC)

NWWDA          National Wood Window and Door Association  
1400 East Touhy Avenue  
Des Plaines, IL 60018  
312/299-5200

- **NWWDA IS-1, Wood Flush Doors**
- **NWWDA IS-2, Wood Window Units**
- **NWWDA IS-3, Wood Sliding Patio Doors**
- **NWWDA IS-6, Wood Style and Rail Doors**

- NWWDA IS-7, Wood Skylight/Roof Window Units

OMB (See section 0106, Regulatory Requirements)

PCA Portland Cement Association  
5420 Old Orchard Road  
Skokie, IL 60077  
312/966-6200

- Clear Coatings for Exposed Architectural Concrete
- Effect of Substances on Concrete and Guide to Protective Treatment
- Painting Concrete
- Surface Treatments for Concrete Floors

PCI Prestressed Concrete Institute  
175 West Jackson Boulevard, Suite 1859  
Chicago, IL 60604  
312/786-0300

- PCI MNL-116, Manual for Quality Control for Plants and Production of Precast and Prestressed Concrete Products
- PCI MNL-120, Design Handbook

PDCA Painting and Decorating Contractor of America  
7223 Lee Highway,  
Falls Church, VA 22046  
703/534-1201

- Architectural Painting and Wall Covering Manual

POWELL

- Sheppard T. Powell, *Water Conditioning for Industry*, McGraw-Hill Book Company, New York 1954

PTI Post-Tensioning Institute  
301 West Osborne, Suite 3500  
Phoenix, AZ 85013  
602/265-9158



**- Post-Tensioning Manual**

**PURPA** (See Congressional Acts in Section 0106, Regulatory Requirements)

**RCRA** (See Congressional Acts in Section 0106, Regulatory Requirements)

**RFCI** Resilient Floor Covering Institute  
966 Hungerford Road  
Suite 12-B  
Rockville, MD 20850  
301/340-8580

**- Recommended Work Procedures for Resilient Floor Covering**

**R.G.** (See NRC)

**SAND** (See SNL)

**SCS** Soil Conservation Service, U.S. Department of Agriculture  
14th and Independence Avenue, SW  
Washington, DC 20250  
202/447-2791

**- SCS National Engineering Handbook**

**SDI** Steel Deck Institute  
P.O. Box 9506  
Canton, OH 44711  
216/493-7886

**- SDI-Publ. 25, Design Manual for Composite Decks, Form Decks and Roof Decks**

**- SDI-Publ. DDM01, Diaphragm Design Manual**

**SDI** Steel Door Institute  
712 Lakewood Center North  
14600 Detroit Avenue  
Cleveland, OH 44107  
216/226-7700

**- SDI 100, Standard Steel Doors and Frames**

- SDI 108, Selection and Usage Guide for Standard Steel Doors

SDWA (See Congressional Acts in Section 0106, Regulatory Requirements)

SJI Steel Joist Institute  
1205 48th Avenue North Suite A  
Myrtle Beach, SC 29577  
803/449-0487

- Standard Specifications Load Tables and Weight Tables for Steel Joists and Joist Girders

SMA Screen Manufacturers Association  
Park Place, Suite 201  
655 Irving Park  
Chicago, IL 60613

- SMA 2005, Aluminum Sliding Screen Doors
- SMA 3001, Aluminum Swinging Screen Doors

SMACNA Sheet Metal and Air Conditioning Contractors National Association  
8224 Old Courthouse Road  
Vienna, VA 22182  
703/790-9890

- Architectural Sheet Metal Manual
- Energy Recovery Equipment and systems Manual
- Fibrous Glass Duct Construction Standards
- HVAC Air Duct Leakage Test Manual
- HVAC Duct Construction Standards-Metal and Flexible
- HVAC Duct Design Manual
- Round Industrial Duct Construction Standards

SNL Sandia National Laboratories  
P.O. Box 5800  
Albuquerque, NM 87185  
505/844-8065

- SAND 87-1926, Access Delay Technology Transfer Manual (Draft-UNCI) (In some cases availability is subject to approval from DOE/DP-34, OSS, Headquarters.)
- SAND 87-1927, Entry Control Technology Transfer Manual (Draft-UNCI) (In some cases availability is subject to approval from DOE/DP-34, OSS, Headquarters.)
- SAND 87-1928, Intrusion Detection Technology Transfer Manual (Draft-UNCI) (In some cases availability is subject to approval from DOE/DP-34, OSS, Headquarters.)

SPRI                      Single Ply Roofing Institute  
                              104 Wilmot Road, Suite 201  
                              Deerfield, IL 60015-5195  
                              312/940-8800

- Single Ply Roofing A Professional's Guide to Specifications
- Wind Design Guide for Ballasted Single Ply Roofing Systems
- Wind Design Guide for Fully Adhered Single Ply Roofing Systems
- Wind Design Guide for Mechanically Attached Single Ply Roofing Systems

SSFI                      Scaffolding, Shoring, and Framing Institute  
                              1230 Keith Building  
                              Cleveland, OH 44115  
                              216/241-7333

- SH 300, Steel Frame Shoring Safety

SWI                       Steel Window Institute  
                              1230 Keith Building  
                              Cleveland, OH 44115-2180  
                              216/241-7333

- Specifications Brochure for Steel Windows

TCA                       Tile Council of America, Inc.  
                              Box 326  
                              Princeton, NJ 08542  
                              609/921-7050

- Handbook for Ceramic Tile Installation

**TIMA**                    **Thermal Insulation Manufacturers Association**  
**7 Kirby Plaza**  
**Mount Kisco, NY 10549**  
**914/241-2284**

**- Economic Thickness Manual**

**TM**                            (See Army)

**TSCA**                    (See Congressional Acts in Section 0106, Regulatory Requirements)

**UBC**                    (See ICBO)

**UCRL**                    (See LLNL)

**UFAS**                    (See 49 CFR 101-19.6 in Section 0106, Regulatory Requirements)

**UL**                        **Underwriters Laboratories**  
**333 Pfingsten Road**  
**Northbrook, IL 60062**  
**312/272-8800**

- UL 10A, Tin Clad Fire Doors**
- UL 155, Tests for Fire Resistance for Vault and File Room Doors**
- UL 207, Refrigerant-Containing Components and Accessories, Nonelectrical**
- UL 325, Door, Drapery, Louver, and Window Operators and Systems**
- UL 365, Police Station Connected Burglar Alarm Units and Systems**
- UL 493, Cabled Underground Feeder and Branch Circuits, Thermoplastic-Insulated**
- UL 508, Industrial Control Equipment**
- UL 586, High Efficiency Particulate, Air Filter Units**
- UL 723, Test for Surface Burning Characteristics of Building Materials**
- UL 752, Bullet-Resisting Equipment**
- UL 768, Standards for Safety, Combination Locks**

- UL 779, Safety Standard for Electrically Conductive Floorings
- UL 900, Test Performance of Air Filter Units
- UL 984, Hermetic Refrigerant Motor-Compressors
- UL 992, Test Method for Measuring the Surface Plane Propagation Characteristics of Flooring and Floor Covering Materials
- UL 1479, Fire Test of Through-Penetration Firestops
- UL Building Materials Directory
- UL Fire Resistance Directory

UPC (See IAPMO)

USAF U.S. Department of the Air Force  
Manuals may be ordered from headquarters  
of any Air Force Base

- AFM 88-29, Engineering Weather Data
- AFWL-TR-74-62, Air Force Manual for Design and Analysis of Hardened Structures

USNRC (See NRC)

VAN COTT AND KINCADE (See DOD)

WINTERKORN AND FANG

- Hans F. Winterkorn and Hsai-Yang Fang, *Foundation Engineering Handbook*, Van Nostrand Reinhold and Co., New York 1975

WPCF Water Pollution Control Federation  
601 Wythe Street  
Alexandria, VA 22314-1994  
703/684-2400

- MOP/8 CTG-77, Wastewater Treatment Plant Design

WQA (See Congressional Acts in Section 0106, Regulatory Requirements)

WRC      Water Resources Council, Hydrology Committee  
            U.S. Department of the Interior  
            C Street between 18th and 19th Streets, NW  
            Washington, DC 20240  
            202/3434841

- Bulletin No. 17A, Guidelines for Determining Flood Flow Frequency

**0110            ARCHITECTURAL AND SPECIAL DESIGN REQUIREMENTS**

**0110-1            DESIGN, PROGRAMMATIC, AND OPERATING REQUIREMENTS**

**0110-1.1            General**

**0110-1.1.1            Design**

Designs shall produce facilities that are straightforward and businesslike. Designs must respond to user needs but reflect a responsible use of public funds. Designs shall be defensible in terms of scope, cost, and appearance. Appropriate, defensible design is:

- Well planned
- Effective in function
- Simple in form
- Cost-effective
- Constructible
- Adaptable and durable over time
- Clean in appearance
- Maintainable

Appropriate design shall meet and not exceed users' needs. Appropriate architecture for DOE facilities shall blend and balance four elements:

- Respect of image
- Respect of function
- Respect of environment
- Respect of economy

**0110-1.1.2            Programming**

Programmatic studies shall include a detailed consideration of the functional requirements of the activities to be housed to determine the amount of space to be provided in the facility. The design criteria document shall make programmatic and operating requirements explicit in compliance with DOE 4700.1.

The process of programming will vary depending on the specific project, location, and individual DOE field organization requirements. The designer shall consider the program and

resolve perceived deficiencies with the user and cognizant DOE authority. The designer shall question apparently excessive scope demands when they occur.

**0110-102        Systems Integration**

When two or more services or systems such as those noted below are to be incorporated into a facility or a facility complex, these systems shall be considered to determine if they can be integrated and to identify the most cost-effective level of systems integration:

- Telecommunications
- Data communications (local area networks)
- Lighting controls
- HVAC control
- Energy management system
- Security and alarm
- Closed-circuit television
- Vertical transportation controls
- Fire detection and alarm
- Public address system

**0110-1.3        Emergency Planning**

Emergency planning requirements, including the provision of space for storage of emergency equipment, shall be considered early in the conceptual design phase to ensure that facility features provide for evacuation and other emergency requirements and that facility emergency plans are coordinated with the overall plant-complex emergency plan.

**0110-2        ALTERNATIVE DESIGNS**

During the project planning phase (conceptual design), alternative designs shall be developed in accordance with DOE 4700.1. Alternative designs shall be considered during Title I against programmatic and operating requirements and criteria.

**0110-3        FLEXIBILITY**

Flexibility is a major design requirement for all facilities except those with highly specialized functions. Even in those special facilities, however, the design shall, to the maximum extent practicable, provide sufficient flexibility to accommodate for programmatic changes or operational modifications.



The layouts and type of architectural, structural, mechanical, and electrical elements of all facility elements shall address anticipated future needs. The placement of columns and beams shall be coordinated with the initial and estimated future equipment installations, utility services, operational requirements, and room and furniture layouts. Changeable, movable, and remountable materials shall be considered where functional requirements are likely to change. Design solutions shall demonstrate methods for modification and expansion including modularity, additional capacities (unless otherwise restricted in other sections of these criteria), interstitial space, access flooring systems, and other techniques when justified on an LCC basis.

#### **0110-4            OPERATIONAL    EFFICIENCY**

Organizational, functional, spatial, and adjacency aspects of design shall be promote operational efficiency in a workable and logical manner. The selection of interior materials, finishes, and colors shall be based primarily on building function and user requirements. In office and administrative areas, space planning shall maximize the potential for personnel and team productivity by providing, where appropriate, a mix of unpartitioned open spaces and enclosed office space. See also Section 0110-3, Flexibility.

#### **0110-5            HEALTH AND SAFETY**

##### **0110-5.1            Performance Objectives**

Health and safety performance objectives to be achieved in the design of DOE facilities include:

- Protection of the public and all personnel from injury and from exposure to toxic materials, radiation, and other hazards in accordance with DOE requirements and allowable limits
- Protection of private and public property against damage resulting from DOE operations
- Continuation of operations by minimizing accident potential
- Limitation of loss or damage to Federal property, including losses associated with the inability to readily decontaminate or decommission facilities for other subsequent uses

Specific project design criteria in the areas of emergency preparedness and emergency management shall be developed with the advice and assistance of DOE organizations responsible for DOE emergency management/emergency preparedness programs. Such criteria shall comply with:

- DOE 5500.1A
- DOE 5500.3

See also Section 0110-10, Fallout Shelters, and Section 0110-99.2, Emergency Preparedness Facilities.

#### 0110-5.2      Safety Analysis

All DOE facilities shall be evaluated for potential risks to the operators, the public, and the environment. DOE 5481. 1B contains criteria for determining the level of reporting required based on facility functions and potential accident risks. Safety analysis report timing, content, and format criteria and approval provisions are contained in DOE 5481.1B. This section contains a brief summary of the basic requirements of 5481.1B.

The preliminary safety analysis shall be initiated during the conceptual design phase of the project and further developed during preliminary (Title I) design and detailed Title II) design phases. In most cases, these analyses are included in the project planning and design documentation (e.g., in conceptual design reports, Title I design reports). Facility design and construction features identified as a result of the PSAR shall be factored into the conceptual design before establishing the project cost estimate and requesting Congressional authorization for design and construction. The PSAR shall be completed and approved prior to the start of construction (including site preparation), consistent with DOE 4700.1.

The Final Safety Analysis Report (FSAR) shall be developed during the instruction phase of the project and shall be completed and approved prior to the initiation of facility operations. The FSAR shall be updated as appropriate to reflect changes affecting safety that are made to the facility during its lifetime.

Areas to be addressed in the safety analysis include, but are not necessarily limited to, the following:

- Form, type, and amount of hazardous materials (nuclear or other) to be stored, handled, or processed
- Principal hazards and risks that can be encountered in facility operation, including potential accidents and predicted consequences of fire, explosion, radiation, toxic exposure, structural failure, wind, flood, earthquake, tornado, operating error, failure of essential operating equipment, and failure of safety systems
- selected design basis accidents such as DBF, DBW, DBE, DBT, OBA, and DBFL. These shall be postulated and quantified, including the rationale for selection.
- Principal design, construction, and operating features selected for preventing accidents or reducing risks to acceptable levels, including the safety margins used

#### 0110-53      Emergency Preparedness Planning

Each facility that has potential on-site or off-site effects during normal or abnormal operations shall have an Emergency Plan prepared which shall be incorporated with the Site Emergency Preparedness Plan.

0110-5.4      Asbestos-Containing Materials

Maintenance, repair, and demolition of DOE facilities shall comply with OSHA-29 CFR and EPA-40 CFR.

0110-5.5      Polychlorinated Biphenyls (PCBs)

If the use, storage, or disposal of polychlorinated biphenyls (PCBs) or materials containing PCBs is to be encountered in a facility, the facility design shall comply with 40 CFR 761.

See Section 1630-2.3.5, Oil-Filled Equipment.

0110-6              FIRE PROTECTION

0110-6.1          General

Facilities shall comply with the following

- DOE 5480.4 Attachment 2, Section 2.C
- DOE 5480.7
- Section 1530, Fire Protection

Facilities shall also comply with 29 CFR 1926 and 29 CFR 1910. Except as required by other sections of these criteria, NFPA 101 shall apply where 29 CFR 1926 and 29 CFR 1910 does not apply or where NFPA 101 exceeds the requirements of 29 CFR 1926 and 29 CFR 1910.

Definitions, fire resistance ratings, and types of construction shall be as contained in the UBC.

Any materials with unusual fire characteristics, such as urethane foams, and any materials that develop significant quantities of toxic or other harmful products of combustion, shall not be used as interior finishes or other interior applications without the approval of the cognizant DOE fire protection authority. The use of foamed plastics in construction shall be prohibited unless it fully complies with FM 1-57.

0110-6.2          Fire Protection Design Analysis

A special fire protection design analysis shall be made of each facility vital to DOE mission accomplishment. The analysis shall use time parameters established in accordance with DOE 5480.7. The analysis shall identify the special fire prevention and protection features and controls deemed by the cognizant DOE fire protection authority to achieve a level of fire protection for vital facilities and programs that meets or exceeds the "improved risk" level.

As a part of determining the "improved risk" level, the analysis shall address those conditions in a facility where

- Large or unusual fire potential exists.

- There are special life-safety hazards.
- Toxic chemicals or biological agents exist.
- The consequences of fire include radioactive contamination of the facility, the site, or the public environment.
- National security is adversely affected by fire.

Special precautions for preventing the spread of fires, such as multiple fire suppression systems, rapid detection of incipient fires, confining fires, increased fire ratings of construction materials, and rapid-response fire departments shall be provided.

A *general* fire-protection design analysis shall be made of each facility to ascertain and limit the cost of future damage repair and replacement of facilities and their contents from fire. The analysis shall be made using those parameters established in DOE 5480.7. The analysis shall determine the special fire prevention and protection features and controls deemed by the cognizant DOE fire protection authority to achieve a level of improved risk fire protection that limits damage to an acceptable level. The analysis shall be documented in report form in the facility project files and reference by the SAR.

Fire-protection design analysis shall be done as soon as possible and included as a portion of the Title I Design Summary document required by DOE 4700.1.

#### 0110-6.3      Fire Resistance Ratings

All facilities shall be divided into areas in which the total potential fire loss to each area and its equipment does not exceed \$75 million as described in DOE 5480.7. These areas shall be separated by fire walls and barriers with not less than 4-hour fire-resistance ratings. Where exceptions are necessary for reasons of operations or equipment, exemption procedures shall comply with DOE 5480.7.

Adjacent occupancies of non-compatible groups shall be separated by 2-hour, or better, fire-rated walls, floors, and ceilings as required by the NFPA codes.

Wall, floor and ceiling, and roof and ceiling assemblies shall be tested and rated for their fire resistance by UL or similar nationally accredited testing laboratories, or shall be listed for their fire resistance as approved by FM or similar national insurance organizations. Untested, unrated or unapproved assemblies shall be approved by the cognizant DOE fire protection authority before being considered for use in a DOE facility.

#### 0110-6.4      Hazardous Areas

When exemptions are granted to specific DOE fire protection standards for reasons unique to DOE facilities, as in the case of some containment structures, fire protection shall be provided so as to assure the life safety of facility occupants as required by the cognizant DOE fire protection authority.

Hazardous areas, such as radioactive spaces or spaces with inert atmospheres, shall have sufficient alarms and interlocks to assure that access by emergency personnel will not endanger such personnel or result in a public hazard.

The design of hazardous areas shall facilitate access by emergency personnel from the exterior and, at the same time, shall maintain any required confinement or containment using air locks or other features.

The design of the exteriors of facilities shall follow the recommendations of NFPA 80A. Facilities that are not separated as recommended by NFPA 80A shall have fire protection systems such as exterior sprinklers or barrier walls.

#### **0110-7 ENVIRONMENTAL PROTECTION AND POLLUTION CONTROL**

The construction of all DOE facilities shall comply with the environmental protection and pollution control portions of the following

- DOE 4700.1, Chapter V
- DOE 5480.4
- DOE 5480.1B
- DOE 5440.1C

For more specific criteria, see Section 0273, Water Pollution Control; Section 0275, Industrial Wastewater Treatment; Section 0285, Solid Waste System; and Section 1589, Air Pollution Control.

#### **0110-8 ACCOMMODATIONS FOR THE PHYSICALLY HANDICAPPED**

The extent to which facilities are designed to accommodate the physically handicapped shall be determined at the earliest stages of project planning and implemented through each phase of design.

See Section 0101-4, Handicapped Provisions, for regulatory and design criteria.

#### **0110-9 OPERATION, MAINTENANCE, REPAIR, AND REPLACEMENT**

Planning and design of buildings and other structures, including their operating components and systems, shall take into account all aspects of operation and maintenance including:

- Equipment accessibility
- Dismantling
- Replacement

- **Repair**
- Frequency of preventive maintenance
- Inspection requirements
- Personnel safety
- Day-to-day operation

Design decisions for all projects shall be based on considerations of LCC as well as all other programmatic requirements affecting the facility. Studies shall be made that balance initial construction cost with the operating and maintenance costs over the anticipated life of the facility.

LCC analyses used to select materials and equipment shall include the cost and availability of materials, parts, and labor required for operation, maintenance, repair, and replacement.

Space for the operation, maintenance, repair, and replacement of materials and equipment shall be provided and shall be included in LCC analyses used to select materials and equipment.

LCC analyses shall be appendices in a project's design criteria document or other such project file,

#### **0110-10          FALLOUT   SHELTERS**

Executive Order 12656 (superseding Executive Order 11490) requires the Secretary of Energy to identify facilities essential to the national defense and national welfare, to develop plans and programs to provide for the security of such facilities, and to avoid or minimize disruptions of essential services during any national security emergency. The Secretary of Energy shall also, in coordination with the Secretary of Defense, ensure continuity of nuclear weapons production consistent with national security requirements. Executive Order 12656 does not specifically require the provision of fallout shelters. Therefore, until that time when the Secretary of Energy specifically defines the Department's policy with respect to fallout shelters, provision for such space shall be considered only in dual-purpose spaces where little or no additional costs to the total estimated cost will be incurred. Examples of possible spaces are interior corridors, interior rooms, or below-ground spaces.

This new guidance supersedes the instructions for "Preparation of Construction Project Data Sheets" in DOE 5100.4, which currently could be interpreted to require the unnecessary inclusion of fallout shelter space. Coordination of this new guidance will be reflected in future revisions of that Order.

## 0110-11 WORK SPACE MANAGEMENT STANDARDS

Office and administrative space standards shall comply with the following

- 41 CFR 101-17
- DOE 4300.1B

These standards shall be applied to new buildings, additions to buildings, leased space, and contractor-occupied space.

The FPMR requirements shall be applied to those specific areas used for ordinary office functions. The goal of the criteria is to achieve an average workstation utilization rate of 135 occupiable square feet or less per person. In general, spaces dedicated to a machine or process, or spaces where people support equipment and not vice versa, do not fit into this category, and the workstation utilization rate does not apply.

See 41 CFR 101-17.003 and 17.600 for definitions and further guidance regarding exceptions.

## 0110-12 ENERGY CONSERVATION

### 0110-12.1 Coverage

For purposes of this section, the term "building" shall mean new commercial, industrial, or residential building, or new building additions, unless otherwise stated. The term "Federal Building" means any building, structure, or facility that is constructed, renovated, leased, or purchased, in whole or in part for use by the United States, which includes a heating system, a cooling system, or both.

These criteria shall be applied in the planning and design of the following DOE facilities:

- New buildings and building additions including their operating systems and energy using equipment
- Building and building system alteration projects
- Semi-permanent facilities (owned directly or indirectly by the Government) such as pre-engineered metal buildings, trailer units, or other types of temporary buildings
- Other energy-using facilities such as new or modified central utility plants, utility distribution systems, and exterior lighting systems

These criteria shall be applied with the following objectives:

- Minimizing consumption of nonrenewable energy sources on the basis of LCC effectiveness
- Encouraging the use of renewable energy sources

Employee health, safety and environment (including indoor air quality) shall not be compromised in achieving energy efficiency.

#### **0110-12.2      General**

For new construction, DOE facilities shall be designed to comply with the more stringent requirements of "Interim Energy Conservation Standards for New Commercial Buildings." when promulgated, or ASHRAE Standard 90.

For existing construction, ASHRAE Standard 100 shall apply.

DOE has designated ASHRAE Standard 90 as the minimum efficiency standard for new Federal Buildings with the following two exceptions:

- That the DOE LCC methodology shall be used (rather than the ASHRAE methodology)
- That this standard shall not limit further reduction in energy use where such reductions can be achieved on the basis of LCC analysis

The building envelope shall meet the minimum prescriptive energy conservation requirements of ASHRAE Standard 90 and the criteria in Section 0110-123, Building Envelope Thermal Transmittance Values, and Section 0110-12.4, Building Envelope Air Leakage Criteria. The mechanical and electrical systems shall meet the minimum prescriptive energy conservation requirements of ASHRAE Standard 90 and the requirements of Division 15, Mechanical, and Division 16, Electrical

Relative to electrical distribution systems, utilization equipment rated greater than 1,000 W and lighting equipment with an inductive reactance load component shall have a power factor of not less than 85 percent under rated load conditions. Utilization equipment with a power factor of less than 90 percent shall be corrected to at least 90 percent under rated load conditions. Power-factor corrective devices, installed to comply with this criterion, shall be switched with the utilization equipment, except where this results in an unsafe condition or interferes with the intended operation of the equipment

Energy conservation alternatives for measures exceeding the minimum requirements of ASHRAE Standard 90 shall be considered for any building described in Section 0110-121, Coverage, according to the procedures outlined in Section 0110-127, Building Analysis Procedures, with the objective of obtaining the greatest opportunities for energy conservation for the subject building.

Analysis of the building to determine energy conservation features and energy source alternatives shall be accomplished in the preliminary design (Title I) phase. The conceptual design phase cost estimates shall include adequate funding to cover energy conservation alternatives. Determination shall be made before the completion of the preliminary (Title I) design phase as to which energy conservation alternatives shall be incorporated into the building design based on LCC.

The building energy conservation analysis or waiver thereof shall be reported and documented according to the procedures outlined in Section 0110-12.8, Energy Conservation Report Requirements.



HVAC design shall comply with ASHRAE Standard 62.

**0110-12.3      Building Envelope Thermal Transmittance Values**

The following building envelope criteria shall be the minimum values to be used in the architectural design of new buildings and building alteration projects and in considering the acquisition of pre-engineered metal buildings, modular buildings, trailer units, and temporary facilities.

As a minimum requirement, the thermal transmittance values ("U" values) and overall maximum allowable combined transmittance values as determined from ASHRAE Standard 90 shall be used as basic building envelope criteria. Lower thermal transmittance values shall be considered by LCC analysis as discussed in Section 0110-12.7, Building Analysis Procedures.

Buildings with solar energy sources, high internal heat loads, or other special requirements shall be considered according to the procedures given in ASHRAE Standard 90 in order to determine if deviations from the maximum allowable thermal transmittance values would actually result in less annual energy consumption.

**0110-12.4      Building Envelope Air Leakage Criteria**

Building envelope air leakage through walls, windows, and doors shall comply with ASHRAE Standard 90.

**0110-12.5      Use of Renewable Energy Systems**

**0110-12.3.1      Active Solar Systems**

The DOE Energy Management Coordinator shall determine whether the use of active solar systems shall be considered for a building. The application of active solar systems shall be considered based on LCC. Geographical location, site solar access, conventional fuel availability, and load characteristics are major factors in determining when an active solar system shall be considered. Active solar systems shall be considered when it is determined they can be LCC effective.

**0110-12.5.2      Passive Solar Techniques and Daylighting Techniques**

The application of passive solar techniques including passive space heating, passive cooling, and daylighting shall be considered for all building projects as directed by the DOE Energy Management Coordinator. Passive solar techniques shall be used wherever they are determined to be technically feasible and economically justifiable.

**0110-12.5.3      Other Renewable Systems**

The DOE Energy Management Coordinator shall determine whether other renewable energy sources such as photovoltaics, wind, geothermal, or other sources shall be considered.

**0110-12.6      Energy Management Systems**

**Criteria and methodology for the design of an EMS shall comply with TM 5-815-2**

**Methodology for estimating the energy conservation performance of an EMS shall comply with NCEL UG-0010.**

**Implementation and installation of an EMS, a micro EMS (single-building controller) or an interface to an existing EMS, shall be considered in the preliminary (Title 1) design phase for all building projects. Energy management systems shall be evaluated by LCC analysis.**

**0110-12.7      Building Analysis Procedures**

**0110-12.7.1      LCC Analysis Procedures**

**LCC analysis shall be used to compare the total life-cycle value of various building parameters and systems based on initial cost, annual maintenance costs, and annual operating costs. Furthermore, the present value of future benefits and costs shall be calculated for the various energy conservation alternatives. This analysis shall then form a basis for selecting the optimum building systems for any specific use. LCC analysis shall comply with 10 CFR 436, as amended.**

**LCC analysis shall use energy costs obtained from the most current four successive quarters as reported in the Quarterly Energy Conservation Report for the site. The annual supplement of NBS Handbook 135, which contains current factors and escalation rates for energy, shall be used for the LCC analysis.**

**0110-12.7.2      Use of Computer or Other Energy Analysis Techniques**

**Total energy consumption in a building shall be defined to include both building energy consumption and process energy consumption.**

**Building energy consumption shall be defined as the energy that is used primarily for heating, ventilation, cooling, domestic inter heating, energy distribution and lighting. Process energy consumption shall be defined as energy used in a process, production or research program. A "base-case" annual building energy consumption shall be determined by the methods described in Section 0110-12.7.3, Design Analysis Procedures. Energy analysis of building energy conservation alternatives shall be compared to the "base-case" building.**

**If the estimated annual total building energy consumption as indicated in the DOE project criteria is expected to exceed 500 million BTU, or the building is larger than 10,000 gross square feet, the evaluation of the "base-case" energy consumption and the analysis of energy conservation alternatives shall be performed using a computer analysis technique approved by the DOE Energy Management Coordinator. If the estimated total building annual energy consumption is not expected to exceed 500 million BTU, the design professional can use either a computerized analysis or a manual analysis method using ASHRAE's Simplified Energy Analysis using the modified bin method to evaluate the energy conservation alternatives and the "base-case" design energy consumption for the building.**

The computerized energy analysis shall be made part of the "Energy Conservation Report" as discussed in Section 0110-12.8, Energy Conservation Report Requirements. Energy analysis need not be included in a formal report if the usage is less than 500 million BTU/year.

#### 0110-12.7.3 Design Analysis Procedures

The energy use of building systems shall be considered at the start of the building design planning process because selection of fundamental building features, such as form, orientation, window/wall ratio, building envelope construction, lighting system types, and HVAC system types have the greatest effect on energy consumption.

Energy Consumption characteristic of different building features shall be defined to identify the building energy-consuming systems with the greatest potential for reduction in energy consumption. Systems that shall be considered are lighting, heating, cooling, energy (media) distribution, domestic water heating, and ventilation. Factors that affect these systems, such as building thermal transmittance values, infiltration and ventilation rates, occupancies, building orientation, shading, HVAC system design, and lighting system design shall be optimized. The most effective design solutions shall be identified using LCC analysis.

Energy consumption characteristics of process energy systems shall also be analyzed and the optimum parameters selected.

A "base-case" annual energy consumption for the building shall be determined as described in Part I below. This "base-case" annual energy consumption shall be reported in accordance with Section 0110-12.8, Energy conservation Report Requirements.

#### Part I-"Base-Case" Building Annual Energy Consumption

##### 1. New Buildings and New Building Additions

- A. The "base-case" energy usage shall be defined as the energy the building would consume resulting from only the implementation of the energy conservation requirements of ASHRAE Standard 90. The "base-case" energy usage shall define the fraction of the building's energy consumption attributable to lighting, cooling, heating, domestic water heating, energy distribution, and ventilation. The "base-case" energy usage shall also define the energy required for process functions. The base-case energy usage shall be determined by simulating the "base-case" building through use of energy analysis techniques as discussed in Section 0110-12.7.2, Use of Computer or Other Energy Analysis Techniques. Project criteria shall indicate process energy requirements and related information.
- B. The "base-case" building energy analysis shall include input of all building envelope characteristics, including U-values, areas, building orientation, shading features, window/wall/roof thermal characteristics, and infiltration characteristics. The analysis shall include input of all building internal characteristics including lighting demand and usage schedules, occupancy demand and schedules, equipment and miscellaneous heat gains, building usage schedules, and ventilation rates and schedules. The analysis shall include calculations of the building's heat gains and heat losses and total block heating and cooling loads using all of the above listed characteristics and local weather data obtained from AFM 88-29, Chapter 6, bin weather data, or hour-by-hour weather data. The

heating and cooling loads shall include the delineation of the portions associated with solar, transmission, ventilation, and internal components.

- c After the thermal heating and cooling loads are calculated, the "base-case" building energy analysis shall indicate the selection of the HVAC systems to be applied to the building to offset the heat gains and heat losses. The "base-case" HVAC systems shall meet the requirements of ASHME Standard 90 and the other design requirements as described in Division 15, Mechanical. The energy analysis shall simulate a full year's performance of the systems based on the thermal loads, full and part load efficiencies of HVAC equipment, demand and usage schedules of energy distribution equipment (fans, pumps, auxiliary burdens, etc.) and demand and usage schedules for domestic water heating. Domestic hot water usage shall be calculated according to the methods described in the ASHRAE Systems handbook. Process energy usage analysis and optimization of the selection of process equipment shall be evaluated separately according to DOE project criteria.

## 2. Existing Buildings

To analyze energy conservation modifications for existing buildings, a similar "base-case" situation shall be determined. In many cases the base case will be the energy consumption of the particular system in the "as operating" condition. The DOE Energy Management Coordinator will determine when the base case will require a simulation of a building's annual energy consumption. In this case, existing building characteristics for thermal envelope transmittance values, lighting demand and usage schedules, occupancy load and occupancy schedules, and miscellaneous equipment and usage schedules shall be determined from plant operating personnel and used as input. Actual efficiencies of existing HVAC equipment, miscellaneous equipment, and domestic water heating equipment shall also be used as the input for the "base-case" energy analysis. The simulation of the building energy usage should then be plotted against the historical utility bills or heat available information from the facility operator to obtain a "match" of the building's energy dynamics and energy consumption. An initial evaluation of possible energy conservation improvements for existing buildings shall be derived from the ASHRAE Standard 100 series.

## Part II-Evaluation of Energy Conservation Alternatives

Once the "base-case" building energy usage simulation has been determined, the following steps shall be performed in the building energy usage analysis:

1. Evaluate building envelope energy conservation opportunities by analyzing the effects of modifications to "base-case" thermal transmittance values, fenestration areas, building orientation, building exposure to weather conditions, and building shading characteristic or the use of possible solar technologies.
2. Either evaluate the energy effectiveness of various different mechanical/electrical environmental systems by simulating the energy usage of each of these systems using a constant thermal load "base-case" and comparing the results, or evaluate energy conservation modifications to the "base-case" HVAC system. Again, life-cycle evaluation of mechanical/electrical environmental systems shall be performed using efficiencies

exceeding the minimum HVAC system or lighting system requirements of ASHRAE Standard 90.

3. Evaluate energy conservation alternatives to systems or compare energy usage between systems in an ordered sequence so that energy consequences of one modification over another are included.
4. Evaluate energy conservation modifications to the "base-case" domestic water heating.
5. If potentiality feasible, based on fossil fuel types, availability, and costs, DOE project criteria shall direct the design professional to evaluate cogeneration alternatives. After all system comparisons are evaluated for energy savings, evaluate energy sources based on energy cost to the building. Select fuels and energy sources in conformance with DOE 4330.2C. Discussion of fuel source availability shall be included in the "Energy Conservation Report."
6. LCC analysis shall be performed using typical cost values provided in DOE project criteria and the incremental energy costs determined from Items 1-5 above according to the methods discussed in Section 0110-12.7.1, LCC Analysis Procedures, to determine the optimum energy conservation alternatives.

#### 0110-12.7.4      Waivers of Design Analysis Requirements

Comparative analysis of building envelope, lighting, or HVAC systems can be modified given the following conditions:

- No further comparative analysis of building thermal envelope modifications is required if the building orientation or building construction features are pre-determined and cannot be modified because of special site, safety, or programming considerations. The building still must meet minimum building envelope standards required by ASHRAE Standard 90.
- No further comparative analysis between HVAC systems is required if the type of HVAC system is unique to the building or process and cannot be modified due to rigid temperature control, humidity control, air movement requirement, or special programming. The pre-selected system shall still be evaluated for all possible energy conservation modifications available to that particular system.
- No further comparative analysis is required if selections of overall HVAC systems or ancillary sub-systems or equipment are available that by empirical observation clearly consume the least energy and that can be shown through simple cost estimation to have no significant additional first cost and no significant annual maintenance costs over other HVAC systems. A description of these findings shall be in accordance with Section 0110-12.8, Energy Conservation Report Requirements.

Waivers of design analysis of this type must be submitted for approval to the DOE Energy Management Coordinator. Unusual limiting considerations concerning the building envelope, the HVAC systems, the lighting systems, or other systems that allow for waiver of comparative analysis shall be discussed in the "Energy Conservation Report."

0110-12.8      Energy Conservation Report Requirements

0110-12.8.1      General

An "Energy Conservation Report" (summary evaluation) shall be developed for each new building and building addition where *total* energy consumption is expected to exceed 500 million BTU per year or the building is larger than 10,000 gross square feet.

For projects or retrofits of existing buildings where *total* energy consumption is less than 500 million BTU per year, an "Energy Conservation Report" shall be developed at the discretion of the DOE Energy Management Coordinator.

The report shall be included as a part of the preliminary (Title I) design, where final selections of energy conservation features or renewable energy sources are made.

The report shall contain the results of the annual energy consumption calculations for the "base-case" building and the results of the energy analysis and LCC analysis of energy conservation alternatives as listed below. If parts of this analysis are waived, an explanation shall be included in the report. The report shall:

1. Identify the methods used for simulating building energy consumption and the methods of LCC analysis (e.g., dynamic computer analysis, static computer analysis, or manual calculations) used to consider alternative building systems and the use of renewable energy sources.
2. Describe the "base-case" building, including
  - Building envelope parameters, including U-values, types of fenestration, and percent of gross wall area occupied by fenestration, and orientation
  - Building heating and air-conditioning systems, including types of mechanical refrigeration systems, types of heating systems, types of energy distribution systems, types of automatic temperature controls, types of ventilation systems, and any health and safety requirements that the ventilation system design must satisfy
  - Types of building lighting systems and controls
  - Building domestic water heating systems
  - Process energy consumption systems, if any
3. Provide backup data to indicate that criteria of ASHRAE Standard 90 have been met or exceeded for "base-case" new buildings and criteria of ASHRAE Standard 100 have been met or exceeded for existing retrofitted buildings.
4. Estimate total energy consumption of the "base-case" building, separately identifying building energy consumption and process energy consumption, including:

- BTU/year by types of energy source (e.g., at point of use electricity, natural gas)
  - Total BTU/year for entire building
  - Total BTU/gross-square-foot/year
  - Total BTU/month and per year and kW/month and per year for each energy usage category (e.g., lighting, pumps, fans, refrigeration, heating, and domestic water heating)
5. Describe the major energy conservation modifications to the "base-case" building selected, such as modifications to the building envelope, including "U" values, types of fenestration and percentage of glass in the gross wall area and addition of active or passive solar energy features; modifications to the types of heating and air-conditioning systems, including ventilation, refrigeration, heating and automatic temperature control systems; modification to building systems lighting levels and controls; and all other major modifications considered.
  6. Estimate total energy consumption of the "modified" building for each type of proposed energy conservation modification or combination of modifications, separately identifying building energy consumption and process energy assumption. Use the same format as required for the "base-case" building.
  7. Estimate energy savings from incorporation of each major energy conservation feature and provide LCC analysis for the addition of each major energy conservation feature.
  8. If analyses of active solar systems or use of other renewable energy sources are required by the DOE Energy Management Coordinator, provide the results of the analyses, including backup data for LCC analysis.
  9. Discuss the types of permanent metering for energy inputs to the building types of submetering for process energy use, and compatibility with existing or projected energy management systems. Estimate the total cost for metering and submetering provisions.

#### 0110-12.8.2 Distribution of "Energy Conservation Reports"

For buildings that are expected to exceed 500 million BTU per year in total energy consumption or buildings larger than 10,000 square feet, DOE field organizations shall provide one copy of the "Energy Conservation Report" from the Title I design documentation to the In-house Energy Management Branch, Office of Project and Facilities Management, DOE Headquarters, for review and comment. This report should be submitted immediately on completion of Title I. Reconciliation of Headquarters comments shall be incorporated into Title II design by the design professional and responses to comments provided to Headquarters by DOE field organizations.

DOE field organizations shall include a completed summary form in its "Energy Conservation Report" submission to DOE Headquarters with the following information: 1) site; 2) building; 3) TEC (SM); 4) design/construction status; 5) energy type; 6) building

energy consumption (MBTU/yr); 7) process energy consumption (MBTU/yr); 8) total energy consumption (MBTU/yr); 9) metering provided; 10) gross square feet (GSF); 11) BTU/GSF per year; 12) LCC method; 13) major energy conservation features; and 14) LCC.

**0110-13            PHYSICAL PROTECTION**

**0110-13.1        General**

DOE security interests are to be protected from theft or diversion of special nuclear material, sabotage, espionage, loss or theft of classified matter or Government property, and other hostile acts which may cause unacceptable adverse impacts on national security, program continuity, or on the health and safety of employees, the public, or the environment. Levels of protection appropriate to particular safeguards and security interests are to be provided in a graded fashion in accordance with the potential risks to national security and the health and safety of employees or the public.

Protection programs must be tailored to address site-specific characteristics on the basis of DOE Orders. Site-specific protection programs are documented in protection program plans and/or MSSAs.

**0110-13.2        Access Control and Security Areas.**

**0110-13.2.1      General**

Controls shall be established to prevent unauthorized access to security areas or removal of security interests. In general, the following apply:

- A minimum number of entrances shall be provided for security areas. However, exits from security areas shall be adequate to satisfy the requirements of NFPA 101. Some exits may be provided for emergency use only.
- Entrances to and exits from security areas shall be equipped with doors, gates, rails, or other movable barriers that will direct and control the movement of personnel or vehicles through designated portals.
- Door locks and latches used on security area perimeters shall comply with NFPA 101.

A security area denotes a physically defined space containing a Departmental security interest and subject to physical protection and access controls. Security areas shall be established when the nature, size, revealing characteristics, sensitivity, or importance of the classified matter or associated security interests is such that access to them cannot be effectively controlled by other internal measures. The type of security area established depends on the nature of the security interests to be protected, with the following types required for the protection of the listed security interests:

- *Property Protection Area* for protection of DOE property, located at property protection facilities



- *Limited Area* for protection of classified matter where guards, security inspectors, or other internal controls can prevent access to classified matter by unauthorized persons
- *Exclusion Area* for protection of classified matter where mere access to the area would result in access to classified matter
- *Protected Area* to control Category I and II quantities of special nuclear material
- *Material Access Area* within a protected area to control access to areas containing Category I quantities of special nuclear material
- *Vital Area* within a protected area for protection of vital equipment

#### 0110-13.2.2 Property Protection Area Requirements

Security areas normally do not need to be established for offices of consultants or other individuals, small laboratories, or other facilities with limited scope and volume of work. However, adequate security must be in place to preclude unauthorized access.

Verification of the identity of persons authorized access to a limited security area shall be accomplished at the area entrance.

#### 0110-13.2.3 Limited-Area Requirements

Limited-area requirements are as follows:

- Clearly defined physical barriers shall be utilized to control, impede, or deny access, and shall effectively direct the flow of personnel and vehicles through designated portals, and allow effective searches. Permanent barriers shall be used to enclose security areas except during construction or transient activities, when temporary barriers may be erected.
- A means shall be provided to detect unauthorized intrusion by use of alarm systems, random patrols, or visual surveillance.
- Adequate protective illumination shall be provided to permit or assist in detection and assessment of adversaries, reveal unauthorized persons, and, at pedestrian and vehicular entrances, to permit examination of credential and vehicles. See Section 0283-7, Lighting.
- The protection program shall include suitable means to assess alarms and/or activities of adversaries.
- Measures shall be in place to prevent unauthorized visual or aural access to classified matter as required by DOE 5636.3A.

#### **0110-13.2.4 Exclusion Area Requirements**

An exclusion area must meet all the requirements for a limited area, except that when the exclusion area is located within a larger limited area, additional trespass signs are not required, additional inspections or searches need not be performed, and an unattended access control system may be used.

#### **0110-13.2.5 Protected Area, Material Access Access, and Vital Area**

See Section 1300-10.2.3, Baseline Protection Requirements.

#### **0110-13.3 Physical Barriers**

Physical barriers shall protect DOE property and facilities as follows:

- Barriers such as walls or fences are intended to control or impede access.
- Unoccupied facilities shall be locked with tamper-resistant locks. A system of accountability and positive controls for keys and combinations shall be in place.

#### **0110-13.4 Intrusion Detection**

When determined by the Field Element to be required, intrusion detection and assessment systems shall protect in a manner consistent with their value and the impact of loss or sabotage of property and facilities. At a minimum:

- A means of timely detection of intrusion shall be provided by the use of alarm systems or patrols.
- Adequate illumination shall be provided to detect intruders, reveal unauthorized persons, and, at pedestrian and vehicular entrances, permit examination of credentials and vehicles. See Section 0283-7, Lighting.

0110-13.5      Communications Equipment

Communications equipment shall be provided to allow effective protection

0110-99      SPECIAL FACILITIES

0110-99.0      Nonreactor Nuclear Facilities-General

0110-99.0.1      General

Whenever feasible, special facilities shall be planned and layout developed on the basis of repetitive or discrete processing steps, grouped according to facilities services (HVAC requirements, functional disciplines, and operating hazards) and shall be contained in individual process rooms or cells to the extent practical. Unless there are specific requirements for providing office areas within the special facilities, they shall be located with other offices and common-use facilities (e.g., data computation and processing, word processing) in a centralized location. The design professional shall consider the need for safe normal and emergency access, egress, and internal traffic flow. Support areas such as the health physics laboratory/office shall be located near the exit from the process area. The exit areas shall have adequate space for personnel circulation and egress and monitoring equipment. All normal routes of egress shall be directed through exits that contain monitoring stations.

The type and level of hazard shall be determined for each functional area of the special facility, the attendant degree of risk established, and the possibility of cross-contamination considered. Radioactive and hazardous materials (chemicals, feed wastes, etc.) shall be segregated from each other to minimize the generation of mixed waste. Wherever possible, areas for work with radioactive or other hazardous contaminants shall be located together to simplify solutions to problems of air supply and exhaust, waste disposal, decontamination, and cross-contamination. In addition, areas where radioactive materials are used shall be designed for ease of decontamination during building usage and also for decommissioning after the building life cycle.

Energy conservation shall be given particular attention in the planning and design of special facilities. See Section 0110-12, Energy Conservation. For many special facilities, HVAC loadings and other special ventilation requirements are of sufficient magnitude to require particular attention to the potentials for energy conservation; however, air-to-air heat exchangers are not recommended for energy conservation with untreated air between, for example, laboratory hood exhausts or other local exhaust systems for hazardous materials and the comfort air systems. Cascading air from low contamination potential zones (such as comfort zones) as a supply to higher contamination potential zones may be considered providing backflow protection is incorporated. Recirculation of air within a single zone with appropriate filtering and conditioning is also acceptable. Significant energy savings for heating and cooling may be realized, based on LCC effectiveness of energy conservation features; however, any such features shall only be incorporated where they do not compromise the safety and health of personnel.

Commonalities among similar DOE special facilities' requirements offer an opportunity for improved design of new special facilities or major alterations or additions to existing ones. Where similar types of special facilities exist or are being planned, maximum use shall be made of the design and construction approaches taken, construction and operating economies achieved to take advantage of new or innovative techniques and to avoid repeating less than successful experiences. Efforts shall be made during the planning phase to obtain information about recently constructed special facilities experiences at other DOE sites.

#### **0110-99.0.2 Building Services and Distribution**

Special facilities services and building utilities shall be planned to achieve maximum flexibility and ease of access. Vertical and horizontal headers shall be specifically located as planning and preliminary design progresses.

Priority shall be given to gravity-flow piped services and utilities and large air distribution and exhaust duct headers when analyzing the following

- Establishment of zones (space) in vertical and horizontal service chases
- Determination of service header sizes
- Assignment of spaces

Vertical chases shall be provided with fire cutoffs, preferably at each floor level and at the enclosing partitions, consistent with the building construction code classification. Suitable access doors or removable panels shall be provided in service chases for access to valves, dampers, and so forth. To maintain the necessary degree of protection required by the particular building code classification, equipment selections shall be made from products listed by UL or other approved testing organization.

To ensure both the safety of personnel and the effective administration and control of special facilities, access to hazardous areas (e.g., hazardous gas storage locations and electrical power and distribution panels) shall be controlled by locked gates, doors, power panels, or other physical barriers. Compressed gas cylinders shall be isolated outside of the special facilities building or housed in a special hazardous materials storage room, exhausted gas cabinets, or similar types of containment.

Where continuous services are required, service headers shall be looped and appropriately valved to maintain such services during routine maintenance or system alterations.

Special facilities services shall extend from horizontal service headers. Services shall be located to avoid penetration of adjacent facility walls and floors in those cases where routine maintenance or alterations of these services would result in undesirable curtailment or interruption of operations in the adjacent special or other facilities. All piping shall be located outside hazardous areas whenever possible to reduce personnel exposure during maintenance.

### 0110-99.0.3 Utilization Schedule

A special facilities utilization schedule shall be developed to show the intended schedule of operations of energy-using systems and equipment. These schedules shall be used in performing computerized or other energy-use analyses to develop energy-efficient special facility design. Particular care shall be taken to identify the use requirements of such large energy consumers as secondary confinement ventilation systems and other high volume air-using equipment to ensure that such equipment is properly designed. Operating control features shall allow reduced equipment air flows during periods of nonuse, if personnel health and safety and environmental protection will not be adversely affected.

### 0110-99.0.4 Building Layout

The design of the facility shall include controlled access to areas of potential hazards within the facility. The facility layout shall provide for the segregation of administrative and other support personnel from operations and process activities and areas. The arrangement and location of process equipment and its maintenance provisions shall ensure that exposure to radiation and other hazardous materials is within the requirements of DOE 5480.11 and DOE 5480.10, respectively. In addition, exposures shall be maintained ALARA. The design shall protect workers sufficiently from hazards to ensure that workers can perform actions required during normal operations, anticipated operational occurrences, and postulated DBAs. The design shall ensure prompt, safe shutdown in emergencies, and allow ready access to areas where manual corrective actions are required and to areas that contain radiation monitoring equipment.

A minimum number of entrances shall be provided for security areas. However, exits shall comply with NFPA 101. Some exits shall be provided for emergency use only, and equipped with alarm devices and seals. At least two exits shall be provided in rooms where hazardous materials are handled. (Exceptions for explosives facilities appear in Chapter II, Section 2.2 of DOE/EV 06194.)

In those areas where an accidental breach of a primary confinement system could expose personnel to radioactive material, a distance of 75 feet as measured by the method in the NFPA 101 shall be the maximum travel distance to ensure that personnel can exit through the next confinement barrier. This barrier is the partition separating two different air zones, the area of refuge being on the upstream side of the barrier. The assured airflow through the barrier shall be in the direction opposite of exit travel.

The facility design shall provide space to accommodate all planned activities, operations, and maintenance (e.g., processing, research and development, scrap and waste handling, sample analysis, shipping and receiving, and material staging required for equipment installation and modifications). The design shall minimize the hazard of handling flammable and other hazardous materials. In addition to the usual industrial safety features required in a nuclear-facility, the design shall also include the following safety features as appropriate:

- Layout of the facility shall provide specific control and isolation, if possible, of quantities of flammable, toxic, and explosive gases, chemicals, and other hazardous materials admitted to the facility.

- The provision of additional space shall be considered for temporary shielding or for additional shielding in the event radiation levels are higher than anticipated.

Auxiliary space allotments shall be held to a minimum consistent with operational efficiency. For office space, space allowances for planning purposes shall comply with Section 0110-11, Work Space Management Standards. Storage areas (or vaults) shall be located in light of the hazards of materials stored (e.g., radiation and criticality of nuclear materials), fire-fighting capabilities, and contamination control. Storage areas having heavy floor loadings shall be placed on grade or compacted fill.

Where practical, storage buildings shall be rectangular and windowless. The layout shall provide for efficient cleaning, maintenance, and ease of inspection. The storage building shall be designed to receive, ship, expedite identification of, inventory, place, store, and retrieve unirradiated and/or irradiated fissile material or other material capable of sustaining a chain reaction. Storage buildings shall be designed as dry and/or wet storage facilities as required.

Storage facilities shall be physically separated from process operations, areas for the storage of nonnuclear materials or equipment, and functions not directly required for storage operations. Where floor storage is operationally required, layout of floor areas and access areas shall take into consideration the requirements for secure location of storage containers, traffic control, and segregation. Physical protection of stored SNM shall comply with the DOE 5632 series.

Suitable physical compartmentalization shall be provided, as determined from the safety analysis, to limit the quantities of stored materials in each compartment to safe levels; ensure the necessary access features and controls; and satisfy the loss limitation criteria in Section 0110-99.0.7, Loss Limitations.

Story height shall be held to a minimum consistent with the structural framing system, required equipment height, and building utility systems. Generally, a clear height of 9 feet shall be adequate, with floor-to-floor height not exceeding 12 feet except where specific functions require special ventilation systems or where high-bay space is required for engineering development, semiworks, other equipment, or similar functional use.

Where economical, suspended ceilings shall be used to reduce HVAC loads and energy costs, to provide required acoustical properties, and to facilitate the maintenance of acceptable levels of cleanliness. Where the use of suspended ceilings is justified, floor-to-floor heights and space above the suspended ceilings shall be held to the minimum required to accommodate concealed piping, ducts, structural framing, and so forth.

Where an acceptable working environment can be provided by careful layout of exposed framing, piping, and ducts, the roof or overhead floor construction shall be designed to eliminate the need for ceiling finish (other than painting) or applied or integral acoustical treatment.

Corridor width(s) can be a controlling factor in the overall building size, and corridors shall be no wider than required for facility functions. All corridors and door openings shall meet NFPA 101 or more stringent requirements based on the hazards of materials to be handled or operations to be performed, as established by the cognizant DOE health and safety authority. The size and arrangement of interior corridors shall accommodate the following:

- Personnel traffic flow patterns
- Safety of building occupants
- Movement of equipment (including initial equipment installation, facility operations, and future replacement or removal)
- Ultimate decontamination and decommissioning of the facility, including equipment required during decontamination

Where room doors open into corridors, frames shall be recessed to prevent the open doors from encroaching on clear corridor spaces.

Equipment recessed in corridors, such as firehose racks or cabinets, drinking fountains, and pay telephones, shall be grouped together to the maximum extent possible.

#### 0110-99.0.5 Interior Walls and Partitions

Fixed partitions shall be provided for corridors and office space unless movable partitions are functionally and economically justified and satisfy fire safety and other health and safety requirements. Where movable partitions are used, module dimensions shall be selected from commercial stock sizes. Where appropriate, the use of partial-height partitions extending from the top of mutual-use pipe chases to the ceiling or slab above shall be considered to maximize consolidation of pipe runs, and flexibility in operations, and construction economies.

#### 0110-99.0.6 Fire Resistance

Development of the DBF shall include consideration of conditions that may exist during normal operations and special situations (e.g., during periods of decontamination, renovation, modification, repair, and maintenance). The structural shell surrounding the critical areas and their supporting members shall remain standing and continue to act as a confinement structure during the DBF under conditions of failure of any fire suppression system not designed as a safety class item. Fire resistance of this shell shall be attained by an integral part of the structure (concrete slabs, walls, beams, and columns) and not by a composite assembly (membrane fireproofing). In no event shall the fire resistance rating be less than two hours under conditions of failure of any fire suppression system not designed as a safety class item. Penetrations in this shell shall incorporate, as a minimum, protection against DBF exposures unless greater protection is required by other sections of these criteria.

#### 0110-99.0.7 Loss Limitations

The design shall provide sufficient structural integrity, fire resistance, compartmentalization, detection, and extinguishing systems and alarms (plus other engineered safety systems where required) to generally limit property loss from any single DBA (excluding earthquake and tornados) to less than \$1 million for those special facilities in which safety systems are provided and function properly, and to less than \$25 million where safety systems do not function properly. See Section 0110-6.3, Fire Resistance Ratings, and DOE 5480.7 for maximum possible fire loss criteria.

#### 0110-99.0.8 Personnel and Public Safety

The design of special facilities shall reduce the consequences of normal and DBA events by incorporating ARLA design concepts. Occupancy time, spacing, remote handling equipment, and shielding shall be considered. Automatic monitoring and alarm devices shall be provided (where required by the form and potential hazard of the material being handled or stored) to detect the presence of significant levels or increases of radioactivity and, if feasible, any other hazardous materials, either released in the special facility or escaping from it. (Where monitoring devices are not available for specific contaminants, sampling devices shall be substituted and be evaluated on a frequency sufficient to detect release levels of interest.) Cautionary systems or interlocks shall prevent inadvertent entry into hazardous areas. All safety alarm systems shall annunciate inside of the special facility so as to identify hazardous areas. The need for visual alarm devices within the special facility, in addition to audible alarm devices, shall be considered. Where alarms can preclude or minimize exposures outside of the facility, they shall be provided (such as criticality alarms or evacuation alarms).

DOE 5480.5 contains requirements for safety of nuclear facilities. In addition to its requirements, personnel exposure levels shall comply with Section 1300-6.2, Shielding Design, and exposure of the public shall comply with Section 1300-1.4, Guidance on Limiting Exposure of the Public.

Proper consideration shall also be given to chemical toxicity protection, as well as radiation protection. As an example, for unirradiated enriched uranium, under postulated accident conditions, chemical toxicity exposure will often be the controlling factor.

#### 0110-99.2 Emergency Preparedness Facilities

##### 0110-99.2.1 General

Emergency Preparedness Facilities shall be planned and their layout developed on the basis of repetitive modules with offices back-to-back and side-by-side, grouped according to office services, HVAC requirements, and functional disciplines. Arrangement of furniture, lighting, electrical receptacles, and other office features shall also be repetitive for offices requiring similar use, with special and movable equipment restricted to specific locations.

##### 0110-99.2.2 Building Layout

The building plan shall group areas of like physical characteristics and requirements. Exits whose sole function is for emergency use shall be equipped with seals. These doors shall be equipped with hardware that will allow personnel to leave but that will not enable entry or reentry to the facility.

##### 0110-99.2.3 Access by the Physically Handicapped

ECCs and EOCs shall be designed to accommodate the physically handicapped unless such physical handicap would prevent rapid response and evacuation.

If by necessity, ECCs are located in areas where evacuation probability is high and the facility or field office emergency plan excludes non-ambulatory personnel from ECC assignments,



then it is not necessary to adhere to the criteria of Section 0110-8, Accommodations for the Physically Handicapped.

**0110-99.7      Occupational Health Facility**

**0110-99.7.1      General**

In the planning of a new Occupational Health Facility, the estimated case load shall be developed in collaboration with the facility operating group. In addition, the available nearby community medical facilities shall be considered and the requirements of DOE 5480.8 shall be followed.

Where radiological hazards are a factor and an adequate community facility is not available, provisions shall also be made for emergency minor surgical, decontamination, and lifesaving medical care for casualties or injuries resulting from radioactive contamination.

The size and location of the facility shall depend on:

- The number and needs of employees to be served
- Extent of treatment and other activities included within the scope of the occupational health program
- The number of doctors and nurses required in accordance with DOE 5480.8
- Reasonably anticipated expansion
- The distance from hazardous operations
- The radiological conditions to be encountered

**0110-99.7.2      Location**

The location of the Occupational Health Facility in an immediate plant area will be influenced by the following factors:

- Noise level of nearby plant components
- Accessibility of the main occupational health facility to the greatest number of employees. Under certain conditions, a location near the main entrance to the plant to facilitate examination of employment applicants may be advantageous.
- Auxiliary Facilities. It may be necessary to place dispensaries or first aid field stations as auxiliary medical units in outlying or hazardous areas. These stations may occupy space in buildings provided primarily for other functions.

### 0110-99.7.3 Space Requirements

Space shall be provided to fulfill the current and foreseeable feature requirements for the following functions:

- Physical examinations, including preplacement, periodic, and termination examinations
- Diagnosis and pertinent treatment for immediate relief of injured and sick employees
- Preventive care and counseling, immunizations, and health education

### 0110-99.8 Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations

#### 0110-99.8.1 Centers and Repeater Stations

##### Architectural

Operating and equipment areas of centers and repeater stations that contain relays, switches, electronic devices, and other dust-sensitive equipment shall be designed to be relatively dust-free. To minimize the intrusion of dirt and dust into these operating and equipment areas, these areas shall be windowless and without skylights or roof windows. All exterior doors shall be weatherstripped. Access to the equipment and operating areas shall be through vestibules, foyers, corridors, or other buffer areas.

Operating areas shall be located and constructed to minimize outside noise interference and treated acoustically to maintain a low internal sound level commensurate with operating requirements.

Internal columns shall be avoided in areas of telecommunications, alarm, and ADP centers that require shielded enclosures.

Shielding against the electromagnetic or electrostatic effects of nuclear weapons shall be provided, as required, for those facilities that are located in structures specifically designed to withstand 5 psi or greater overpressure effects of nuclear weapons. Protective measures shall generally be in accordance with FEMA CPG2-17.

Flexible conduit connections for communications cables serving hardened centers shall incorporate sufficient slack to withstand potential displacement but shall not impair the installation or replacement of cables. Floor inserts shall be provided to secure the equipment to the floor through shock mounts designed to withstand anticipated blast effects. Ceiling inserts shall be provided to brace equipment racks and cabinets and to support overhead cable racks or trays.

Where radio communications or control equipment requires one or more exposed antennas having no significant blast resistance, provisions shall be made to replace the antennas from within the shelter. Normally retracted "pop-up" antennas, operable from within the hardened area, shall be provided.

The criteria of DOE/EP 0108 shall apply.

### Fire Protection

Noncombustible or fire-resistant construction shall be used, with specific attention given to areas or compartments used for storage of significant amounts of paper, stock, forms, cards, and other combustible material. Emergency engine-generators shall be isolated from operating and equipment areas and separated from other occupancies with two-hour fire-rated construction. Associated fuel tanks shall be buried outside in accordance with RCRA requirements or located away from buildings and protected in accordance with NFPA 30.

### Physical Security

For protected areas, all detection and alarm devices, including transmission lines to annunciators, shall be failure- and tamper-indicating in both the access and service modes. Such devices shall be connected to monitor or display panels in the hardened security force communications center. An alternative alarm annunciation point (or a comparable alternative capability) shall be provided in a location that is continuously manned by cleared personnel. This alternative alarm annunciation point shall provide a second indication of an alarm such that a response can be initiated in the event the primary station is compromised.

The headquarters locations of a central station alarm system shall be protected as follows:

- Commercial central alarm stations should be UL Class AA installations. The cognizant DOE authority should ensure that the selection of central station alarm systems equipment is based to the extent possible on available test data.
- Local law enforcement agencies' central stations are usually constantly attended. If response by local law enforcement agencies to an alarm device is required for facility approval, the connection to the local law enforcement agency's central station should comply with UL Class A of UL 365.
- Secure conference facilities and secure offices handling, processing, and/or discussing classified information must meet IDS standards as outlined in DOE 5636.3A and in the DOE TSCM Procedural Guide.
- Where transmissions of classified data outside security areas are involved, NSA-approved encryption shall be used or the signal lines shall be installed in accordance with DOE 5300.4B.
- Data processing, amplifying, telecommunications, and other systems that emit electromagnetic emanations, and communications lines to remote interrogation points used to process classified data processing information, shall be protected against compromise of such data in accordance with DOE 5300.2B, DOE 5300.4B, and DOE 5637.1.
- A primary and auxiliary power source shall be provided for protective alarm systems. See Division 16, Electrical, for power supply criteria.
- For the protection of classified matter, line supervision limits and/or line tamper alarm capability shall comply with UL Class AA.

- A DOE facility, contractor, or subcontractor possessing classified information under the protection of a central station alarm system shall have its alarm connected by direct, continuously supervised, leased line or by such other means so as to distinguish its alarms from all other customers of that central station.
- The line shall be continuously supervised so as to detect any attempts to bypass the alarm system surreptitiously by shorting, opening, or substituting a bogus signal for the legitimate "no alarm" signal.

ADP systems shall be protected as follows:

- All elements of an ADP system, including remote terminals, printouts, and memory, shall be afforded physical security protection commensurate with the most highly classified material processed by the system. Security controls to safeguard the physical equipment apply not only to the computer equipment itself and to its terminals, but also to all removable input or output media such as magnetic tapes and magnetic disk packs. Physical protection shall comply with the DOE 5632 series.
- An ADP facility shall be located in a security area to provide adequate physical protection. It shall be secured to a level commensurate with the most highly classified material handled by the system. It shall be securely locked and alarmed when no authorized personnel are in attendance.
- Remote terminal access to ADP systems shall be limited to authorized users by methods prescribed by the CSSO.

Requirements for ADP centers and, remote interrogation points processing classified information are as follows:

- ADP centers and remote interrogation points used for classified information shall be established as limited areas or be located within larger limited areas such that access is controlled as required by DOE 5637.1.
- When contained within a larger limited area, ADP centers and remote interrogation points used to process classified information shall have separate access controls and barriers to restrict access to classified information to those persons who require it in the performance of official duties and with the need-to-know.
- Where transmissions of classified data outside limited areas are involved, NSA-approved encryption shall be used or the signal lines shall be installed in accordance with DOE 5300.4B.

Requirements for secure communications centers are as follows:

- Communications centers handling classified messages shall be established as limited areas or be located within larger limited areas.
- When contained within a larger limited area, a communications center shall have separate access controls and barriers to restrict admittance to persons who require it in the performance of official duties.

## **0110-99.8.2 Telephone Switching Centers**

### **Layout**

Preliminary plans for telephone switching centers shall include preliminary design of all telecommunication requirements including telephone stations, switching centers, lines, cables, and wiring layouts.

Centers and their utility systems shall be sized to meet anticipated requirements five years after start of service.

### **Architectural**

The design of the switching equipment room shall facilitate expansion. For most efficient operation and maintenance, adequate space shall be provided for test equipment, maintenance records, parts storage, tools, and work areas.

Vaults shall be:

- Readily accessible from within the center
- Adequately lighted, ventilated, and drained
- Arranged to enable installation of cable-pressurizing facilities

## **0110-99.8.3 Teletype, Data, and Facsimile Centers**

### **Layout**

The configuration of operating, storage, and maintenance areas, the initial and ultimate space and utility requirements, and arrangements for effective control of access shall be determined in accordance with DOE 5300.1B and guidance from Headquarters Office of Computer Services and Telecommunications Management.

### **Architectural**

Centers that are electromagnetically shielded shall be windowless and without skylights or roof windows, shall have column-free operating areas; and shall have clear ceiling heights of not less than 8 feet.

Acoustic treatment shall be installed as required to maintain acceptable internal sound levels.

## **0110-99.8.4 Computer and Automatic Data Processing Centers**

### **Planning and Layout**

Layout, space, and utility needs of computer and ADP centers shall comply with:

- DOE 5637.1

### **DOE/EP 0108**

Planning and design of ADP centers shall comply with:

- OMB Circular A-130
- 41 CFR 101-35.3
- 41 CFR 101-36.7

Operations shall be located in the same or adjoining rooms. Supporting activities (storage, maintenance, power, and environmental control and scheduling, and administrative offices) shall be housed in separate rooms adjacent to the central operations area.

Storage areas for combustible material shall be physically isolated from equipment areas by fire-resistant walls and provided with adequate fire-extinguishing means.

Provisions shall be made for preservation of duplicate vital records. See NFPA 75, Chapter 6, and NFPA 232.

### **Architectural**

All areas of an ADP center shall be designed and finished to facilitate cleaning and to provide an environment essentially free from dust and the buildup of static electricity. Vinyl floor covering shall be provided in areas subject to lubricants and cleaners used in equipment operations, servicing, and maintenance.

Requirements for floor or raised floor wireways, equipment coding ducts, and piping shall be considered in the design of the floor to minimize shock and vibration of computers and other ADP equipment. Only rated noncombustible floor systems shall be installed in ureters where raised floors are to be provided .

Shielding shall be provided to protect magnetic recording equipment, magnetic tapes, and disk packs where electromagnetic fields of 10 microvolt per meter or 50 oersteds or greater can be expected.

Walls around secure ADP centers shall be constructed of concrete masonry units or other materials that are not easily penetrated.

### **Fire Protection**

ADP facilities shall comply with DOE/EP 0108 and NFPA 75.

### **Physical Security**

Each communications link that leaves an ADP facility shall be protected commensurate with the most highly classified material that it carries and in accordance with DOE 5.300.3B. If all communications links are not protected at the highest level of material carried by any one of

them, other security measures shall be installed to preclude transmission of classified material over unprotected links.

Only NSA-approved cryptographic devices or protected distribution systems shall be used to protect classified communication lines that pass outside the security area of an ADP system or facility. The specific security area of a facility will be defined in the ADP protection plan.

Each user of the system shall have the proper credentials and be authenticated before access is allowed. Acceptable methods of making sure the user is authorized are visual review by a guard or person in charge of the terminal or facility, an automated-type card access system, or a password system. The CSSO specifies the authentication method for the facility.

Measures shall be implemental to control compromising emanations from crypto-equipment and telecommunications systems in accordance with DOE 5300.2B, as determined by the Director of Computer Services and Telecommunications Management.

Measures shall be implemented on all new ADP equipment that processes classified material in DOE or DOE contractor facilities to prevent compromising emanations from such equipment and systems from being exploitable beyond the limits of effective physical control. The CSSO and CSOM have the responsibility for implementing and approving emanations security measures after a consideration of the risks. The measures applied should be commensurate with the sensitivity and amount of classified information processed and the vulnerability of the information or data processing installation to successful intercept attack. Listed below are methods for controlling compromising emanations. One or more of the first three methods shall be used in conjunction with the fourth to prevent compromising emanations beyond the limits of the effective physical control zone.

1. **Shielded Enclosures.** This may be a shielded room within which the equipment is contained or just an enclosure around the emanating equipment.
2. **Equipment Design.** ADP equipment maybe designed or modified to limit the strength of compromising signals to acceptable limits considering the control zone available. Radiation limit requirements shall be considered on a cost-effective basis for certain types of ADP equipment (input/output devices and CRTs) when being purchased or leased to process classified information.
3. **Control Zone.** A control zone of 50 feet is usually sufficient for most installations to preclude a successful hostile intercept action with the exception of input/output devices and CRTs. These devices shall be considered independently. A control zone of several hundred feet or more may be required.

A "control zone" as used in the preceding criterion is defined as the contiguous space above, below, and around equipment and distribution systems that is under sufficient physical and technical control to preclude interception of compromising emanations. The phrase "sufficient physical and technical control" in this definition means the degree of control such that security forces responsible for protecting a controlled space have the authority to investigate and remove any person or device of a suspicious nature that is detected therein.

4. **Installation Criteria.** The installation of ADP equipment and cabling shall comply with NSA NACSIM 5203 or other means approved by the CSOM to preclude compromising emanations from radiating beyond the control zone.

#### **0110-99.8.5      Radio Control Centers**

##### **Layout**

The space and utility requirements of radio control centers shall comply with DOE 5300.1B and guidance from Headquarters Office of Computer Services and Telecommunications Management.

Transmitters and receivers shall be located as close as possible to their antennas and, where practicable, under the surveillance and access control of the console equipment operator.

Provision shall be made for installation of map boards, network charts, station call signs, station authorizations, and other materials required to be displayed. These displays shall be located within easy view of the control equipment operator.

##### **Architectural**

Centers essential to DOE or DOE operating contractor functions shall be windowless and without skylights or roof windows.

Requirements for the following shall be determined, taking any protected expansion of the facility into account:

- Microwave waveguide and RF transmission line supports
- Ceiling, wall, or floor penetrations
- Special heat exhaust ducts
- Floor cable trenches
- Channels and inserts for cable racks and equipment supports

#### **0110-99.8.6      Fire Alarm Control Centers**

##### **Layout**

Alarm, supervising, and control equipment in fire alarm control centers shall be arranged to facilitate continuous surveillance and ease of access by the operator. All equipment, with the exception of storage battery plants and emergency engine generators, shall be located in the same or in immediately adjacent areas to facilitate testing, maintenance, and surveillance. Radio antennas shall be located as close as practicable to transmitters and receivers.



## Architectural

Where the center is located within a fire station and adjacent to the vehicle area, a structural barrier shall be provided to protect the alarm room from impact damage from fire trucks. Protection of relay and annunciating equipment from vibration due to vehicle door operations may require that sensitive equipment be shock-mounted and that large overhead doors be cushioned.

### 0110-99.8.7 Security Alarm Control Centers

#### Planning

Planning and design of security alarm control centers shall be determined in consultation with the cognizant DOE security authority and shall comply with the DOE 5632 series.

#### Layout

Security alarm control centers shall be arranged so that all alarm, communications, and auxiliary power equipment necessary for continuous operation of the system is contained within the center or within contiguous areas having the same degree of physical security, including access control.

Space shall be provided within the center for maintenance and for storage of spare units of line supervisory and alarm equipment.

The initial and ultimate space and utility requirements of each center shall be determined in consultation with the cognizant DOE security authority.

#### Architectural

Exterior walls shall be windowless. Roofs shall be without skylights or roof windows. For those security alarm control centers where windows are required for visual surveillance of areas outside the center, the windows shall be bullet-resistant and of other characteristics as determined by the cognizant DOE security authority.

Personnel entryways shall include substantially constructed doors, equipped with locks operable from within the centers. Where viewing ports or windows are provided in entry doors, their size and other characteristics (e.g., reflective or wired glass) shall be as determined by the cognizant DOE security and fire protection authorities. Windows or other openings are not allowed in Class A fire doors.

See Division 8, Doors and Window, for additional security-related requirements for doors and windows that form a portion of the perimeter of a security area.

Structural protection shall be provided against unauthorized personnel intrusion. Structural openings shall be kept to a minimum. The cognizant DOE security authority shall be consulted to determine requirements for structural protection of air intake and exhaust systems and other special security features.

Central alarm stations shall have separate access controls and barriers to restrict admittance to persons employed therein or requiring access in the performance of official duties on a need-to-know basis.

A hardened central alarm station (and protective force communications center) shall be quipped with radio and telephone channels of communication with local law enforcement agencies. An emergency alternative communications capability from a secondary station shall be provided for use in the event the primary station is compromised. Radio communications equipment shall remain operable in the event of a loss of primary electric power.

Communications equipment shall allow rapid, reliable, and protected information exchange among on-site protective forces; between on-site protective forces and the central alarm stations and secondary communications station; and among the central alarm stations, secondary communications stations, and local law enforcement agencies.

#### **0110-99.8.8      Radio Repeater Stations**

##### **Layout**

Radio repeater stations shall be positioned on the site so as to ensure access by all-weather vehicular and personnel to the station building, the antenna(s), the standby generator plant, and fuel storage tank. The design shall minimize risk of damage to the antenna structure and supporting guy lines from vehicular traffic and provide for future expansion.

##### **Architectural**

Exterior walls shall be windowless. Roofs shall be without skylights or roof windows. Space shall be provided for maintenance activities and storage of spare parts and tools.

Where antenna towers, poles, or masts are to be located off the building, interconnecting cables shall be placed underground or adequately supported by a messenger wire (or cable). The building end of the messenger wire shall not be secured to the bulkhead panel unless the panel and appurtenances are designed to support the load.

#### **0110-99.9      Vaults and Vault-Type Rooms for Storage of Classified Matter**

##### **0110-99.9.1      General**

Vaults and vault-type rooms for storage of classified material shall comply with the DOE 5632 series. Minimum delay time expectations shall be used as standard.

A vault shall meet the definition of an SNM vault (see Glossary). In addition, a vault shall include an intrusion alarm system activated by an opening of the door.

A vault-type room is one having a combination-locked door and protected by an intrusion alarm system activated by any penetration of walls, floors, ceilings, or openings, or by motion within the room.

#### 0110-99.9.2 Architectural

Vaults and vault-type rooms shall be windowless and without skylights or roof windows.

Vault-type rooms shall be penetration-resistant, with enclosures of fire-resistant construction and fire suppression detection systems appropriate to the hazards involved.

Vaults located outside of a secure and guarded area shall meet the criteria for substantial construction. Vaults located within a secure and guarded area shall meet the criteria for substantial construction or, if approved by the cognizant DOE security personnel, shall be a fire-resistant windowless enclosure with approved door locks and alarms, fire suppression and detection systems, and interior motion-detection devices.

Doors, hardware, locks, and, where necessary, windows shall meet the security-related criteria listed in Division 8, Doors and Windows. In addition, doors shall comply with UL 155 and UL 10A. For a vault not containing SNM, the type of door and frame complying with Class 5 Standards of FS AA-D-600B shall be the required level of physical protection. For a vault containing SNM, a type of door and frame complying with GSA-approved Class 5 vault door(s) shall be the required level of physical protection.

#### 0110-99.9.3 Substantial Construction

Where substantial construction is required, the vault enclosure (walls, floors, and roofs) shall provide, as a minimum, a penetration delay time equivalent to that provided by monolithic concrete at least 8 inches thick reinforced vertically and horizontally with not less than 5/8-inch-diameter steel reinforcing bars at not more than 6 inches on center. Pre-engineered metal buildings or other similar building systems shall not be used for substantial construction unless designed, constructed, and tested for the specific purpose.

#### 0110-99.9.4 Alarm Systems

When electronic alarm systems are used to protect classified matter, they shall be designed to meet site-specific protection needs; as a minimum, they shall meet FS W-A-450B or be approved by the cognizant DOE security authority. See Division 16, Electrical, for additional criteria for security alarm systems.

Exterior sensors that serve as the primary means of detection at a security area perimeter shall be designed to assure that a person crossing the perimeter will be detected whether walking, running, jumping, crawling, rolling, or climbing the fence at any point in the detection zone.

Intrusion alarm systems shall have a primary and auxiliary power source. Switch-over to the auxiliary power source shall be automatic. An alarm condition shall be indicated at the monitor on failure of power sources.

Alarm lines shall be continuously supervised so as to detect any attempt to bypass the alarm system surreptitiously by shorting, opening, or substituting a bogus signal for the legitimate "no alarm" signal.

**0110-99.10      Secure Conference Rooms**

**0110-99.10.1      General**

Conference rooms where classified information is discussed on a recurring or routine basis shall provide acoustical security commensurate with guidelines outlined in the DOE TSCM Procedural Guide.

The installation of telephones and other communication systems in conference rooms is highly discouraged. However, if their presence is deemed operationally essential, all such instruments should be equipped with jacks or other disconnecting devices to allow for disconnection during classified discussions.

**0110-99.10.2      Room Envelope**

The secure conference room envelope, consisting of walls, floors, ceilings, doors, door frames, and windows, and penetrations such as hardware, ducts and grilles, transfer grilles, pipes, electrical conduits, luminaires, and electrical devices and equipment shall be constructed and/or installed in accordance with guidelines established in the DOE TSCM Procedural Guide.

For design, the maximum expected sound levels to be generated within a secure room shall be used.

For design, the minimum expected sound levels to be generated outside the secure room shall be used.

The secure room envelope shall have an STC of not less than 45 in accordance with ASTM E413. Envelope materials, components, and assemblies shall be tested by a recognized testing agency to determine their acoustical performance.

See also Section 0950-99.10, Secure Conference Rooms.

**0110-99.11      Secure Offices**

**0110-99.11.1      General**

Offices where classified information is discussed on a recurring or routine basis shall provide acoustical security commensurate with guidelines outlined in the DOE TSCM Procedural Guide.

Telephones and other communication systems in offices in which classified discussions occur should be equipped with jack or other disconnecting devices to allow for disconnection during classified discussions.

**0110-99.11.2      Room Envelope**

The secure office room envelope, consisting of walls, floors, ceilings, doors, door frames, and windows, and penetrations such as hardware, ducts and grilles, transfer grilles, pipes, electrical conduits, luminaires, and electrical devices and equipment shall individually and

together provide a sound transmission loss that leaves the sound from the secure office always 15 db less than the background sound outside the room.

For design, the maximum expected sound levels to be generated within a secure room shall be used.

For design, the minimum expected sound levels to be generated outside the secure room shall be used.

The secure room envelope shall have an STc of not less than 45 in accordance with ASTM E413. Envelope materials, components, and assemblies shall be tested by a recognized testing agency to determine their acoustical performance.

See also Section 0950-99.11, Secure Offices.

## **0111            STRUCTURAL DESIGN REQUIREMENTS**

### **0111-1            GENERAL**

This section applies to the structural elements of buildings, bridges, other structures and facilities. The structural elements include, but are not limited to, the following

- All floor, roof, and wall framing members and slabs
- All piers, walls, columns, footings, piles, and similar elements of the substructure
- All other substructure and superstructure elements that are proportioned on the basis of stress, strength, and deflection requirements

Materials, framing systems, and details shall be compatible with the following:

- Clear space and span requirements
- Serviceability requirements
- Applicable fire protection classification
- Security requirements
- Foundation conditions
- Future expansion requirements
- Architectural treatment
- Climatic conditions

■ **Structural design loads for the specific facility and location**

Local availability of construction materials and labor force shall be considered in the selection of the structural system.

The structural design drawings shall indicate the design criteria, the structural materials and their strengths with applicable materials standards, the design loads including loads that can occur during construction, and the allowable foundation loads that were used in the design.

Where earthquake resistance is required, the cognizant DOE authority should consult LBL-9143 for practical guidelines for engineering earthquake safety and management planning and technical procedures for design of new facilities or evaluation of existing ones. This document provides a process for a cost-effective plan check or third-party review of the design approach. (See Section 0111-2.7.1, Buildings and Other Structures.)

**0111-2           LOADS**

**0111-2.1        General Requirements**

Structures and their elements shall be designed for the loads prescribed in these criteria.

**0111-2.2        Dead Loads**

**0111.2.2.1      General**

Dead loads are loads that remain permanently in place. They shall include the weights of all permanent materials and equipment, including the structure's own weight, supported in, or on, a structure. Load calculations shall include an allowance for any loadings anticipated to be added at a later date. Initially assumed loads shall be revised so that the final design reflects the configuration shown on the drawings.

**0111 -2.2.2      Unit Weights**

The unit weights of materials and construction assemblies for buildings and other structures shall be those given in ANSI A58.1. Where unit weights are neither established in that standard nor determined by test or analysis, the weights shall be determined from data in manufacturers' drawings or catalogs.

The unit weights of materials for highway structures shall be those given by AASHTO standards. The unit weights for railway structures shall be those given in AREA Manual for Railway Engineering (Fixed Properties).

**0111-2.2.3      Service Equipment**

Design dead loads shall include the weight of all permanent service equipment. Service equipment shall include plumbing stacks, piping, heating and air-conditioning equipment, electrical equipment, flues, fire sprinkler piping and valves, and similar fixed furnishings. The weight of service equipment that may be removed with change of occupancy of a given area shall be considered as live load.

#### 0111.2.2.4 Allowance for Partition Loads

The minimum allowance for the weights of partitions, where partitions are likely to be rearranged or relocated, shall be as follows:

- For partition weights of 150 plf or less, an equivalent uniform dead load of 20 psf shall be used.
- For partition weights above 150 plf, the actual linear loads shall be used.
- Partitions that are likely to be rearranged or relocated should be calculated as live loads for load factor design.

#### 0111-2.3 Live Loads

##### 0111 -2.3.1 General

Live loads shall include all loads resulting from the occupancy and use of the structure, whether acting vertically down, vertically up, or laterally. Operating, moving, stopping, and impact forces shall be considered part of the live loads. Live loads shall include neither dead loads nor loads from the environment, such as wind, tornado, earthquake, thermal forces, earth pressure, and fluid pressure.

##### 0111.2.3.2 Buildings and Other Structures

Live loads for buildings and other structures shall be those produced by the intended use or occupancy. In no case shall they be less than the minimum uniform load or concentrated load stipulated in ANSI A58.1.

Live loads on roofs shall be as stipulated in ANSI A58.1. They shall include the minimum roof live loads or the snow loads and snow drifts or possible rain loads stipulated therein, whichever produces the more severe effect.

In continuous framing and cantilever construction, the design shall consider live load on all spans and arrangements of partial live load that will produce maximum stresses in the supporting members.

##### 0111-2.3.3 Highway and Railway Structures

Live loads for highway structures shall be as stipulated in AASHTO HB-13. Unless specified otherwise, an HS 20-44 loading shall be used. Live loads for railway structures shall be as stipulated in AREA Manual for Railway Engineering (Fixed Properties). Unless specified otherwise, a Cooper E-80 loading shall be used.

0111-2.4      Wind Loads

0111-2.4.1      General

The structural frame and exterior components of all buildings, signs, tanks, towers, and other exposed structures shall be designed to resist pressures due to wind assumed to act from any direction. Partial wind loading shall be considered if it produces a more severe effect

0111-2.4.2      Buildings and Other Structures

Wind load design for buildings and other structures shall be determined in accordance with procedures in ANSI A58.1 with the basic wind speed being obtained from UCRL 15910.

The basic wind speed shall be derived from DOE site-specific hazard model studies summarized in UCRL 53526, Rev. 1 (available at DOE Field Offices or from the Office of Nuclear Safety, DOE Headquarters). If site-specific hazard model studies are not available, a hazard model shall be developed that is consistent with the approach used in UCRL 53526, Rev. 1.

The basic wind speeds for any specific site shall be determined following the procedures in UCRL 15910, except that Exposure "C" should be used for all construction unless it can be shown that the necessary permanent shielding will be provided by natural terrain (not including shielding from trees or adjacent buildings).

UCRL 53526, Rev. 1, provides accepted tornado and straight wind data. Site-specific studies may also be used.

To determine the design wind loads, all factors and coefficients stipulated in ANSI A58.1 shall be applied to the site-specific basic wind speeds.

Building additions shall be designed as parts of a totally new building without regard to shielding from the original building and without regard to lesser wind resistance for which the original building may have been designed. The possibility that the original portion of the building may require strengthening due to an increase in the wind loads acting on it shall be considered

0111-2.4.3      Highway and Railway Structures

The wind loads for highway structures shall be as stipulated in AASHTO HB-13.

The wind loads for railway structures shall be as stipulated in AREA Manual for Railway Engineering (Fixed Properties).

0111-2.5      Tornado Loads

The basic wind speed and missile parameters shall be derived from DOE site-specific hazard model studies summarized in UCRL 53526, Rev. 1. If site-specific hazard model studies are not available, a hazard model shall be developed consistent with the approach used in UCRL 53526, Rev. 1.



Structures other than critical facilities need not be designed for tornado loading.

When directed by the cognizant DOE authority, tornado protection such as occupant shelters and safe areas shall be provided within facilities.

FEMA TR-83A and FEMA TR-83B can be used as guidelines for selecting and designing safe areas for the protection of building occupants for tornadoes.

Facilities for radioactive material handling, processing, or storage, and other facilities having high value or vital importance to DOE programs that are classified as critical facilities, shall require special tornado loading criteria as stipulated in Section 0111-99.0, Nonreactor Nuclear Facilities-General.

**0111-2.6      Internal Shock and Blast Loads**

Building structures (excluding explosive facilities) that house operations that may release energy from rupture of equipment or explosions, either inadvertently or purposely (such as testing), shall be designed to control the resulting internal shock pressure loads per applicable criteria.

**0111-2.7      Earthquake Loads**

**0111-2.7.1      Buildings and Other Structures**

The basic seismic parameters shall be derived from DOE site-specific hazard model studies summarized in UCRL 53582. If site-specific hazard model studies are not available, a hazard model shall be developed that is consistent with the approach used in UCRL 53582. In applying UCRL 53582, specific guidance on relating frequency of occurrence to facility hazard levels shall be obtained from UCRL 15910.

Earthquake load design for buildings and other structures shall be determined in accordance with the procedures contained in the UBC and UCRL 15910. The provisions and design procedures of TM 5-809-10 for the application of seismic loadings to conventional buildings shall also apply. For critical facilities, the provisions and design procedures of TM 5-809-10.1 shall be used.

Facilities for radioactive material handling, processing, or storage, and other facilities having high value or vital importance to DOE programs that are classified as critical facilities, shall require application of dynamic analysis in determining structural requirements for earthquake loading as stipulated in Section 0111-99.0, Nonreactor Nuclear Facilities-General.

An independent review of the seismic design shall be made for facilities and buildings where a seismic event can have a potential risk to operator lives, to public safety, or of large economic loss. The review shall be made in two stages, the first at the end of preliminary design and the second before final design is complete. For additional guidance on independent reviews, see LBL-9143 and UCRL 15910.

#### **0111-2.7.2 Highway and Railway Structures**

The earthquake loads for highway structures shall be as stipulated in AASHTO HB-13 and AASHTO GSDB.

The earthquake loads for railway structures shall be as stipulated in AREA Manual for Railway Engineering (Fixed Properties).

#### **0111-2.8 Other Loads for Buildings and Other Structures**

##### **0111-2.8.1 Vibratory Loadings**

Equipment supports shall be designed to avoid resonance resulting from the harmony between the natural frequency of the structure and the operating frequency of reciprocating or rotating equipment supported on the structure. The operating frequency of supported equipment shall be determined from manufacturer's data prior to completion of structural design. Resonance shall be prevented by designing equipment isolation supports to reduce the dynamic transmission of the applied load to as low a level as can be economically achieved in the design.

##### **0111-2.8.2 Earth and Groundwater Pressures**

Every foundation wall or other wall serving as a retaining structure shall be designed to resist, in addition to the vertical loads acting on it, the incident lateral earth pressures and surcharges, plus hydrostatic pressures corresponding to the maximum probable groundwater level.

Retaining walls shall be designed for earth pressures and potential groundwater levels producing the highest stresses and overturning moments. When a water-pressure-relief system is incorporated into the design, only earth pressures need be considered. In cohesive soils, the long-term consolidation effects on the stability of the walls shall be considered. Lateral earth pressures shall be determined in accordance with accepted structural and geotechnical engineering practice.

##### **0111-2.8.3 Fluid and Gas Pressures**

The design of components of buildings and other structures shall include the effects of fluid and gas pressures, both internal and external.

##### **0111-2.8.4 Thermal Forces**

The design of structures shall include the effects of stresses and movements resulting from variations in temperature. The rise and fall in the temperature shall be determined for the localities in which the structures are to be built. Structures shall be designed for movements resulting from the maximum seasonal temperature change. The design shall provide for the lags between air temperatures and the interior temperatures of massive concrete members or structures. In cable-supported structures, changes in cable sag and tension shall be considered.

0111-2.8.5      Creep and Shrinkage Forces

Concrete and masonry structures shall be investigated for stresses and deformations induced by creep and shrinkage.

For concrete and masonry structures, the minimum linear coefficient of shrinkage shall be assumed to be 0.0002 inch/inch, unless a detailed analysis is undertaken. The theoretical shrinkage displacement shall be computed as the product of the linear coefficient and the length of the member.

0111-2.9      Other Loads for Highway and Railway Structures

Other loads for highway structures shall be as stipulated in AASHTO HB-13.

Other loads for railway structures shall be as stipulated in AREA Manual for Railway Engineering (Fixed Properties).

0111.2.10      Combination of Loads and Design Requirements for Buildings and Other Structures

Combination of loads, allowable stresses, and strength requirements for buildings and other structures shall be as stipulated in the UBC, except as otherwise indicated in 0111-99, Special Facilities.

0111-2.11      Combination of Loads and Design Requirements for Highway and Railway structures

Combination of loads and design requirements for highway structures shall be as stipulated in AASHTO HB-13. Combination of loads and design requirements for railway structures shall be as stipulated in AREA Manual for Railway Engineering (Fixed Properties).

0111-3      STRUCTURAL SYSTEMS FOR BUILDINGS AND OTHER STRUCTURES

0111-3.1      Framing

Buildings shall be framed to allow for simple formwork, fabrication, and construction procedures.

Structural systems shall be designed for ductile modes of failure to the extent feasible.

In the selection of a particular framing system, consideration shall be given to the structure's fictional requirements, including

- Column-free areas
- Floor-to-ceiling heights
- Number of stories

- Elevator, crane, or hoist installations
- Heavy loads
- Other particular requirements pertaining to the specific facility

#### 0111-3.2      Floors

Where the first first uses concret-slab-on-grade construction, the slab shall be placed on a free-draining aggregate base overlying a compacted subgrade. A plastic vapor barrier shall be used under the slab where moisture conditions warrant. Excessive loads or equipment subject to vibration shall be supported by separate pads isolated from the rest of the floor slab with flexible joints.

For framed floors, the economy of prefabricated systems shall be considered, especially systems that simplify the installation of mechanical, electrical, and communications services. Where concrete floors are used, the economy of fiat plate slabs shall be considered, with the objective of using their undersurface for ceilings.

#### 0111-3.3      Control Joints

Control joints shall be designed and placed in such a manner as to avoid structural distress and uncontrolled cracking from thermal expansion and contraction, concrete shrinkage, and movements due to wind and earthquake forces.

#### 0111.3.4      Foundations

##### 0111-3.4.1      General Requirements

The provisions of the UBC shall be the minimum requirements for foundations design. The potential adverse effects of frost heave and movements due to expansive soils shall also be considered in the design.

For all structures, Section 0201, Subsurface Investigations, shall be complied with to determine subsurface conditions, recommended foundation type, allowable design soil bearing pressure, seismic potential, and differential settlement.

##### 0111-3.4.2      Foundation Vibrations

Analysis of foundation vibrations, design to avoid resonance, and vibration and shock isolation, where required, shall be in accordance with Chapter 1 of DM-7.03.

#### 0111-4      STRUCTURAL SYSTEMS FOR HIGHWAY AND RAILWAY STRUCTURES

Structural systems for highway structures shall be consistent with the requirements of AASHTO HB-13.

Structural systems for railway structures shall be consistent with the requirements of AREA Manual for Railway Engineering (Fixed Properties).

**011149 SPECIAL FACILITIES**

**0111-99.0 Nonreactor Nuclear Facilities-General**

**0111-99.0.1 General**

Safety class structures are sometimes required in special facilities for the following reasons:

- For nuclear criticality safety
- To prevent or mitigate the release of quantities and concentrations of radioactive materials that have the potential to exceed the release guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public
- To achieve and maintain the facility in a safe shutdown condition

Section 1300-3, Safety Class Criteria, addresses the safety classification and required criteria for safety class structures.

Special facility structures that need not be designed to withstand severe natural phenomena or man-made events shall be designed to the requirements in Section 0111-2, Loads. A case-by-case written evaluation shall be performed to determine which structures or components may be excluded from these criteria.

Safety class items required to function during or following severe natural phenomena shall not be prevented from performing their required safety functions by the failure of components, systems, or structures that are not designed to the severe natural phenomena criteria.

Safety class structures shall be protected against dynamic effects, including effects of wind-driven missiles and discharging fluids, that may result from natural phenomena, accidents at nearby facilities, including military installations and transportation facilities, equipment failure, and similar events and conditions inside and outside the facility. The design bases for such events shall take into account their historic frequency and severity in the region of the site and the potential risk to the environment or the health and safety of the public. The type of severe events to be considered will vary among sites. However, earthquakes, tornados, straight winds and floods shall be addressed.

Facilities containing plutonium, other radioactive material, or other material that would be likely to produce significant health or safety hazards shall be evaluated as to the degree of risk, and more stringent criteria applied in structural design, as necessary.

Many buildings are subject to future additional ceiling-roof equipment loadings. In planning and designing buildings for special facilities, consideration shall be given to providing for a future 10 to 20 psf additional structural loading.

Where floor-mounted special facilities equipment will have a commonality of use, it shall be centrally located with respect to special facilities operations. Floor loadings and location of equipment and projections of future additional equipment requirements and their floor loadings shall be considered and provided for in structural planning and design.

Design criteria for enclosures of radioactive and other hazardous materials are provided in Section 1161, Enclosures.

The design of structures, including their supports, that are confinement system barriers shall ensure satisfaction of the fictional requirements for the specific confinement system they are part of. In addition, safety class confinement barriers (barriers whose continued integrity is shown by a safety analysis to be required following severe natural phenomena, including the DBE, and man-made events) shall be designed to withstand secondary events as well as primary events. Potential secondary events might be fire, explosion, or nuclear criticality caused by the DBE.

In no case shall the total combustible loading located in a fire area exceed the fire resistance rating of the area enclosure. This shall be documented in a fire risk analysis.

#### 0111-99.0.2 Tornado and Extreme Wind

##### Determination of Design Basis Tornado

DOE site-specific hazard model studies summarized in UCRL 53526, Rev. 1 (available at DOE Field Offices or from the Office of Nuclear Safety, DOE Headquarters), shall be used to select the DBT and extreme wind characteristics for the design of structures. If site-specific hazard model studies are not available, a hazard model shall be developed consistent with the approach used in UCRL 15910.

In applying UCRL 53526, Rev. 1, specific guidance on relating frequency of occurrence to facility hazard levels shall be obtained from UCRL 15910.

##### Tornado Analysis

In designing for tornado or extreme wind resistance:

- The tornado rotational speed shall be appropriately combined with the translational speed.
- Resulting loads from the rate of pressure drop, taking into consideration any pressure equalization due to permissible venting, shall be combined with velocity-induced pressure loads as stipulated in UCRL 15910.
- UCRL 53526, Rev. 1, provides the characteristics of typical potential tornado-generated missiles. Additional missiles may be identified from review of on-site sources and possible missiles that could be borne to the site by a tornado. Both small high-velocity missiles and massive low-velocity missiles shall be considered separately in terms of penetration, perforation, or crushing effects. The horizontal and vertical velocities of the missiles shall be combined in the design.

- The minimum wind speed used shall be 70 mph.

Loads resulting from the DBT shall be assumed capable of occurring at any time. However, for design purposes, DBT loads need not be assumed to occur simultaneously with other severe site-related events such as an earthquake, fire, or flood, except where the simultaneous occurrence is related (e.g., fire from lightning or other wind-related causes).

#### 0111-99.0.3 Floods

The design loads from flooding shall comply with UCRL 15910. In calculating design loads from flooding, a conservative approach shall be taken to ensure that the loads used in the design are as follows:

- Greater than the maximum historic levels recorded for the site
- No less than the probable maximum flood (PMFL)

#### 0111-99.0.4 Earthquakes

##### General

The systems, components, and structures that shall be designed to meet these special facility criteria shall be identified through a written evaluation (see Section 0111-99.0.1, General). Such systems, components, and structures shall be designed to provide their passive or active functions as required by the SAR in accord with their designated safety classes.

Site-specific seismic parameters shall be determined for a DBE. The SSE shall be equivalent to the DBE.

New seismic design, including additions or modifications to existing structures, shall be reviewed by a qualified, independent organization. This review must include evaluation of the design approach, the lateral force resisting system, and the design detailing, per UCRL-15910. TM-5-809-10 can be used for design detail guidance.

##### Earthquake Occurrence

The DBE shall be assumed capable of occurring at any time, accept that the simultaneous occurrence with any other limiting site-related event such as a tornado, fire, or flood need not be considered for design purposes, except where the joint occurrence is causally related (e.g., fire or flood).

##### Determination of DBE

Generally, a DBE shall have a ground acceleration of 0.1g or greater. To determine the DBE, site-specific earthquake hazard models and response spectra given in UCRL 53582, Rev. 1, shall be used to select the appropriate seismic ground acceleration. Design guidance in UCRL 15910 shall be used in applying UCRL 53582, Rev. 1. Site-specific studies can be substituted for the UCRL 53582, Rev. 1, data where no data exist or where a higher level of detail is required. The DBE shall be defined by design response spectra, appropriate for the site, or by acceleration time histories, representative of the anticipated ground motions.

## Seismic Analysis

The adequacy of systems, components, and structures to withstand a seismic event shall be verified by a dynamic analysis, except where it can be demonstrated that the use of a simplified approach, such as a static load method, component testing, or a combination of testing and analysis provides assurance of adequate seismic design. The ratio of vertical-to-horizontal acceleration shall be two-thirds, unless site-specific data justify the use of a different ratio.

The use of earthquake experience data is an acceptable approach to the seismic qualification of safety class items. If experience data are used for seismic qualification, the following shall be considered:

- Safety class equipment shall be essentially identical to the equipment that has data in the experience data base (i.e., this comparison should take into account the manufacturer, vintage, and general configuration of such equipment).
- Equipment shall be mounted and anchored in essentially an identical fashion as that for the experience data base equipment.

Consideration of the above and an independent review of this consideration must be adequately documented.

### 0111-99.0.5 Aircraft

Unless the safety analysis can demonstrate that the risk from an aircraft crashing into the facility is acceptable, potential aircraft crashes shall be considered among the spectrum of man-made missiles that confinement structures shall be designed to withstand or against which they shall be protected.

### 0111-99.0.6 Nearby Explosions and Externally Generated Missiles

The potential effects of a major explosion at a nearby facility or transportation route shall be considered among the spectrum of external blast effects and missiles that confinement structures shall be designed to withstand or against which they shall be protected.

### 0111-99.0.7 Explosion, Internal Pressurization, Criticality, and Other DBA Causes

The probable consequence of DBAs involving internally generated missiles or blast effects shall be considered. Such DBAs typically involve failure of high-speed rotating machinery, cranes, experimental facilities, high-energy fluid system components, or explosives. Structures required to function following such accidents shall be designed to withstand these DBAs.

### 0111-99.0.8 Load Combinations

Safety class structures and structural members shall be designed to resist the appropriate load combinations provided in UCRL 15910.



### Concrete Structures

Concrete structures and structural members for safety class concrete structures shall meet the design and construction requirements of ACI 349 for new construction or original (or equivalent) codes for existing construction providing the margin of safety of the overall facility is maintained.

### Steel Structures

Safety class steel structures shall meet the design, fabrication, and erection requirements of AISC N690 for new construction or original (or equivalent) codes for existing construction providing the margin of safety of the overall facility is maintained.

### 0111-99.4      Explosives Facilities

Explosive facilities shall comply with TM 5-1300 and DOE/TIC 11268.

### 0140      QUALITY ASSURANCE

Facility design shall be conducted under QA requirements to ensure that the established program and project quality objectives are satisfied. A QA program shall be developed and implemented in compliance with DOE 5700.6B and using the elements of DOE 4700.1 and ANS ANSI/ASME NQA-1.

Control mechanisms shall be established to ensure that: (1) design inputs are correctly translated into design documents in a timely manner; (2) organizational and physical interfaces are identified and controlled; (3) changes to design are controlled in a manner commensurate with the original design; (4) the design is independently verified to be adequate; (5) documentation and records of the design and design verification processes are maintained in accordance with the QA program.

QA encompasses all those planned and systematic actions and controls necessary to provide adequate confidence that a structure, system, or component will perform satisfactorily in service. QA includes *quality control*, which includes actions needed to ensure that the physical characteristics of a material, structure, component, or system meet predetermined requirements.

An adequate QA program provides the following assurances

- Organizational interfaces are identified and controlled.
- The design is independently verified to be adequate.
- A document control system is in place
- A change control system is in place.

The QA program shall include quality control functions in the following areas:

- The design will satisfy program and project requirements.
- The prepared drawings and construction specifications adequately incorporate QA, design, and codes and standards requirements and are available in a timely manner.
- Construction can be performed in accordance with design.
- Tests, reviews, or inspections confirm the adequacy of design and quality of construction and manufactured components, where appropriate
- Lock and tag systems are provided for turnover acceptance, maintenance, and system outages.

As a part of the QA program, architectural and engineering portions of design should be closely coordinated and *functionally analyzed* during the conceptual, preliminary (Title I), and detailed (Title II) design phases to avoid conflicts that could result in costly changes during construction. Prior to initiating Title I and Title II design, QA requirements shall be established for the project systems, subsystems, and components. The following shall be determined:

- What the facility is to accomplish
- The range of operating conditions
- The required degree of reliability
- The intended useful life
- How it can be maintained, repaired, or replaced

Wherever possible, design shall reflect experience gained on similar projects or similar types of construction.

Provisions shall be made for review and checking design calculations, drawings, and construction specifications by qualified personnel other than those responsible for the original design.

Deviations from specified standards shall be identified and procedures established to ensure their control.

To the extent practicable, and particularly in the case of innovative design, the design should be independently reviewed by competent consultants in construction or manufacturing techniques to confirm the practicability of construction or manufacture.

0150

**CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS**

0150-1

**SITE DEVELOPMENT**

During site development construction activities:

- The area beyond the construction limits shall not be unnecessarily disturbed.
- The impact of construction activities on the environment and existing facilities shall be minimized through the use of silt fencing, dust palliative, soil waterproofers, etc., in accordance with TM 5-830-3.
- Site resources such as soil, timber, and water shall be effectively used.
- Potential effects on existing safeguards and security shall be assessed and necessary precautionary measures implemented.
- Construction materials and installed work shall be protected from damage.
- Construction materials and installed work that have been damaged during construction activities shall be replaced.
- Existing utilities and other structures that are to remain in place shall be located by survey, staked, and protected from disturbance.

Where feasible, the following temporary and permanent facilities shall coincide to minimize the area of disturbance within the construction limits:

- Drainage and erosion control measures
- Horizontal and vertical access road alignments
- Parking and storage areas

See Section 0250-3, Roads, and Section 0250-4, Parking Areas, for further criteria.

Where construction will affect off-site activities, local government and law enforcement agencies shall be notified.

Traffic control measures shall be implemented to minimize interference between construction activities and local vehicular and pedestrian traffic. Work zone traffic control plans shall be implemented and shall include necessary barricades, detours and signage. Such plans shall comply with ANSI D6.1.

Disturbance of the natural terrain shall be minimized during site grading. Where feasible, natural flora on or adjacent to the construction site shall be preserved and protected from vehicular and pedestrian traffic with temporary fencing.

In locations where topsoil is not readily available, all topsoil within the area of disturbance shall be scalped and stockpiled in a designated location, for later use in landscaping and revegetation efforts.

Excess topsoil, if any, shall be preserved and stockpiled in a designated location for future use at other construction sites.

Natural flora in unlandscaped areas shall be reestablished where disturbed by construction activities.

Revegetation operations shall coincide with other landscaping activities.

Where revegetation is not feasible due to adverse climatic conditions (i.e., desert climate) other methods of soil stabilization shall be implemented (e.g., terraces, benches, dikes, chemical soil stabilizers, mulches and mulch tacks).

Cultural resource sites of archaeologic and historic significance that lie within the boundaries of DOE installations shall be identified within the site EIA.

Archaeologic and historic sites shall be reconsidered during preparation of CDRs and ADMs for new construction. New construction shall comply with each site's historical preservation plan. Archaeologic and historic site clearances for new construction shall be obtained prior to Title I Preliminary Design. The evaluation and mitigation process associated with archaeologic and historic site clearances shall include testing, documentation, site stabilization (preservation measures), and consultation with the State Historical Preservation Office. Where feasible, archaeologic and historic sites shall be protected and preserved in accordance with Executive Order 11593, Section 106 of the NPHA of 1966, and 36 CFR 800.

Construction materials and installed work at the construction site shall be protected from damage. Temporary security fencing shall be installed, as required, at unsecured construction sites to prevent vandalism or theft. See Section 0150-5, Temporary Security Fencing.

## **0150-2            TEMPORARY UTILITIES**

The conditions and requirements for the following temporary utilities shall be considered on a project-by-project basis:

- Water service
- Sanitary wastewater disposal
- Stormwater drainage
- Refuse collection
- Electrical power

Where feasible, temporary utility service shall be taken from existing utility distribution or collection systems. All temporary utility service connections shall be coordinated, as

appropriate, with franchised utilities or with the cognizant DOE Facilities Engineering Group. Routes selected for temporary utility services shall mitigate interference with construction activities. As required, temporary utility services shall be removed after installation of permanent utility services.

#### 0150-3 TEMPORARY AND SPECIAL WIRING FOR TELEPHONE FACILITIES

The need for temporary telephone facilities shall be assessed on a project-by-project basis. Overhead or buried cable shall be used to provide interim service to telephone facilities during construction activities. Routes selected for temporary telephone cable shall mitigate interference with construction activities. Temporary and special wiring (including utility poles and buried cable) shall be removed after installation of permanent telephone wiring systems. See Section 1075, Telephone Facilities.

#### 0150-4 POLLUTION AND SOIL EROSION CONTROL

##### 0150-4.1 General

Pollution and soil erosion controls shall be implemented during construction activities to mitigate impacts on air, water, and other environmental resources and to assure compliance with Federal, State, and local laws and regulations.

Site-specific industrial waste problems shall be considered prior to construction. Special construction permit and environmental protection requirements shall be addressed at a pre-bid conference and shall be clearly stated within the contract documents.

##### 0150-4.2 Solid Waste

Precautions shall be taken to prevent conveyance of wind-borne refuse beyond the construction limits. Such material shall be collected on a regular basis and consolidated on-site. Construction site refuse and other solid waste shall be hauled to an approved landfill on a regular basis.

Provisions shall be taken to prevent accumulation of mud and soil on adjoining paved roadways during construction activities.

##### 0150-4.3 Air Pollution

Construction refuse and other solid waste shall not be disposed of on-site by open burning without prior approval of the cognizant DOE Facilities Engineering Group. Precautions shall also be taken to minimize the release of gases, vapors and exhaust emissions during site development construction activities.

Dust palliative and soil waterproofer shall be used to mitigate air quality impacts. Generation of airborne particulate matter by vehicle movement shall be minimized in accordance with TM 5-830-3 by limiting the area of disturbance at the construction site, by frequent roadway spraying with water or surfactants, or by other methods that adequately control dust. To mitigate dust generation during construction activities, placement of

permanent roadway and parking area pavements shall be scheduled during early stages of construction. Where pavement damage by construction equipment precludes early placement of permanent roadway and parking area pavements, base course material shall be among other dust control measures considered as alternatives.

**0150-4.4      Water Pollution and Soil Erosion**

Effective temporary measures shall be implemented to minimize water pollution and soil erosion during construction activities. Where feasible, placement of permanent site improvements (e.g., drainage, erosion control, landscape, roadway base course and pavement) shall be scheduled during early stages of construction to minimize the duration of exposure of erodible soils. Temporary stormwater diversion and detention facilities shall be provided where early placement of permanent improvements is impractical. During construction, temporary bridges or culverts shall be provided at all access road drainage crossings. Where feasible, construction activities shall be scheduled to avoid the rainy season.

Precautions shall also be taken to prevent discharge of liquid contaminants (such as fuels, lubricants and other toxic substances) to the environment. Temporary facilities for disposal of sanitary wastewater shall be provided.

**0150-4.5      Noise Pollution**

The impact of noise pollution on site personnel, adjacent activities and existing facilities shall be assessed prior to site development construction activities. Precautionary measures shall be implemented to mitigate such impacts where they are significant.

**0150-4.6      Demolition and Decommissioning**

See Section 0205, Demolition, Decontamination, and Decommissioning.

**0150-5      TEMPORARY SECURITY FENCING**

Prior to construction the following conditions and requirements for temporary security fencing shall be implemented:

- Exclusion of unauthorized vehicular and pedestrian traffic from the construction site
- Restriction of authorized vehicular traffic to designated access roads
- Protection of construction materials and installed work

Temporary security fencing shall be installed, as required, at unsecured construction sites to prevent vandalism or theft.

Temporary security fencing shall provide a level of integrity and a clear zone to suit site-specific conditions.

Temporary security fencing shall be consistent with site-specific security and protection goals and operational requirements.

See Section 0283-3, Permanent Security Fencing.

**0170                    CONSTRUCTION CONTRACT CLOSEOUT**

**0170-1                MATERIAL TO BE PROVIDED**

Prior to final acceptance of a completed contract, the construction contractor shall provide the Contracting Officer various data needed for the successful operation of the facility and material assuring compliance with the construction documents. Contract documents shall ensure that the contractor is responsible for delivering these items.

The following *noninclusive* listings indicate the basic information to be submitted. The design professional shall *delete* from the listing inapplicable items and *add* project-specific requirements.

Project documentation:

- Record drawings
- As-built drawings
- Welder IDs, welder qualification records, inspection reports, original radiographic films, weld maps
- Action response to inspection reports
- Bonds
- Weekly certified payrolls
- Insurance certificates
- Names of contractors, subcontractors and suppliers
- Minutes of progress meetings
- Measurement and calculation for pay items
- Original and revised construction schedules
- Accident reports
- Photos
- Reports of progress and problems

- Names of the responsible parties, addresses and telephone numbers

Final survey locations and physical features including:

- Building corners
- Roads
- Parking lots and sidewalks
- Quality assurance records
- Above- and below-ground utilities, valves, and utility structures

Data to be furnished shall be tied into horizontal control system using established coordinates or baselines and property lines. Vertical control system shall use USGS or other recognized bench marks. See Section 0202, Surveying.

Field test reports:

- Construction components test (to demonstrate conformance to design documents)
- System operational test (to demonstrate conformance to design documents)
- HVAC testing and balancing
- Pressurization/vacuum and leakage tests of piping, tanks and equipment
- Sterilization of potable water systems
- Filtration
- Acoustical
- Vibration
- Backfill (material type, proctor tests, compaction)
- Concrete (slump, air entrainment, cylinder and beam strength)
- Smoke evacuation
- Fire suppression
- Fire and smoke detection
- Grounding
- End-to-end function for electrical, instrumentation, and communications



- Security system performance/demonstration
- Wire continuity and hi-pot/megger
- Communications
- Energy management systems and devices
- Emergency generation/UPS
- Blockage tests and flow tests for sewer lines
- Cathodic protection
- Lightning protection
- Asphalt (classification, gradation, thickness, compaction)
- Base material (material type, thickness)
- Excavation (soil type, elevation of rock, elevation of water table)
- Pile driving data sheets including hammer size and energy, blows per foot and final tip elevation
- Pile load test results
- Timber test reports

Manufacturers' and supplier's data including contractor-designed items:

- Warranties
- Manufacturing inspection certificates
- Manufacturing performance certificates
- Standards certification documentation
- Listing of local service companies
- Listing of emergency "Hot Lines"
- Wire lists
- Operating and maintenance manuals (normal and emergency)
- Spare parts lists
- Concrete mix designs, asphalt mix design

- Shop drawings as approved
- Laboratory, shop and mill tests' results of materials and equipment
- Samples
- Pre-engineered building calculations and certification
- Truss design calculations
- Material fire testing documentation
- Schematic and one-line electrical system diagrams
- Normal voltage levels
- Switching arrangements
- Equipment descriptions
- Load capacities
- Short-circuit interrupting ratings
- Plant material watering, fertilizing, pruning, spraying mulching, mowing, aerating, dethatching schedules
- Material Safety Data Sheets

#### **0170-2            CLOSEOUT PROCEDURES**

**The Contracting Officer shall initiate contract closeout procedures. Construction completion and closeout procedures shall be as required by DOE 4700.1.**

## **Division 2**

### **Site and Civil Engineering**

#### **0200            SITE DEVELOPMENT**

##### **0200-1        FACILITY SITING**

##### **0200-1.1      General**

The selection of sites for new facilities shall comply with DOE 4300.1B. Site development and facility utilization planning shall comply with DOE 4300.1B. Site development planning for energy management shall comply with DOE/MA 0129. A site development plan shall be used to locate new facilities on existing or new sites to assure effective site utilization and to preclude future conflicts between existing and new facilities.

During site selection for new facilities the following conditions and requirements shall be considered:

- Programmatic and operating efficiency
- Natural topographic and geologic conditions
- Existing cultural, historic, and archeological resources
- Endemic plant and animal species
- Existence of known RCRA and/or CERCLA sites
- Special siting requirements for facilities containing, using, or processing hazardous materials
- Health, safety and environmental protection requirements
- Indoor air quality impacts (e.g., presence of radon in foundation soils, building materials that off-gas irritating-chemical vapors and the need to "bake out" new buildings prior to occupancy)
- Hazardous operations and consequences of potential accidents in adjacent facilities

- Natural hazards including seismic activity, wind, hurricane, tornado, flood, hail, volcanic ash, lightning and snow
- Wave action within any natural or man-made body of water (in accordance with CERC Shore Protection Manual)
- Physical protection requirements
- Security and safeguard requirements
- Adequacy of existing or planned support and service facilities, including utilities, roads, and parking areas
- Interrelationships between facilities and aesthetic compatibility
- Energy conservation requirements
- Impact of site selection

Location analyses performed during the preparation of CDRs shall consider but not be limited to these same criteria. The NEPA/DOE 5440.1C require the preparation of an environmental assessment prior to the initiation of a government action that may significantly affect the environment. These requirements shall be considered during facility siting.

To the extent possible, facility siting shall preclude the use of floodplains or areas subject to flash floods and shall minimize destruction, loss, or degradation of wetlands. See Section 0276, Construction in Floodplains or on Wetlands.

#### **0200-1.2      Radiological Siting Requirements**

Radiological siting requirements shall be considered during site selection and facility planning efforts.

For those facilities in which radioactive materials are processed, used, or stored, or those facilities that incorporate radiation-producing machines, the acceptability of the site shall be evaluated in terms of potential radiological consequences. The accidents to be considered are those attributable to both operational events (determined by using a deterministic and/or a probabilistic approach) and natural phenomena as applicable to the facility and the site.

For a deterministic analysis, events to be analyzed are those judged to have maximum consequences based on technical review of the specific facility design and related radiological processes. For a probabilistic analysis, events to be considered are those events whose annual probability of occurrence exceeds  $10^{-6}$ . These analyses provide the basis of judgment for selection of one site over alternative sites and for overall risk of operation of the facility.

Radiation dose to an off-site individual receiving maximum exposure shall be evaluated. For both on-site and off-site individuals, emergency response planning shall be an important criterion in determining the acceptability of a site. Dose refers to dose equivalent in rem from exposure to radiation directly received by the body from an external source and/or from

radioactive materials taken into the body by inhalation or ingestion. Dose shall be calculated and compared to the dose guidelines established below. Comparisons shall be based on a 50-year committed effective dose-equivalent.

The off-site individual receiving the maximum dose shall be assumed to be located at the point of highest concentration (or highest exposure rate) outside the boundary controlled by the site. Meteorological conditions used in dose calculations shall be representative of unfavorable dispersion, determined by comparing the 0.5 percent dispersion factors (X/Q) for each sector to the 5-percent overall site X/Q and selecting the highest value. The dose assessment shall consider both the duration of the event and, consistent with emergency response capability to control or evacuate individuals, the duration of exposure. The duration of exposure should not exceed two hours. The dose calculated shall be compared to the numerical guidelines within 0200-1.2, Radiological Siting Guidelines.

Consideration shall be given to on-site individuals. Prudent measures associated with the radiological protection of on-site personnel and in conjunction with the on-site emergency response planning, as required through implementation of DOE 5500.3, shall be incorporated into the design and siting of a new facility.

Information on the siting evaluation, including the models, parameters, and assumptions used in the dose calculations shall be documented for use in the facility's SAR and emergency response plans. See DOE 5440.1C and DOE 5481.1B.

#### 0200-1.3 Radiological Siting Guidelines

The following siting guidelines apply to off-site individuals receiving maximum dose from exposure to internally-deposited radioactive materials and/or to radiation from external sources. Guidelines are based on a 50-year committed dose equivalent.

The maximum calculated dose shall not exceed 25 rem to the whole body, 300 rem to the thyroid, 300 rem to the bone surface, 75 rem to the lung, or 150 rem to any other organ. If multiple organs receive doses from the same exposure, the effective dose equivalent from all sources shall not exceed 25 rem when calculated by using the ICRP Report No. 26 weighting factors.

These siting guidelines apply to nonreactor nuclear facilities. Siting and design criteria for nuclear reactors appear in DOE 5480.6.

The use of doses as set forth in these guides is not intended to imply that these doses constitute acceptable limits for emergency doses to the public under accident conditions. Rather, these are reference values that can be used in the evaluation of facility design in combination with the suitability of the site with respect to accidents having a low probability of occurrence and low risk of public exposure to radiation.

When calculating these doses, degraded performance of ESF and administrative controls shall be assumed unless they can be clearly shown to be unaffected by the accident (capable of performing their safety function) by proper design, installation, testing, and maintenance according to prescribed standards.

Guidance for implementing the criteria of Section 0200-1.2, Radiological Siting Requirements, is available in LANL LA-10294-MS. However, this guidance does not apply to high-level waste repositories with respect to earthquake siting and design.

## **0200-2 BUILDING LOCATION**

New buildings and building additions shall be located in accordance with the site development plan. During site selection for new buildings the following conditions and requirements shall be considered:

- Architectural and functional compatibility with the environment
- Operation and service functional relationships
- Natural topographic and geologic conditions
- Existing cultural and archeological resources
- Historical sites
- Abandoned mines or wells and potential for subsidence
- Endemic plant and animal species
- Availability of existing utility services
- Building setback requirements
- Availability of existing road systems
- Traffic volume
- Refuse handling and loading zone requirements
- Adequacy for parking, future expansion, and other land use requirements
- Health, safety, and environmental protection requirements
- Indoor air quality impacts (e.g., presence of radon in foundation soils, building materials that off-gas irritating chemical vapors and the need to "bake out" new buildings prior to occupancy)
- Physical protection requirements
- Security and safeguard requirements
- Energy conservation requirements
- Impact of site selection

- Minimum fire separation between buildings (in accordance with NFTA 80A)

Open space shall be provided between structures (to accommodate site security, landscaping and other environmental considerations).

Sufficient access shall be provided around building exteriors (to accommodate emergency vehicles, maintenance vehicles and snow removal equipment).

In cold climates, building entrances, stairs and other pedestrian circulation features should not be placed along the north side of buildings or within shaded areas.

Sites selected for new buildings shall comply with DOE 4330.2C.

Location analyses performed during the preparation of CDRs shall consider but not be limited to the above criteria.

## 0200.99 SPECIAL FACILITIES

### 0200.99.0 Nonreactor Nuclear Facilities-General

#### 0200-99.0.1 General

Site evaluation and studies necessary to provide the technical basis for location, design, and operation (under normal conditions, severe and extreme environmental conditions, and DBA conditions) of the facility shall include but not be limited to the items indicated below. In addition, appropriate consideration shall be given to the long-term and immediate consequences of releases of radioactive or other hazardous materials to the environment. New site selection requirements and procedures are also prescribed in DOE 4300.1B.

#### 0200.99.0.2 Other Facilities and Operations

The potential hazards from other on-site facilities and off-site sources of hazards that could affect the safe operation of the special facility shall be considered. Typical on-site hazards are fire, explosion, radioactive materials, gas mains, large quantities of explosives, flammable gases, and other hazardous materials. Off-site hazards can be due to the facility's proximity to airports, transportation routes (highways, railways, and waterways), private industrial facilities, and military facilities.

#### 0200-99.0.3 Services

The proximity of the facility to utilities, the fire department, and other services shall be considered.

The design of utility services shall provide reliability consistent with operational requirements, the value of in-process product, and the potential hazard for identifiable probable conditions. Utility systems essential to the support of safety class items shall be designed as safety class items.

#### **0200-99.0.4 Meteorology**

Available meteorological data shall be considered to identify conditions that may influence the design and operation of the facility. Meteorological data to be considered shall include expected annual ranges and distributions of the following variables:

- Wind direction and speed
- Atmospheric stability
- Temperature
- Atmospheric water vapor
- Mean joint temperature and specific humidity

As a minimum, at least one year of valid meteorological data shall be used to properly develop estimated joint frequency distributions of wind speed and stability conditions. These data shall be used to estimate the dispersal of effluents under normal and accident conditions.

#### **0200-99.0.5 Hydrology**

Site studies shall be performed to determine ground water levels, flood runoff, drainage, and other hydrological characteristics that could influence the design or operation of the facility. The hydrological studies shall include the following:

- Flooding (probable maximum flood, 100-year flood, 25-year flood, flash flooding, flood due to tsunamis, flooding due to dam failures, flooding due to ice jams)
- Ground water levels
- Tsunamis
- Dam failures and breaches (including seismically induced dam failures)
- Ice loadings from water bodies
- Potential transport of radioactive and chemical contaminants in surface water and in groundwater

#### **0200-99.0.6 Seismology**

Studies shall be performed to determine site features such as ground failure under dynamic loading, surface faulting, liquefaction, vibratory ground motion, and site amplification that could influence the design or operation of the facility.



**0200-99.8      Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**

**0200-99.8.1      General**

Telecommunications, alarm, and ADP centers shall be centralized and consolidated to:

- Maximize the range of electrical and communication systems coverage
- Reduce on-site distribution service cable and duct lengths
- Maximize the efficiency and effectiveness of physical protection systems
- Minimize operation and maintenance costs

Five-year growth forecasts shall be performed during site evaluations for telecommunications, alarm, and ADP centers.

Telecommunications, alarm, and ADP centers and radio repeater stations shall be housed in fire-resistant structures and located outside areas subject to explosion, fire, flood, chemical fumes, excessive dust, vibration, dampness, high noise levels, and high electrical interference. Protective measures shall be implemented in all instances where these facilities cannot be located outside such areas. Facility fire and physical protection designs shall comply with DOE 1360.2A, DOE 5300.2B, DOE 5300.3B, DOE 5300.4B, the DOE 5632 series, DOE 5637.1, NFPA 75 and DOE/EP 0108.

ADP centers that process classified or sensitive unclassified information shall be located in secured areas with effective access control.

When ADP centers must be located in multiple-use facilities, special protective measures shall be implemented to safeguard ADP equipment and to ensure uninterrupted operation. ADP centers shall not be located above or below the following facilities unless they are separated by fire-resistant floors or are otherwise properly isolated:

- Cafeterias and kitchens
- Photographic film processing areas
- Chemical laboratory areas
- High-voltage electrical distribution centers
- Public areas
- Hazardous areas
- Uncontrolled areas

The configuration of maintenance, operating, storage and utility areas and equipment within telecommunications, alarm, and ADP centers and radio repeater stations shall:

- Provide optimal functional efficiency to operations and maintenance personnel
- Provide adequate maintenance service access to maintain all equipment. The minimum aisle space between cabinets or rack-mounted equipment and adjacent walls shall be 3 feet. Additional clearance shall be provided for high-voltage equipment and to allow for equipment change out.
- Consolidate related equipment and operations areas
- Provide adequate fire-resistant wall separations between storage and maintenance areas and equipment and operations areas
- Provide physical protection for equipment, operations, and storage areas
- Provide structural, architectural, environmental, mechanical, and electrical features and systems that will mitigate the degree of renovation necessary to accommodate future expansion need for five years after facilities are occupied

#### **0200-99.8.2      Teletype, Data, and Facsimile Centers**

Teletype, data, and facsimile centers shall be centralized and consolidated within a secured area located in close proximity to the principal users. Site and facility planning efforts for such centers shall be coordinated with the cognizant DOE security office and the user groups.

#### **0200-99.8.3      Radio Control Centers**

Land area requirements, air space restrictions, and topographic limitations shall be considered during site selection for radio communications control centers.

Radio communications control centers shall be located outside areas subject to high electrical noise levels.

#### **0200-99.8.4      Fire Alarm Control Centers**

Fire alarm control centers shall be located within the central fire station, the Emergency Communication Center or within another alarm center in each emergency service area. Where feasible, secondary fire alarm control centers shall be located within other fire stations in the immediate vicinity.

#### **0200-99.8.5      Security Alarm Control Centers**

Security alarm control centers shall be located in close proximity to the tactical response force when possible and practical.

**0200-99.8.6      Radio Repeater Stations**

Sites selected for radio repeater stations shall comply with DOE 5300.1B. Approval for a radio repeater station shall be obtained from DOE Headquarters, Office of Computer Services and Telecommunications Management.

**0201              SUBSURFACE INVESTIGATIONS**

**0201-1            GENERAL**

For permanent structures, subsurface conditions shall be determined by means of borings or other methods that adequately disclose soil and groundwater conditions. Data and other information obtained from prior subsurface investigations shall be used, supplemental by additional investigations at the specific location as deemed necessary by the design professional. Subsurface investigations shall be made for critical facilities.

Subsurface investigations shall be performed under the direction of a qualified soils engineer. In earthquake-prone areas, appropriate geological investigations shall be made to determine the contribution of the foundation (subsurface) to the earthquake loads imposed on the structure and shall include, but not be limited to, a recommendation of foundation type, determinations of allowable soil bearing design capacity, and the possible effects of seismic activity on the soil mass. A settlement analysis under differential design loads shall be performed where differential settlement may cause structural or architectural damage.

**0201-2            DRILLING AND SAMPLING METHODS**

Drilling and sampling shall comply with ASTM standards, including ASTM D1586, ASTM D1587, and ASTM D2113. Soil samples shall be taken below existing grade and at each change in soil stratification or soil consistency. The depth of soil samples shall be determined by the soils engineer after consulting with the project engineer on site-specific design requirements.

Soil samples shall be preserved until the subsurface investigation has been approved by the cognizant DOE authority.

**0201-3            FIELD AND LABORATORY REPORTS**

All data required to be recorded according to the ASTM or other standard test methods used shall be obtained, recorded in the field, and referenced to boring numbers. Soil shall be visually classified in the field logs in accordance with ASTM D2488, but the classification for final logs shall be based on the field information, the results of tests, and further inspection of samples in the laboratory by the soils engineer preparing the report. As a minimum the report shall:

- Include a chart illustrating the soil classification criteria and the terminology and symbols used on the boring logs
- Identify the ASTM or other recognized standard sampling and test methods used
- Provide a plot plan giving dimensioned locations of test borings
- Provide vertical sections for each boring plotted and graphically presented showing number of borings, date of start and finish, surface elevations, description of soil and thickness of each layer, depth to loss or gain of drilling fluid, hydraulic pressure required or number of blows per foot (N value), and, where applicable, depth to wet cave-in, depth to artesian head, groundwater elevation and time when water reading was made and presence of gases
- Note the location of strata containing organic materials, weak materials or other inconsistencies that might affect engineering conclusions
- Describe the existing surface renditions
- Summarize the subsurface conditions present
- Provide pavement structural design data including California Bearing Ratio tests or modulus of subgrade reaction tests
- Provide a profile and/or topographic map of rock or other bearing stratum
- Analyze the probable variations in elevations and movements of subsurface water due to seasonal influences
- Report all laboratory determinations of soil properties including shrinkage and expansion properties

**0201-4            FOUNDATION ENGINEERING EVALUATION AND  
RECOMMENDATIONS**

The soils engineer shall analyze the information developed by investigation or otherwise available, including any aspect of the soil conditions that might affect design and construction of proposed structures, and shall consult with the engineer on the design requirements of the project. The soils engineer shall submit a professional evaluation, recommended construction specifications, and recommendations including the following where applicable:

- Foundation support of the structure and slabs, including soil bearing pressures, bearing elevations, foundation design recommendations and anticipated settlement
- Anticipation of, and management of, groundwater

- Lateral earth pressures and pressure coefficients (active, passive, and at rest) and internal friction angles for design of walk below grade, including backfill, compaction and subdrainage, and their requirements
- Soil material and compaction requirements for site fill, construction backfill, and for the support of structures and pavement
- Recommendations and design criteria for shoring and underpinning systems
- Design criteria for temporary excavation, temporary protection such as sheet piling, underpinning and temporary dewatering systems
- Stability of slopes
- Seismic activity
- Frost penetration depth and effect
- Analysis of the effect of weather and construction equipment on soil during construction
- Analysis of soils to ascertain presence of dispersive potentially expansive, deleterious, chemically active or corrosive materials or conditions, or presence of gas
- Recommendation of the most proper foundation system and other alternative workable systems
- Specific recommendations and design and construction criteria for ponds, reservoirs, slurry cut-off walls, drainage systems, etc.

## 0202            SURVEYING

### 0202-1        GENERAL

Construction, control, property and topographic surveys shall be coordinated with the cognizant DOE authority. Where feasible, surveying support available from DOE contractors shall be used. Survey field notes shall be legibly recorded on standardized (8-1/2 inch x 11 inch) field note forms. Field notes and final plots of surveys shall be furnished to the cognizant DOE authority. Any boundary surveys and recorded maps shall be forwarded to the DOE Operations Office.

The degree of accuracy for construction, control, property, and topographic surveys shall be consistent with the nature and importance of each survey. Where required by law (i.e., applicable State statutes) all control and property surveys at DOE sites shall be performed by, or under the supervision of, a professional land surveyor registered in the State in which the subject site is situated.

## **0202-2                    HORIZONTAL AND VERTICAL CONTROL**

Each DOE Facilities Engineering Group shall be responsible for establishing, recording, and perpetuating primary on-site horizontal and vertical control monumentation. Each DOE Operations Office shall also be responsible for correlating primary site-specific horizontal and vertical control monumentation with that of other agencies such as the National Geodetic Survey (formerly the U.S. Coast and Geodetic Survey [C&GS]). Primary horizontal control monumentation shall comply with NOAA NGS Special Publication 247. Primary vertical control monumentation shall comply with NOAA Manual NOS NGS 1 and NOAA Manual NOS NGS 3. All geodetic control networks and surveys shall comply with FGCC Standards and Specifications for Geodetic Control Networks.

Temporary on-site horizontal and vertical control monumentation shall comply with ACSM Horizontal Control as Applied to Local Surveying Needs and with NOAA Manual NOS NGS 3.

## **0202-3                    MONUMENTATION**

### **0202-3.1                Temporary Control Monuments**

Where the scope and complexity of the project warrants, the placement, number and location of temporary horizontal and vertical control monuments in new development areas shall be coordinated with and approved by the cognizant DOE Facilities Engineering Group. See Section 0202-6, Construction Staking.

A minimum of two inter-visible control monuments shall be placed along or adjacent to right-of-way lines. These temporary control monuments shall be tied by a Grid Bearing, ground distance and elevation to a third permanent survey monument or temporary control monument. The surveyor setting such monumentation shall submit legible notes, drawings, and reproducible documentation to the DOE Facilities Engineering Group. The location and description of all temporary control monuments in the immediate vicinity of new construction shall be provided on construction drawings.

Temporary control monuments shall be 5/8-inch diameter mild steel bars or 3/4-in diameter iron pipe with a minimum length of 2 feet. In loose sand or unstable soil, such temporary control monuments shall have a minimum length of 3 feet. With written approval from the cognizant DOE Facilities Engineering Group, manhole rims, markings chiseled in concrete, PK nails in asphalt, and lead and tack in bedrock or concrete shall be suitable alternative temporary control monuments.

Temporary control monuments shall be set flush or within 0.2 feet of the ground surface. All temporary control monuments shall have a cap or permanent tag bearing the assigned monument identification numbers as identified in the survey field notes and as shown on the design drawings or other related documents.

Three guard posts with reflective paint striping shall be installed adjacent to temporary control monuments in high traffic areas to preclude vehicular damage.

Temporary control monuments shall be set in conformance with the accuracy standards and specifications for Class 3 surveys or more accurately (see Table 0202-3.1).

**Table 0202-3.1 Suggested Standards and Specifications for Local Surveys \*\*\***

	<b>Class 1</b>	<b>Class 2</b>	<b>Class 3</b>
Position Closure	1:15,000	1:10,000	1:5,000
Angles Accurate to	5 sec.	7 sec.	14 sec.
Distances Accurate to (per 100 feet)	0.002 ft. (1:50,000)	0.004 ft. (1:25,000)	0.007 ft. (1:15,000)
Rejection limit or spreads between D & R and sets	5 sec.	5 sec.	10 sec.
Number of positions or sets			
1" Instrument	4 Pos.	4 Pos.	2 Pos.
10" Instrument	1 Set 6DR	1 Set 6DR	1 Set 2DR
20" Instrument	2 Sets 6DR	2 Sets 6DR	1 Set 4DR
30" Instrument	3 Sets 6DR	3 Sets 6DR	1 Set 6DR
1' Instrument			1 Set 8DR
Azimuth Closure	8" N <sup>1/2</sup>	10" N <sup>1/2</sup>	30" N <sup>1/2</sup>
Azimuth Closure per angle point	3 Sec.	5 Sec.	10 Sec.
Number of Repetitions (distance measurements)	1	1	1
Taping Criteria			
Temperature	Accurate to $\pm 2^{\circ}\text{F}$	Accurate to $\pm 3^{\circ}\text{F}$	Accurate to $\pm 6^{\circ}\text{F}$
Tension	Accurate to $\pm 1\#$ of standard	Accurate to $\pm 2\#$ of standard	Accurate to $\pm 3\#$ of standard
Calibration	**	**	**
Type of Target	Fixed	Fixed	Plumb Bob String or Fixed

1. It is recommended that 30" transits not be used for Class 1 and 2 surveys.
2. \*N = Number of angle stations carrying azimuth. The smallest value for the azimuth closure criteria will apply.
3. Fractions of a full tape length must be checked.
4. Properly calibrated electronic distance measuring equipment may be used in place of metal tapes.
5. Side points observed from primary traverses shall conform within reason to the required accuracy for the primary traverse. The accuracy of the observations will depend on the type of point observed. Whenever indefinite points, such as fence corners, tree stumps, etc., are involved, the best approximation of the center or specific point previously described should be observed. Each angle should be observed 2DR, and the spread between the D and R observations should not exceed  $\pm 20''$ .
6. Tension applied should be same used to standardize or calibrate tape.

\*\* Standardized tape or one calibrated with a standardized tape.

\*\*\* *Horizontal Control as Applied to Local Surveying Needs*, American Congress on Surveying and Mapping S200. Permanent survey monuments shall be considered to have zero positional error when used as reference for the placement of control monuments for construction, but should be checked with at least one other monument at the time construction control is set.

Table 0202-3.1 is taken from ASCM Horizontal Control as Applied to Local Surveying Needs. These standards and specifications apply to surveys in areas where control is closely spaced (one or two miles, or less); however, these standards and specifications may be applied to surveys where control is more widely spaced with precision field operations.

#### **0202-3.2      Permanent Survey Monuments**

The placement, number and location of permanent survey monuments for horizontal and vertical control shall be coordinated with and approved by the cognizant DOE authority. The location and description of the nearest permanent survey monument shall be provided on construction drawings. These monuments shall be tied by Grid Bearing, ground distance and elevation to the applicable State Plane Coordinate System and referenced to NAD of 1983 and the NGVD of 1929.

Any surveyor that sets a permanent survey monument shall submit legible notes, sketches, or other reproducible documentation that show the location of the new monument relative to the on-site horizontal and vertical control network, to the applicable State Plane Coordinate System, to the NAD of 1983 and to the NGVD of 1929. The convergence, scale factor, and elevation at the monument shall also be provided.

A description of the surveying equipment and procedures used to establish the new monument shall accompany copies of all field notes, calculations, reductions, and closures. Similar information shall be submitted for any found monuments. Permanent survey monuments shall be considered properly positioned and represented only after the DOE Operations Office has approved all survey procedures and calculations and has verified conformance to standards and specifications for Class 2 surveys (see Table 0202-3.1) or greater.

Table 0202-3.1 shall apply to surveys in areas where control is closely spaced (one or two miles or less); however, these standards and specifications may be applied to surveys where control is more widely spaced with precision field operations. Permanent survey monuments shall be identified with a metal cap or disk set in a 24-inch diameter pipe with flared ends at bottom. Identification numbers, as approved by the DOE Operations Office, shall be permanently stamped into the metal cap or disk.

These identification numbers shall be documented within the survey field notes and shown on the design drawings and within related documents.

Tentative point identification for permanent survey monuments may be assigned by the surveyor; however, permanent point identification shall only be assigned to such monuments by the DOE Operations Office.

Permanent survey monuments shall not be removed without prior authorization from the cognizant DOE Operations Office.

#### **0202.3.3      Bench Marks**

A minimum of one permanent bench mark for vertical control shall be established in each new development area. A minimum of three bench marks shall be established if there are no existing bench marks within a 3-mile radius of each new development area. Additional bench



marks may be established, as necessary, with prior approval of the cognizant DOE Facilities Engineering Group. Bench marks may coincide with permanent survey monuments or temporary control monuments.

Bench mark elevations shall be referenced to the NGVD of 1929.

Level section misclosures between fixed bench mark elevations shall equal or exceed Third Order Accuracy, as defined in FGCC Standards and Specifications for Geodetic Control Networks (Table 0202-3.3).

Table 0202-3.3 Accuracy Standards for Level Closures

First Order*	Second Order*	Third Order*
0.017 ft $M^{1/2}$	0.035 ft $M^{1/2}$	0.05 ft $M^{1/2}$

- \* M is the distance in miles of the total level route running forward and back between fixed elevations or along a level loop.

Source: *Standards and Specifications for Geodetic Control Networks*, Federal Geodetic Control Committee, 1984.

Legible level notes and calculations shall be submitted to the cognizant DOE Facilities Engineering Group for approval.

Permanent bench marks shall be identified with a metal cap or disk as specified in Section 0202-3.2, Permanent Survey Monuments

Permanent bench marks shall not be removed without prior authorization of the cognizant DOE Operations Office. The location and description of all bench marks in the immediate vicinity of new construction shall be provided on construction drawings.

#### 0202-4 SURVEYS FOR UTILITIES, ROADS, AND PARKING AREAS

Coordinates and elevations shall be determined for utilities, roads and parking areas at their principal points of definition. This information shall be provided on the construction drawings. The principal points of definition for utility systems shall include utility poles, obstructions, manholes, valve boxes and other appurtenances for heating and cooling lines, sewers, and overhead and underground power and telephone systems. Principal points of definition for potable water and natural gas distribution systems shall be valve boxes, main line intersects and fire hydrants.

The principal points of definition for roads shall be roadway centerline intersects. Road alignment surveys shall include stationing, bearings and curve information tied to these

principal points of definition. Where applicable, the following information shall also be provided on the construction drawings:

- Stations and deflection angles for each point of intersection
- Right-of-way lines and markers
- Spot elevations (centerline, edge of pavement, and at intersects) at minimum intervals of 100 feet
- Pavement width
- Other improvements (e.g., drainage inlets, wheelchair ramps, fire hydrants, sidewalk, curb and gutter)
- Topographic features within the project limits
- Elevation contours
- Overhead and underground utility crossings (plan and profile)
- Roadway drainage crossings
- Location and description of underground utility witness markers

#### **0202-5            SURVEYS FOR EXISTING UNDERGROUND UTILITIES**

Where exact routes of underground utilities are not defined within record drawings and such information is essential to subsequent design efforts, the cognizant DOE Facilities Engineering Group shall coordinate necessary electronic line detection and exploratory excavation activities. Such utilities shall be located by survey and documented on the construction drawings.

#### **0202-6            CONSTRUCTION STAKING**

Construction staking for new DOE facilities shall comply with local standards and with practices approved by the cognizant DOE Facilities Engineering Group.

**0203                    UTILITIES WITHIN EASEMENTS OR CORRIDORS**

**0203-1                UTILITY LOCATIONS**

**0203-1.1            General**

Utility locations shall take into consideration the following:

- Location, size, and elevation of sanitary sewers, storm drains or open drainage channels, drain inlets and manholes
- Location, size, and elevation of water, gas, heat transmission mains and underground electrical service
- Location and size of overhead electric service, street lighting, and telephone lines, including pole and manhole locations
- Location of fire alarm call boxes

**0203-1.2            Underground Utilities**

Underground utility lines such as sanitary sewer, water, and gas shall not be placed under existing or proposed pavements, except when crossing such pavements or when adequate space is not available. Utility lines shall be placed between backslope of road ditch and building, or back of curb.

Water mains shall not be installed in the same trench with sewer lines. Where water mains and sewer lines are installed parallel to roadways, they shall, if practicable, be located on opposite sides of roadways.

See Section 0270, Sanitary Wastewater Collection and Stormwater Management Systems, for underground pipeline separation requirements.

Underground lines shall be located so that minimum effort and cost will be required to excavate the lines when required for maintenance.

**0203-1.3            Aboveground Utilities**

Above-ground utility features shall not be located in front of, or in such a manner as to detract from the facility. They shall not make landscaping more difficult or restrict or negate close-in recreational areas.

**0203-1.4            Security Areas**

Separation of utilities from security-related equipment must be considered when planning utility installations. A special emphasis should be given to maintaining clear ground around security fences and in security areas. Utilities that penetrate or pass under a security barrier through an opening of more than 96 square inches in smallest dimension shall provide the same degree of penetration delay as is required for the security barrier.

Overhead utilities generally cannot pass between secure and nonsecure areas without special security features. Utility equipment and supports should not be located so as to provide cover in clear zones or security areas equivalent to or more restrictive than Protected Areas or to aid illegal crossing of security boundaries. The DOE 5632 series provides security area definitions and regulations for these issues.

**0203-13      Record Drawings**

Underground utilities including piping and wiring and including both temporary and permanent services shall be accurately defined on as-built drawings in both plan and depth.

**0205            DEMOLITION, DECONTAMINATION, AND DECOMMISSIONING**

**0205-1        DEMOLITION**

Demolition plans shall ensure that remaining buildings, trees, and environmental resources are protected.

The design professional shall determine whether the use of explosives will be allowed and whether prior approval of such decision must be obtained from DOE.

Demolition plans shall define:

- The extent of demolition, abandonment, and removal of existing facilities and utilities
- Methods for handling and disposing of hazardous waste materials (asbestos, PCB-filled equipment, and other hazardous waste materials)
- Materials to be salvaged
- Backfilling of removed materials and cleanup

**0205-2        DECONTAMINATION AND DECOMMISSIONING**

Facilities where radioactive or other hazardous contaminating materials will be used or will result from facility operation shall be designed to limit dispersion and simplify periodic decontamination and ultimate facility decommissioning and disposal or reuse. Requirements are provided in Section 1300-11, Decontamination and Decommissioning.

**0210            SITE PREPARATION**

Local topography shall be considered during project and facility design efforts. New facilities shall be planned to fit with the local topography and require a minimum amount of grading.

Design shall include provisions for erosion control and soil stabilization in ditches, fill slopes, embankments, and denuded areas, and restoration of areas disturbed by the project to original or improved conditions.

Site preparation design shall comply with the following criteria:

- Site drainage design shall comply with Section 0270, Sanitary Wastewater Collection and Stormwater Management Systems.
- Vehicle parking, sidewalks, and road requirements shall comply with Section 0250, Paving and Surfacing.
- Landscaping shall comply with Section 0290, Landscaping.
- Site grading design shall comply with Section 0250, Paving and Surfacing, and Section 0270, Sanitary Wastewater Collection and Stormwater Management Systems.
- Site power and lighting shall comply with Section 0278, Power and Lighting.
- Site security requirements shall be taken into account and provided for in accordance with the retirements set forth in the DOE 5632 series. See Section 0283, Physical Protection, for additional requirements.

## **0214            DEWATERING**

### **0214-1        GENERAL**

The design, installation, and operation of dewatering systems for groundwater control shall be the responsibility of the construction contractor, unless stipulated otherwise in the contract. A groundwater investigation and the selection and design of a dewatering control system shall comply with TM 5-818-5. The design engineer shall determine if the assistance of a qualified groundwater hydrologist shall be required.

### **0214-2        GROUNDWATER INVESTIGATION**

A groundwater investigation shall be made before selection of a dewatering control system. The investigation shall examine the character of subsurface soils, groundwater conditions and quality, and the availability of an electric power source. The source of seepage shall be determined and the boundaries and seepage flow characteristics of geologic and soil formations at and adjacent to the site shall be analyzed in accordance with the mathematical, graphic, and electroanalogous methods discussed in TM 5-818-5.

Field reports identifying groundwater elevations, etc., should be provided to construction contractor responsible for dewatering and groundwater investigation.

**0215            SHORING AND UNDERPINNING**

**0215-1           GENERAL**

All shoring and underpinning shall comply with the safety requirements of 29 CFR 1926, Subpart P.

**0215-2           SHORING SYSTEMS**

Tiebacks analysis of plastic yielding in strutted excavations, analysis of the stability of the bottom of excavations, and shoring for deep exudations shall comply with SSFI SH 300.

**0215-3           UNDERPINNING**

Remedial underpinning shall be performed where existing foundations are inadequate. Precautionary underpinning shall be performed where new construction adjacent to an existing structure requires deeper excavation.

The services of a structural engineer specializing in underpinning shall be used to perform any underpinning design, which shall comply with the principles in Winterkorn and Fang, Foundation Engineering Handbook.

**0220            EARTHWORK**

**0220-1           GENERAL**

Earthwork includes excavating, filling, stabilizing, and compacting earth at the site. Earthwork includes the addition of borrow and disposal of excavated material.

**0220-2           SUBSURFACE DATA**

Prior to earthwork design, the design engineer shall confer with the soils engineer to define subsurface investigation recommendations required in accordance with Section 0201, Subsurface Investigations.

0220-3            DESIGN

The earthwork design and specification shall comply with the recommendations in the project subsurface investigation.

0235            BUILDING FOUNDATIONS

0235-1           GENERAL

Building foundations shall be designed in accordance with the requirements of the UBC and ACI 318.

0235-2           FOUNDATION DESIGN CRITERIA

0235-2.1        General

Based on preliminary information concerning the purpose of the structure, foundation loads, and subsurface soil conditions, the design professional shall consider alternative types of foundations for the bearing capacity and total and differential settlements.

0235-2.2        Adverse Subsurface Conditions

One of the following procedures shall be used to ensure satisfactory foundation performance where poor soil conditions are encountered:

- Bypass the poor soil by means of deep foundations extending to or into a suitable bearing material
- Design structure foundations to accommodate anticipated differential settlements
- Remove the poor material, and either treat and replace it or substitute good compacted fill material
- Treat the soil in place before construction to improve its properties

Where reasonable alternative design foundation types are possible, preliminary designs shall be prepared for the purpose of detailed cost comparisons. These preliminary designs shall be sufficiently complete to determine the approximate size of footings, length and number of piles required, etc. The behavior of existing foundation types in the immediate vicinity to those proposed shall be ascertained during preliminary design. The long-term effects of subsurface conditions (bearing capacity and settlement) on each foundation type shall be considered. See Section 0201, Subsurface Investigations.

**0235-2.3      Cost Estimates and Final Selection**

Final foundation design shall not be initiated until the evaluation and cost comparison of the proposed alternatives have been completed. On the basis of tentative designs, the cost of each promising alternative shall be estimated. Estimate sheets shall include items, dimensions, quantities, unit material and labor costs.

**0235-3      CONCRETE**

Concrete for building foundations shall be designed in accordance with Section 0330, Cast-In-Place Concrete.

**0235-4      PIER-AND-BEAM FOUNDATIONS**

Grade beams shall comply with ACI 318.

Piers shall comply with ACI 336.3R.

**0235-5      PILE FOUNDATIONS**

Pile foundations shall comply with the UBC and ACI 543R.

**0235-6      RIBBED-MAT SLAB FOUNDATIONS**

Ribbed-mat slabs shall comply with ACI 336.2R.

**0235-7      EXPANSIVE SOILS**

Where expansive soils are encountered, the magnitude of swell or settlement shall be determined in accordance with ASTM D4546 or AASHTO T 258. Based on the results of these tests, the foundation design shall consider one of the following options:

- Mechanically or chemically altering the soil characteristics
- Controlling moisture conditions
- Designing the foundation to tolerate the estimated volume change



**0235-8            EQUIPMENT FOUNDATIONS**

Heavy, vibration-producing equipment, such as high-pressure air compressors, chillers, fire pumps and engine/generator sets, shall have separate, isolated foundations. A structural vibration analysis and design shall be provided for vibration equipment, where appropriate.

**0245            RAILROAD DESIGN**

**0245-1           GENERAL**

The bases for railroad facilities design shall be the criteria in AREA Manual for Railway Engineering (Fixed Properties). Designs shall also comply with regulations and criteria set forth by State commissions and other regulatory bodies regarding railway crossings at public highways.

Special requirements such as derailleurs are required where railroads cross some security boundaries. The security administrator or his or her designee and the DOE 5632 series shall be consulted for design requirements.

**0245-2           TRACK LAYOUT**

Track layouts shall allow rail movement to be continuous from the interchange yard through the classification yard to the delivery tracks. Each interchange or receiving track shall be designed to accommodate the maximum single delivery. The average number of cars in each classification shall determine the length of classification tracks.

**0245-3           DRAINAGE**

Track-side drainage swales, drainage ditches, intercepting ditches, culverts, lateral drains, pipe drains, and other drainage facilities shall comply with AREA Manual for Railway Engineering (Fixed Properties).

**0245-4           STRUCTURES**

The design strength of railroad structures shall be not less than Cooper E-80 loading.

Structures associated with the railroad operation (buildings, signal standards) shall not be located adjacent to or within security areas equivalent to or more restrictive than Protected Areas as directed in the DOE 5632 series.

**0245-5            RAIL**

Rail to be used in new construction or for minor alignment and modifications shall be new or relayer rail. New rail is preferred for new construction. The types of rail to be used shall be based on economic considerations.

**0245-6            TIES**

The use of nonwooden ties shall be allowed, provided the alternative material is acceptable to the cognizant DOE authority. Concrete ties shall be used in areas where tie inspection and maintenance entails pavement removal, or in locations where track maintenance interferes with other site operations and activities (e.g., railroad highway crossings, paved streets, and paved industrial areas). AU ties shall be treated with decay-retardant compounds conforming to the requirements of AREA Manual for Railway Engineering (Fixed Properties). Hardwood ties shall be provided with antisplitting devices in each end.

**0245-7            JOINT BARS**

**0245-7.1        General**

Joint bars shall be of the size, shape, and punching pattern to fit the rail.

**0245-7.2        Compromise Joint Bars**

Where new or relayer rail joins rail of lighter weight, compromise joint bars shall be used. Each pair of compromise joint bars shall be of the proper design and dimensions for the rail on which it is applied.

**0245-8            TIE PLATES**

**0245-8.1        New Rail**

Tie plates shall be new, with or without ribs. Insulating tie plates shall be used in the vicinity of lighted crossings.

**0245-8.2        Relayer Rail**

Used tie plates in good condition and of the proper size and punching can be used with relayer rail. The size of the used tie plates shall not be smaller than 7-1/2 inches by 10 inches for 85-pound relayer rail, and 7-1/2 inches by 11 inches for 110-pound relayer rail. Tie plates with or without ribs can be used.

**0245-9 RAIL ANCHORS**

Rail anchors shall be spaced to comply with AREA Manual "for Railway Engineering (Fixed Properties).

**0245-10 SPIKES**

Six-by-5/8-inch spikes shall be used for all ties. New track spikes shall be used for both new and relayer rail.

**0245.11 GUARDRAILS**

Two inner guardrails shall be installed on all single-track bridges and trestles. Each guardrail shall be 11 inches from the traffic rail and shall extend at least 30 feet beyond each end of the bridge or trestle. One guardrail shall be placed on each track of double-track bridges or trestles.

**0245-12 HIGHWAY-RAILWAY GRADE CROSSING**

All grade highway crossings shall comply with AREA Manual for Railway Engineering (Fixed Properties) and local State highway standards.

**0245-13 BALLAST**

The minimum depth of ballast under the ties shall be 8 inches. Prepared ballast (stone, gravel, or slag) is preferred, and prepared stone ballast is most preferred.

**0245-14 TURNOUTS**

Turnouts shall comply with AREA Manual for Railway Engineering (Fixed Properties).

**0245-15 SUPERELEVATION**

Superelevation shall not be used on curves where the speed is less than 20 miles per hour except when required by the serving railroad. Superelevation shall be provided on access or main running tracks where the speed is equal to or greater than 20 mph.

#### **0245-16 GRADES**

The maximum grade on access lines shall be determined by the tonnage handled in one train unit. An analysis shall be made to design grades below 3 percent. Grades shall not exceed 3 percent without approval by the cognizant DOE authority. The design professional shall coordinate the requirements of the serving railroad.

#### **0245-17 CLEARANCES**

Clearances for tangent track shall comply with AREA Manual for Railway Engineering (Fixed Properties). Side clearances shall be measured horizontally from the center line of tracks. Side clearances on the outside of curves shall be increased 1 inch for each degree of track curvature over that shown for tangent track. Side clearances on the inside of curves shall be increased 1 inch for each degree of track curvature, and also 3-1/2 times the amount of superelevation of the high rail.

#### **0245-18 ELECTRICAL GROUNDING**

Electrical grounding shall be provided at intervals to preclude development of electrical potentials. Electrical grounding shall include bonding between rail sections, installation of ground electrodes, and connection of spur track with building grounding systems where they are within 25 feet of each other.

Electrical grounding shall comply with the NEC.

#### **0250 PAVING AND SURFACING**

##### **0250-1 COVERAGE**

This section covers walks, roads, streets, parking areas, pavements, curbs, and gutters.

##### **0250-2 WALKS**

A functional system of walks connecting structures, operational areas, parking areas, streets and other walks shall be provided to meet pedestrian traffic demands. The location and width shall be determined in accordance with the site development plan. Walks subject to use by the physically handicapped shall comply with UFAS.

The following design factors shall be considered

- Adequate drainage off walk
- Subgrade and base preparation

- Commercial driveways
- Safety
- Skid resistance

#### 0250-3 ROADS

Geometric design of all roads, streets, access drives, and parking areas shall comply with AASHTO GDHS-84.

Gradients for roads, streets, and access drives shall comply with AASHTO GDHS-84. Road and street grade changes in excess of 1 percent shall be accomplished by means of vertical curves. The length of vertical curves shall be determined in accordance with AASHTO GDHS-84. Roadway centerline gradient profiles shall be shown for vertical control.

#### 0250-4 PARKING AREAS

Parking areas should not be located in front of buildings or at prominent visual points of approach. Landscaping, grading, and location shall give prominence to attractive features and de-emphasize or obscure undesirable features. Parking lots shall meet local governmental standards for circulation, layout, and safety.

Handicap parking allocations shall comply with UFAS. Perimeter concrete curbs and gutters shall be considered for all parking areas and access drives in built-up areas. In remote or little-used areas, concrete curbs and gutters shall be used only when required to control drainage. Removable prefabricated concrete wheel stops may be used where appropriate. Railroad ties are acceptable for use as wheel stops.

Parking areas shall not be located within 15 feet of DOE security area equivalent to or more restrictive than Protected Areas as specified by the DOE 5632 series. Location of parking areas near security areas must take into account the possible interference with intrusion detection sensor fields and tactical response team activities.

Positive drainage shall be provided for parking area pavements. They shall:

- Provide positive surface drainage with a 1 percent minimum slope in the direction of drainage
- Limit slope in direction of parking to 4 percent maximum
- Limit slope perpendicular to direction of parking and slope of parking lot drives to 6 percent maximum for bituminous or concrete surfaces and 3 percent for other surfaces

**0250-5            PAVEMENTS**

**0250-5.1        Flexible Pavements**

Design and details of construction of flexible pavements shall comply with the local State highway department standards. Concrete valley drains can be provided if swales are necessary within flexible pavements.

**0250-5.2        Rigid Pavements**

Design and details of construction of rigid pavements shall comply with the local State highway department standards. Joint patterns shall be provided for all rigid pavements. The edge of rigid pavements where future construction will occur shall be a thickened edge. The joint pattern shall provide vertical control information for the layout of paving forms.

**0250-6            TRAFFIC CONTROL**

Signs, pavement markings, and channelization shall comply with ANSI D6.1.

**0256              AIRPORTS AND HELIPORTS**

**0256-1           GENERAL**

Planning and design of aviation facilities and the airspace clearances shall comply with FAA AC 150/5050-5.

Planning and design of aviation facilities shall emphasize safety for all modes of aircraft operations. Aircraft installations require permanent unobstructed airspace and facilities and equipment constructed to facilitate maintenance, ground handling, and flight operations.

Planning studies of aviation facilities shall be based on consideration of existing facilities and conditions. Principles and criteria of airfield general site plans are contained in FAA AC 150/5300-2D and FAA AC 150/5390-2.

Landing and takeoff paths (traffic patterns) shall be oriented in such a manner as to preclude requiring critical facility overflights. Traffic pattern altitudes shall be established and published to provide for aircraft operations on approaches that are away from critical facilities.

Heliports shall be sited and traffic patterns shall be established to provide for normal operation that does not require overflights of critical facilities. Heliports shall not be located closer to critical facilities than 2 times the dimension of the landing pad or 3 times the rotor diameter of the largest helicopter authorized to land at the heliport.

## **0256-2 SITE CONDITIONS**

The following site conditions shall be considered to determine the adequacy of the aviation facility:

- Topography
- Vegetative cover and existing construction
- Weather elements
- Prevailing wind direction for both summer and winter conditions
- Soil conditions
- Flood hazards
- Natural and man-made obstructions
- Adjacent land uses
- Availability of usable airspace
- Accessibility of roads
- Location of site utilities
- Capability for future expansion
- Aboveground utilities

Site selection for a new airfield or heliport or plans for expansions of existing facilities shall comply with FAA AC 150/5300-2D and FAA AC 150/5390-2

## **0256-3 AIRCRAFT CHARACTERISTICS**

The design of aviation facilities shall be based on consideration of relevant aircraft characteristics contained in FAA AC 150/5325-5B.

## **0256-4 AIRCRAFT NOISE**

Aircraft noise shall be considered in conformance with FAA AC 150/5020-1.

#### **0256-5 AIRFIELD LAYOUT**

The layout of airfield facilities shall support operational efficiency and provide safe conditions for takeoff and landing operations and ground handling of aircraft. Airfield layout shall also include:

- Wind direction and velocity analyzed in accordance with FAA AC 150/5070-6A
- A taxiway system
- Parking aprons
- Supporting facilities

#### **0256-6 AIRFIELD SAFETY CLEARANCES**

Airfield safety clearances shall comply with clearance criteria and the criteria for determining obstructions to air navigation in FAA AC 150/5300-4B and FAA AC 150/5300-12.

The critical decision point and emergency landing areas for the various aircraft using a facility shall be determined from the respective aircraft performance charts.

#### **0256-7 FIRE AND RESCUE FACILITIES**

Fire station facilities shall comply with FAA AC 150/5210-6C and NFPA 403.

#### **0256-8 DRAINAGE**

Airport drainage systems shall comply with FAA AC 15/5320-5B.

#### **0256-9 PAVEMENTS**

Airfield pavements shall be designed in accordance with FAA AC 150/5320-6(2

#### **0256-10 PAVEMENT MARKINGS**

The marking of paved areas at airport and heliports shall comply with FAA AC 150/5340-1E.



**0256-11 STORAGE FACILITIES FOR PETROLEUM OIL AND LUBRICANTS**

Storage of petroleum, oil, and lubricants shall comply with NFPA 407. See Section 0275-4, Control of Pollution from Other Sources.

**0260 PIPED UTILITY MATERIALS**

**0260-1 COVERAGE**

This section covers exterior utilities such as water mains, water storage facilities, and gas piping.

**0260-2 EXTERIOR UTILITIES**

Exterior utilities are defined as those that are more than 5 feet from any building exterior.

The design of exterior utilities shall consider possible future extensions of the utility. Any site development plans for the area shall be consulted. In general, if expansion is planned, utilities should be extended to the edge of the site or to a point where connection can be made without damage or disruption to existing facilities. Utility corridors shall be established that give each utility a defined location within the corridor.

All water mains, supplying fire protection systems, fire hydrants, etc., shall be treated as fire mains and installed in accordance with NFPA 24.

Water storage facilities shall comply with NFPA 22

Gas distribution shall comply with local codes and requirements. Fuel gas shall comply with NFPA 54. Liquefied petroleum gas shall comply with NFPA 58.

Exterior utilities shall be adequately protected against corrosion, either by using resistant materials or by other protective measures. In general, it is better to use materials that are naturally resistant to corrosion in that environment. See Section 0262, Corrosion Control.

Separation of utilities from security-related equipment must be considered when planning utility installations. Special emphasis should be given to security fences and in security areas. Utilities that penetrate or pass under a security barrier through an opening of more than 96 square inches in smallest dimension shall provide the same degree of penetration delay as is required for the security barrier.

Overhead utilities must not pass between secure and nonsecure areas. Utility equipment and supports must not be located so as to conceal or aid an adversary in penetrating a security boundary. Sanitary and drain sewers that penetrate security areas must be provided with special security features if openings exceed the size limit set forth in the DOE 5632 series.

Domestic water supply lines shall comply with 40 CFR 141 and 40 CFR 142.

## **0262            CORROSION CONTROL**

### **0262-1            GENERAL**

This section shall apply to corrosion control design for underground steel structures and pipe, storage facilities, and other facilities where corrosive conditions can occur. Types of corrosion control are protective coatings and cathodic protection. See Section 0260, Piped Utility Materials. The design engineer shall determine whether the service of a corrosion control specialist shall be used in corrosion control design.

### **0262-2            CORROSION CONTROL TESTS**

Water supplies shall be considered as to chemical content. Based on such analysis, protective devices and measures shall be used to prevent corrosion on the interior surfaces of ferrous water lines and other appurtenances.

Prior to construction, soil and ground-water conditions shall be considered to determine the necessity for protecting surfaces of buried pipe from external chemical and electrolytic attack.

### **0262-3            CATHODIC PROTECTION SYSTEMS**

If buried pipelines require cathodic protection, the systems shall be installed at the same time as the piping system.

Connections at joints shall ensure electrical continuity except where insulating joints are installed. Insulating joints shall be used to electrically isolate protected sections from nonprotected sections and from neighboring metallic structures. Test stations shall be provided at sufficient intervals along the piping system to evaluate the performance of the cathodic protection system after installation. Test leads shall be terminated in test blocks housed in above-ground cast metal boxes with removable covers. These test stations shall be located in areas not exposed to traffic or grass mowers and properly identified.

The interior of steel water tanks shall be protected by cathodic protection system when the calcium content of the water is less than 18 ppm or when the calcium content is between 18 ppm and 55 ppm and the sulfate content is greater than 25 ppm.

Cathodic protection for underground flammable/combustible liquid storage tanks and piping shall comply with NFPA 30.

## **0266            WATER DISTRIBUTION SYSTEMS**

### **0266-1            GENERAL**

This section applies to water distribution systems for domestic (potable) and industrial (non-potable) applications. The use of dual water systems (i.e., domestic and industrial or irrigation) is subject to the approval of the cognizant DOE Facilities Engineering Group. Where dual water systems are approved for use, the location and alignment of such systems must be clearly identified by location markers placed throughout the site at intervals specified by the cognizant DOE Facilities Engineering Group. Both systems must also be clearly identified on the record drawings.

Cross-connections between domestic and industrial or irrigation distribution systems are prohibited. See Section 0260-2, Exterior Utilities, and Section 0270-1.3, System Design Considerations, for further criteria on horizontal and vertical separation of domestic water mains from other utilities.

### **0266-2            REGULATORY OVERVIEW**

Domestic water conveyed within distribution systems that serve DOE facilities shall comply with the applicable SDWA, 40 CFR 141, 40 CFR 142 requirements and with all other State, regional and local requirements. The radionuclide content of these drinking water systems shall comply with the requirements of the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series. The quality of domestic water within such distribution systems shall be protected from degradation by installation of backflow prevention assemblies, as necessary, to preclude backflow of contaminants or pollutants into the system.

### **0266-3            PLANNING FOR WATER DISTRIBUTION SYSTEMS**

During route selection and initial planning efforts for water distribution systems, the following conditions and requirements shall be considered:

- Future population and development projections
- Anticipated demands for fully developed conditions
- Anticipated peak domestic, industrial, fire and special water demands
- Unique conditions (e.g., research and production facility demands and operating schedules)
- Hydraulic design criteria
- Health and safety requirements
- Physical constraints (e.g., utility corridors, geologic formations and topographic features)

- Energy conservation requirements
- Environmental constraints
- Security and safeguards requirements

Distribution system layouts shall be simple and direct as possible. Where feasible, initial planning efforts shall optimize system layouts (e.g., system loop lines) to:

- Facilitate future system expansions
- Strengthen fire protection capabilities
- Minimize conflicts with other utilities
- Reduce maintenance requirements

Water distribution systems shall be included within utility master planning efforts.

#### **0266-4            SYSTEM DESIGN CONSIDERATIONS**

Domestic water distribution system mains shall be sized based on the greatest demand to be satisfied (i.e., fire demand, special requirements or the peak domestic demand). Domestic water distribution systems shall be designed to deliver the peak domestic flow of 2-1/2 times the average daily demand, plus any special demands, at a minimum residual pressure of 30 psi at ground elevation (or higher if special conditions require).

Domestic water distribution systems that also serve fire protection requirements shall be designed to satisfy fire flow requirements plus 50 percent of the average domestic requirements plus any industrial or process demands that cannot be reduced during a fire.

Each fire hydrant within the distribution system must be capable of delivering 1000 gpm at a residual pressure of not less than 10 psi. Where domestic water distribution systems are to serve internal fire protection systems (i.e., sprinklers or foamite systems), adequate residual pressures shall be maintained for proper operation of such fire protection systems.

Fire hydrant branches (from main to hydrant) shall be not less than 6 inches in diameter and no longer than 300 feet. A gate valve shall be installed within each fire hydrant branch to facilitate maintenance.

Water distribution system mains shall be located in accordance with Section 0260, Piped Utility Materials. Water mains shall have a minimum pressure rating of 150 psi. Water distribution systems shall be designed to maintain a normal operating pressure range of 40 psi to 100 psi (at ground level) in distribution mains and building service lines.

Where the gradient across the service area is such that multiple pressure zones are necessary to maintain normal operating pressures, pressure reducing valves shall be used to separate each pressure zone.

Use of pressure relief and surge relief valves shall be considered, as necessary, to preclude system damage from water hammer.

Gate valves shall be installed at maximum intervals of 5000 feet on long supply lines and at maximum intervals of 1,200 feet on main distribution loops, feeders, and all primary branches connected to these lines. Gate valves shall also be installed at selected points throughout the distribution system to provide system control over each service area. At intersections of distribution mains, one less gate valve than the total number of intersecting mains shall be provided.

Fire hydrants shall be installed at a maximum spacing of 400 feet. Fire hydrants shall not be located more than 300 feet from the buildings to be protected. Each building shall be protected by a minimum of two hydrants.

Air release and vacuum valves shall be installed, as necessary, at high points within the distribution system and in long supply mains.

Distribution system mains shall have a minimum depth of cover of 2-1/2 feet. Additional cover shall be provided to prevent freezing in cold climates, at roadway crossings in high traffic areas, and at railroad crossings.

Building service lines shall be a minimum of 1-inch in diameter. Service lines less than 2 inches in diameter shall be connected to the distribution main by a corporation stop and a copper gooseneck, with a service stop below frostline. Service lines larger than 2 inches in diameter shall be connected to the distribution main by a rigid connection and shall have a gate valve located below frostline. Risers from frostline to floorlines of buildings shall be adequately insulated.

Site soil and groundwater conditions (e.g., soil corrosivity) shall be considered during the selection of distribution system pipe and pipe joint materials. Where ferrous pipe is installed within the distribution system, insulating couplings shall be installed to preclude galvanic corrosion. See Section 0262, Corrosion Control, for further criteria.

## **0267                    INDUSTRIAL WATER TREATMENT**

### **0267-1                GENERAL**

This section applies to treatment of water to be used in industrial processes. Complete chemical analyses of potential water sources shall be acquired prior to selection of industrial water treatment processes. The applicability of internal (introduction of chemical additives) or external (physical and chemical systems) industrial water treatment processes shall be considered. The recommended process shall be capable of consistently providing the minimum degree of treatment for the particular industrial application at minimal costs over the life of the system. Operational reliability, capital cost, and annual operation and maintenance costs shall be considered.

The following conditions and requirements shall be considered during the selection of industrial water treatment processes:

- Industrial use process requirements
  - Water supply characteristics
  - Water quality requirements
  - Volume and rate of water use
  - Volume, rate and character of treatment process waste products
- Energy conservation requirements
- Special siting requirements

#### **0267-2 WASTE PRODUCTS**

Waste products such as sludges and brine solutions generated by external water treatment processes shall not be disposed of in a manner that will adversely impact surface water, groundwater, or other environmental resources. See Section 0275, Industrial Wastewater Treatment, and Section 0285, Solid Waste Systems.

#### **0267-3 REFERENCES**

The selection and design of industrial water treatment processes should reflect practices as applicable in one or more of the following references:

- Drew Chemical, Principles of Industrial Water Treatment
- AWWA Water Treatment Plant Design
- Nordell, Water Treatment for Industrial and Other Uses
- Powell, Water Conditioning for Industry
- Drew Chemical, Ameroid Engineer's Manual of Marine Boiler and Feed Water Treatment
- NASA NHB 7320.1B

**0270                    SANITARY WASTEWATER COLLECTION AND STORMWATER  
MANAGEMENT SYSTEMS**

**0270-1                SANITARY WASTEWATER COLLECTION SYSTEMS**

**0270-1.1            General**

This section applies to sanitary wastewater collection systems (i.e., liftstations, force mains, collector sewers and interceptor sewers) and building sewers (5 feet beyond the building foundation).

**0270-1.2            System Layout**

Wastewater collection system layouts shall be as simple and direct as possible. Where feasible, initial planning efforts shall optimize system layouts to facilitate future system expansions, to mitigate conflicts with other utility systems, and to reduce maintenance requirements.

Wastewater collection systems shall be included within utility master planning efforts.

The following conditions and requirements shall be considered during route selection for new collection systems:

- Future population and development projections
- Anticipated flowrates for fully developed conditions
- Physical constraints (e.g., utility corridors, geologic formations and topographic features)
- Hydraulic design criteria
- Unique conditions (e.g., research and production facility operating schedules)
- Security boundaries and requirements

**0270-1.3            System Design Considerations**

Industrial wastewater and pollutants above EPA minimal concentrations shall be excluded from sanitary wastewater collection systems except where pretreatment systems suitably remove pollutant constituents cost effectively.

Hydraulic design of wastewater collection systems shall comply with TM 5-814-1, TM 5-814-2, and ASCE 37. All wastewater collection systems shall be designed for gravity flow unless such systems are not economically feasible. Sewage liftstations and force mains shall not be used unless approved by the cognizant DOE authority. Feasibility analyses and economic evaluations of liftstation and force main costs for construction, operation, and maintenance shall be prepared and submitted to the cognizant DOE authority for approval. Sewers and force mains shall be sized to accommodate the estimated daily minimum and maximum

discharges for the initial and final years of the design period specified by the cognizant DOE Facilities Engineering Group.

In accordance with ASCE 37:

- Velocities in gravity sewers and force mains shall not exceed 10 feet per second.
- Gravity sewers shall be designed for a minimum velocity of 2 feet per second.
- Force mains shall be designed for a minimum velocity of 3.5 feet per second.

For preliminary design, domestic water consumption rates shall be used to approximate wastewater flowrates. Where possible, actual flow data from an adjacent service area typical of the service area under consideration shall be used to estimate wastewater flowrates for final design. In the absence of such data, metered water use less the estimated consumptive use (i.e., water withdrawal rate) can be used.

Sewers and force mains shall have a minimum depth of cover of 2 feet. Additional cover shall be provided to prevent freezing in cold climates and at roadway crossings in high traffic areas.

Sewer or force main trench widths shall be minimized; however, excavations, trenching, and shoring shall comply with 29 CFR 1926, Subpart P. Pipe bedding specified by the pipe manufacturer shall be in place prior to installation of sewers and force mains.

Sewers or force mains shall not be routed within 50 feet (75 feet in pervious soils) of any well or reservoir that serves as a potable water supply. The sewer or force main shall be ductile iron pipe in all instances where such horizontal separation cannot be maintained. Where there is a shallow depth to groundwater, special precautions shall be taken to preclude sewer infiltration or exfiltration.

Where feasible, sewers or force mains shall not be routed within 10 feet of potable waterlines or firelines.

Where potable waterlines must cross sewers or force mains, waterlines shall pass 2 feet above the sewer or force main. Where insufficient cover precludes such vertical separation, the sewer or force main shall be ductile iron pipe or shall be fully encased in concrete for a minimum distance of 10 feet to each side of the waterline crossing. Where feasible, sewer or force main pipe joints shall not be located within 3 feet of such crossings, unless the joint is encased in concrete.

Where feasible, sewers and force mains shall not be routed under buildings or other permanent structures. Sewers and force mains shall be adjacent and parallel to paved roadways. Sewers and force mains shall not pass beneath paved roadways except at roadway crossings. Where feasible, utility cuts within existing roadway pavements shall be perpendicular to the roadway centerline to minimize trench length. Diagonal roadway cuts shall be avoided where possible.



Sewers or force mains that penetrate or pass under a security barrier through an opening of more than 96 square inches in area and over 6 inches in smallest dimension shall provide the same degree of penetration delay as is required for the security barrier.

**0270-1.4      Pipe Materials and Pine Joints**

The selection of sewer and force main pipe material shall be based on wastewater characteristics and soil conditions. PVC shall be considered where treeroot and infiltration are a problem. Ductile iron pipe shall be used for force main and gravity sewer stream crossings. Ductile iron pipe shall also be used for inverted siphons and for sewers located in parking lots or other high traffic areas.

Pipe joints shall have a permanent watertight seal. Maximum infiltration-exfiltration allowances and infiltration-exfiltration test requirements shall be specified within the contract documents.

**0270-2              STORMWATER MANAGEMENT SYSTEMS**

**0270-2.1          General**

Stormwater management Systems shall be cost effective and shall provide flood protection commensurate with the value and operational requirements of the facilities to be protected.

The following conditions and requirements shall be considered prior to stormwater management system design:

- Local regulations
- Site topography
- Ultimate development within the drainage area
- Requirements for future expansion
- Outfall locations
- Existing drainage systems
- Location of other utilities
- Security boundary and safeguard requirements

In accordance with the CWA as amended by the WQA of 1987, the NPDES Permit Regulations require control of point source stormwater discharge.

Stormwater management systems shall be designed for not less than the 25-year, 6-hour storm. The potential effect of larger storms (up to the 100-year, 6-hour storm) shall also be considered. With the approval of the cognizant DOE authority, lesser design storms may be used where a large expenditures for flood protection cannot be economically justified.

Within fully developed watersheds, where the downstream capacity of existing flood control structures has been exceeded, stormwater runoff that results from developed conditions shall be detained on site (ponded) and gradually released such that the capacities of the downstream channels and other control structures are not exceeded and soil erosion is mitigated.

Storm drains that penetrate or pass under a security barrier through an opening of more than 96 square inches in area and over 6 inches in smallest dimension shall provide the same degree of penetration delay as is required for the security barrier. See Section 0280-1, Fencing, for requirements for ditches or culverts that pass beneath permanent security fence.

0270-2.2        Hydrology

0270-2.2.1      General

The following criteria shall be assessed during the performance of hydrologic analyses:

- The character and applicability of available stream gauge data
- The cost (in dollars) of drainage improvements in comparison to the value (in dollars) of facilities to be protected

The following site-specific information shall be assembled for use in hydrologic computations:

- Geographic location
- Precipitation frequency data
- Drainage area
- Soil and cover
- Runoff distribution

Rainfall intensity-duration curves based on historic record should be developed and used for each locale.

Peak discharge and runoff volume estimates shall be based on available information. Peak discharge flood formulae shall only be used for preliminary analyses. Inflow flood hydrography shall be derived for use in design.

The design flood shall be based on a study of precipitation frequency, runoff potential, and runoff distribution relative to physical characteristics of the watershed.

Where available, stream gauge data shall be used to estimate design flows in major channels. Where stream gauge data is inadequate or unavailable, rainfall information shall be taken from documented sources, such as NOAA/U.S. Weather Bureau Technical Paper No. 40. In

all instances, design storm precipitation values taken from documented sources or derived by published standard engineering methods shall be used to estimate design flood discharges.

In accordance with ASCE 37, use of the Rational Method,  $Q = CiA$ , shall be restricted to estimation of runoff from small urban and developed areas. The Rational Method shall not be used for drainage areas in excess of 160 acres.

For large drainage areas, criteria presented within SCS National Engineering Handbook, Section 4, Hydrology, shall be used to determine runoff rates. Regional frequency analyses shall be used on large catchments where the period of record is long and the basins are closely related.

#### 0270-2.2.2 Geologic, Geographic, and Topographic Factors

Design flood discharge estimates shall be based on the following:

- Variation in flood potential due to differences in geology, topography, and moisture sources
- Surface runoff originating from rainfall, snowmelt, or both
- Topographic features that influence precipitation amounts
- Geologic formations that induce high flood discharges
- Geologic features that reduce flood potential

#### 0270-2.2.3 Precipitation Frequency

For further guidance on precipitation frequency data, the design professional should consult the following references:

- NOAA Atlas 2 (applicable to the mountainous regions of the 11 contiguous states west of approximately long. 103°W)
- NOAA/National Weather Service Technical Paper No. 40 (applicable to those states not covered within the preceding reference)

Where available, local precipitation data shall be used in lieu of regional data for site-specific hydrologic computations.

#### 0270-2.2.4 Soil and Cover

The effects of watershed soil type and vegetative cover on runoff potential shall be considered where precipitation and runoff data are available. If such data are not available, comparisons with similar watersheds shall be made. The methods used for estimating runoff from soil and cover data shall comply with SCS National Engineering Handbook, Section 4, Hydrology.

**0270-2.2.5      Runoff Distribution**

Unit-hydrography shall be used to derive flood estimates.

**0270-2.3      Flood Routing**

Flood routing methods shall be used to derive design flood discharge rates at locations where drainage structures are proposed within the watershed. Reservoir, channel, or unit-hydrograph routing methods shall be used where appropriate. The use of computer programs to expedite computations is encouraged.

**0270-2.4      Hydraulics**

**0270-2.4.1      Storm Sewers**

Subsurface drainage systems shall be sized to accommodate runoff from the 25-year, 6-hour storm. Subsurface drainage systems shall be sized for a greater storm in locations where there is substantial risk to critical facilities and operations. Sediment transport requirements shall be incorporated within subsurface drainage system designs. Storm sewers shall be designed to maintain adequate scour velocities. New storm sewers shall be sized for open channel flow. The minimum storm sewer size shall be 12 inches. The minimum culvert size shall be 15 inches. For roof drain systems, the minimum pipe size for laterals and collectors shall be 4 inches.

**0270-2.4.2      Open Channels**

Open-channel stormwater conveyance systems shall be sized to accommodate the 25-year, 6-hour design flow with a minimum freeboard. Open-channel drainage systems shall be sized for a greater storm in locations where there is substantial risk to critical facilities and operations.

Open-channel stormwater conveyance systems shall be designed for minimal maintenance. The potential for scour or deposition within earth-lined channels shall be considered prior to approval by the cognizant DOE authority. Preference for earth-lined or hard-lined channels shall be based on a comparison of capital, maintenance and operation costs.

Inlets to open-channel stormwater conveyance systems shall be placed at locations where erosion potential is minimal.

**0270-2.5      Street Drainage**

Street drainage in developed areas shall be conveyed within the roadway cross section. Curb inlets shall be used to divert stormflows to surface and subsurface stormwater conveyance systems. Curb inlets shall not be located within curb returns or in areas of heavy pedestrian traffic. Pedestrian and cyclist safety shall be considered during selection of storm inlet grates. Curb gaps shall be used where roadside drainage swales exist.

In locations where uninterrupted vehicular access is essential to critical operational activities, roadway cross sections shall be designed to convey runoff from the 25-year, 6-hour storm such that one driving lane width (12 feet) is free of flowing or standing water. Stormwater

management systems shall have sufficient capacity to ensure that runoff from the 100-year, 6-hour design storm will not exceed a depth of 0.87 feet at any point within the street right-of-way or extend more than 0.2 feet above the top of curb in urban streets. Inverted crown roadway cross sections shall not be used unless approved by the cognizant DOE authority.

## **0273                    WATER POLLUTION CONTROLS**

### **0273-1                GENERAL**

Treatment and disposal of sanitary wastewater and wastewater sludges shall comply with the CWA, the FWPCA, the SDWA, and other applicable Federal, State, regional, and local laws and regulations. Treatment and disposal of sanitary wastewater sludges shall also comply with the Executive Directives (Executive Orders and Office of Management and Budget Circulars) identified within:

- DOE 5440.1C
- DOE 5480.1B

### **0273-2                REGULATORY OVERVIEW**

For an overview of the laws and regulations applicable to water pollution control see DOE 5400.1.

### **0273-3                PLANNING FOR SANITARY WASTEWATER TREATMENT AND DISPOSAL SYSTEMS**

During initial planning efforts, the feasibility of discharging sanitary wastewater to other POTWs shall be considered and shall be coordinated with the operator of the POTW.

During site selection for on-site wastewater treatment and disposal facilities the following conditions and requirements shall be considered.

- Proximity to other facilities
- Natural topographic and geologic conditions
- Location of the outfall sewer relative to the receiving stream
- Adequacy for future expansion
- Health and safety requirements
- Environmental constraints

- Prevailing wind direction
- Security and safeguard requirements

To mitigate aesthetic impacts on adjacent activities and operations, wastewater treatment facilities shall be located as far as practicable from inhabited and high traffic areas; however, wastewater treatment facilities shall not be isolated to the degree that maintenance access is hindered.

Where feasible, wastewater treatment and disposal systems shall be located above the 100-year floodplain. Where topographic or other physical constraints preclude this, levees shall be provided for flood protection.

Treatment, storage and disposal facilities for hazardous and toxic wastes shall be located above the 500-year floodplain. See Section 0285-2, Site Selection, for further criteria.

#### **0273-4            SELECTION OF SANITARY WASTEWATER TREATMENT AND DISPOSAL METHODS**

New wastewater treatment and disposal facilities shall be cost effective and energy efficient. Processes and systems shall be optimized to reduce maintenance requirements and to facilitate future modular expansions.

Industrial wastewater and toxic pollutants shall be excluded from sanitary wastewater treatment and disposal systems except where pretreatment systems suitably remove objectionable constituents cost effectively. Measures shall also be taken to exclude stormwater runoff, surface drainage and subsurface drainage from sanitary wastewater treatment and disposal systems. See Section 0275, Industrial Wastewater Treatment, for further criteria.

Treatment and disposal of radioactive wastes shall comply with DOE 5480.1B. See ANL/EES TM-264, Rev. 1, for further guidance on management of radioactive waste.

Where mandated by Federal or State regulatory agencies, seepage detection systems shall be implemented within new wastewater treatment facilities.

Conventional methods for treatment and disposal of domestic wastewater shall meet NPDES discharge limits for conventional and toxic pollutants or more stringent State, local or regional criteria. Pretreatment systems shall be implemented where non-conventional and toxic pollutants will be discharged to conventional wastewater treatment and disposal systems.

"Packaged" treatment processes shall not be used unless approved by the cognizant DOE authority. Feasibility analyses and economic evaluations of such processes shall be prepared and submitted to the cognizant DOE authority for review. These analyses shall include an assessment of operator skill level requirements, system reliability, availability of spare parts, service contract arrangements and LCC.

The potential for large-scale use of anaerobic sludge treatment processes (and associated volume reduction) for production of methane gas to be used as an energy source shall be considered.

Disposal of domestic wastewater effluent and sludge by land application methods shall be implemented where suitable site and soil conditions prevail; however, precautions shall be taken to protect water and other environmental resources where land application methods are used. Where feasible, effluent reuse applications such as landscape irrigation or groundwater recharge shall be implemented.

Groundwater and surface water monitoring programs shall be implemented to detect changes in water quality from land application methods. The initial (background) quality of surface water, and of groundwater within the saturated zone of underlying aquifers, shall be established prior to start-up of land application operations. Air quality monitoring shall also be provided where aerosols are created by land application processes.

On-site wastewater treatment and disposal systems (i.e., septic tanks and drain fields) shall be used in remote locations where wastewater characteristics, site constraints, soil conditions are such that NPDES discharge limits can be consistently met.

Approval of selected treatment and disposal methods shall be obtained from the cognizant DOE authority.

The selection of sanitary wastewater treatment and disposal systems shall be in accordance with the following:

- EPA 430/9-75-002
- EPA 625/1-77-009
- EPA Project #17090
- EPA 625/1-80-012
- EPA Project #17090
- WPCF MOP/8 CTG-77

## **0273-99 SPECIAL FACILITIES**

### **0273-99.0 Nonreactor Nuclear Facilities-General**

There shall be no interconnections among storm water systems, the sanitary waste system and radioactive or other hazardous material handling systems or areas. Maintaining separation such as accomplished by separate trenches is acceptable; however, all crossings, parallel runs, and separation distances shall comply with the UPC. Installations shall be in compliance with the UPC and ASCE 37. The sanitary waste system at each facility shall be monitored or sampled and analyzed (e.g., alpha emitters) for radioactivity unless the site

sanitary treatment system is monitored. In addition, there shall be no interconnections between the potable water system, the sanitary waste system, and process systems.

## **0275            INDUSTRIAL WASTEWATER TREATMENT**

### **0275-1            GENERAL**

Industrial wastewater and pollutants above EPA minimal concentrations shall be excluded from sanitary wastewater treatment and disposal systems except where pretreatment systems suitably remove pollution constituents cost effectively. See Section 0275-99, Special Facilities, and Section 1300-8, Waste Management, regarding treatment and disposal of radioactive wastes.

Treatment and disposal of industrial wastewater shall be in compliance with the CWA, the FWPCA, the SDWA, the RCRA, the TSCA, and other applicable Federal, State, regional, and local laws and regulations. Treatment and disposal of industrial wastewater shall also comply with the Executive Directives (Executive Orders and Office of Management and Budget Circulars) identified within:

- DOE 5400.1
- DOE 5440.1C
- DOE 5480.1B
- DOE 5820.2A

### **0275-2            REGULATORY OVERVIEW**

For an overview of the laws and regulations applicable to industrial water pollution control see ANL/EES TM-264, Rev. 1.

### **0275-3            PLANNING FOR INDUSTRIAL WASTEWATER TREATMENT AND DISPOSAL SYSTEMS**

#### **0275-3.1            General**

Planning efforts shall consider cost-effective methods for protecting surface water, groundwater and environmental resources.



#### 0275-3.2 Alternative Production Flow Control Methods

Where feasible, production flow methods that conserve water shall be implemented within new production and manufacturing facilities to reduce the volume of industrial wastewater. Planning and production method decisions shall be based on:

- Waste stream characteristic
- Waste treatment costs
- Manpower requirements

#### 0275-3.4 Recycle/Recovery and Alternative Waste Treatment Techniques

Where feasible, recycle and recovery systems shall be implemented within industrial waste streams to conserve energy and resources. Alternative waste treatment techniques shall be considered for potential cost and energy savings. During evaluations of alternative waste treatment techniques the following shall be considered:

- LCC
- Simplicity of facility operations
- Compatibility with other site operations
- Environment impacts
- Sludge generation and disposal methods

#### 0275-3.4 Disposal of Solids

The need for additional treatment of solids generated during industrial wastewater treatment shall be considered where sludge toxicity and volatility preclude disposal at sanitary landfills.

Solids generated by industrial wastewater treatment processes shall be disposed of in a manner that will not adversely impact surface water, groundwater or other environmental resources.

#### 0275-4 CONTROL OF POLLUTION FROM OTHER SOURCES

Precautions shall be taken to prevent contamination of surface water, groundwater, soil, or other environmental resources in the vicinity of storage or treatment tank systems for petrochemical, hazardous material and hazardous wastes. Hazardous waste tank systems shall comply with 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR-264, 40 CFR 265, 40 CFR 270, and 40 CFR 271. Petrochemical and hazardous material tank systems shall comply with 40 CFR 280 and proposed 40 CFR 281.

Operational requirements for containment of spills and leaks are contained in:

- 40 CFR 122, which defines the requirement for each facility to develop and implement a SPCC plan that defines systematic methods for response to spills, leaks, or other releases and emergency situations and cleanup procedures
- 40 CFR 280.30 (draft), which requires personnel to be present during transfers of material
- 40 CFR 264.193 and 264.196, which address spill response, cleanup, and reporting requirements if a release occurs and closure requirements if migration to groundwater or surface water cannot be prevented

Structural requirements are found in:

- 40 CFR 280.30 (draft), which details requirements for spill or overfill prevention devices on new and existing tank systems
- 40 CFR 264.193, which details requirements for secondary containment on new and existing hazardous waste tank systems
- 40 CFR 264.194, which also requires use of spill prevention controls (check valves), overfill prevention controls (sensing devices, cutoffs and bypasses), and maintaining sufficient freeboard

In 40 CFR 280.20 (draft) it is required that all new tank systems (includes piping) for petrochemicals and hazardous chemicals be constructed of one of the following compositions:

- Fiberglass reinforced plastic
- Cathodically protected coated steel
- Steel and fiberglass reinforced plastic composite
- Other systems approved by the State permitting agency or the EPA

In 40 CFR 280.21 (draft) it is required that all existing tanks be upgraded to meet the same performance standards as new tanks and piping within 10 years from the effective date of the final regulations (i.e., either be constructed out of materials to prevent corrosion, install cathodic protection, or close the tank). In 40 CFR 264.192 it is required that, for new hazardous waste tank systems, the written assessment of proposed tank systems (to be performed by an independent registered engineer) shall include design standards by which the tanks and ancillary equipment are to be constructed, characteristics of the wastes, and other installation considerations. Where any external metal component comes in contact with water or soil, a list of factors affecting potential for corrosion and type and degree of required external corrosion protection is required.

For existing tanks, 40 CFR 264.191 addresses requirements for system integrity. An assessment of each tank system without secondary containment must be made by 1/12/88, including an evaluation of existing corrosion protection.

Other installation requirements for new petroleum and chemical tanks are addressed in 40 CFR 280.20 (draft) and include:

- Damage prevention during installation
- Provision of adequate space for the tank and ancillary equipment and placement and compaction of backfill during excavation
- Clean, washed, non-corrosive backfill material
- Proper support and anchorage of backfilled structures
- Minimization of pipeline and conduit alignment intersection
- Pipe joints cut and deburred to provide liquid tight seals
- Swing joints/flexible connectors installed at the beginning and end of each line as well as where the line changes direction
- Cathodic protection installed according to the manufacturer's instructions and specifications
- Tanks and piping tightness tests performed after backfill is installed and before the system is placed in operation
- Certifications of compliance with these requirements submitted on the notification form to the State permitting agency

Installation requirements for new hazardous waste tanks are addressed in 40 CFR 264.192. In addition to satisfying corrosion protection requirements, these installations must include:

- Design or operational measures to protect underground components that may be adversely affected by vehicular traffic
- Design measures to ensure tank foundations will maintain the load of a full tank, to provide seismic protection, and to withstand effects of frost heave
- Proper handling procedures
- Noncorrosive, porous, homogeneous backfill around the tank and components to ensure support
- Tank and ancillary equipment tested for tightness prior to backfill, enclosure or use

A variety of repair, inspection and reporting requirements for both categories of tanks must also be followed.

For hazardous waste tank systems, groundwater monitoring may be required in some instances when leaks have occurred, but will definitely be required under 40 CFR 264.197 and 40 CFR 265.197, which contain requirements for closure and post-closure care.

Groundwater monitoring is one method of implementing the monitoring and release detection requirement (40 CFR 280.41, draft) for petroleum and hazardous materials tanks. Groundwater monitoring is also a requirement for closure and post-closure care and corrective actions for petroleum and hazardous materials tanks per 40 CFR 280 Subparts F, G, and H.

Construction of tanks and tank systems must also meet DOE requirements and State or EPA permitting requirements.

## **0275-5           SELECTION OF INDUSTRIAL WASTEWATER TREATMENT AND DISPOSAL METHODS**

Approval of treatment and disposal methods must be obtained from the State permitting agency and the EPA. Methods for treatment and disposal of industrial wastewater shall comply with HES Recommended Standards for Sewage Works (Ten States Standards).

### **0275-99           SPECIAL FACILITIES**

#### **0275-99.0       Nonreactor Nuclear Facilities-General**

##### **0275-99.0.1     Industrial Wastes**

Industrial wastes such as discharge from mop sinks, overflow from positive pressure circulating waste systems, and process steam condensate shall be collected and transferred to a liquid waste treatment plant or similar treatment area. Provisions shall be made for the continuous monitoring and recording of radioactivity, flow volume, pH, and other parameters required for material control and proper waste treatment operations while each volume of waste is being received by the plant. The radioactivity monitor shall have an alarm that annunciates locally as well as in an occupied area where corrective action can be initiated. The use of retention systems shall be considered.

##### **0275-99.0.2     Process wastes**

Liquid process wastes containing radioactive or other hazardous material shall be collected and monitored near the source of generation before batch transfer through appropriate pipelines or tank transfer to a liquid waste treatment plant or area. These wastes shall be individually collected at that facility in storage tanks that are equipped with stirrers or other accepted means of mixing, sampling and volume measuring devices, and transfer systems. Waste storage tanks and transfer lines shall be designed and constructed so that any leakage shall be detected and contained before it reaches the environment. Radiation, liquid level, or conductivity detectors shall be provided in collection systems. Transfer lines shall have inspection and collection pits at practical intervals into which leakage can drain by gravity. Double-walled transfer pipelines or multi-pipe encasements shall be used for high-level radioactive liquid wastes and other equally hazardous nonradioactive liquid wastes as defined by safety analysis. Provisions shall be made for the collection, transfer, and disposal of infiltration into the annulus of double-walled pipelines and for the collection, transfer, and storage (as appropriate) of leakage from the pipe of double-walled transfer pipelines.

Nuclear criticality safety shall be considered in the design of liquid radioactive waste processing systems.

Radioactive waste collection, transfer, and storage systems shall be such as to avoid the dilution of radioactive waste by waste of lower level radioactivity or other waste. This may require the provision of multiple and parallel systems. Systems that involve the possible dilution of radioactive waste shall only be used with the concurrence of the sponsoring DOE program office.

**0275-99.0.3      Nonradioactive Liquid Effluents**

For nonradioactive effluents, the point of release shall be considered the point at which the effluent exits the pipe, etc.

**0276                    CONSTRUCTION IN FLOODPLAINS OR ON WETLANDS**

Executive Order 11988 and Executive Order 11990 mandate that Federal agencies avoid development, modification or occupancy of floodplains and wetlands where practical alternatives exist.

DOE responsibilities with respect to compliance with Executive order 11988 and Executive Order 11990 are covered in 10 CFR 1022, which includes

- DOE policy regarding inclusion of floodplain and wetland factors within planning and decisionmaking
- DOE procedures for the identification of proposed actions located in floodplain and wetlands, providing for early public review of such actions, preparing floodplain and wetlands assessments, and issuing statements of finding for such actions in a floodplain

To the extent possible, DOE shall accommodate the requirements of Executive Order 11988 and Executive Order 11990 through applicable DOE 5440.1C procedures.

DOE shall exercise leadership and take action to:

- Avoid to the extent possible the long-term and short-term adverse impacts associated with the destruction of wetlands and the occupancy and modification of floodplains and wetlands, and avoid direct and indirect support of floodplain and wetlands development wherever there is a practicable alternative
- Incorporate floodplain management goals and wetlands protection considerations into its planning, regulatory, and decision-making processes
- Undertake a careful consideration of the potential impacts of any DOE action taken in a floodplain and any new construction undertaken by DOE in wetlands not located in a floodplain

- Identify, consider, and, as appropriate, implement alternative actions to avoid or mitigate adverse floodplain and wetlands impacts
- Provide opportunity for early public review of any plans or proposals for actions in floodplains or new construction in wetlands

Construction in floodplains or on wetlands shall comply with the following:

- 10 CFR 1022
- NEPA and implementing regulations

## **0278            POWER AND LIGHTING**

The design professional shall coordinate site power and lighting as follows:

- See Section 1605, Basic Electrical Materials and Methods, for cable trenches and power wiring requirements.
- See Section 1620, Power Generation, for emergency power requirements.
- See Section 1630, Exterior Electrical Utility Service, for substations and switching stations requirements.
- See Section 1640, Interior Electrical Systems, for underground duct and primary power requirements.
- See Section 1650, Exterior Lighting, for exterior lighting requirements.
- See Section 1660, Special Systems, for power supply and lightning protection requirements.
- Security lighting requirements shall comply with the DOE 5632 series.

## **0279            EXTERIOR COMMUNICATIONS AND ALARM SYSTEMS**

The design professional shall coordinate exterior communications and alarm systems as follows:

- See Section 1595-10, Energy Management Systems
- Intrusion alarm and fire alarm systems shall comply with the DOE 5632 series, Section 1670, Exterior Communications and Alarm Systems, NFPA 72A, NFPA 72B, NFPA 72C, NFPA 72D, NFPA 72E, NFPA 72F, NFPA 72G, and NFPA 72H.

- Security alarm systems shall comply with Section 1671, Interior Communications and Alarm Systems, and the DOE 5632 series.

## 0280            SITE IMPROVEMENTS

### 0280-1           FENCING

Fencing shall be limited to that required for safety, physical security, and activity control. In each case the most economical type of fence that will satisfy the particular functional or security requirement shall be selected. Fencing shall be grounded around substations, fuel storage areas, and other hazardous areas.

Chain link fabric shall be used for the security of restricted areas. Wood fencing can be used when nonmagnetic requirements are established and to bar vision into limited personnel access areas. Fencing should be at least 8 feet high. Solid fencing, which can increase guard requirements, shall be used judiciously.

Woven wire fencing shall be at least 4'-6" high and should be limited to railway and highway rights-of-way where roaming animals must be kept out.

Barbed wire fencing can be used for boundaries of open, undeveloped areas only.

Snow fencing should be used to prevent snow from drifting in access areas. When extreme wind and snow conditions prevail, special designs can be used as required.

Areas under security fencing subject to water flow, such as bridges, culverts, ditches and swales, shall be blocked with wire or steel bars with adequate provision for the passage of flood flows, such that the area provides a penetration delay equal to that required for the security fence. Depressions where water flow is not a problem should be covered by additional fencing suspended from the lower rail of the main fencing. Weed control may justify paving the area beneath fences.

### 0280-2           OTHER SITE IMPROVEMENTS

Vehicular signage shall comply with ANSI D6.1.

## 0281 VEHICULAR AND PEDESTRIAN CIRCULATION

### 0281-1 GENERAL

Vehicular and pedestrian circulation facilities shall comply with the following:

- UFAS
- ANSI D6.1
- AASHTO GU-2-73
- AASHTO GD-2-65
- AASHTO GDHS-84

Convenient and safe vehicular and pedestrian access shall be provided to all site facilities. Rapid access features shall be implemented to accommodate fire fighting equipment and emergency vehicles. See Section 0283, Physical Protection, for vehicular and pedestrian access control. See Section 0250, Paving and Surfacing, for sidewalk, roadway and parking area pavements.

### 0281-2 PEDESTRIAN CIRCULATION FACILITIES

#### 0281-2.1 General

On-site pedestrian circulation facilities such as sidewalks, bus stops, loading zones, at-grade pedestrian crossings (crosswalks), and grade separation pedestrian crossings shall be provided. Features such as curb-cut and access ramps shall be provided for the physically handicapped. Curb-cut ramps shall be located adjacent to or within marked crosswalks. The specific location of curb cut ramps shall be adapted to site conditions. Curb-cut ramps shall have a minimum width of 4 feet.

Pedestrian circulation facility designs should be integrated with security and safeguard requirements at secured sites. Pedestrian circulation facilities should be located to minimize nuisance interference with IASs and other sensor fields.

#### 0281-2.2 At-Grade Pedestrian Crossings

At-grade pedestrian crossings shall be located to minimize curb-to-curb walking distance at flared intersections. At intersections that are not flared or otherwise widened, crosswalks shall be placed in line with approach walks.

Use of traffic control and protective devices shall be considered in heavy pedestrian-vehicular traffic areas to facilitate pedestrian traffic movement. The types of traffic control and protective devices that shall be considered for such applications include the following:

- Crosswalk markings (visible by day and by night)



- Street lighting at crosswalks
- Pedestrian refuge islands
- Pedestrian signals with "Walk" and "Don't Walk" indicators
- Pedestrian-vehicular barriers between sidewalks and traffic lanes
- Median barriers, fences, or plantings along divided highways (to discourage pedestrians from crossing at uncontrolled locations)

The following alternatives to implementation of traffic control and protective devices shall also be considered:

- Pedestrian subways or overcrossings
- Conversion of two-way street operation to one-way operation
- Elimination of turns
- Elimination of some crosswalks

#### 0281-2.3      Pedestrian Grade Separation Crossings

Implementation of pedestrian grade separation crossings shall be considered where heavy peak pedestrian movements coincide with moderate-to-heavy vehicular traffic volume, or where abnormal hazards or inconvenience to pedestrians would otherwise result. Pedestrian subways or overcrossing structures shall comply with AASHTO GDHS-84.

All pedestrian subways or overcrossings shall be designed to accommodate the physically handicapped.

#### 0281-3      VEHICLE CIRCULATION FACILITIES

Vehicle circulation facilities shall comply with ANSI D6.1.

Vehicle circulation facility designs should be integrated with security and safeguard requirements at secured sites. Vehicle circulation facilities should be located to minimize nuisance interference with IASs and other sensor fields. Particular attention shall be given to sensors actuated by seismic or electrical field disturbances.

Vehicle circulation facilities shall be designed to provide safe and convenient access for deliveries, collections, fire protection, emergencies, maintenance, repair, and other essential services. Where feasible, internal street systems shall be designed to discourage use by through-traffic.

The following shall be considered prior to design of vehicle circulation facilities:

- Design capacity
- Design speeds
- Design vehicles
- Sight distance
- Topographic constraints
- Horizontal and vertical alignments
- Visual impacts
- Cross-section elements (e.g., pavement, cross-slope, lane widths, shoulder treatment, medians, frontage roads, sidewalks, and curb and gutter)
- Other geometric design elements (e.g., barriers, retaining walls, guardrails, traffic control devices, fencing, utilities, lighting, landscaping, drainage, erosion control, and noise and pollution control)

Speed bumps shall not be used in parking lots.

## **0283            PHYSICAL PROTECTION**

### **0283-1           GENERAL**

The physical protection requirements in this section are not all-inclusive. The applicability of any or all of the physical protection systems to site-specific safeguards and security requirements shall be identified within the threat analysis for each site. Application of physical protection systems to meet site safeguards and security requirements shall be documented and justified in Headquarters-approved MSSAs. Advice and technical assistance from the DOE Safeguards and Security Coordinator shall be sought. Consultant input may also be incorporated within site-specific physical protection systems.

Physical protection system designs should use a graduated approach, i.e., a greater degree of protection shall be provided for critical assets and operations.

### **0283-2           PHYSICAL PROTECTION PLANNING**

Physical protection requirements shall be considered during site selection for new facilities. Operational reliability, capital cost, and annual operation and maintenance costs shall be considered during selection of physical protection systems. Physical protection system designs shall comply with the DOE 5632 series and shall be coordinated with the responsible security administrator or his designee. Approval of the recommended physical protection

system shall be obtained from the DOE Safeguards and Security Coordinator. These factors shall be documented in the SSSP or other corresponding planning documents.

Physical protection of critical assets and security interests shall be in accordance with the DOE 5632 series. The following reports will also be considered as guidance:

- SAND 87-1926
- SAND 87-1927
- SAND 87-1928
- NIJ Standard 0108.01
- NBS Technical Note 837

Permanent boundaries shall be used to enclose security areas except during construction or transient activities, when temporary barriers can be erected. Temporary barriers can be of any height and material that will effectively impede access to the area.

Physical protection features shall be implemented at all locations where storm sewers, drainage swales and site utilities intersect the perimeter of secured areas. Storm drainage improvements through security fences shall be designed to prevent debris from clogging stormwater inlet structures.

### 0283-3            PERMANENT SECURITY FENCING

#### 0283-3.1            General

A security fence primarily serves as a legal and physical demarcation of a security area and also obstructs illegal entry. Unless a security fence is regularly patrolled, under continuous observation, or equipped with an IAS supported by an assessment capability and a response force, the fence has limited additional utility.

Where continuous surveillance over the boundary of the security area is not required, a sturdy, multiple strand or chainlink fabric fence shall serve as the physical and legal barrier. A more substantial barrier shall be considered for security areas adjacent to heavily populated civilian areas or public highways or where continuous surveillance is required over the boundary of a non-nuclear restricted area.

A double security fence shall be considered around areas that contain Category I and II special nuclear materials. The cognizant DOE Operations Office or Operating Contractor Security and Safeguards Division shall be consulted for further siting guidance.

The number of ECPs shall be limited so as to establish and maintain the level of integrity required by the cognizant DOE Operations Office for secured areas. ECPs shall be designed to provide positive security control over vehicular and pedestrian traffic that enters the secured area. ECPs shall be structurally hardened, as necessary, to meet site-specific criteria.

ECP designs shall also facilitate ingress and egress of emergency vehicles and fire protection equipment.

Where feasible, the ECP shall be placed between the IDA zone and the inner fence. This configuration provides a continuous IDA zone across the ECP during low traffic periods.

### 0283-3.2 Desire Considerations

#### 0283-3.2.1 General

Where appropriate, the following requirements shall be shown on the drawings or specified within the contract documents:

- Materials (i.e., fence fabric, posts, concrete, fence anchor sills or bottom rails, tension wires, barbed wire, and outriggers)
- Grading (i.e., horizontal alignment, vertical alignment, clearance from obstructions, utility crossings, surface drainage and subsurface drainage)
- Soil stabilization (i.e.,  $\pm 3$  inch maximum variation in planar surface for microwave or active infrared)
- Permanent vegetation control (i.e., herbicide along the base of fence and within a clear zone 20 feet to each side of fence or permanent pavement beneath a microwave or active infrared beam line)
- Electrical grounding
- Closures (i.e., gate sizes, types, clearances, hardware, motor operators, control systems and direction of swing)
- Barriers to impede unauthorized access to security areas (effective delay shall be documented within conceptual design report)
- IAS requirements (i.e.  $\pm 3$  inch maximum variation in planar surface for microwave or active infrared)

#### 0283-3.2.2 Location

A clear zone shall be provided along each side of security fence perimeters to facilitate intrusion detection and assessment. Where a double fence is provided, a minimum clear zone of 20 feet shall be considered to the inside and to the outside of the inner and outer fence, respectively. Where minimum distances cannot be provided, supplementary protective measures shall be considered (i.e., greater fence height or other protective measures as required by the cognizant DOE Security Officer). Where feasible, wider clear zone shall be provided. See Section 0283-4, Patrol Roads and Walkways, for further criteria.

#### 0283-3.2.3 Construction

Permanent security fencing for DOE sites, facilities, and security areas shall comply with the DOE 5632 series, Chapter II. However, protection programs shall be tailored to address site-specific characteristics. These shall be documented in the SSSPs and/or MSSAs.

Permanent security fencing for DOE sites, facilities, and security areas shall consist of a minimum of 11 gauge, galvanized steel fabric with mesh openings not larger than 2 inches. Fencing shall be topped by three or more strands of barbed wire on single or double outriggers. Double outriggers can be topped with coiled barbed wire (or with barbed tape coil where approved for use by the cognizant DOE Security Officer). When single barbed wire outriggers are used, they shall be angled outward, away from the security area.

Overall fence height, excluding barbed wire or barbed tape coil topping, shall be a minimum of 7 feet.

Wire-rope-type vehicle barriers shall be considered for installation outside the inner fence where the secured perimeter bounds heavy vehicular traffic areas. Guidance from SAND 87-1926 shall be considered.

Tension wires or top rail shall be considered for installation along the top edge of the fence fabric.

Posts, bracing, and other structural members shall be located on the inside of secured perimeters. Once in place, all fence hardware shall be peened or spot welded to prevent easy removal. Where the galvanized finish has been removed or damaged during installation it shall be coated with zinc-enriched paint.

#### 0283-3.3 Fence Grounding

Electrical grounding shall be provided for all permanent security fencing in accordance with the NEC.

#### 0283-4 PATROL ROADS AND WALKWAYS

All-weather patrol roads or walkways shall be provided along the inside of the perimeter security fence surrounding security areas in cases where the security fence will be patrolled by a security force. Turnouts shall be considered for use at frequent intervals if roadway shoulders are not drivable.

One of the following materials shall be considered for use in the construction of patrol walkways:

- Compacted bank run gravel
- Stabilized soil with sand coating
- Bituminous or portland cement concrete
- Other suitable material as approved by the cognizant DOE Operations Office

In all instances, the cognizant DOE security officer shall be consulted prior to design of patrol roads and walkways. Roadway lighting shall comply with the ANSI C136 series and with the IES Lighting Handbook. See Section 1650, Exterior Lighting.

#### 0283-5 GATES, ENTRY CONTROL POINTS, VEHICLE BARRIERS

##### 0283-5.1 Gates

##### 0283-5.1.1 General

Points of vehicle and pedestrian access to restricted areas shall provide the same level of physical protection as that provided at all other points along the secured perimeter. Gate hardware for security fencing shall be installed in a manner that will mitigate tampering.

Motorized gates shall be considered for primary access points. Motorized gate controls shall where practicable be located within guard stations at each access point. Motorized gates shall be designed to facilitate manual operation during power outages.

##### 0283-5.1.2 Gate Grounding and Bonding

Electrical continuity shall be provided across all gate openings. Operating mechanisms for motorized gates shall be grounded in a similar manner.

## **0283-5.2      Entry Control Points**

ECPs for surveillance and control of vehicle and pedestrian ingress and egress are required at each security boundary access point. The number of ECPs within each security boundary shall be minimized. ECP design features shall comply with the DOE 5632 series and NFPA 101. See Section 0283-5.3, Vehicle Barriers, for further criteria.

Primary and auxiliary alarm and communication systems shall be provided between ECPs and the tactical response force communications center.

Emergency power supplies to support ECP operation and communication systems shall comply with Section 1640-3.3, Standby or Emergency Power Systems.

A UPS shall be considered for loads that, if interrupted, would degrade the security of the associated area. The UPS system shall comply with Section 1660-3, Uninterruptible Power Systems. UL 752 or NIJ Standard 0108.01 should be considered for facilities housing UPS systems.

## **0283-5.3      Vehicle Barriers**

Above-grade vehicle barriers shall be considered to preclude intruder concealment. Speed reducers shall be considered for use at entry control points to slow approaching adversary vehicles to within vehicle barrier design limits if needed to achieve site-specific threat/target system response requirements consistent with the operational and protection goals of the facility. SAND 87-1926 should be considered in designing vehicle barriers.

## **0283-6      SECURITY INSPECTOR POSTS AND GUARD TOWERS**

### **0283-6.1      Security Inspector Posts**

The location and manning of fixed and mobile posts shall be determined by considering the approved threat level, characteristics of the protected facility, terrain and environment, and the DOE 5632 series. The security inspector station shall when practicable be situated to provide the best available unobstructed view of the surrounding terrain. When planning for response times, the delay provided by physical barriers after the initial detection of the intrusion shall be considered.

Security inspector posts, both mobile and fixed for protected areas shall be equipped with duress systems.

Permanent security inspector posts constructed after the date of this Order for controlling access to areas containing weapons, nuclear test devices, complete nuclear assemblies, or Category I or Category II quantities of SNM shall meet the following requirements:

- All routine and emergency duty fixed posts shall be located so that the efficiency of routine duties is enhanced and likely routes of adversary ingress and egress are clearly observable.

- All routine and emergency duty fixed posts shall provide adequate human engineering so that protective personnel occupying the posts can perform their duties efficiently. All routine-duty fixed posts shall provide occupants with adequate protection from weather and temperature variations.
- Exterior walls, windows, and doors shall be constructed of or reinforced with materials which have a bullet penetration resistance equivalent to "high-powered rifle rating" as given in UL 752.
- Weapons, ammunition, and explosives shall be stored in accordance with the requirements of DOE 5632.7.

#### 0283-6.2      Guard Towers

In guard towers that are intended to serve as fighting positions in alert conditions, consideration shall be given to protected firing ports, a minimum of 60 square feet of net floor area per person, and exterior walls conforming with NIJ Standard 0108.01

#### 0283-7      LIGHTING

Protective lighting shall be coordinated with the cognizant DOE security personnel.

Adequate protective illumination shall be provided to detect adversaries, reveal unauthorized persons, and, at pedestrian and vehicular entrances, to allow examination of credentials and vehicles.

Protective lighting, as part of a security system, should be used as needed for proper physical protection of classified matter.

Lamps in which light is produced directly or indirectly by the use of gas, such as sodium vapor and other HID lamps, are highly efficient and economical in operation, and their use in protective lighting systems is encouraged. However, it should be recognized that gas lights require a relight period of approximately 3 minutes following any power interruption.

Where HID lamps are used and where continuous lighting is required, a standby lighting system shall be considered to ensure the maintenance of minimum protective lighting during HID lamp start-up and restrike periods. Fixtures adjacent to each other shall when practical and appropriate be placed on different circuits so that only a portion of the lighting is extinguished if one circuit becomes inoperative. (See Section 1650-2, Lighting Sources, for use of HID lamps near observatories.)

Lighting installed at security posts shall be capable of providing a minimum illumination of 2 footcandles at ground level for at least a 30-foot diameter circle around the security inspector post and 0.2 footcandle for 150 feet in all directions.



For facilities requiring protective lighting, consideration shall be given to having an emergency lighting capability of the type and size required in relation to the importance of the facility, reliability of regular power sources, and feasibility of using portable lighting equipment.

Where protective lighting at remote perimeters is not feasible, protective force patrols and freed stations may be equipped with night vision devices, although it should be recognized that adequate perimeter lighting provides better protection and deterrence to intrusion than do night vision devices. Night vision devices shall not be used in lieu of protective lighting at ingress and egress points.

Light glare shall be kept to a minimum where it would impede effective operations of protective force personnel.

Light sources on perimeters shall be so located that illumination is directed, whenever possible, outward. There should be relative darkness along patrol routes and at freed posts other than pedestrian and vehicular entrances.

## **0285            SOLID WASTE SYSTEMS**

### **0285-1        REGULATORY OVERVIEW**

#### **0285-1.1     General**

For an overview of the laws and regulations applicable to hazardous, nonhazardous, and radioactive solid waste see ANL/EES TM-264, Rev. 1. Management of nonhazardous solid waste shall comply with 40 CFR 256 and Subtitle D of the RCRA. Management of hazardous solid waste shall comply with 40 CFR 264 and Subtitle C of the RCRA.

#### **0285-1.2     EPA Identification Number**

In accordance with the EPA notification procedure (45 FR 12746), an application for an EPA identification number must be filed with EPA for all generating and all TSD facilities for hazardous, nonhazardous, and low-level radioactive solid wastes.

### **0285-2        SITE SELECTION**

#### **0285-2.1     General**

Site selection is the most critical step in establishing TSD facilities for hazardous, nonhazardous, and low-level radioactive solid wastes. High-level radioactive wastes (as defined by 40 CFR 260) shall be placed in a permanent repository.

The following conditions and requirements shall be considered during the selection of solid waste TSD sites:

- Existing groundwater and surface water conditions
- Soils and geologic and topographic features
- Solid waste types and quantities
- Social, geographic, and economic factors
- Aesthetic and environmental impacts

0285-2.2      Recommended Practices

0285-2.2.1      Regional Systems

Consideration shall be given to possible incorporation of hazardous, nonhazardous, and radioactive solid waste systems within other existing regional solid waste facilities.

0285-2.2.2      Environmentally Sensitive Areas

The following environmentally sensitive areas shall be avoided or receive lowest siting priority for TSD of hazardous, nonhazardous, and radioactive solid waste:

- Wetlands
- Areas within the 500-year floodplain
- Permafrost areas
- Critical habitats of endangered species
- Recharge zones of sole-source aquifers

Watersheds for domestic water supply

0285-2.2.3      Fault Zones and Karst Terrain

When potential sites are screened for location of new solid waste TSD facilities, seismic zones and karst (limestone formation) terrain shall be avoided unless site-specific evaluations demonstrate minimum potential for contamination of surface water, groundwater, and other environmental resources.

0285-2.2.4      Cost Effectiveness

LCC analysis shall be performed during site selection for TSD facilities. LCC analysis shall include site reclamation costs.

0285-2.2.5      Sites Traversed by Utilities

Sites traversed by buried pipe utility trenches shall not be used for TSD facilities unless the relocation or protection of these utilities is economically feasible. Since buried pipe utility

trenches can serve as a pathway for migration of gas, leachate, and other contaminants, provisions shall be made for pipe maintenance and repair.

**0285-2.2.6 Characteristics and Availability of Soil Cover**

The characteristics and availability of on-site soil cover shall be considered with respect to site operation and performance requirements, including vehicle maneuverability.

**0285-2.2.7 Site Access**

Sites shall be accessible to service and refuse collection vehicles by all-weather road extensions from primary road systems.

**0285-2.2.8 Effects on Other Facilities**

Sites that would adversely affect operation of other facilities shall be avoided.

The effects on other facilities from the following conditions attributable to operation of TSD facilities shall be considered:

- Vehicular traffic
- Noise
- Litter
- Bird strike
- Vectors (i.e., insects or rodents)
- Other nuisance conditions

**0285-2.2.9 Site Approval**

Final site approval for TSD facilities for hazardous, nonhazardous, and low-level radioactive wastes shall be obtained from the cognizant DOE Operations Office.

**0285-3 SITE DESIGN**

**0285-3.1 General**

All site requirements and technological alternatives shall be considered prior to final design. Site suitability for TSD of specific solid waste material shall be considered.

**0285-3.2      Recommended Practices**

**0285-3.2.1      General**

TSD facilities for hazardous, nonhazardous, and low-level radioactive wastes shall be designed, constructed, maintained, and operated to minimize the possibility of any unplanned release of hazardous wastes or the potential for fire or explosion resulting from such wastes or waste management operations.

**0285-3.2.2      Types and Quantities of Solid Wastes**

The types and quantities of all solid waste to be handled by the solid waste system shall be determined by survey and analysis to serve as a basis for design.

**0285-3.2.3      Groundwater Resources**

The following shall be considered to determine potential impacts on groundwater resources:

- Initial (background) quality of water resources in the saturated zone
- Depth to groundwater and the direction and rate of flow (including current and projected withdrawal rates by local groundwater users)
- Potential interactions of the solid waste system and its hydrogeology with areal, groundwater, and surface water resources (based on historical records and other information sources)
- Site geology (with emphasis on hydraulic conductivity and the natural attenuative capacity of soils and subsurface geology)

**0285-3.2.4      Surface Water Resources**

Quality, quantity, source, and seasonal variations of surface waters in the vicinity of the solid waste system shall be determined. These data shall serve as the basis for design of surface water protection and monitoring systems.

**0285-3.2.5      Flood Protection**

Flood protection measures (defined by the 100-year flood level or, for a "critical action," the 500-year flood level) shall comply with procedures described in WRC Bulletin No. 17A. If all or part of the facility lies within the 100-year floodplain, a suitable levee shall be provided to prevent facility inundation.

**0285-3.2.6      Plans**

Plans for design, construction, operation, and maintenance of new sites or modifications to existing sites shall include:

- Evidence of compliance with applicable State and Federal regulations

- Demonstrated consistent with current recommended practices or suitable alternative technologies
- Details of all design and operational considerations necessary to bring site conditions to an acceptable level
- Discussion of any areas for disposal of wastes requiring special or separate handling
- Other pertinent information, such as:
  - Land use and zoning within one-quarter mile of the site, including the location of all residences, buildings, public and private wells, water courses, rock outcropping, and roads
  - Location of all airports within 2 miles of the site
  - Location of all utilities within 500 feet of the site
  - Temporary and permanent all-weather access roads
  - Screening and other nuisance control measures
  - Groundwater monitoring wells
  - Sedimentation control plans
  - Description of site development and operation procedures
  - Contingent plans
  - Projected use of reclaimed site
  - Long-term maintenance procedures

0285-3.3      Selection of Methods

Approval of selected TSD methods shall be obtained from the cognizant DOE Operations Office. The selected method of TSD of hazardous, nonhazardous or radioactive solid waste shall be in compliance with the following:

- 40 CFR 122, 40 CFR 192, 40 CFR 240, 40 CFR 241, 40 CFR 256, 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 264, 40 CFR 265, and 40 CFR 267
- DOE 5820.2A
- RCRA, Subtitles C and D

**0285-99 SPECIAL FACILITIES**

**0285-99.0 Nonreactor Nuclear Facilities-General**

Design of special facilities shall include adequate provisions for the safe collection, packaging, inventory, storage, and loading for transport of solid waste that is contaminated with radioactive material. These provisions shall include allocation of adequate space for sorting and safe temporary storage of solid waste, equipment for assay of the waste, and facilities for volume reduction appropriate to the types and quantities of solid waste to be produced. All packages containing contaminated solid waste shall be appropriately monitored, both before being moved to temporary storage locations and before being loaded for transport to a disposal site. Nuclear criticality safety shall be considered in the design of radioactive solid waste facilities.

**0290 LANDSCAPING**

**0290-1 GENERAL**

Plantings shall be simple, functional, and economical to maintain. Plant species proven to be hardy and tolerant of the site conditions shall be selected. The species of trees selected and their location shall preclude roots from damaging underground utility lines, foundations, and adjacent surface facilities. Landscaping shall be placed so as to not interfere with maintenance and repair activities. Tall trees should not be in locations that will interfere with effluents from exhaust systems.

Landscaping should be used as an element in energy conservation design solutions for buildings, including reduction of solar radiation during cooling season, heat loss from wind, and heat loss during the heating season.

The design professional shall determine whether the services of a landscape architect shall be used in the landscaping design.

**0290-2 SITE ANALYSIS**

The following site factors shall be taken into account in a site analysis

- Availability and accessibility of irrigation water
- Visual factors
- Climatic data, including extreme wind
- Existing vegetation
- Soils

- Microclimate
- Hydrology, including flood-flow frequency
- Geology and seismology
- Revegetation and restoration of disturbed areas
- Topography
- Vehicle and pedestrian circulation patterns
- Noise factors
- Utilities
- Security requirements
- Maintenance requirements
- Erosion and runoff control

### 0290-3 DESIGN CONSIDERATIONS

#### 0290-3.1 General

Particular emphasis shall be placed on using plants that are indigenous or adaptable to the local area of the site. Plants selected shall minimize the need for irrigation while maximizing the cooling benefits (e.g., shading windows and condensing units). The use of pavers with voids that allow absorption into permeable soils shall be considered for minimizing the problems caused by the run-off of rainwater.

Erosion control and landscaping shall be coordinated with other site development elements. Turf areas shall allow the use of conventional lawn service equipment to maintain it. Consideration will be given to the use of ground cover in areas of slope exceeding 2:1.

#### 0290.3.2 Preservation

The cost of replacing existing vegetation shall be compared with the cost of any measures taken for preservation.

#### 0290.3.3 Trees, Shrubs, Ground Covers, and Vines

Consideration shall be given to plant transplanting methods, techniques for moving plants, planting methods, and adaptation of plants to new locations.

**0290-3.4      Turf**

Climate, microclimate, and soil renditions of the site shall be considered to identify the best suited variety of turf, the most appropriate site preparation, and optimal maintenance requirements.

**0291      IRRIGATION SYSTEMS**

**0291-1      GENERAL**

Irrigation includes the development and management of the water supply, the conveyance system, the method of application, and the waste water disposal for the irrigation system. The need for irrigation systems shall be determined based on climatological data.

Consideration shall be given to the use of nonpotable water sources if cost savings can be achieved.

**0291-2      LANDSCAPE IRRIGATION**

In designing a sprinkler system for landscape irrigation, the following shall be considered to determine sprinkler types, sprinkler spacing patterns, and sprinkler circuit selection, and system use:

- Water requirements of different types of grass, ground cover, shrubs, and trees
- The terrain and the ability of various types of soil to absorb and hold water
- Climatic conditions

**0291-3      AGRICULTURAL IRRIGATION**

Agricultural irrigation methods shall comply with state irrigation guides and the appropriate chapters of Section 15 of the SCS National Engineering Handbook.



## **Division 3 Concrete**

### **0300            COVERAGE**

This division covers the design and construction of plain, reinforced, or prestressed concrete structures, whether of cast-in-place or precast construction. The requirements of this division shall be used in conjunction with those of Section 0111, Structural Design Requirements.

### **0301            GENERAL REQUIREMENTS**

#### **0301-1           BUILDINGS AND OTHER STRUCTURES**

Concrete materials, design, and construction for buildings and other structures shall comply with ACI 318 and 40 CFR 249.

#### **0301-2           HIGHWAY AND RAILWAY STRUCTURES**

Concrete materials, design, and construction for highway structures shall comply with the AASHTO HB-13.

Concrete materials, design, and construction for railway structures shall comply with the AREA Manual for Railway Engineering (Fixed Properties).

#### **0301-3           SANITARY ENGINEERING STRUCTURES**

Concrete materials, design, and construction for sanitary engineering structures shall comply with ACI 350R.

**0310                    CONCRETE FORMWORK**

Formwork for concrete construction shall comply with ACI 347 and ACI SP-4.

**0320                    CONCRETE REINFORCEMENT**

**0320-1                MATERIALS FOR REINFORCEMENT**

Reinforcement materials for buildings and other structures shall comply with ACI 318; for highway structures, with the AASHTO HB-13; for railway structures, with the AREA Manual for Railway Engineering (Fixed Properties); for special facilities, with ACI 349 (see Section 0111-99.0, Nonreactor Nuclear Facilities-General).

**0320-2                DETAILING OF REINFORCEMENT**

Reinforcement details shall comply with ACI 352R and ACI SP-66 in addition to ACI 318 for buildings and other structures, the AASHTO HB-13 for highway structures, the AREA Manual for Railway Engineering (Fixed Properties) for railway structures.

**0330                    CAST-IN-PLACE CONCRETE**

**0330-1                COVERAGE**

This section covers the selection of materials, proportioning of mixes, mixing, placing, testing, and quality control of cast-in-place concrete.

**0330-2                MATERIALS, TESTING, AND QUALITY CONTROL**

Materials, testing, and quality control for cast-in-place concrete shall comply with ACI 318 for buildings and other structures, with the AASHTO HB-13 for highway structures, with the AREA Manual for Railway Engineering (Fixed Properties) for railway structures, and with ACI 349 (see Section 0111-99, Special Facilities) for special facilities.

Tolerances for formed concrete shall be as suggested in ACI 347.

**0330-3                SELECTING PROPORTIONS FOR CONCRETE MIXES**

**0330-3.1             Normal, Heavyweight, and Mass Concrete**

The selection of proportions for concrete mixes for normal, heavyweight, and mass concrete shall comply with ACI 211.1.

**0330-3.2          Structural Lightweight Concrete**

The selection of proportions for structural lightweight concrete shall comply with ACI 211.2.

**0330-4          MIXING, TRANSPORTING, AND PLACING**

The mixing, transporting, and placing of cast-in-place concrete shall comply with ACI 304.

**0330-5          CLIMATIC CONSIDERATIONS**

**0330-5.1          Hot Weather Concreting**

Hot weather concreting shall comply with ACI 305R.

**0330-5.2          Cold Weather Concreting**

Cold weather concreting shall comply with ACI 306R.

**0330-6          POST-TENSIONED CONSTRUCTION**

In addition to the provisions of Section 0330-2, Materials, Testing, and Quality Control, the PTI Post-Tensioning Manual may be used for the design and construction of post-tensioned concrete structures.

**0340          PRECAST CONCRETE**

**0340-1          COVERAGE**

This section covers materials, design, and construction of precast, precast and prestressed, and precast and post-tensioned concrete structures.

**0340-2          GENERAL**

In addition to the provisions of Section 0301, General Requirements, precast concrete shall comply with the PCI MNL-116. PCI MNL-120 and PTI Post-Tensioning Manual may also be used as guides for the design and construction of precast concrete structures.

**0350                    CEMENTITIOUS DECKS FOR BUILDINGS**

**0350-1                COVERAGE**

This section covers materials, design, and construction of cementitious decks for building structures and prefabricated floor and roof systems such as:

- Precast reinforced concrete floor systems
- Precast and prestressed concrete floor systems
- Lightweight precast reinforced concrete planks
- Lightweight precast reinforced concrete channel slabs
- Precast concrete roof units
- Reinforced gypsum planks
- Structural cement fiber roof deck systems
- Reinforced poured gypsum over formboard roof systems

**0350-2                GENERAL**

The materials, design, and construction of cementitious decks for buildings shall comply with the UBC and the manufacturer's recommendations.

In the event of a conflict between the UBC and the manufacturer's recommendations, the more stringent shall apply.

**0370                    REPAIR AND RESTORATION OF CONCRETE STRUCTURES**

**0370-1                COVERAGE**

This section covers evaluation of damage or deterioration, selection of repair methods, surface preparation, and repair and restoration of concrete structures. The materials covered are Portland cement mortars and concretes, latex-modified portland cement mortar, epoxy mortars, epoxy concrete, or methyl methacrylate concrete.

**0370-2            GENERAL**

Methods, procedures, and materials for the repair and restoration of concrete structures shall comply with guidelines ACI 503.4, ACI 546.1R, the AREA Manual for Railway Engineering (Fixed Properties) and the AASHTO Manual for Bridge Maintenance.

**0380            MASS CONCRETE**

**0380-1            COVERAGE**

This section covers the selection of materials, proportioning of mixes, mixing, placing, and curing of mass concrete.

**0380-2            GENERAL**

The selection of materials, mix proportioning, and construction methods and procedures for mass concrete shall comply with ACI 207.1R and ACI 207.4R.



## **Division 4**

### **Masonry**

#### **0400      COVERAGE**

This division covers the design and construction of masonry structures other than highway and railway structures. It shall apply to unit masonry construction, reinforced and unreinforced, using cement, clay, and stone products, and including brick, block, and tile structures. The requirements of this division shall be used in conjunction with those of Section 0111, Structural Design Requirements.

#### **0401      GENERAL**

Materials, design, and construction of masonry structures shall comply with the UBC.

The following sources may also be used as guides for the design of masonry structures:

- ACI 531
- ACI 531.1
- NCMA TR 75B
- BIA Building Code Requirements for Engineered Brick Masonry

Masonry walls shall be insulated in compliance with Section 0721-1, Cavity Walls.

#### **0410      MORTAR AND GROUT**

Mortar shall be designed to perform the following functions:

- Join masonry units into an integral structure
- Create tight seals between masonry units to prevent the entry of air and moisture

- Bond with steel joint reinforcement, metal ties and anchor bolts, where used, so that they act integrally with the masonry
- Provide a desired architectural quality to exposed masonry structures through color contrasts or shadow lines from various joint-tooling procedures
- Compensate for size variations in the units by providing a bed to accommodate the tolerances of unit sizes

Grout shall be used in reinforced load-bearing masonry construction to bond the masonry units and the reinforcing steel so that they act together to resist the imposed loads. It may also be used in unreinforced load-bearing masonry wall construction to give it added strength.

Requirements for materials, mixing, strength, and specifications for mortar and grout for masonry structures shall comply with the UBC.

#### **0420            UNIT MASONRY**

Materials, design, and construction of masonry units shall be in accordance with the requirements of Section 0401, General.

#### **0455            REFRATORIES**

Refractories may be classified by their basic raw materials into the following groups:

- Siliceous group, including sandstone (or firestone), mica schist and siliceous fireclays
- Fireclay group, including plastic fireclay, flint fireclay, and kaolins
- High-alumina group, including bauxite and diaspore, sillimanite, andalusite, kyanite, tabular alumina, and fused alumina
- Magnesium-silicate group, including olivines and serpentines
- Magnesia-lime group, including natural magnesite, magnesia, brucite and dolomite
- Chromite group
- Carbon group, including natural and artificial graphites and various types of coal coke and tar

Standard definition of terms relating to refractories shall comply with ASTM C71. Materials, standards, classifications, practices, and test methods for refractories shall be comply with the current ASTM Annual Book of ASTM Standards, Volume 15.01.



Design and construction of refractories shall be in accordance with the requirements of Section 0401, General.



## **Division 5**

### **Metals**

#### **0500            COVERAGE**

This division covers the design and construction of steel and aluminum structures. The requirements of this division shall be used in conjunction with those of 0111, Structural Design Requirements.

#### **0512            STRUCTURAL STEEL**

##### **0512-1           BUILDINGS AND OTHER STRUCTURES**

Structural steel for buildings and other structures shall comply with the following

- UBC
- AISC S326
- AISC M011

##### **0512-2           LIGHT-GAUGE STEEL**

Light-gauge steel shall comply with AISI Specifications for the Design of Cold-Formed Steel Structural Members.

##### **0512-3           PRE-ENGINEERED METAL BUILDINGS**

Pre-engineered buildings shall comply with MBMA Metal Building Systems Manual and Section 0111, Structural Design Requirements.

Where the use of the design loadings specified in Section 0111, Structural Design Requirements, would prevent procurement of pre-engineered metal buildings, consideration may be given to deviation from said loadings. Such consideration shall be based on review of the type of occupancy and functional requirements of the particular building and a

determination as to whether such deviation could be justified and permissible in accordance with Section 0101-2, Criteria Deviations.

**0512-4            STEEL CABLES**

Steel cables shall comply with AISI Manual for Structural Applications of Steel Cables for Buildings.

**0512-5            STEEL WATER TANKS, STANDPIPES AND RESERVOIRS**

Steel water tanks, standpipes and reservoirs shall comply with NFPA 22 and AWWA D100.

**0512-6            FUEL STORAGE TANKS**

Fuel storage tanks shall comply with API 650.

**0512-7            HIGHWAY AND RAILWAY STRUCTURES**

Steel highway structures shall comply with the AASHTO HB-13.

Steel railway structures shall comply with the AREA Manual for Railway Engineering (Fixed Properties).

**0512-8            TRANSMISSION TOWERS**

Transmission towers for electrical power lines shall comply with ASCE 52.

**0512-9            ANTENNA TOWERS**

Antenna towers shall comply with the NTIA Manual, Chapter 5. Towers not covered in that manual shall comply with EIA-222-D.

**0512-10           TRANSMISSION POLE STRUCTURES**

Transmission pole structures shall comply with ASCE 1978-1.

**0512-11           ANTENNA POLES AND MASTS**

Antenna poles and masts shall comply with TM 11-486-5.

**0514            STRUCTURAL ALUMINUM**

**0514-1            HIGHWAY AND RAILWAY STRUCTURES**

Aluminum used structurally for highway structures shall comply with AASHTO HB-13 and AASHTO LTS-1.

Aluminum used structurally for railway structures shall comply with the AREA Manual for Railway Engineering (Fixed Properties).

**0514-2            USE WITH DISSIMILAR METALS OR CONCRETE**

Aluminum shall be isolated in applications involving contact with dissimilar metals or with concrete. Isolation shall be achieved by use of coatings, paints, or separating materials.

The use of stainless steel in contact with aluminum, without isolation, is acceptable.

**0521            STEEL JOISTS**

Steel joist floor and roof construction shall comply with the SJI Standard Specifications Load Tables and Weight Tables for Steel Joists and Joist Girders.

**0531            STEEL DECKS**

Steel decks for floor and roof construction shall comply with the SDI-Publ. 25 and the SDI-Publ. DDM01.

**0532            METAL FASTENING**

Structural connections for buildings and other structures shall comply with the requirements of the UBC and AISC M011; for highway structures the requirements of AASHTO LTS-1; and for railway structures the requirements of AREA Manual for Railway Engineering (Fixed Properties).

Welding of structures shall comply with the AWS D1.1, AWS D1.2, AWS D1.3, and AWS D5.2.



## **Division 6**

### **Wood and Plastics**

#### **0600            COVERAGE**

This division covers the design and construction of wood and plastic structures. The requirements of this division shall be used in conjunction with those of Section 0111, Structural Design Requirements.

#### **0610            WOOD STRUCTURES**

##### **0610-1           BUILDINGS AND OTHER STRUCTURES**

Wooden buildings and other structures shall meet the requirements of the UBC.

##### **0610-2           HIGHWAY AND RAILWAY STRUCTURES**

Wooden highway structures shall meet the requirements of the AASHTO HB-13.

Wooden railway structures shall meet the requirements of the AREA Manual for Railway Engineering (Fixed Properties).

#### **0650            PLASTIC STRUCTURES**

Design, fabrication, and erection of plastic and reinforced plastic structures and structural components shall comply with ASCE Manual 63.





## **Division 7**

### **Thermal and Moisture Protection**

#### **0710            WATERPROOFING**

##### **0710-1        GENERAL**

##### **0710-1.1      Use**

Waterproofing shall be used at walls, floors, or other building elements that at any time are subject to hydrostatic pressure, are below the water table, or are liable to be immersed in water.

Waterproofing shall also be used at walls, floors, and other building elements to prevent water leakage from showers, built-in refrigerators and freezers, areas using water wash-down, containment areas, and other types of water basins.

Where water is to be contained, waterproofing shall extend up walls to above the expected high water level.

Where water wash-down is used, waterproofing shall extend to fully cover the expected wall areas to be washed.

Wall, floor, slab-at-grade, and other building element waterproofing shall meet base course and through-the-wall flashings, and shall make a bond with these flashings; see Section 0715, Dampproofing.

##### **0710-1.2      Materials and Construction**

Concrete waterproofing shall comply with ACI Guide to the Use of Waterproofing, Dampproofing, Protective and Decorative Barrier Systems for Concrete.

Concrete masonry waterproofing shall comply with NCMA Waterproof Coatings for Concrete Masonry and NCMA Waterproofing Concrete Masonry Basements and Earth-Sheltered Structures.

Brick masonry waterproofing shall comply with BIA Dampproofing and Waterproofing Masonry Walls.

All other waterproofing shall comply with the manufacturer's recommendations.

A single-ply elastomeric, liquid-applied elastomeric, cementitious, bentonite clay, or fiber-reinforced fabric bituminous waterproofing shall be continuously applied to those surfaces of building elements to be protected from water.

Building elements at and below grade that receive waterproofing shall be constructed of concrete with:

- A water-reducing agent
- Non-porous aggregates
- Reinforcement to control differential movement
- Reinforcement to control cracking from temperature, live loads, dead loads, and drying shrinkage
- Expansive cement or a dampproofing admixture

Building elements above grade that receive waterproofing shall be constructed of concrete as described above or masonry as follows:

- Type M mortar in accordance with ASTM C270
- Grade SW brick in accordance with ASTM C62
- Type I, Grade N concrete brick in accordance with ASTM C55
- Type I, Grade N concrete block in accordance with ASTM C90 for hollow load bearing
- Type I, Grade N concrete block in accordance with ASTM C145 for solid load bearing

Construction joints and expansion joints shall be bridged by waterstops that shall be embedded in the materials on each side of joints.

Waterproofing at or below grade shall be chemically compatible with ground water and soils.

#### **0710-1.3      Protective Cover**

Waterproofing on walls, except cementitious and bentonite clay panel waterproofing, shall have a protective cover that shall be readily removable to repair damaged waterproofing and readily replaceable.

Waterproofing on horizontal building elements to be covered with earth, except bentonite clay panel waterproofing, shall have a protective cover.

Each slab-at-grade to be waterproofed, except those waterproofed with bentonite clay panels, shall have a concrete subslab beneath it on which waterproofing shall be placed.

**0710-1.4      Capillary Water Barriers**

Capillary water barriers such as sand, gravel, or crushed stone courses shall be provided beneath subslabs at slabs-at-grade and over waterproofed horizontal building elements to be covered with earth except where bentonite clay panels are used.

Drainage matting or capillary water barriers such as sand, gravel, or crushed stone courses shall be provided on the exterior side of all waterproofed vertical building elements except where bentonite clay panels are used.

**0710-1.5      Penetrations**

Penetrations below grade through slabs-at-grade and other horizontal building elements shall be limited to drains and structural elements.

Penetrations through waterproofing above grade shall be limited to structural and other essential building elements.

Penetrating structural elements shall be sealed to waterproofing. Penetrations by building elements other than structural elements shall be within sleeves. Sleeves shall be sealed to waterproofing, and joints between sleeves and penetrating elements shall be waterstopped.

**0710-1.6      Drainage and Drainage Piping**

Positive drainage away from the facility shall be provided.

Drainage piping shall be provided at the bottom of exterior walls where the amount and intensity of rain is significant. Drainage piping shall be provided at the bottom of exterior walls and under the waterproofing of slabs-at-grade where the water table at any time is at or near the level of the waterproofing.

Drainage piping shall also be provided where any one or combination of the following conditions occur:

- Walls extend above grade in a plane more than 30 feet
- Roof drains discharge directly at grade
- Water runs directly off the roof
- The unpaved grade does not slope sharply away from exterior walls
- Lawn sprinklers are used

**0711      SHEET MEMBRANE WATERPROOFING**

Bituminous membrane waterproofing shall comply with NRCA Roofing and Waterproofing Manual.

Fiber reinforced fabric bituminous waterproofing shall not be used where the water head is greater than 3 feet.

**0714                    CEMENTITIOUS WATERPROOFING**

Cementitious waterproofing shall not be used on horizontal building elements at or above grade.

**0715                    DAMPPROOFING**

**0715-1                GENERAL**

**0715-1.1            Use**

Dampproofing shall be used at walls, floors, and other building elements that at any time are subject to high humidity, dampness, or frequent direct water contact, but are not subject to hydrostatic pressure, are not below the water table, or are not immersed in water.

Dampproofing shall be used at walls, ceilings, and other building elements on the potentially damp side of shower rooms, cold storage areas, built-in refrigerators and freezers, areas using water wash-down, and similar areas with high humidity, dampness, or direct water contact. Dampproofing shall begin on these walls, ceilings, and other building elements where membrane waterproofing ends; see Section 0710, Waterproofing.

Dampproofing shall be applied to the interior face of all exterior walls with a furred interior finish, except cavity walls.

Dampproofing at slabs-at-grade shall meet base course and through-the-wall flashings and shall make a bond with these flashings.

Where exterior wall, ceiling, and other building element dampproofing meets base course, spandrel, and through-the-wall flashings there shall be a bond between the dampproofing and the flashings.

**0715-1.2            Materials and Construction**

Concrete dampproofing shall comply with ACI Guide to the Use of Waterproofing, Dampproofing, Protective and Decorative Barrier Systems for Concrete.

Concrete masonry dampproofing shall comply with NCMA Waterproof Coatings for Concrete Masonry and NCMA Waterproofing Concrete Masonry Basements and Earth-Sheltered Structures.

Brick masonry dampproofing shall comply with BIA Dampproofing and Waterproofing Masonry Walls.

All other dampproofing shall comply with manufacturers' recommendations.

A single-ply elastomeric, liquid applied elastomeric, bituminous, or cementitious membrane or coating shall be continuously applied to the exterior surfaces of walls, floors, and other building elements to be dampproofed. The material shall have low permeability and a bond strength to the substrate at least equal to the tensile strength of the substrate at the surface where the material is applied.

Continuous dampproofing of water-impermeable plastic shall be placed beneath concrete slabs-at-grade. A bed of fine sand not less than 1-1/2 inches thick shall be placed between the membrane and the concrete slab to improve concrete curing conditions and reduce shrinkage cracking.

Building elements below grade that are to be dampproofed shall be constructed of concrete or masonry. They shall have reinforcement to control differential movement and cracking from temperature, live loads, dead loads, and drying shrinkage.

(instruction joints and expansion joints shall be bridged by waterstops that shall be embedded in the materials on each side of the joints.

Dampproofing at and below grade shall be chemically compatible with ground water and soils.

### **0715-1.3      Capillary Water Barriers**

Capillary water barriers such as sand, gravel, or crushed stone courses shall be provided beneath membranes at slabs-at-grade and on the exterior side of all walls below grade with dampproofing. At walls, drainage matting can be used instead.

### **0715-1.4      Drainage and Drainage Piping**

Drainage and drainage piping shall comply with Section 0710, Waterproofing.

### **0715-1.5      Base Course, Spandrel, and Through-the-Wall Flashings**

Base course flashings shall be installed to provide a water-impermeable membrane between the exterior at grade and the interior.

Water-impermeable spandrel and through-the-wall flashings shall be installed to prevent water leakage or driving rain from entering a facility at the following joints:

- Around openings
- Between walls and floors
- Between walls and roofs

- Between walls, floors, roofs and structural members

## **0719            VAPOR AND AIR RETARDERS**

### **0719-1        VAPOR RETARDERS**

Building element assemblies shall be analyzed for potential condensation problems and the need for vapor retarders as a part of the energy conservation analysis and the design of mechanical systems; see Section 0110-12, Energy Conservation.

Vapor retarders shall be used to resist the diffusion of water vapor through building elements and to prevent water vapor migration and condensation in exterior walls and roofs. Vapor retarders shall be installed where needed between the exterior and interior at walls, roofs, and other building elements at or below grade. Vapor retarders shall also be installed between interior spaces with different controlled temperatures and humidities.

Vapor retarders shall be located as close as practical to the warm side of an assembly of building elements. Under the most severe estimated in-use conditions, the temperature at the vapor retarder shall always be above the dew point of the actual air-vapor mixture at the vapor retarder to prevent condensation from forming on the vapor retarder itself.

The vapor retarder shall be installed to make a continuous interior envelope. Vapor retarder joints and terminations shall be sealed with material of the same or better vapor permeance as the vapor retarder.

Vapor retarders shall be installed in electronic data processing facilities.

Vapor retarders at roofs shall comply with ARMA Guide to Preparing Built-up Roofing Specifications, the NRCA Roofing and Waterproofing Manual, and NRCA Handbook of Accepted Roofing Knowledge.

## **0720            INSULATION**

### **0720-1        USE**

Insulation shall comply with UBC Chapter 17.

Thermal insulation shall be installed above and below grade between the exterior and interior of a facility where the exterior temperature differs significantly from the required interior temperature, e.g., such as where heated spaces are adjacent to exterior walls at slab-at-grade construction and at floors above grade, and where heated spaces are adjacent to roofs.

Thermal insulation shall be installed between interior spaces where significantly different temperatures are required.

Loose-fill insulation shall not be used where future remodeling, renovation, or expansion can reasonably be expected to occur and to require removal of portions of insulated walls.

The thermal resistance of insulation and the degradation of thermal resistance over time shall be considered as a part of the energy conservation analysis (see Section 0110-12, Energy Conservation) and the design of mechanical systems (see Division 15, Mechanical).

## 0720-2 MATERIALS

Thermal insulation used between the exterior and interior shall be moisture resistant.

When loose-fill thermal insulation is used, it shall be the water-repellent type.

## 0721 BUILDING INSULATION

### 0721-1 CAVITY WALLS

A clear air space of not less than 1 inch exclusive of any cavity insulation shall be provided in all masonry cavity walls above grade, except in masonry cavity walls with loose-fill insulation in the cavity.

Rigid-board insulation shall be placed on the exterior surface of the interior wythe of cavity walls. If the required thermal resistance is not met, additional insulation shall be added to the cores of such interior wythe materials as hollow masonry or to the interior surface of the interior wythe only when analysis shows that the dew point does not occur in the interior wythe.

Insulation in cavity walls shall be adhered to the exterior surface of the interior wythe. Corrosion-resistant cavity wall anchors, joint reinforcement, or metal ties shall be used to hold the insulation at its top and bottom firmly against the exterior surface of the interior wythe.

Where loose-fill insulation is used, it shall completely fill the cavity of exterior masonry or concrete cavity walls. If the required thermal resistance is not met, loose-fill insulation shall be used to completely fill the cores of such interior wythe materials as hollow masonry, provided the required thermal resistance can be achieved. If the required thermal resistance cannot be met by insulating the cavity and the cores of the interior wythe, additional insulation shall be added on the interior face of the wythe only when analysis shows that the dew point does not occur in the interior wythe.

#### **0721-2 COLD STORAGE ROOMS**

hose-fill insulation shall not be used for walls of refrigerated or cold storage rooms.

#### **0721-3 PROTECTION**

Batt insulation, blanket insulation, and fiberboard insulation exposed to view 8 or more feet above a finished floor, platform, mezzanine, walkway, stair, or landing shall be covered to prevent the shedding of fibers.

All insulation that is within 8 feet of a finished floor, platform, mezzanine, walkway, stair, or landing shall have its exposed surface covered from view and protected from physical damage.

#### **0721-4 WINDOW AND DOOR FRAMES**

The space between outside window frames and adjoining walls, and between exterior door frames and adjoining walls, shall be insulated.

#### **0722 ROOF AND DECK INSULATION**

Only insulation approved for UL Class A and FM Class I roof construction shall be used on roofs.

All roof insulation shall comply with NRCA Roofing and Waterproofing Manual and NRCA Handbook of Accepted Roofing Knowledge.

#### **0724 EXTERIOR INSULATION AND FINISH SYSTEMS**

Rigid expanded polystyrene insulation, external fabric or lath reinforcement, and base and finish coats shall comply with EIMA Guideline Specification for Exterior Insulation and Finish Systems Class PB Type A.

The following shall comply with EIMA Guideline Specification for Exterior Insulation and Finish Systems Class PM Type A and B:

- Rigid extruded insulation
- External fabric or lath reinforcement
- Base and finish coats
- Rigid extruded insulation



- Internal reinforcement tape
- Base and finish coats

Exterior insulation and finish systems shall comply with UBC Standard No. 17-6.

## **0727            FIRESTOPPING**

Firestopping shall comply with NFPA 101, Chapter 6.

Firestopping materials and assemblies shall be tested for their fire resistance and listed by UL or similar nationally accredited testing laboratories, or shall be listed for their fire resistant as approved by FM or similar national insurance organizations. Unrated and unapproved assemblies shall be tested and approved before being considered for use in a DOE facility.

Where fire-rated assemblies (walls, floor-ceilings, roof-ceilings) are either partially or fully penetrated by pipes, ducts, conduits, or other such building elements, firestopping material shall be placed in and around the penetrations to maintain the fire resistance rating of the assembly.

## **0730            ROOFING TILES**

Roofing tiles shall comply with UBC Chapter 32 for roof construction and covering, UBC Chapter 45 for marquee roof construction, and UBC Chapter 23 for roof design and wind design.

The roofing surface color shall be considered in the energy conservation analysis; see Section 0110-12, Energy Conservation, and Division 15, Mechanical.

Asphalt, clay tile, concrete tile, and slate roofing of steeply sloped roof decks shall comply with NRCA Steep Roofing Manual and with ARMA Residential Asphalt Roofing Manual.

## **0750            MEMBRANE ROOFING**

### **0750-1          DESIGN**

Membrane roofing shall comply with UBC Chapter 32 for roof construction and covering, UBC Chapter 45 for marquee roof construction, and UBC Chapter 23 for roof design and wind design.

#### **0750-2 SLOPE**

Where a roof deck's slope, after considering deflection and construction tolerances, is less than the slope required for the roofing, the slope shall be increased the required amount by the addition of fill, or tapered insulation in accordance with NRCA Roofing and Waterproofing Manual, NRCA Handbook of Accepted Roofing Knowledge, and manufacturers' recommendations.

#### **0750-3 ROOF-MOUNTED EQUIPMENT**

Supports for equipment, such as window washing equipment, cooling towers, solar collectors, evaporative coolers, and antennae, shall be by the use of curbs or structural frames in compliant with NRCA Construction Details.

Clearances for roofing maintenance and repair under structural frames shall comply with "Width of Equipment/Height of Legs" chart of NRCA Construction Details.

The weight of roof-top piping and equipment shall not be carried on any part of the roof assembly except the structural system.

The weight of equipment on roofs, the weight of equipment used during the life of the building to remove, re-install, maintain, and repair roof-mounted equipment, and the path across the roof used by that equipment to transport roof-mounted equipment shall be taken into account when establishing roof loads; see Section 0111, Structural Design Requirements.

Penetrations of roofs by pipes and equipment of all types, and by curbs and legs for structural frames to support equipment, shall be minimized. Penetrations shall comply with NRCA Construction Details. Where possible, equipment shall be contained in equipment rooms and penthouses.

#### **0750-4 WALKWAYS**

Roof walkways shall be provided from points of roof access to penthouse entrances and to roof-mounted and roof-accessible equipment and devices. Walkways that are more than 30 inches above a roof or within 10 feet of a roof edge shall have guardrails that comply with UBC Chapter 17 and UBC Chapter 23.

#### **0750-5 WATER RETENTION**

Built-up roofs designed to pond water for the cooling of roof surfaces, designed with retarded drainage to relieve storm sewer loadings, or subjected to periodic water discharges from cooling towers or industrial process shall have the weight of the water taken into account when establishing roof loads in Section 0111, Structural Design Requirements.

**0751            BUILT-UP BITUMINOUS ROOFING**

Built-up bituminous membrane roofing shall comply with the ARMA Guide to Preparing Built-up Roofing Specifications, NRCA Roofing and Waterproofing Manual, NRCA Handbook of Accepted Roofing Knowledge, and FM Class I.

**0753            ELASTOMERIC/PLASTOMERIC SHEET ROOFING**

**0753-1        GENERAL**

Single-ply roofing shall comply with the following:

- SPRI Single Ply Roofing: A Professional's Guide to Specifications, Parts I-IV.
- SPRI Wind Design Guide for Ballasted Single Ply Roofing Systems
- SPRI Wind Design Guide for Mechanically Attached Single Ply Roofing Systems
- SPRI Wind Design Guide for Fully Adhered Single Ply Roofing Systems

**0753-2        MODIFIED BITUMEN SHEET ROOFING**

Modified bituminous roofing shall comply with ARMA Recommended Performance Criteria for Roofing Membranes Using Polymer Modified Bituminous Products.

The roof surface color shall be considered in the energy conservation analysis; see Section 0110-12, Energy Conservation.

**0760            FLASHING AND SHEET METAL**

**0760-1        SHEET METAL ROOFING**

Sheet metal roofing shall comply with UBC Chapter 32 for roof construction and covering, UBC Chapter 45 for marquees roof construction, and UBC Chapter 23 for roof design and wind design.

The slope of sheet metal roofing shall be as recommended by its manufacturer, and shall take into consideration deflection and construction tolerances.

The roof surface color shall be considered in the energy conservation analysis; see Section 0110-12, Energy Conservation.

**0760-2 SHEET METAL FLASHING AND TRIM**

**0760-2.1 Expansion Joints**

Expansion joints shall be located at roof high points, and water shall drain in opposite directions from each side of the expansion joint, or expansion joints shall be located parallel to the flow pattern and water shall drain parallel to the expansion joint. The expansion joint shall be elevated above the highest expected level of water flow and shall not obstruct the flow of water off a roof.

Roof and exterior wall expansion joints shall be coordinated with structural system expansion joints. All roof expansion joints shall be elevated not less than 8 inches above the roof plane.

**0760-2.2 Flashing, Trim, and Accessories**

Flashing, trim, and accessories, including exterior drains and gutters, and interior drains shall have a service life at least equal to that of the roofing and shall be compatible with the roofing and exterior wall materials.

**0760-2.3 Drains, Gutters, and Accessories**

**0760-2.3.1 General**

Roof drains and gutters shall comply as a minimum with SMACNA Architectural Sheet Metal Manual for a storm of 5 minutes' duration that is exceeded only once in 10 years. Roof drainage details shall comply with NRCA Construction Details and NRCA Steep Roofing Manual.

Overflow outlets shall be provided on all roofs with parapets or curbs. The weight of retained water including that attributed to deflection of the roof due to the load of water below the bottom level of the overflow outlets shall be included as a load in structural Calculation see Section 0111, Structural Design Requirements.

Tile roofs shall use only exterior gutters and downspouts.

**0760-2.3.2 Drains and Gutters**

Drains shall comply with UBC Chapter 32.

Interior roof drains or exterior roof drains and gutters shall be used in locations where temperatures remain above freezing. Where temperatures remain below freezing, and freezing of gutters or downspouts is a problem, only interior roof drains shall be used, except that exterior overflow outlets are acceptable.

Interior built-in gutters shall not be used, except with sheet metal roofing and only in locations where temperatures remain above freezing.

No building roof with interior drains shall have fewer than two independent drains. Each such independent drain shall be independently connected to the storm drain.

Roof drain spacing shall not exceed 75 feet in any direction.

No roof drain shall serve a roof area greater than 10,000 square feet.

Interior roof drains shall be located only at roof low points.

Drain inlets shall be recessed below the adjacent roof level.

Drains shall have strainers.

No drain shall be less than 4 inches in diameter.

On exterior roof drains 30 feet or longer, leader heads shall be used at the junction of drains and gutters.

Exterior roof drains that discharge onto roofs shall have splash pans secured to the bottom of the drains.

Drains, gutters, and accessories shall have a service life at least equal to that of the roofing, and shall be compatible with the roofing.

Exterior roof drains shall be protected from yard maintenance and building maintenance equipment, and from vehicles at roads, parking areas, and loading areas.

Where soil erosion can occur from the discharge of exterior roof drains at grade, roof drains shall be connected to storm sewers or shall discharge into stabilized drainage ditches, splash blocks, or paving.

## **0790            SEALANTS AND JOINTS**

Sealant selection and installation for architectural aluminum shall comply with AAMA 800.

Only non-staining sealants shall be used.

Sealants shall prevent weather damage to building elements at joints.

Joints shall be detailed to minimize the reliance on sealants for weather protection.

Joints shall be designed to prevent any local overstressing of the sealant.

Joints shall be designed to allow for the depth of sealant contact as required for the specific sealant system being used.

Joints to be sealed shall be sized in proportion to the amount of movement that will occur.

Sealant material shall be physically and chemically compatible with adjacent materials.



## **Division 8**

### **Doors and Windows**

#### **0800            GENERAL**

See Section 0110-12, Energy Conservation, for coefficients of heat transmission, shading of glass, double and triple glazing, air infiltration and exfiltration, thermal break frames and framing members, weatherstripping, and vestibules and protected entrances for exterior doors.

Doors and windows located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosion resistant or protected against corrosion.

See Section 0101-4, Handicapped Provisions.

#### **0800-1            DOORS**

##### **0800-1.1            General**

Frequently used doors shall have a vision panel except where privacy, security, or fire safety requirements preclude installation.

Doors shall offer substantial resistance to unauthorized entry but need not be more resistant to penetration than adjoining walls, ceiling, and floors. If visual access is not a factor, doors with glass panels may be used; however, they shall comply with Section 0800-2, Windows, or shall be equipped with wire mesh fastened securely to the door, preferably on the inside. When visual access is a factor, a sight baffle shall be used when a door is open and should also block the view of the area when the door is closed. When doors are used in pairs, an overlap molding is required where the doors meet. Door jambs shall be reinforced when necessary to make it more difficult to open by use of a wedge, jimmy, or similar tool.

##### **0800-1.2            Fire Protection**

Fire doors, frames, and hardware shall be either tested and listed by UL or similar nationally accredited testing laboratories or approved by FM or similar national insurance organizations. Fire doors, frames, and hardware shall be installed with label attached in accordance with NFPA 80.

**0800-1.3      Security**

Where more than one door is required for a security area, single doors or double doors with a removable mullion between them shall be used.

Doors that serve exclusively as exits from security area shall not be operable from outside the security area.

Where primary reliance is placed on doors as physical security barriers, they shall provide a penetration resistance equal to that specified in the site-specific security plan for adjoining walls, ceilings, and floors.

Doors that serve as exits from security areas shall comply with NFPA 101, Chapter 5, and with DOE security requirements, except the use of panic hardware on doors from security areas shall be limited to assembly, educational, and hazardous occupancy classifications of UBC as determined by the cognizant DOE authority.

Openings in doors shall be covered to provide the necessary barrier delay rating required by the site-specific security plan for that door. Various materials and configurations may be used, if they are approved by the cognizant DOE safeguards and security authority.

Doors that serve as emergency exits from spaces should not open into spaces of greater security.

Where used to enhance penetration resistance, wire mesh shall be 2-inch square or smaller mesh of No. 11 American Wire Gauge or heavier steel wire or expanded metal.

Doors of offices or rooms constituting security area perimeters where Secret or Top Secret information is discussed on a recurring or routine basis shall be constructed of materials of low sound conductivity, or shall otherwise be soundproof in accordance with DOE 5636.3A and the DOE TSCM Procedural Guide so as to prevent a person outside the room with reasonable access to the wall from overhearing a conversation at normal voice level within the room without the use of hearing instruments or equipment.

Access doors to security posts shall be provided with positive locking devices to prevent unauthorized entry.

**0800-2      WINDOWS**

**0800-2.1      General**

All facilities shall have operable windows for ventilation except those facilities with year-round air-conditioning such as some computer rooms, where windows shall not be operable except for window cleaning purposes.

Operable windows used for ventilation shall have insect screens.

Where double or triple glazing is required, insulating glass units shall be used, not multiple glazing.



Windows and curtain walls shall be designed for wind loads in accordance with UBC.

Windows shall offer substantial resistance to unauthorized entry but need not be more resistant to penetration than adjoining walls, ceilings, and floors.

**0800-2.2      Fire Protection**

Where required by code, fire windows, frames, and hardware shall be either tested and listed by UL or similar nationally accredited testing laboratories or approved by FM or similar national insurance organizations. Such fire windows, frames, and hardware shall be installed with label attached in accordance with NFPA 80.

**0800-2.3      Safety**

Operable outside windows and operable windows at air shafts, atriums, and courtyards shall have guards conforming to NFPA 101, Chapter 5.

Window cleaning provisions shall comply with ANSI A39.1.

Outside windows and glazed curtain walls shall comply with NFPA 101, Chapter 22, and shall have clear openings that qualify as second means of escape.

**0800-2.4      Maintenance and Repair**

Operating mechanisms, parts, and equipment in operable windows shall have a history of reliability and readily available replacement parts, and shall not be made of zinc.

**0800-2.5      Screens**

Where insect screens are used, they shall not require seasonal removal and storage, and they shall not interfere with normal window operations.

Screen frames of aluminum may be used with wood, aluminum, or steel windows. Screen frames of wood shall be used only with wood windows. Screen frames of steel shall be used only with wood or steel windows. Screen frames of bronze shall be used only with bronze windows.

Aluminum and plastic coated or impregnated fibrous glass insect screen shall be used with wood, aluminum, bronze, or steel screen frames. Aluminum insect screen shall not be used where it is exposed to a saltwater atmosphere. Bronze insect screen shall be used only with wood, bronze, or steel screen frames. Glass fabric insect screening shall comply with ASTM D3656.

**0800-2.6      Security**

Where primary reliance is placed on windows as physical security barriers, they shall provide a penetration resistance equal to that specified in the site-specific security plan for adjoining walls, ceilings, and floors.

Where primary reliance is placed on windows as physical barriers, they shall be constructed of shatter-resistant, laminated glass panes of 9/32-inch minimum thickness or other material providing an equal degree of resistance, and installed in fixed (e.g., unopenable) frames so that the panes are not removable from outside the area being protected. The frames must be securely anchored in the walls, and windows should lock from the inside. Swingout steel sash (industrial-type) is acceptable for window installation provided the windows can be securely locked or are permanently sealed shut.

Where used to increase penetration resistance, wire mesh shall be 2-inch square or smaller mesh of No. 11 AWG or heavier steel wire or expanded metal.

## **0810            METAL DOORS AND FRAMES**

### **0810-1            STEEL DOORS AND FRAMES**

Hollow steel doors and frames shall comply with SDI 100 and SDI 108.

Insulated steel door systems shall comply with ISDSI 102.

Steel exterior doors shall not be used in saltwater environments.

### **0810-2            ALUMINUM DOORS AND FRAMES**

Aluminum sliding glass doors shall comply with AAMA 101.

Insulating aluminum products for sliding glass doors shall comply with AAMA 1002.10.

Aluminum sliding screen doors shall comply with SMA 2005.

Aluminum swinging screen doors shall comply with SMA 3001.

Aluminum storm doors shall comply with AAMA 1102.7.

Aluminum exterior doors shall not be used in saltwater environments.

## **0820            WOOD AND PLASTIC DOORS**

### **0820-1            WOOD DOORS**

Exterior doors and interior doors where significant moisture is normally present (such as shower rooms, drying rooms, and dishwashing rooms) shall not be faced with hardboard or have unimpregnated paper honeycomb door cores or paper honeycomb door cores with foam plastic fill or vermiculite fill.

Wood doors shall comply either with NWWDA IS-1 and NWWDA IS-3, and NWWDA IS-6.

Wood exterior doors and plastic-faced exterior doors shall be protected from direct exposure to weather.

#### **0830                    SPECIAL DOORS**

Single-leaf double-acting doors shall have vision panels.

Single-leaf double-acting doors shall not be used except as interior personnel passage doors between work spaces that have no security requirements, that have relatively few people, and that do not receive, store, or transfer hazardous, critical, or fragile material or equipment.

Where a single-leaf double-acting door is functionally needed but otherwise unacceptable, one of the following shall be used:

- A pair of manually or automatically operated single-acting doors, with each door swinging in a different direction
- An automatic single horizontal sliding door or pair of horizontal sliding doors

Pairs of double-acting doors shall have a vision panel in each leaf.

Pairs of double-acting doors shall not be used except as interior passage doors between industrial type areas that have no security requirements, that have relatively few people and little cross traffic, and that do not receive, store, or transfer hazardous, critical, or fragile material or equipment.

Where a pair of double-acting doors is functionally needed but otherwise unacceptable, one of the following shall be used:

- Two pairs of single-acting doors, with each pair swinging in a different direction
- A pair of automatic horizontal sliding doors
- An automatic overhead coiling door

#### **0833                    COILING DOORS**

Exterior overhead rolling metal curtain doors shall be fully weatherstripped.

**0836                    SECTION OVERHEAD DOORS**

Sectional overhead doors shall comply with ANSI A216.1.

**0839                    SCREEN AND STORM DOORS**

Storm doors shall not be used at exterior exits from boiler rooms, mechanical and electrical equipment rooms, storage rooms, and similar normally unoccupied rooms.

Aluminum and plastic coated or impregnated fibrous glass insect screen shall be used with wood, aluminum, or steel screen frames. Aluminum insect screen shall not be used where it is exposed to a saltwater atmosphere. Bronze insect screen shall be used only with wood, bronze, or steel screen frames. Glass fabric insect screening shall comply with ASTM D3656.

**0850                    METAL WINDOWS**

**0850-1                STEEL WINDOWS**

All steal insect screen frames shall be hot dipped galvanized sheet steel with a durable baked enamel finish.

Steel windows shall comply with SWI Specifications Brochure for Steel Windows.

**0850-2                ALUMINUM WINDOWS**

Aluminum windows shall comply with AAMA 101.

Aluminum storm products for windows and sliding glass doors shall comply with AAMA 1002.10.

**0860                    WOOD AND PLASTIC WINDOWS**

**0860-1                WOOD WINDOWS**

Wood windows shall comply with NWWDA IS-2 and NWWDA IS-7.

**0870            HARDWARE**

Door and window hardware shall comply with the ANSI A156 series.

The preparation of doors and frames for the installation of bolts, closers, latches, locks, pivots, and strikes shall comply with the ANSI A115 series.

Keying systems for new and renovated facilities and new additions shall be coordinated with existing facilities on a site.

Doors that are part of a fire-rated wall assembly and exterior swinging doors that open out shall have door closers.

Zinc hardware shall not be used.

Operable windows located more than 6 feet above the finished floor shall be provided with extension operators or poles.

The locks on at least one door of any room shall be equipped to prevent personnel from being locked inside, except as otherwise required to satisfy DOE safeguards and security standards.

Door silencers shall be used on all metal door frames except those for double-acting doors and those for doors in rooms with acoustical security.

Where primary reliance is placed on doors or windows as physical security barriers, heavy-duty builders' hardware shall be used, and all screws, nuts, bolts, hasps, clamps, bars, wire mesh, hinges, and hinge pins shall be fastened securely to preclude surreptitious removal and assure visual evidence of tampering. Hardware accessible from outside the area shall be peened, brazed, or spot welded to preclude removal or be otherwise secured by hardware that is inaccessible to unauthorized tampering (e.g., nonrenewable hinge pins).

Where primary reliance is placed on doors as physical security barriers, locks shall meet the following requirements:

- A combination lock shall meet UL 768, Group I-R.
- A combination padlock shall meet FS FF-P-110 and 41 CFR 101.
- A key padlock shall meet MIL-P-43951 or FS FF-P-001480.
- A key lockset shall meet the ANSI A156 series.
- Panic locks used on emergency exit doors in security area perimeters shall be operable only from the inside and shall be equipped with at least a loud local alarm. Door locks and latches shall comply with NFPA 101.
- Magnetic-type locks shall have at least 1200-pound holding force.

- Locks not covered by the above requirements and that meet protection objectives may be used with DOE field element approval.

**0880                    GLAZING**

Glazing materials with reflective matings or films shall not be used where they cause disorientation and unsafe conditions.

Glazing materials adjacent to unattended entrances and exits shall be protected from damage.

Glazing methods and materials shall comply with FGMA Glazing Manual and FGMA Sealant Manual.

Flat glass shall comply with ASTM C1036.

For protective glazing, see Section 0800-2.6, Security.

## **Division 9**

### **Finishes**

#### **0900            GENERAL**

##### **0900-1           GENERAL**

The underside of floor and roof construction shall be exposed to view except where acoustical treatment, heating, ventilating, air-conditioning, cleanliness, or the containment or dispersion of contaminants requires the installation of a ceiling.

In the planning and design of new facilities, economy in finishes shall be considered in terms of the following factors:

- The character of the facility
- Functional requirements
- LCC

##### **0900-2           FIRE PROTECTION**

LCC calculations shall include the renewal of fire retardant coatings as required by NFPA 101, Chapter 31.

##### **0900-3           HAZARDOUS MATERIALS CONTAMINATION**

Where radioactive or other hazardous materials are processed or handled, and contamination can occur, washable or strippable finishes shall be used on walls, floors, and ceilings.

Where radiological contamination can occur, paint finishes shall comply with ANSI N512.

##### **0900-4           INDOOR AIR QUALITY**

Finish material and its support, backup, and substrate shall be selected, designed, fabricated, assembled, and installed to exclude or prevent the escape of fibers, such as asbestos, and the

escape of emissions from volatile organic compounds, such as formaldehyde, and combinations of volatile organic compounds that have been determined to be a health hazard.

0900-99        **SPECIAL FACILITIES**

0900-99.0        **Nonreactor Nuclear Facilities-General**

Rounded corners and epoxy coated concrete walls and floors shall be considered for nuclear materials storage and work areas.

In addition to the coating requirements provided in Section 0950, Acoustical Treatment, the design professional shall consider the coating guidelines in ASTM D4256 and ANSI N512 for facilities that require coatings to enhance decontamination of surfaces or because of environmental conditions.

090049.4        **Explosives Facilities**

0900-99.4.1        **Radiological Design Requirements**

These design requirements are to be applied specifically where explosives and plutonium are present in the same bay (except magazines) and shall be in addition to requirements and practices associated with the use of other radioactive materials such as uranium and tritium.

Ease of radiological decontamination shall be provided for in the selection of floor and wall coverings. Where paints are to be used, they shall comply with ANSI N512. To the extent practicable, floor-to-wall interfaces shall be coved for ease of decontamination

0900-99.7        **Occupational Health Facilities**

0900-99.7.1        **General**

The functional shall dictate the selection of interior finishes for Occupational Health Facilities. Particular care shall be taken to assure the privacy of conversations between doctor or medical professional and patient.

See Section 1300-11.1, Decontamination, for interior finish criteria applicable to contaminated areas in Occupational Health Facilities.

0900-99.7.2        **Floors**

Finished floors shall be resilient flooring except in special areas. In such areas such as laboratories and dark rooms, vinyl composition and rubber or vinyl cove base shall be used.

Emergency rooms or surgical areas shall have vinyl, all-purpose, static-proof, conductive flooring.

Stairways, entries, and service and utility areas shall generally be concrete (concrete cove base in janitor's closet).



Corridor flooring may be concrete or resilient flooring with rubber or vinyl cove base. Toilet and washrooms shall have ceramic tile floor and base.

#### 0900-99.7.3 Walls

The use of plaster shall generally be avoided except as required in potentially contaminated areas to facilitate decontamination and in such areas as emergency, X-ray, treatment, or dark rooms. Painted finishes shall be used throughout the remainder of the facility, such as on masonry walls, dry wall, and factory finished panels. Stairways and corridors may be protected with hardboard wainscot. Portland cement plaster or tile may be used for wainscots in built-in shower stalls.

Where tile is to be used, structural facing units shall be considered. Proper radiation shielding shall be provided for X-ray and control rooms. The services of persons qualified in radiation shielding, particularly as related to X-ray shieldings, shall be used for design.

#### 0900-99.7.4 Ceilings

The use of plaster for ceilings shall be limited to those rooms where it is used as a wall finish. Acoustical treatment shall be used where functionally needed. Acoustical materials shall be noncombustible and shall be applied directly to the ceiling unless other methods of installation are more economical, or where suspended ceiling is justified for sanitary or other reasons.

#### 0900-99.7.5 Doors and Frames

Wood or combination steel buck and frame shall be used with wood flush doors. The use of sliding doors in medical units should be avoided because of difficulty in maintaining cleanliness. Doors shall be sized to allow passage of stretchers, where needed.

### 0910 METAL SUPPORT SYSTEMS

#### 0910-1 NON-LOAD BEARING WALL FRAMING SYSTEMS

Steel framing shall comply with the MLSFA Steel Framing Systems Manual and UBC Chapter 23. See Section 0111, Structural Design Requirements.

#### 0910-2 CEILING SUSPENSION SYSTEMS

Suspended ceilings shall be earthquake resistant. They shall comply with:

- UBC Standard No. 47-18
- ICBO Report 4071

- ASTM C635
- ASTM C636
- ASTM E580

Nonstructural suspended systems, including ceilings, electrical components, and equipment, shall be considered in terms of UCRL 15714, Section V.

**0920            LATH AND PLASTER**

**0920-1        VENEER PLASTER**

Veneer plaster shall comply with GA Manual of Gypsum Veneer Plaster.

**0925            GYPSUM BOARD**

The application and finishing of gypsum shall comply with ASTM C840. See Section 0111, Structural Design Requirements.

**0930            TILE**

**0930-1        CERAMIC TILE**

Ceramic tile shall comply with TCA Handbook for Ceramic Tile Installation.

**0950            ACOUSTICAL TREATMENT**

**0950-1        GENERAL**

Acoustical analyses shall be made for areas with high sound levels, areas where speech intelligibility is important to occupant performance, and for areas where speech privacy is important to occupant performance or required for security. These areas include but are not limited to industrial facilities, data processing centers, word processing centers, large conference rooms, auditoriums, audio-video studios, program control centers, open offices, and secure rooms.

A continuous IAS shall be provided for occupiable spaces above suspended ceilings within protected areas and, as required by the site-specific security plan, within limited and exclusion areas.

Acoustical treatments in industrial facilities and other high noise occupancies shall comply with 29 CFR 1926 and 29 CFR 1910.

Facilities with low sound levels shall be provided with acoustical treatments that produce balanced acoustical environments and promote occupant productivity.

No acoustical treatment shall be provided to control the acoustical environment within normally unoccupied storage areas, service areas, or support areas that have lower sound levels.

Acoustical treatment and administrative control in areas that require periodic occupancy by operations or maintenance personnel (for example, utility rooms, equipment rooms, storage areas, service areas, support areas, and industrial process areas) and have such high sound levels that personnel would be injured by periodic short-duration exposure shall comply with 29 CFR 1926 and 29 CFR 1910.

Acoustical material shall not be in contact with the underside of roof decks where moisture can collect, or where the deck is exposed to extreme heat.

Where window treatments and office landscaping are used for acoustical treatments, see Section 1250, Window Treatment, and Section 1260-1, Landscape Partitions and Components.

Accoustical ceilings shall comply with CISCA Acoustical Ceiling-Use and Practice.

Acoustic plaster ceilings shall not be used.

In areas subject to moisture or high humidity, such as shower rooms, kitchens, and spaces with industrial processes using water, any metal suspension system shall be corrosion resistant, and ceiling materials shall be protected from moisture or be moisture resistant.

## **0950-99 SPECIAL FACILITIES**

### **0950-99.10 Secure Conference Rooms**

Walls, ceiling, and doors of offices or room constituting security area perimeters where classified information is discussed, handled, or processed on a recurring or routine basis shall be constructed of materials of low sound conductivity, and shall be acoustically treated in accordance with DOE 5636.3A and the DOE TSCM Procedural Guide so as to prevent a person outside the room with reasonable access to the wall from overhearing a conversation at normal level within the room without the use of hearing instruments or equipment. (See Section 0110-99.10, Secure Conference Rooms, for additional requirements for soundproofing of secure conference rooms.)

**0950-99.11      Secure Offices**

Walls, ceilings, and doors of offices or rooms constituting security area perimeters where classified information is discussed, handled, or processed on a recurring or routine basis shall be constructed of materials of low sound conductivity, or shall otherwise be acoustically treated in accordance with DOE 5636.3A and DOE TSCM Procedural Guide, so as to prevent a person outside the room with reasonable access to the wall from overhearing a conversation at normal level within the room without the use of hearing instruments or equipment. (See Section 0110-99.11, secure Offices, for additional requirements for soundproofing of secure offices.)

**0965              RESILIENT FLOORING**

Resilient flooring installation shall comply with the RFCI Recommended Work Procedures for Resilient Floor Covering.

Where seamless sheet flooring is required, seams shall be sealed in accordance with ASTM F693.

Electrically conductive floors shall comply with UL 779.

**0968              C a r p e t**

Carpet shall comply with CRI Garpet Specifiers Handbook and CRI Standard for Installation of Textile Floor Covering Materials.

Flame spread shall not be greater than 75 when tested in accordance with ASTM E84. For computer facilities, flame spread shall not be greater than 25 per DOE/EP 0108.

Flame propagation index shall be less than 4.0 when tested in accordance with UL 992, or the minimum average critical radiant flux shall be 0.45 watt per square centimeter when tested in accordance with ASTM E648.

**0970              SPECIAL FLOORING**

**0970-1      RESINOUS FLOORING**

Industrial resinous flooring (seamless coating) and conductive spark-proof industrial resinous flooring shall comply with NTMA requirements.

**0970-2            CONDUCTIVE FLOORING**

Conductive flooring shall comply with Section 1660-99.4.3, Static Electricity.

**0970-99           SPECIAL FACILITIES**

**0970-99.4        Explosives Facilities**

In addition to the requirements of Chapter II Section 7.5 of DOE/EV 06194, a resilient floor covering shall be installed in all HE bays (including explosives-plutonium bays) where uncased HE components are handled. Open joints that might trap HE particles shall be avoided. The resilient floor covering used shall be one that has been found to be acceptable in either the LANL or LLNL skid tests. Information can be obtained from the WX Division, LANL, or the Hazards Control Department, LLNL.

**0980               SPECIAL COATINGS**

Special coatings shall comply with the following guides:

- AA Aluminum Finishes for Architecture
- AA Finishes for Aluminum in Building
- NAAMM Metal Finishes Manual
- PCA Clear Coatings for Exposed Architectural Concrete
- PCA Effect of Substances on Concrete and Guide to Protective Treatment
- PCA Surface Treatments for Concrete Floors
- NCMA Waterproofing Coatings for Concrete Masonry
- ACI Guide to the Use of Waterproofing, Dampproofing, Protective, and Decorative Barrier Systems for Concrete

**0990               PAINTING**

Painting shall comply with PDCA Architectural Painting and Wall Covering Manual.

Painting of concrete shall comply with PCA Painting Concrete.

**0995            WALL COVERINGS**

Wall coverings shall conform to NFPA 101.

Painting shall comply with PDCA Architectural Painting and Wall Covering Manual.

## **Division 10 Specialties**

### **1015            COMPARTMENTS AND CUBICLES**

Where significant moisture is normally present (such as in shower rooms, drying rooms, and dishwashing rooms), compartments and cubicles shall not use the following:

- Hardboard facings
- Unimpregnated paper honeycomb cores
- Paper honeycomb cores with foam plastic fill or vermiculite fill

### **1020            LOUVERS AND VENTS**

Louvers and vents located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosion-resistant or protected against corrosion.

For louvers and vents that are a part of a mechanical system, see Division 15, Mechanical.

### **1024            GRILLES AND SCREENS**

Grilles and screens located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosion-resistant or protected against corrosion.

For grilles and screens that are a part of a mechanical system, see Division 15, Mechanical.

**1027            ACCESS FLOORING**

An LCC analysis of raked access flooring shall be made to determine its use.

The design and construction of raised access flooring shall comply with UBC Chapter 23 and DOE/EP 0108.

A continuous IAS shall be provided for occupiable spaces below raised access flooring within protected areas and, as required by the site-specific security plan, within limited and exclusion areas.

**1030            FIREPLACES AND STOVES**

Fireplaces and stoves shall be tested and listed by UL or similar nationally accredited testing laboratories.

**1040            IDENTIFYING DEVICES**

**1040-1           GENERAL**

Identifying devices for buildings and facilities, including site and street facilities, shall comply with the DOE Design Guide. This standard shall not apply to displays or related areas in the graphic arts.

Identifying devices shall be informational and shall provide direction, identification, and regulation.

To accommodate future changes, identifying devices shall incorporate flexibility, and identifying device components and materials shall be commercially available, nonproprietary products.

Exterior identifying devices located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosion-resistant or protected against corrosion.

**1040.2           NAMING DOE BUILDINGS AFTER INDIVIDUALS**

The individual or individuals for which the building is named must be deceased.

The individual or individuals for which the building will be named shall be preeminent persons who have contributed substantially to the advancement of the activities being performed in the building, to the functional areas for which the site is responsible, or to other related fields where some relationship to the activity or to the site can be established.



The chain of approval shall be as follows: 1) nomination by the Director of the site, 2) concurrence by the DOE Operations Office Manager, 3) concurrence by the Headquarters program sponsor office, 4) concurrent by Congressional Affairs to determine if any Congressional notification is necessary, and 5) approval by the Secretary of Energy. All Headquarters action shall be coordinated by the Director of Administration.

#### **1050            LOCKERS**

Where significant moisture is normally present (such as in shower rooms, drying rooms, and dishwashing rooms), lockers shall not use the following

- Hardboard facings
- Unimpregnated paper honeycomb cores
- Paper honeycomb cores with foam plastic fill or vermiculite fill

#### **1052            FIRE PROTECTION SPECIALTIES**

Fire protection specialties shall be tested and listed by UL or similar nationally accredited testing laboratories or approved by FM or similar national insurance organizations.

Requirements for portable fire extinguishers appear in Section 1530-7, Portable Fire Extinguishers.

#### **1053            PROTECTIVE COVERS**

Protective covers located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosion-resistant or protected against corrosion.

#### **1055            POSTAL SPECIALTIES**

USPS standards shall govern the selection and installation of postal equipment to be used by USPS.

#### **1060            PARTITIONS**

Where significant moisture is normally present (such as in shower rooms, drying rooms, and dishwashing rooms), partitions shall not use the following:

- Hardboard facings
- Unimpregnated paper honeycomb cores
- Paper honeycomb cores with foam plastic fill or vermiculite fill

#### **1065            OPERABLE PARTITIONS**

Where significant moisture is normally present (such as in shower rooms, drying rooms, and dishwashing rooms), operable partitions shall not use the following

- Hardboard facing
- Unimpregnated paper honeycomb cores
- Paper honeycomb cores with foam plastic fill or vermiculite fill

Operable partitions shall comply with Division 9, Finishes, and Section 1260, Furniture and Accessories.

#### **1070            EXTERIOR SUN CONTROL DEVICES**

The type and use of exterior sun control devices for natural illumination and solar control shall be determined in the energy conservation analysis discussed in Section 0110-12, Energy Conservation.

Exterior sun control devices located in potentially corrosive environments, such as in close proximity to saltwater or in areas of acid rain, shall be corrosive-resistant or protected against corrosion.

#### **1075            TELEPHONE FACILITIES**

Telephone specialties shall be listed by UL or similar nationally accredited testing laboratories.

#### **1080            TOILET AND BATH ACCESSORIES**

In public and employee toilet rooms, accessories attached to toilet partitions shall be through-bolted to partitions.

Die-cast zinc alloy accessories shall not be used, except as toilet paper holder doors.

**Only double toilet tissue holders shall be used.**

**In facilities required to be accessible to physically handicapped persons, bathroom accessories shall comply with UFAS.**



## **Division 11 Equipment**

### **1100            GENERAL**

Equipment specifications shall reflect standard, commercially available equipment that allows a reasonable range of competitive bidding. At least three companies shall be capable of manufacturing equipment. In addition, major equipment, e.g., boilers and chillers, shall have had a satisfactory commercial or industrial operational experience of at least 6,000 operating hours prior to bid opening.

Special equipment that is not a standard product of a recognized manufacturer or is not offered competitively shall not be used unless it complies with 48 CFR 10.

Full-load and part-load energy efficiencies shall be given special consideration in evaluating equipment performance. (Refer to ASHRAE Standard 90 and Section 0110-12, Energy Conservation).

All air-conditioning equipment shall comply with applicable ARI standards as a minimum requirement.

### **1161            ENCLOSURES**

#### **1161-1            GENERAL CONSIDERATIONS**

Enclosures as used here are physical barriers (eg., cubicles, gloveboxes, fume hoods, conveyor tunnels) that, together with their ventilation and operating systems, prevent the release of radioactive or other hazardous material to the work space or the environment. Accordingly, their structural and confinement integrity shall be primary design consideration.

DOE project manager shall provide the design professional criteria on the location, size, fume imposition, and operating schedule for enclosures. Unless more specific design guidance is provided to the design professional from DOE project manager, the primary reference source shall be the ACGIH Industrial Ventilation Manual.

The design objective shall be to prevent exposure of the plant personnel to airborne contamination and shall implement ALARA concepts as practical to minimize operator

exposures. The enclosure system, including its internal and external support structures, shall be designed to withstand the effects of normal operating conditions and the environment. Also, DBAs such as fire, explosion, criticality, and natural phenomena shall be considered in the design of the enclosure. Enclosure stability during a seismic event shall be based on the seismic parameters described in Section 0111-99.0.4, Earthquakes. The criticality considerations should include water or other liquid sources, potential liquid level in the enclosure (during operations or fire fighting), and drains to limit liquid level in the enclosure.

Where practical and without penetrating the enclosure, all equipment components not functionally required to operate directly in the presence of radioactive materials shall be located outside the enclosure. All equipment that must be located within the enclosure shall be designed to allow for in-place maintenance and/or replacement.

The design and operation of support and protection systems, such as fire protection, shall not promote the failure of the enclosure system integrity or the loss of confinement.

## 1161-2 CONSTRUCTION

Noncombustible or fire-resistant and corrosion-resistant materials shall be used for enclosures and, to the maximum extent practicable, for any required radiation shielding. In no case shall the total combustible loading located in a fire area exceed the fire resistance rating of the structural envelope (see Section 0110-99.0.6, Fire Resistance). This shall be documented in a fire risk analysis performed according to a methodology approved by the DOE Fire Protection Authority. This analysis should include estimated fire area combustible loadings, ventilation parameters, room dimensions, maximum average gas temperature, fire duration, maximum average heat flux, and the calculational method used. Enclosures (except open-face hoods) shall be designed with the objective of being leak-tight. In conjunction with their ventilation systems, all enclosures shall be capable of maintaining confinement (i.e., negative pressure with respect to the surrounding operating area). Without their associated ventilation systems enclosures shall be designed with appropriate physical features to provide an essentially leak-tight confinement (except open-face hoods, which shall provide filtered confinement) for the contaminants they handle.

Enclosure specifications should include the following standardized features, where applicable:

- Windows and mountings
- Glove ports (size, location and height)
- Ease of cleaning (radius corners, smooth interior and exterior surfaces, minimal protuberances, and accessibility of all parts)
- Adequate interior illumination (from fixtures mounted on the exterior where feasible)
- Connections for services lines, conduits, instrument leads and ductwork
- Fire barriers and filter installation

- Sample removal ports
- Pressure differential readouts
- Attachments for interconnection of enclosures

Appropriately sized and located windows shall be part of the enclosure design to provide operators with visual access to the enclosure interior. Viewing windows in enclosures shall be as small as practicable. The windows shall be constricted of noncombustible or approved fire-resistant materials as specified in Section 0727, Firestopping. Consideration shall be given to resistance of the selected material to impact and radiation damage. The use of Mylar-glass laminates shall be considered for use as viewing windows and lighting fixture covers where hydrofluoric acid environments are present. Window design shall be such that it will enable replacement with minimum risk of releasing contamination to the working area. The selection of appropriate window material shall be based on specific process, combustible loading, and radiological safety considerations.

Glove ports shall be located to facilitate both operations and maintenance work inside the enclosure. They shall have flexible gloves attached to allow operating personnel access to all interior surfaces and equipment. They shall be designed to allow replacement of gloves without losing contamination control and with minimum exposure to the operator. When gloves are not in place, a noncombustible shield or cover for each glove port shall be provided.

To reduce migration of contamination, closure devices or permanent seals shall be provided on entrances and exits of piping, ducts, or conduits penetrating confinement barriers. Such closures or seals shall have an integrity equal to or greater than the barrier itself.

Where pertinent to safety, the enclosure design shall consider the heat generation in the enclosure. Such heat sources may be from processes, lighting, and the decay of radioactive material. Consideration of radioactive material as a heat source is particularly applicable to storage enclosures.

Consideration shall be given to incorporating transfer systems such as a double-door, sealed transfer system for removal of hazardous material from a glove box. Various types of removal and transfer systems appear in IAEA Safety Series No. 30. These systems are designed to allow entry and removal of material without breaching the integrity of the glove box.

Consideration shall be given to modular instruction, versatility, relocation, and incorporation of shielding. Structural support shall be provided to accommodate any anticipated loading resulting from shielding. The design professional shall consider techniques for limiting size to anticipate limitations on the dimensions of packing crates for disposal (e.g., current DOE criteria limit the size of TRU containers that will be accepted at the WIPP repository to 4 ft. x 4 ft. x 7 ft.).

Discrete work stations or process areas shall be separated from each other by a barrier designed to prevent the spread of fire based on safety analysis review. Generally, the fire barriers within and between enclosures will be normally closed. Where operations require that the fire barrier be in the open position, it shall automatically close on activation of the

fire detection system or by release of a fusible device. Design of the enclosure system shall allow automatic closure of the fire barrier without loss of confinement, without degradation of the enclosure system's integrity, and without injury to personnel. The fire barrier shall be capable of being opened or closed manually from the exterior and interior of the enclosure. Allowable open area around a fire barrier shall be minimized.

### 1161-3 FIRE PROTECTION

Automatic fire suppression provisions shall comply with Section 1530-99, Special Facilities. When an automatic fire suppression system is mandatory and protection against loss from fire originating within the enclosure system is required, a highly reliable, fast-acting system shall be provided. Instead of such a system, an inert atmosphere can be used within the enclosure, provided its reliability is commensurate with an approved fire suppression system (e.g., dedicated gas supply, component quality, and redundancy where appropriate). The oxygen concentration shall be less than the minimum concentration that would allow ignition or combustion of the enclosure contents. Where automatic systems are not required, fire detection shall be installed. Provisions shall also be made for manual fire suppression where deemed necessary. Fire detection systems shall be integrated with any central alarm location and any associated automatic fire suppression systems.

### 1161-4 VENTILATION

A ventilation system shall be installed on all enclosure systems to maintain a minimum negative pressure differential of 0.3 in. of water inside the enclosure (except open-face hoods) with respect to the operating area. Open-face hoods shall be ventilated such that flow from the operating area into the hood is maintained. Safety class items of the ventilation system shall be supplied with emergency power. Failure of any single component or control function shall not compromise minimum adequate ventilation. The design professional shall consider the possible necessity to remove moisture, heat, and explosive and corrosive gases, as well as other contaminants. Perchloric acid fume exhaust systems shall comply with NFPA 45, Chapter 6.

HEPA filters shall be provided at the interface of the enclosure outlet and the ventilation system to minimize the contamination of ductwork and at the enclosure inlet to prevent movement of contamination within the enclosure to the operating area in the event of a flow reversal. A roughing filter should be installed to reduce HEPA filter loading. The system shall be designed to automatically ensure adequate inflow of air through a credible breach in the enclosure system. Minimum inward air velocity shall be 125 plus or minus 25 linear ft/min or as determined from guidance provided in the ACGIH Industrial Ventilation Manual. The design of the enclosure ventilation flow pattern shall minimize the spread of fire, and fire screens shall be provided where necessary.

For enclosures where overpressurization is possible, a system shall be provided to ensure that confinement is not breached. Small enclosure systems with positive-pressure supplied gases shall have positive-acting, pressure-relief devices (connected into the exhaust system) to prevent pressurization of the enclosure.



Hood faces shall not be located within 10 ft. of the closest air supply or exhaust point. Hoods shall not be located in or along normal traffic routes. An open-faced hood shall be designed and located to provide a minimum air velocity of 125 plus or minus 25 linear ft/min over the hood face area. A hood should not be used in a location where room air currents of >50 linear ft/min at the face of the hood will disrupt uniform air entrance. All open-face hoods shall be designed to provide appropriate face velocity to ensure capture of contaminants in the hood exhaust (see the ACGIH Industrial Ventilation Manual). Exhaust air from a hood shall not be recirculated to occupied areas.

#### 1161-5            OPERATIONAL   COMPATIBILITY

Shielding, shape, size, and any other pertinent design criteria for all enclosures, glove boxes, conveyor tunnels, hoods, and process equipment should be coordinated with operations requirements to ensure continuity and performance of operations; and by the Safeguards and Security Group (function) to ensure that SNM control and accountability considerations have been considered along with other DOE physical protection requirements (DOE 5632 series).



## **Division 12**

### **Furnishings**

#### **1201      GENERAL**

The renewal of fire retardant coatings as required by NFPA 101, Chapter 31, shall be included in LCC calculations.

Furnishings shall be designed and constructed to exclude or prevent the escape of emissions from volatile organic compounds, such as formaldehyde, and combinations of volatile organic compounds that have been determined to be a health hazard.

#### **1230      MANUFACTURED CASEWORK**

The design of manufactured casework shall consider competitive types using standard stock sizes, materials, and finishes; modules and dimensionally interchangeable elements; and construction tolerances.

The design of manufactured casework for use with radioactive materials shall consider radioactive shielding requirements.

#### **1250      WINDOW TREATMENT**

Window treatments shall comply with NFPA 101, Chapter 31,

The type and use of window treatments with respect to natural illumination and solar control shall be determined in the energy conservation analysis; see Section 0110-12, Energy Conservation, and Division 15, Mechanical.

Where window treatments are used as a part of the acoustical treatment, or are to be used where acoustical treatment is required, such as in open offices or landscaped offices, they shall be included in the acoustical analysis; see Section 0950, Acoustical Treatment.

**1250-1            DRAPERY AND CURTAIN HARDWARE**

Drapery and curtain hardware shall comply with UL 325.

**1260                FURNITURE AND ACCESSORIES**

**1260-1            LANDSCAPE PARTITIONS AND COMPONENTS**

Office landscape partitions and components shall comply with NFPA 101, Chapter 31, and UBC Chapter 17.

Where office landscape partitions and components are used, they shall be included in the acoustical analysis, see Section 0950, Acoustical Treatment. They shall also be included in the analysis and design of natural and artificial illumination; see Section 0110-12, Energy Conservation, and Section 1655, Interior Lighting.

Office landscape partitions and components shall be designed to accommodate task lighting when it is determined that task lighting is necessary; see Section 1655, Interior Lighting.

**1260-2            FURNITURE**

Furniture shall comply with NFPA 101, Chapter 31 (sections concerning finishings, decorations, and treated finishes), and UBC Chapter 17 for folding, portable, and movable partitions.

**1260-3            FURNITURE SYSTEMS**

Furniture systems shall comply with NFPA 101, Chapter 31 (sections concerning finishings, decorations, and treated finishes), and UBC Chapter 17 for folding, portable, and movable partitions.

Where furniture systems are used, they shall be included in the acoustical analysis, and in the analysis and design of natural and artificial illumination; see Section 0950, Acoustical Treatment, and Section 1655, Interior Lighting.

**1260-4            RUGS AND MATS**

Rugs and mats shall comply as furnishings with NFPA 101, Chapter 31 (sections concerning finishings, decorations, and treated finishes), in all occupancy classifications except those of storage and industrial.

Rugs and mats used in storage or industrial occupancies shall have a critical radiant flux not less than the following:

## **Division 13**

### **Special Facilities**

#### **1300            GENERAL REQUIREMENTS**

##### **1300-1            COVERAGE AND OBJECTIVES**

##### **1300-1.1            Coverage**

Special facilities as used in Division 13 include the following:

- Nuclear facilities as defined in the Glossary and in DOE 5480.5
- Explosives facilities

The criteria in this section of Division 13 (Section 1300, General Requirements) apply to all nonreactor nuclear facilities and to explosives facilities. Subsequent sections provide additional criteria that are applicable to specific types of nonreactor nuclear facilities and to explosives facilities. (Reactors and their safety systems shall be sited and designed according to DOE 5480.6.)

There may be some facilities for which these criteria are not sufficient and for which additional criteria must be satisfied in the interest of safety. Also, some criteria may be determined by safety analysis to be unnecessary or inappropriate for a specific facility. For facilities such as these, departures from the criteria shall be identified and justified. See Section 0101-2, Criteria Deviations.

##### **1300-1.2            Using Division 13**

The other divisions of these criteria correspond to the CSI MASTERFORMAT organization, which reflects the major building systems and design specialties. Criteria for special facilities in those divisions appear under a “-99” system. For example, Division 15, Mechanical, contains mechanical criteria that apply to all DOE facilities, both non-special and special. Mechanical criteria for all facilities are numbered 15xx. In addition, mechanical criteria that apply only to special facilities are numbered 15xx-99.

Within the -99 sections in the various divisions, facility types are designated by the following numbers:

- 99.0, Nonreactor Nuclear Facilities-General

- **99.1, Laboratory Facilities (Including Hot Laboratories)**
- **99.2, Emergency Preparedness Facilities**
- **99.3, Plutonium Processing and Handling Facilities**
- **99.4, Explosives Facilities**
- **99.5, Unirradiated Enriched Uranium Storage Facilities**
- **99.6, Plutonium Storage Facilities**
- **99.7, Occupational Health Facilities**
- **99.8, Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**
- **99.9, Vaults and Vault-Type Rooms for Storage of Classified Matter**
- **99.10, Secure Conference Rooms**
- **99.11, Secure Offices**
- **99.12, Uranium Enrichment Facilities**
- **99.13, Uranium Processing and Handling Facilities**
- **99.14, Irradiated Fissile Material Storage Facilities**
- **99.15, Reprocessing Facilities**
- **99.16, Uranium Conversion and Recovery Facilities**
- **99.17, Radioactive Liquid Waste Facilities**
- **99.18, Radioactive Solid Waste Facilities**
- **99.19, Tritium Facilities**
- **99.20, Fusion Facilities**

The remaining sections of Division 13 cover nonreactor nuclear facilities (which as used here includes laboratory facilities/hot laboratories) and explosives facilities criteria that do not relate to the major building systems or other design specialties covered in the other divisions. When designing these facilities, Division 13 criteria shall be applied in addition to applicable criteria in other divisions.

Design criteria for nonreactor nuclear facilities and explosives facilities thus appear in three places:

- In the conventional sections of the other criteria divisions-e.g., Section 1550 provides criteria on HVAC systems that apply to all DOE facilities.
- In the -99.0, -99.1, and -99.4 sections of the non-Division 13 divisions-e.g., Sections 1550-99.0 and 1550-99.4 provide additional criteria on HVAC systems that apply only to nonreactor nuclear facilities and explosives facilities, respectively.
- In Division 13-e.g., special criteria that do not relate to the building systems and design specialties covered in the other criteria divisions

See Section 0101-3, Organization and Use of These Criteria.

#### **1300-1.3            Objectives**

The design of special facilities shall:

- Protect the public and facility personnel from hazards associated with the use of radioactive and other hazardous materials as a result of normal operations, anticipated operational occurrences, and DBA conditions, including the effects of natural phenomena pertinent to the site, and maintain these effects ALARA
- Ensure compliance with DOE policies regarding nuclear safety, criticality safety, radiation safety, explosives safety, industrial safety, fire protection, environmental protection, and Safeguards and Security (S&S) protection for special nuclear material
- Protect government property and essential operations from the effects of potential accidents
- Minimize exposures of personnel and the general public to hazardous materials by emphasizing ALARA concerns during all design, construction, and operational phases of special facilities

The design of new or modification of existing special facilities shall address the health hazards represented by all hazardous materials in enclosures, general work areas, and noncontaminated areas.

The release of hazardous materials under normal operating conditions and anticipated operational upset occurrences shall be designed to be less than release guideline limits contained in applicable orders, regulations, and requirements. In addition, to the extent practical, such releases shall be maintained ALARA.

Consideration shall be given to the frequency of occurrence and the effects of DBAs in the design features of special facilities. The depth of the risk analysis involved in this consideration should be in some measure proportional to the level of risk at the facility under consideration.

Protection of employees within the facility and at nearby facilities shall be a requirement in all aspects of the design. Protection shall be provided for normal operation and for those accidents that can be anticipated as occurring during the facility lifetime such as radioactive material spills and small fires controlled by the facility fire suppression system. Occupational

exposure to radiation shall be limited according to DOE 5480.11. Design goals shall be established to maintain radiation exposure of employees ALARA. The nature of the hazardous materials in the facility, including radionuclides, shall be considered in the assessment of potential employee exposure.

For mixed-use facilities, such as those combining PPHFs and PSFs, the design of either part of that facility shall not jeopardize the safety requirements of the other.

#### **1300-1.4      Guidance on Limiting Exposure of the Public**

##### **1300-1.4.1 General**

The confinement of hazardous materials produced, processed, or stored in special facilities shall be designed to minimize dose to a maximally exposed member of the public.

##### **1300-1.4.2      Accidental Releases**

Releases of hazardous materials postulated to occur as a result of DBAs shall be limited by designing facilities such that at least one confinement system remains fully functional following any credible DBA (i.e., unfiltered/unmitigated releases of hazardous levels of such materials shall not be allowed following such accidents). Facility design shall provide attenuation features for postulated accidents (up to and including DBAs) that preclude offsite releases that would cause doses in excess of the DOE 5400 series limits for public exposure. To the extent practical, ALARA concepts shall be applied when designing special facilities to mitigate post-DBA releases of hazardous materials. For facilities whose hazard potential is determined to be extremely low, deviations from the criteria of this section may be considered in accordance with Section 0101-2, Criteria Deviations.

##### **1300-1.4.3      Routine Releases**

The annual dose resulting from postulated, planned, or expected releases from the proposed facility shall be considered in combination with the annual doses resulting from planned or expected releases from other facilities at the same site. The sum of the doses from the site shall be limited according to DOE Radiation Standards of Protection of the Public in the Vicinity of DOE Facilities or subsequent guidance included in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series.

##### **1300-1.4.4      Monitoring of Releases**

Releases shall be monitored in accordance with the directive on Radiological Effluent Monitoring and Environmental Surveillance in the DOE 5400 series.

#### **1300-2      SAFETY ANALYSIS**

Safety analysis shall comply with DOE 5481.1B. See also Section 0110-5.2, Safety Analysis.



### 1300-3 SAFETY CLASS CRITERIA

#### 1300-3.1 General

Special facility components, systems, and structures shall be designed, fabricated, erected, and tested to standards and quality commensurate with the hazards and potential consequences associated with both the facility and the role of each component, system, and structure in mitigating the consequences of DBAs.

#### 1300-3.2 Safety Class Items

Safety class items are systems, components, and structures, including portions of process systems, whose failure could adversely affect the environment or the safety and health of the public. Specifically, safety class items are those systems, components, and structures with the following characteristics:

- Those whose failure would produce exposure consequences that would exceed the guidelines in Section 1300-1.4, Guidance on Limiting Exposure of the Public, at the site boundary or nearest point of public access
- Those required to maintain operating parameters within the safety limits specified in the OSRs during normal operations and anticipated operational occurrences
- Those required for nuclear criticality safety
- Those required to monitor the release of radioactive materials to the environment during and after a DBA
- Those required to achieve and maintain the facility in a safe shutdown condition
- Those that control the safety class items described above

DOE/TIC 11603, Rev. 1, presents examples of safety classification of plant systems, structures, and components in its appendixes, however, for comparable sections in DOE/TIC 11603, Rev. 1, and DOE 6430.1A, the design criteria in DOE 6430.1A shall govern.

Safety class items shall be subject to appropriately higher-quality design, fabrication, and industrial test standards and codes such as those specified in Section 0106, Regulatory Requirements, and Section 0109, Reference Standards and Guides, to increase the reliability of the item and allow credit to be taken for its capabilities in a safety analysis. Safety class items shall be designed to the ASME Boiler and Pressure Vessel Code (Section III, Class II) or to other comparable safety-related codes and standards that are appropriate for the system being designed.

Safety class and non-safety class items shall comply with Section 0140, Quality Assurance. The design of systems, components and structures that are not safety class items shall, as a minimum, be subject to conventional industrial design standards, codes, and quality standards. Failure of these items shall not adversely affect the environment or the safety and health of the public. In addition, their failure shall not prevent safety class items from performing their required functions.

### 1300-3.3      Single Failure Criterion and Redundancy

The design shall ensure that a single failure (see Glossary) does not result in the loss of capability of a safety class system to accomplish its required safety functions. To protect against single failures, the design shall include appropriate redundancy and shall consider diversity to minimize the possibility of concurrent common-mode failures of redundant items.

### 1300-3.4      Equipment Environment Considerations

#### 1300-3.4.1      General

Safety class items shall be designed to withstand the effects of, and be compatible with, the environmental conditions associated with operation, maintenance, shutdown, testing, and accidents. The environmental capability of equipment shall be demonstrated by appropriate testing, analysis, and operating experience, or other methods that can be supported by auditable documentation, or a combination of these methods.

#### 1300-3.4.2      Environmental Qualification of Equipment

Equipment qualification shall provide assurance that safety class items will be capable of performing required safety functions under DBA conditions. The qualification shall demonstrate that the equipment can at least perform for the period of time that its safety functions are required. Subsequent equipment failure, after its safety function is no longer required, may be allowable.

Temperature, pressure, and humidity environments shall be based on the most severe postulated accident affecting the particular item. The postulated environment shall reflect an environment that considers both radiological composition (e.g., elements, isotopes, total radioactivity) and chemical composition (e.g., abrasives, acids, smoke, caustic vapors) of all material physical forms likely to affect the equipment.

#### 1300-3.4.3      Equipment Operability Qualification

Testing or a combination of testing and analysis shall be the preferred method of demonstrating the operability of fluid system components, mechanical equipment, instrumentation, and electrical equipment that are required to operate during and following a DBE. Seismic experience data may be used as an alternative to testing or dynamic analysis where such data have been documented and validated. See Section 0111-99.0, Nonreactor Nuclear Facilities-General.

### 1300-3.5      Maintenance

The design shall consider the maintainability factors peculiar to the specific equipment to be used in the facility. Facility design shall provide for routine maintenance, repair, or replacement of equipment subject to failure.

Safety class items shall be designed to allow inspection, maintenance, and testing to ensure their continued functioning, readiness for operation, and accuracy. Ancillary equipment, such

as pumps, blowers, motors, compressors, gear trains, and controls, shall be located in an area least likely to be contaminated.

The design of equipment that must be located within confinement systems shall allow for in-place maintenance or replacement.

The capability shall be provided for the maintenance of contaminated equipment that cannot be repaired in place. This capability shall include the necessary provisions for confinement, ventilation, and waste control.

The design of all process equipment shall include features to minimize self-contamination of the equipment, piping, and confinement areas. The design of process equipment shall also include features to minimize the spread of contamination out of local areas.

#### 1300-3.6      Testing

The design shall include provisions for periodic testing of monitoring, surveillance, and alarm systems. In addition, the design shall provide the capability to test periodically, under simulated emergency conditions, safety class items that are required to function under emergency conditions.

All systems for which credit is taken to meet the criteria of Section 1300-1.4.2, Accidental Releases, shall be in-place testable in terms of pressure, filtration or removal efficiency, alarm capability, leak resistance, and the like. Safety class items shall be designed to be testable on a regular schedule.

The facility design shall allow for routine in-place testing of HEPA filtration systems as outlined by ASME N510.

#### 1300-4      NUCLEAR CRITICALITY SAFETY

An assessment of a design shall be made as early as practical to determine if the potential for nuclear criticality exists. When such potential exists, the design of nuclear criticality control provisions, including equipment and procedures, shall meet, as a minimum, the requirements of DOE 5480.5 and the ANS 8 series on Nuclear Criticality Safety.

Nuclear criticality safety shall be achieved by exercising control over both the quantity and distribution of all fissile materials and other materials capable of sustaining a chain reaction, and over the quantities, distributions, and nuclear properties of all other materials with which the fissile materials and other materials capable of sustaining a chain reaction are associated. Design considerations for establishing such controls shall be mass, density, geometry, moderation, reflection, enrichment, interaction, material types, and nuclear poison.

The design shall ensure that material shall not be displaced or allowed to accumulate to form a critical mass in the event of an internal or external accident. The design shall emphasize geometrically favorable compartments or spacing to minimize reliance on administrative control, and shall prevent the unsafe accumulation of moderator or reflection materials (e.g., water from a fire sprinkler system). Also, heating or cooling jackets in the

safe dimension of geometrically safe vessels shall preclude a leak in the jacket that causes an increase in the system's reactivity.

Process designs shall incorporate sufficient factors of safety so that at least two unlikely and independent concurrent changes must occur in process conditions before a criticality accident is possible.

Structures, systems, and components that provide nuclear criticality safety shall be designed as safety class systems and be capable of performing their criticality safety functions during and following design basis accidents and events. A criticality monitoring and alarm system (gamma and/or neutron) shall be provided where necessary to meet the requirements of DOE 5480.5 and ANS 8.3.

Nuclear criticality safety shall be controlled, in decreasing priority, by geometric spacing, density and/or mass limitation, fixed neutron absorber, soluble neutron absorber, and administrative control. The design of the facility shall emphasize engineered safeguards and shall not rely strictly on administrative controls.

Process systems shall be designed to prevent the carryover of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the facility to other areas.

A system of positive control and backflow prevention, such as air gaps (siphon breakers) shall be used to prevent inadvertent transfer of fissile material and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers.

Locations where a potential critical mass could occur in the event of accidental flooding by water from fire protection systems shall be protected by geometrically favorable curbed areas or collection systems.

Where frequency estimates for a specific operation at a specific location shows the frequency of a criticality accident to exceed  $10^{-6}$  per year, the combination of shield design and facility layout shall minimize radiation doses to adjacent work stations and exit routes. Egress routes shall be provided that take into account the locations where postulated criticality accidents would normally be expected to occur. The design objective should be to provide escape routes that have the lowest potential for radiation exposure to exiting personnel. For facilities where the design cannot avoid evacuation through areas of potentially high exposure, the use of additional shielding in such areas shall be considered. All barriers along egress routes shall be designed to allow crash exiting of evacuating personnel (i.e., operator safety should take priority over security concerns).

#### 1300-5 SOURCE AND SPECIAL NUCLEAR MATERIAL

When the safety analysis identifies the source and SNM that will be handled, the criteria for the most hazardous material shall be applied to the design. The criteria of ANSI N16.1 shall apply. In-process source or SNM shall be stored in storage containers to be approved by facility management, and simple physical barriers shall be used to segregate materials and provide a level of confinement and safety consistent with the hazard of the material. See also

**Section 1300-10, Physical Protection, Material Safeguards, and Storage of Special Nuclear Material.**

**1300-6            RADIATION PROTECTION**

**1300-6.1        General**

Special facilities shall be designed to minimize personnel exposures to external and internal radiological hazards, provide adequate radiation monitoring and alarm systems, and provide adequate space for health physics activities. Primary radiation protection shall be provided by the use of engineered controls (e.g., confinement, ventilation, remote handling, equipment layout, and shielding); secondary radiation protection shall be provided by administrative control. ALARA concepts shall be applied to minimize exposures where cost-effective.

**1300-6.2        Shielding Design**

The shielding design basis shall be to limit the maximum exposure to an individual worker to one-fifth of the annual occupational external exposure limits specified in DOE 5480.11. Within this design basis, personnel exposures shall be maintained ALARA. Specifically, the shielding shall be designed with the objective of limiting the total EDE to less than 1 rem per year to workers, based on their predicted exposure time in the normally occupied area. The EDE shall be the sum of all contributing external penetrating radiation (gamma and neutron). In addition, appropriate shielding shall be installed, if necessary, to minimize nonpenetrating external radiation exposures to the skin and lens of the eye of the worker. In most cases, the confinement barrier or process equipment provides this shielding.

Shielding and other radiation protection measures shall be provided for areas requiring intermittent access, such as for preventive maintenance, component changes, adjustment of systems and equipment, and so forth. The projected dose rates based on occupancy, time, and frequency of exposure shall not exceed 1 rem/y.

Concrete radiation shielding design shall comply with ANS 6.4 and ACI 349 and shall consider the material specifications of ANS 6.4.2 where it provides a critical confinement or structural function. For other shields, ACI 318 is appropriate and provides adequate strength for DBE loads.

Straightline penetration of shield walls shall be avoided to prevent radiation streaming.

**1300-6.3        Hand and Forearm Protection**

Remote shielded operation (i.e., with remote handling equipment such as remote manipulators) shall be considered where it is anticipated that exposures to hands and forearms would otherwise approach the dose guidance in 5480.11 or where contaminated puncture wounds could occur.

#### **1300-6.4      Internal Radiation Exposure**

The design shall ensure that occupied operating areas do not exceed the airborne concentration limits of the DOE 5480 series for normal operating conditions. In addition, to the extent practical, the concept of ALARA shall be used when designing confinement and ventilation systems to limit airborne contamination levels. The design shall ensure that respirators are not required to meet the dose limits for normal operations. Engineered controls and features shall also be provided to minimize potential inhalation of radioactive and other hazardous materials under all conditions.

#### **1300-6.5      Monitoring Warning and Alarm Systems**

##### **1300-6.5.1      General**

All monitoring systems shall be calibrated annually with appropriate national standards to ensure validity of reported values. Environmental monitoring is discussed in Section 1300-9, Effluent Control and Monitoring. All radiation monitoring, alarm, and warning systems that are required to function during a loss of normal power shall be provided with an emergency UPS (internal or external on-line) unless it is demonstrated that they can tolerate a temporary loss of function without losing needed data and they are provided with standby or emergency (switched) power. Determination of the power supply type and quality shall be based on the safety classification of the monitoring system or device. The sampling motivation (vacuum) shall be installed to the same requirement.

##### **1300-6.5.2      Air Monitoring and Warning Systems**

Air monitoring and warning systems shall be installed in work areas where hazardous materials are stored or handled and where hazardous airborne particles or vapors may be present. Air sampling heads shall be located to provide a representative sample of potential airborne radioactive materials being breathed. Air monitoring systems shall comply with ANSI N13.1.

##### **1300-6.5.3      Personnel Monitoring and Warning Devices**

Use of devices to warn personnel of possible contamination or other hazardous materials shall be evaluated and such devices shall be provided in accordance with this evaluation. Provisions shall be made for personnel monitoring devices, such as hand and foot counters, in the vicinity of work stations. Installed monitors (supplemented with personal monitoring methods if necessary) shall be used to monitor personnel exiting an operating area through access ways. CAMSs shall be provided to detect and to alarm at prescribed airborne radioactivity levels.

##### **1300-6.5.4      Ionizing Radiation Monitoring System**

Where ionizing radiation is present (due to process material, equipment, or operations), an area radiation monitoring and alarm system shall be provided to alert personnel of unexpected increases in ionizing radiation levels.

#### **1300-6.5.5 Warning and Alarm System Features**

Warning and alarm systems shall be designed, installed, and tested to ensure that they can be heard in the ambient conditions of the area they are intended to cover. Evacuation alarm systems shall comply with ANSI N2.3.

#### **1300-6.5.6 Nuclear Accident Dosimetry**

Where there is the potential for a criticality excursion causing personnel exposures, nuclear accident dosimeters shall be provided with performance features and placement consistent with DOE 5480.11.

#### **1300-6.5.7 Central Radiation Monitoring and Alarm Readout**

In addition to a local station alarm, radiation monitoring systems (criticality alarms, CAMSs, alarms associated with stack monitoring systems, and so on) shall have central (i.e., control room or radiation monitoring office) readout and alarm panels that are accessible after a DBA to evaluate internal conditions.

#### **1300-6.6 Decontamination of Personnel**

Design shall provide for personnel decontamination facilities close to areas that represent sources of potential contamination.

#### **1300-6.7 Meteorological Equipment**

Meteorological equipment shall be provided to measure and record wind speed and direction. Consideration shall be given to the need for additional equipment to provide meteorological parameters such as humidity data and wind direction frequencies for heights related to the estimated heights at which stack effluents and cooling tower moisture will be dispersed. As necessary, special equipment for stack effluent dispersal and tracking shall be considered for installation. Central site meteorological monitoring capability shall be considered as a substitute for individual facility monitoring.

#### **1300-6.8 Change Rooms**

Men's and women's change rooms shall be provided for changing into and from protective clothing. These areas shall be adjacent to shower facilities. Change rooms shall be designed to ensure that clean clothing (e.g., personal clothing) and protective clothing are segregated. The design shall ensure that storage of contaminated protective clothing will control contamination so that it does not spread beyond the storage container. The change room exhaust air shall be HEPA-filtered if dispersible radionuclides are handled in the process areas it serves.

#### **1300-6.9 Breathing Air System**

Operation and maintenance of special facilities may lead to situations (e.g., accidents, special maintenance, spill recovery) where air-supplied respiratory protection is required. Breathing air supply systems shall comply with ANSI Z88.2 and 29 CFR 1910.134.

## 1300-7 CONFINEMENT SYSTEMS

### 1300-7.1 Objectives

Confinement systems shall accomplish the following:

- Minimize the spread of radioactive and other hazardous materials within the unoccupied process areas
- Prevent, if possible, or else minimize the spread of radioactive and other hazardous materials to occupied areas
- Minimize the release of radioactive and other hazardous materials in facility effluents during normal operation and anticipated operational occurrences
- Limit the release of radioactive and other hazardous materials resulting from DBAs including severe natural phenomena and man-made events in compliance with the guidelines contained in Section 1300-1.4.2, Accidental Releases.

### 1300-7.2 General

Confinement capabilities, including confinement barriers and associated ventilation systems, shall maintain a controlled, continuous airflow pattern from the environment into the confinement building, and then from noncontaminated areas of the building to potentially contaminated areas, and then to normally contaminated areas.

For a specific nuclear facility, the number and arrangement of confinement barriers and their required design features and characteristics shall be determined on a case-by-case basis. Typical factors that affect confinement system design are the type, quantity, form, and conditions for dispersing the hazardous material, including the type and severity of DBAs. In addition, alternative process and facility designs may reduce the potential hazards and the requirements for confinement system design. Engineering evaluations, trade-offs, and experience shall be used to develop a practical design that achieves confinement system objectives.

The number of confinement systems required in different locations of a facility may vary depending on the potential consequences from hazards during normal operation, anticipated operational occurrences, and DBAs. Although individual confinement systems are not required to withstand the effects of every accident, they shall effectively perform their required functions for the DBAs they are required to withstand. Sufficient redundancy shall be provided in the unlikely event of a confinement system failure. At least one of the confinement systems shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events (see Section 0111-99.0, Nonreactor Nuclear Facilities-General), including the postulated DBAs and DBF initiated by these events, and remain functional to the extent that the guidelines of Section 1300-1.4.2 Accidental Releases, are not violated. The adequacy of the design of these confinement systems to effectively perform their required functions shall be demonstrated by the safety analysis. To



the extent practical, the ALARA concept shall be applied to the design of all confinement systems to minimize exposures to hazardous materials.

Because the number and arrangement of confinement systems that shall be required for a specific nuclear facility design cannot be predicted, these general criteria describe a conservative confinement design that uses three principal confinement systems. In general, the primary confinement system consists of the process enclosures and their ventilation system. In special cases where the processes require the use of corrosive or noxious materials the process system shall be totally enclosed (ie., pipes and vessels) and provided with its own ventilation and off-gas cleanup system. In such cases, the process system shall be treated as the primary confinement system. The secondary confinement system consists of the barriers that enclose the areas that house the primary confinement and the system that ventilates those areas. These areas may be referred to as operating areas or operating area compartments. The tertiary or final confinement system is the building structure and its ventilation system.

The secondary and tertiary barriers may exist in common such as a single structural envelope (e.g., walls, roof slab, floor slab), provided the barrier can withstand the effects of man-made events and DBAs including the DBE, and does not contain access ways that allow the routine transfer of personnel, equipment, or materials directly from the exterior of the facility. Access ways into the interior of the single structural envelope are allowed, provided that entrance into the access way is gained from another level of confinement.

The confinement system requirements specified for the various types of nuclear facilities in the facility-specific sections that follow are typical for that type of nuclear facility. The actual confinement system design requirements shall be determined as described in this section.

Design of confinement areas shall provide adequate means for decontamination of the areas prior to entry or breaching for maintenance and repair purposes.

Confinement system ventilation and off-gas system requirements are provided in Section 1550-99, Special Facilities, For enclosure of radioactive and other hazardous materials, see Section 1161, Enclosures.

### **1300-7.3      Access Ways**

Special features (e.g., air locks, enclosed vestibule) shall be considered for access through confinement barriers to minimize the impact of facility access requirements on the ventilation system and to prevent the release of radioactive airborne materials. Provision for normal and emergency equipment shall be provided in or adjacent to the access ways. Consideration shall be given to emergency lighting, paging systems, automatic access door switches, hand and foot monitors, storage for clothing and emergency equipment, warning lights, air sampling, and breathing air outlets.

### **1300-7.4      Transfer Pipes and Encasements**

Double-walled pipes or pipes within a secondary confinement structure encasement shall be used in all areas where the primary pipe leaves the facility. In areas within the facility, the use of double-walled pipe shall be considered. Leakage monitoring shall be provided to

detect leakage into the space between the primary pipe and the secondary confinement barrier.

## 1300-8 WASTE MANAGEMENT

### 1300-8.1 General

Wastes from special facilities may include both radioactive and nonradioactive materials and may be in the form of liquid or airborne effluents, or solids. For SNM declared to be waste, the term "wastes" shall be defined in accordance with the DOE 5632 series. The process systems shall minimize the production of wastes at the sources and minimize the mixing of radioactive and nonradioactive hazardous wastes. The waste management systems shall provide facilities and equipment (or incorporate existing facilities and equipment) to handle those wastes safely and effectively. Volume reduction equipment for both liquid and solid wastes shall be required where feasible and shall be designed for process capability and capacity commensurate with the types and quantities of wastes expected. Waste handling areas shall comply with the standards of confinement and ventilation requirements commensurate with the potential for spreading contamination by the waste packages/forms handled. Specific DOE design and operating requirements for radioactive wastes (HLW, LLW, and TRU) appear in DOE 5820.2A.

See also Section 0273, Water Pollution Controls; Section 0275, Industrial Wastewater Treatment; Section 0285, Solid Waste Systems; Section 1540, Plumbing and Service Piping; and Section 1589, Air Pollution Control.

### 1300-8.2 Hazardous Waste Requirements

Hazardous waste requirements appear in the directive in DOE 5480.1B, Chapter 2. Additionally, the RCRA, as amended, 40 CFR 264 and 40 CFR 265, contain specific design and operating requirements and standards for owners and operators of hazardous waste TSD facilities. Part 267 of RCRA contains interim standards for owners and operators of new hazardous waste land disposal facilities. Part 268 of RCRA contains land disposal restrictions and treatment standards for hazardous waste.

### 1300-8.3 Mixed Waste

Radioactive mixed waste, i.e., waste containing radioactive materials and other hazardous waste, shall be avoided where practicable. Mixed waste that cannot be avoided shall be identified and considered in the design at the earliest possible time. Mixed waste shall be segregated and handled separately from other types of waste in accordance with DOE 5400.3.

### 1300-8.4 Waste Segregation

Facility design shall provide for the segregation of hazardous wastes into compatible groups for storage in accordance with the DOE 5400 series and DOE 5480 series. Suggested compatibility groups are acids, caustics, flammable materials, and organic materials.

#### 1300-8.5 Spill Prevention and Control

Spill prevention and control shall be considered in the design stage of the facility to minimize the possibility of accidentally releasing hazardous waste to the environment.

#### 1300-8.6 Approvals and Permits

The construction of a new facility or modification of an existing facility that either releases hazardous wastes (including airborne radioactive effluents (see 40 CFR 61, Subpart H) to the environment or manages hazardous wastes shall in most cases be approved by the EPA or authorized State agency prior to the beginning of construction. In addition to obtaining approval for construction or modification of a facility, operating permits shall also be obtained for facilities that manage hazardous wastes as specified in RCRA.

Environmental discharges of any effluent, including hazardous and nonhazardous wastes, shall meet applicable Federal and State laws and regulations and DOE orders. The limits specified in discharge permits for these effluents shall be considered during the design of the facility.

### 1300-9 EFFLUENT CONTROL AND MONITORING

Routine wastes from special facilities will normally be in the form of solids, liquids, and gases. The waste management systems shall provide facilities and equipment to handle these wastes safely, effectively, and in an environmentally responsible manner.

Hazardous effluents released to the environment (radioactive and nonradioactive) shall not exceed the limits referenced in DOE 5400.1 and the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series. Emphasis shall be placed on reducing effluents released to the environment to ALARA levels using the best technology economically available at the time of design. Effluents shall comply with all applicable Federal, State, and local laws and regulations. State and local laws and regulations shall be carefully consulted, as they may provide more restrictive limits than Federal laws and regulations.

During normal operations, the effluent concentrations of radionuclides measured at the site boundary shall not exceed the DCGs specified in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series. At the point of discharge of the facility, i.e., stack or equivalent, the effluent concentration shall not exceed the DCGs specified in DOE 5480.11. The guidance on radiation protection referenced in Section 1300-1.4.3, Routine Releases, shall also apply to effluent treatment and discharge systems.

All effluent streams shall be sampled or monitored in accordance with the requirements of 5400.1, the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series, and the directive on Radiological Effluent Monitoring and Environmental Surveillance in the DOE 5400 series. Sampling and monitoring shall ensure adequate and accurate measurements under normal operations, anticipated operational occurrences, and DBA conditions.

The design of the facility shall include appropriate groundwater monitoring unless a site-wide program is provided. This monitoring shall be designed to detect releases of contaminants to the ground or ground water. Groundwater protection programs shall comply with DOE 5400.1, DOE 5400.3, and the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series.

See also Section 0273, Water Pollution Controls; Section 0275, Industrial Wastewater Treatment; Section 1540, Plumbing and Service Piping; and Section 1589, Air Pollution Control.

## **1300-10            PHYSICAL PROTECTION, MATERIAL SAFEGUARDS, AND STORAGE                          OF SPECIAL NUCLEAR MATERIAL**

### **1300-10.1        General**

The objective of safeguards and security systems is to protect SNM from theft or diversion and the material or facilities from sabotage. Safeguards and security systems are also designed to provide protection of classified material. Safeguards and security systems are concerned with malevolent activities that may be undertaken by both insider and outsider adversaries. Physical protection systems (see Section 1300-10.2, Physical Protection) are also integrated with material control and accountability systems (see Section 1300-10.3, Material Control and Accountability Safeguards) to provide a balanced safeguards and security system.

The safeguards and security system is designed to provide baseline protection against a potential threat essentially consisting of the following:

- A determined, violent, external assault, attack by stealth, or deceptive action by several persons or a small group
- An adversary group that is dedicated and well-trained in military skills and that may have the aid of an insider in either an active or passive role, suitable weapons, and hand-carried equipment such as explosives and tools for breaking barriers
- An internal threat of an insider, including any employee who may attempt SNM theft or sabotage, or a conspiracy of employees to commit such acts

More details are contained in the DOE Threat Statement.

The threat statement is meant to provide a basis from which to plan security system performance requirements and capabilities. Site-specific threat spectra should be developed by considering the generic threat guidance as well as various local and facility/site-specific factors.

Detailed requirements for physical protection and material control and accountability systems are contained in the DOE 5632 series and the DOE 5633 series of orders. A standardized approach to protection program planning is documented in DOE 5630.11. (See also Section

0283-2, Physical Protection Planning.) This standardized approach provides defense in depth through the integration of physical protection and material control and accountability systems and practices to provide a balanced safeguards and security system. The defense-in-depth concept uses a systems approach that calls for deploying protective forces or features in sufficient strength to constitute more than a single layer of security for a target.

This philosophy for safeguards and security system design should be implemented in accordance with a graded approach for providing protection of Departmental assets. Under the graded safeguards and security approach, a system is to be designed to provide varying degrees of physical protection, material control, and accountability for SNM and SNM facilities. Levels of protection shall be established consistent with the attractiveness of the asset and in such a manner as to minimize inherent risks on a cost-effective basis.

Appropriate levels of protection are determined through a risk evaluation process using vulnerability assessments. Risks to Departmental assets are evaluated in consideration of the degree of protection system effectiveness and the consequence of the loss of a Departmental asset in the event of an adversarial act. The overall goal of the vulnerability assessment is to identify weaknesses that may be exploited by adversaries within the threat spectrum. The threat can include potential sabotage and/or theft perpetrated by either insider or outsider adversaries or a combination of the two working in collusion.

Risk and vulnerability analyses can be used to identify targets that are essential to ensure the operability of safety-class items and the security of critical programs or facilities (i.e., facilities having high value or vital importance to DOE programs as defined in DOE 5480.7). In addition, cost-benefit analyses can be conducted to identify efficient and cost-effective measures to meet site-specific safeguards and security requirements. Targets shall be prioritized so as to determine those to be afforded the greatest level of security in accordance with the graded safeguards and security approach.

The results of these methodologies and analyses are documented in site-specific protection program plans and/or MSSAs. MSSAs are formal agreements between the responsible DOE Headquarters and Field Elements regarding safeguards and security interests to be protected, prescribed levels of protection, accepted risk, and plans to increase protection system effectiveness, if required (see DOE 5630.13).

See also Section 0283, Physical Protection, and Section 0110-13, Physical Protection.

## 1300-10.2      Physical Protection

### 1300-10.2.1      General Protection Philosophy

The potential threat of SNM theft requires the adversary to obtain access to the SNM, gather a sufficient quantity for the intended misuse, and leave the facility unhindered to a safe location. Hence, for materials, it may be appropriate to interrupt the adversary anywhere in this chain of events. However, the general approach has been to deny access to very attractive material at all times. In the case of sabotage of a facility, it is necessary to prevent access to certain vital equipment that, if compromised or destroyed, can lead to release of radioactive material or substantial reduction of program productivity. In most DOE facilities, the items of vital equipment have been identified, and protection for these vital areas is

required. In most cases, the physical protection systems are similar whether the concern is from theft of SNM or sabotage.

All proposed SNM protection systems and equipment shall be reviewed by safety and health staff personnel to assure that personnel are adequately protected and that the systems do not present an undue risk.

Specific hostile actions to be protected against involving SNM and vital equipment include:

- Theft of SNM, e.g., unauthorized removal from a material access area, protected areas, or an SNM shipment
- Diversion of SNM, e.g., unauthorized placement of SNM within a material access area or protected area
- Sabotage of an SNM facility or vital equipment, including nuclear facilities or shipments, that would result in an unacceptable impact on national security or on the health and safety of the public
- The interruption of programmatic activity that results in an unacceptable impact on national security (which shall be defined by the Head of the Field Element and agreed to by appropriate Headquarters Program Offices)
- The determination of an unacceptable impact on the health and safety of the public based on radiological exposure (which shall be defined by the Head of the Field Element and agreed to by the appropriate Headquarters Program Offices and shall be consistent with Section 0200-1, Facility Siting)

Security areas shall be established to protect SNM and vital equipment as follows:

- A protected area shall be established to control Category I and II quantities of SNM and to provide protection for vital equipment.
- Material access areas shall be established to control access to areas containing Category I quantities of SNM.
- Vital areas shall be established to provide protection for vital equipment.
- Central alarm station access control areas shall be established to protect alarm monitoring and communications capabilities.

The protection afforded SNM shall be graded according to the category of SNM involved. Vital equipment shall be identified by the Field Element and agreed to by the appropriate Headquarters Program Offices.

Nuclear facilities and fuel shall be protected from theft, diversion, and sabotage consistent with the category of SNM involved and the potential impact on national security and the health and safety of the public.

- When nuclear facilities contain SNM that is not self-protecting, the SNM shall be protected from theft or diversion at a level consistent with the category of SNM involved.
- When Sabotage of nuclear facilities has the potential to lead to radiological releases in excess of the limits in Section 0200-1, Facility Siting, or to an unacceptable impact on national security, the facilities (including equipment and components essential to prevent sabotage) shall be protected as vital equipment.
- When the sabotage of nuclear facilities does not have credible potential to lead to an unacceptable impact to national security and the health and-safety of the public, the facilities and associated equipment and components shall be protected from sabotage in a manner consistent with the protection needs and acceptable risks as defined by the Head of the Field Element and with concurrence of the applicable Headquarters Program Offices.

Protection strategies for each security interest shall be documented in applicable SSSPs and/or MSSAs, including appropriate exclusion, containment, and neutralization strategies for the range of hostile activities.

SNM that is classified because of its configuration or content, or that is part of a classified item, shall receive, at a minimum, the physical protection required for the category of SNM involved, or that required for the assigned classification, whichever is greater.

#### **1300-10.2.2 Basic Physical Protection Requirements**

The major elements of a physical protection system are the following:

- **Detection System:** A system providing the capability to detect an adversary action or anomalous behavior (see Section 1300-10.2.5, Detection and Alarm Systems)
- **Assessment System:** A system providing the capability to assess the nature of the adversary action (see Section 1300-10.2.6, Assessment Systems)
- **Communication System:** A system providing the capability to communicate to response forces and other personnel (see Section 1300-10.2.7, Communication Systems)
- **Barriers:** A system of barriers or other impediments to delay, channel personnel, or deny access to SNM or vital areas (see section 1300-10.2.4, Barriers and Access Control Systems)
- **Response:** The capability of the security organization to neutralize the adversary (see Section 1300-10.2.8, Response Systems)

#### **1300-10.2.3 Baseline Protection Requirements**

See Section 0110-13.2, Access Control and Security Areas, for specific security area requirements.

### **Category I Quantities of SNM**

Category I quantities of SNM shall be used, processed, or stored only within material access areas or controlled and alarmed processes enclosed within a protected area.

Category I quantities of SNM shall be stored in SNM vaults equipped with Departmental-approved intrusion alarm systems or in a vault-type room so equipped.

Category I quantities of SNM in use or process shall be under material surveillance procedures in process under alarm protection, or with the approval of the responsible Heads of the Field Elements, protected with alternative means which can be demonstrated to provide equivalent protection.

### **Category II Quantities of SNM**

Category II quantities of SNM shall be used, processed, and stored in a protected area.

Category II quantities of SNM shall be stored in vaults, vault-type rooms, or security containers which are protected with Departmental-approved IASs.

Category II quantities of SNM in use or process shall be under material surveillance procedures, in process under Department-approved alarm protection, or, with the approval of the responsible Head of the Field Element, protected by alternative means which can be demonstrated to provide equivalent protection.

### **Category III Quantities of SNM**

When unattended, Category III quantities of SNM shall be secured within a locked Departmental-approved security container or within a locked room.

When unattended, the container or locked room containing the Category III material shall be under the protection of a Departmental-approved intrusion detection alarm system, or patrolled at intervals not to exceed 2 hours, or located in a protected area.

Category III quantities of SNM shall be used, processed, and stored in a protected area or other security area which has a clearly defined perimeter barrier, personnel and vehicle access control at the entrance, and search procedures.

### **Category IV Quantities of SNM**

Category IV quantities of SNM shall be received, used, processed and stored in accordance with Field Element-approved security plans.

### **Vital Equipment**

All vital equipment shall be contained within vital areas which are located within protected areas. More than one vital area may be needed within a given protected area.



#### 1300-10.2.4 Barriers and Access Control Systems

See also Section 0110-13.2, Access Control and Security Areas.

An important part of the physical protection system are barriers that impede, delay, or in some cases essentially deny access to SNM in accordance with the DOE 5632 series of orders. Most barriers are passive, designed to require the use of special tools and high explosives to penetrate them. Sophisticated barriers have been tested against a full range of potential adversary tools and tactics. These barriers provide considerable time delay to allow sufficient response-force strength to be assembled to neutralize the adversary force. Specialized barriers have also been developed to delay or stop vehicles, aircraft, and watercraft. Some barriers have been developed that have an active component designed to further frustrate the adversary. These systems may dispense an obscuration agent, a viscous barrier, or a sensory irritant.

In addition to barriers, entry and access portals shall provide equivalent delay to vehicles and personnel. For most protected-area perimeters, electrically operated fence gates shall be considered. Protection shall be provided against vehicle ramming. Techniques used to fulfill these requirements include speed reducing curves, hydraulic bollards, specially designed gates and vehicle traps, and steel cables attached to perimeter fence posts. See Section 1300-10, Physical Protection, Material Safeguards, and Storage of Special Nuclear Material, for specific requirements concerning the construction of vaults and vault-type rooms for the storage of SNM and classified materials.

#### Protected Areas

Clearly defined physical barriers such as fences, walls, and doors shall be utilized to control, impede, or deny access to protected areas. (See Section 0110-13.3, Physical Barriers, Section 0283-3, Permanent Security Fencing, Section 0283-5.2, Entry Control Points, and Section 0283-5.3, Vehicle Barriers, for more specific requirements). Permanent barriers shall be used to enclose protected areas except during construction or transient activities, when temporary barriers shall be erected. Barriers and other delay systems shall provide assurance that:

- Personnel and vehicles are channeled through designated portals.
- Penetration by motorized vehicles into or out of the security area is deterred and/or prevented where vehicular access would significantly enhance the likelihood that adversaries could successfully steal SNM or sabotage vital equipment.

Adequate space shall be designed for inspection/search of personnel, hand-carried items, and vehicles as follows:

- Entrance inspections/searches of all personnel and of all vehicles and hand-carried items shall be conducted to provide reasonable assurance that explosives, weapons, or other prohibited articles are not introduced without authorization. Inspection/searchers may be accomplished through the use of X-ray equipment and portal monitors.
- Exit inspection/searches shall be accomplished to prevent the unauthorized removal of SNM at any protected area that contains Category II or greater categories of SNM not within a material access area. All personnel, hand-carried items (e.g., briefcases, lunch pails, handbags) and all vehicles shall be inspected/searched. Personnel inspections/searches may be accomplished through the use of SNM portal monitors and metal detectors.
- Specific search procedures and SNM/metal detection levels shall be established, justified, and documented in SSSPs and/or MSSAs.

#### **Material Access Areas**

Material access areas shall be contained within protected areas. Material access areas shall have clearly defined barriers sufficient to direct the flow of personnel and vehicles through designated portals and allow effective searches by providing reasonable assurance that prohibited articles are not introduced and SNM cannot be transported outside of the material access area without detection.

Adequate space shall be designed for exit inspections/searches of all personnel, vehicles, and hand-carried items, including packages, briefcases, and lunch pails to prevent unauthorized removal of SNM. Personnel inspections/searches may be accomplished through the use of SNM portal monitors and metal detectors.

Specific SNM/metal detection levels shall be established, justified, and documented in SSSPs and/or MSSAs.

#### **Vital Areas**

Vital areas shall be contained within protected areas. A vital area shall have a clearly defined perimeter.

#### **1300-10.2.5 Detection and Alarm Systems**

See also Section 0110-13.4, Intrusion Detection.

The detection sensor system shall be designed to signal an attempted intrusion, unauthorized attempt at access, or other anomalous situation.

The detection system shall include access-control facilities at each access portal, where the identity of each employee is verified and provision is made for searches of persons and hand-carried packages. Access portals are usually attended by security inspectors and searches are made for contraband or prohibited articles.

On detection of an anomaly, the information shall be displayed on an alarm console or the plant protective force shall be signaled in a way to assist in developing timely and appropriate response measures. (See Section 0110-99.8.7, Security Alarm Control Centers, Section 0110-99.9.4, Alarm Systems, and Section 0110-99.8.5, Radio Control Centers, for additional security alarm control center requirements.)

The following shall be considered in configuring and designing alarm systems:

- Required probability of detection and false alarm rates
- Circuitry to detect tampering with sensors, wiring, or other systems components
- Backup electrical power supplies when site power is lost
- Wiring and system component placement to be contained inside the protected area
- Use of suitable conduit and tamper protected enclosures for alarm wiring
- The ability to test detection sensors weekly

Intrusion detection systems shall be designed as follows:

- A reliable and continuous Departmental-approved IAS sufficient to provide timely detection or intrusion into the protected area shall be provided.
- Rooms, buildings, or portions of a building within a material access area or controlled and alarmed process containing unattended Category I quantities of in-process SNM shall be equipped with Departmental-approved IASs or other equally effective means of detection approved by the responsible Field Element.
- Vital areas containing vital equipment shall be equipped with a Departmental-approved IAS, or other equally effective means of detection approved by the responsible Field Element.
- Vaults and vault-type rooms used to store Category I or II quantities of SNM shall be protected with a Departmental-approved IAS.

Electronic detection systems shall meet site-specific protection needs and the following requirements:

- All detection/alarm devices shall be connected to monitor/display panels in the hardened central alarm station (and protective force communications center).
- An alternative alarm annunciation point to the central alarm station (or a comparable alternative capability) shall be provided in a location that is continuously manned by personnel and which provides a second indication of an alarm such that a response can be initiated in the event the primary station is compromised.
- When used, devices and equipment for interior IDSs required for storage of SNM shall meet FS W-A-450B or be approved by the Field Element.
- Exterior sensors that serve as the primary means of detection at a security area perimeter shall be designed to provide assurance that a person crossing the perimeter will be detected whether walking, running, jumping, crawling, rolling, or climbing the fence at any point in the detection zone.
- All detection/alarm devices, including transmission lines to annunciators, shall be failure- and tamper-indicating in both the access and secure modes.
- Alarm lines shall be continuously supervised so as to detect any attempts to short, open, or substitute a bogus signal for the legitimate "no alarm" signal in a surreptitious attempt to bypass the alarms system.
- IASs shall have both a primary and an auxiliary power source. Switchover to the auxiliary power source shall be automatic upon failure of the primary power source. An alarm condition shall be indicated at the monitor on failure of all power sources.

The protection program shall include means to assess alarms and activities of adversaries promptly, accurately and reliably.

#### 1300-10.2.6      Assessment Systems

On receipt of an alarm or detection of an intrusion, the nature of the threat can be assessed to initiate an appropriate response. Generally, the assessment is done visually by dispatching a security inspector. In the more critical facilities, rapid assessment shall be accomplished by the use of CCTV systems where the monitor is located in the central alarm station.

#### 1300-10.2.7      Communication Systems

See also Section 0110-13.5, Communications Equipment.

Following assessment of the nature of the threat, the intrusion shall be communicated to response forces. Communications between the CAS and the response force security inspectors are generally by two-way radio, telephone, or other signaling system. Communication to local law enforcement agencies is by telephone or radio. Special response teams shall be equipped with voice privacy or digital equipment two-way radio operation.

The CAS and SAS shall be designed with substantial walls, ceilings and floors to provide protection for security personnel and communications equipment.

All communications systems shall be tested at the required frequency to assure readiness.

Security inspectors at fixed posts shall have both normal telephone services and two-way communications with CASSs, and with alternate positions from which backup forces will be dispatched.

Security inspector at mobile and fixed posts shall be provided with duress systems. This requirement may be met with hand-held radios equipped with a duress feature.

A hardened CAS (and protective force communications center) shall be equipped with radio and telephone channels of communication with local law enforcement agencies. An emergency alternate communications capability from a secondary station shall be provided for use in the event the primary station is compromised. Radio communications equipment shall remain operable in the event of a loss of primary electric power.

Communications equipment shall allow rapid, reliable, and protected information exchange between on-site protective forces; between on-site protective forces and the CASSs and secondary communications station; and between the CASSs, secondary communications stations, and local law enforcement agencies.

#### 1300-10.2.8 Response Systems

The primary and first response to an overt intrusion or attempt at SNM theft or sabotage shall be by facility security force. The security force also conducts access control checks and searches, patrols security areas, maintains liaison with local law enforcement agencies, checks barriers and other security hardware, and provides limited law enforcement and traffic control services. Its primary mission, however, is to prevent the theft of SNM or the sabotage of facilities.

To support the facility security force, provisions shall be designed for security inspector posts at access portals, fixed and mobile defensive positions, and guard towers. The need and location for these shall be determined on a facility-specific basis in consideration of the DOE 5632 series of orders, the Departmental threat guidance, and the nature of the materials and facilities being protected.

Security inspector posts, both mobile and fixed, for protected areas shall be equipped with duress systems and be designed and located in accordance with applicable requirements contained in DOE orders. Security inspector posts shall be located to provide an

unobstructed view of the surrounding terrain. The exterior walls, windows, and doors shall be constructed of reinforced materials which have a bullet penetration resistance equivalent to "high-powered rifle rating" as given in UL 752.

Whenever practical, containers for the storage of weapons and ammunition shall be GSA-approved weapons storage containers which are bolted or otherwise secured to the structure.

For guard towers that are intended to serve as fighting positions and emergency defensive positions for security inspectors, consideration shall be given to protected firing posts and provide a minimum of 60 square feet of floor area per person. (See Section 0283-6.2, Guard Towers.)

#### 1300-10.2.9 Lighting Systems

See also Section 0283-7, Lighting.

Adequate illumination may also assist in detection of adversaries as well as assessing the nature of previously detected intrusions. When properly designed, security lighting also provides a deterrent. Where required, lighting systems shall have a backup electrical power system to minimize the interruption of illumination in case of a loss of site power.

### 1300-10.3 Material Control and Accountability (MC&A) Safeguards

#### 1300-10.3.1 General

The objective of domestic safeguards is to protect sensitive nuclear materials from theft or diversion and the material or facilities from sabotage. MC&A safeguards activities are concerned with malevolent activities that might be undertaken by authorized personnel (insiders), although such activities overlap with those carried out for the purposes of physical protection.

The major objective of the MC&A system is to provide the capability to detect, deter, and assist in the prevention of unauthorized use or removal of SNM from the facility or its authorized location, in a graded approach; that is, in a manner appropriate to the types and quantities of material at risk and as appropriate to the threat involved. DOE 5633.3 defines the basic requirements for MC&A at DOE facilities. Also relevant are DOE 5633.2 and DOE 5633.4.

The systems used to carry out the MC&A safeguards function can be divided into the categories of material accounting, material control, personnel control, and process/monitoring/near-real-time accounting. The emphasis that is placed on these different aspects of MC&A shall differ depending on the nature of the facility and the safeguards approach adopted for the facility as specified in site MSSA and SSSP documents. Provisions shall be considered very early in the design, and continuously evaluated through the various design stages to ensure that all requirements are met. The design team should include personnel with extensive knowledge of MC&A requirements. The design management process shall provide adequate review and integration of the concerns of the cognizant DOE Safeguards and Security Coordinator(s) throughout the course of design.

### 1300-10.3.2 Material Control Systems

Material control systems shall alert the facility to unauthorized activities. Physical barriers should be employed for containment of materials. Detection should be implemented using a variety of surveillance and monitoring techniques.

A number of boundaries shall be considered to control the movement of material:

- The boundary defined by the surface of the process equipment
- The boundary defined by the walls of rooms containing process equipment
- The boundary of the "material access area" as defined in DOE 5633.3
- The boundary of the protected area as described in physical protection orders
- The boundary defined by specially constructed areas such as storage vaults

The reliance placed on each of these boundaries to prevent or detect the theft or diversion of material will depend on the safeguards strategy of the facility involved; however, the material access area boundary and the integrity of vaults shall generally be the most important in terms of design.

#### Material Access Area (MAA) Boundary

The objective of the MAA boundary is to prevent or detect the unauthorized movement of material through it, while allowing for authorized personnel access, authorized material movement, and emergency evacuation as necessary. This means that designed-in penetrations of the MAA boundary shall either be monitored, or not present a credible path for material removal, and that malevolent penetration of the MAA boundary is either not credible or is detectable.

- Walls defining the MAA boundary shall be designed/constructed so that penetration within the specified delay times is not credible. Some type of monitoring shall be provided where penetration is credible. Designs in which the walls are easily penetrated are hidden from view are not advisable. MAA walls shall not provide hiding places or redoubt-like structures for adversaries.
- Penetrations in the floor and ceiling for piping, heating, venting, and air conditioning, and other support systems shall not be large enough or accessible enough to create credible paths for the removal of material. As with walls, ceilings should not provide places to hide material.
- Portal systems shall allow for the passage of personnel while detecting the presence of nuclear material and metal. (While material control and accountability concerns generally relate to insiders bringing material out, physical security concerns at portals include the detection of explosives or weapons.) Sometimes, in addition, equipment/package portals are used so that tools and packages can be monitored separately. The following should apply to the design of portals:

- Special nuclear material portal monitors should be distanced from or shielded from nuclear materials in the process area. This applies not only to locations of static storage, but to passageways or conveyer systems that allow the passage of materials within the facility.
  - Portal monitors shall be located so that it is not physically possible to pass items around the portal without those objects' undergoing some sort of surveillance (e.g., passing through the guard station).
  - Portal monitors are generally co-located with guards stations, so that an adequate response to an alarm is available. Unattended portals require careful design to assure response to and resolution of alarms. The guard stations serve to control the flow of personnel into the area via I.D. badges, etc. When this is the case, the guard station should provide an unobstructed view of the portal. Electronic communication between the guard/station and the central security station shall enable the monitoring of power, alarms, etc. Guard stations shall be designed using physical security design criteria as well.
- In processing areas, provisions shall be made for planned and emergency evacuations. Where this evacuation occurs through the MAA boundary, alarmed doors shall be provided, as it is too expensive (and probably not operationally sound) to try to use personnel portal monitors. In such cases, provision shall be made to assure that evacuations do not provide a theft opportunity. One strategy is to provide a fenced evacuation zone outside the alarmed door. This evacuation area is placed under surveillance by the guard force during evacuation conditions and swept with SNM detectors afterward to make sure no material has been left behind. Effective use of the SNM detectors requires that these areas be not too large and that they have low background radiation levels.
  - Nuclear material shall be transferred into and out of the MAA at well-defined locations (usually loading docks) subject to specific procedures that prevent unauthorized transfers. Transfer operations are simplified if the transporting vehicle can discharge directly into the MAA. Such transfer locations shall involve alarmed doors and communications capability with the central guard station. NDA capability at the site shall be considered for verification or confirmation of the shipment or receipt. Health physics measurements may also be involved in the processing of the receipt.

Depending on the types of materials to be received, more elaborate procedures or capabilities such as sampling shall be considered.

#### Storage Areas and Vaults

Material awaiting processing shall be stored in a graded system with appropriate access controls. Facilities shall be designed to minimize the amount of attractive material located in accessible locations for long periods of time. Recent vault design has emphasized automation as this limits hands-on access to materials and provides automatic documentation of material movements. Vaults containing attractive material should prevent hands-on access to material and should provide hookup to central station and appropriate lockouts. Other strategies (such as locked carts) are used for short-term storage of less attractive material.



Physical relationships should be considered in determining locations of vaults, processing areas, shipping/receiving areas and NDA stations as materials will flow from one of these to the other.

#### **Containment of Material in Process Equipment and Material Transfer Systems**

Process equipment often provides a natural barrier to the acquisition of material. To the extent that this function can be enhanced it can play a supplementing or compensating role to other material containment strategies. Thus if there is no need to have direct access to material in a process or transport step, access can be denied using appropriate physical barriers. If areas where materials need to be accessed (e.g., loadout areas) are few, strategies such as two-person rules or two-person interlocks shall be considered for attractive materials.

#### **Surveillance Systems**

Electronic surveillance systems (CCTV) shall be considered for use in sensitive areas such as loadout stations and transfer locations. Adequate lighting and field-of-view are two of the operating design criteria in such locations. Areas where an individual could work unobserved shall be minimized.

#### **Tamper Indicating Devices (TIDs)**

The design of MAA exit doors, vault doors, vault racks, containers, etc., should provide for seal (TIDs) mechanisms. Requirements for use of TIDs are contained in DOE 5633.3. DOE/EP/0035 should be considered.

The design of the facility shall accommodate procedures that address abnormal situations. Mechanisms shall be provided to prevent uncontrolled egress or SNM removal from the protected area should a crash out (broken TID and Alarm) from an MAA occur.

#### **Protected Area (PA) Boundary**

The PA boundary is generally viewed in terms of outsider attack; it may also be designed to prevent material from being removed by an insider. In this case, design shall allow for appropriate personnel and vehicle portals. The fence system shall be designed so that material cannot be thrown over it for later retrieval. Proximity to buildings or other overhanging structures shall be considered.

### **1300-10.3.3 Material Accounting Systems**

Material accounting systems track nuclear material items through the facility and provide quantitative data on material flows and inventories throughout the facility. Facilities are subdivided into MBAs; on a regular basis the flows of nuclear materials into and out of these MBAs are compared with the measured nuclear material inventories to establish that material has not been removed from the system.

Difficult-to-measure materials pose problems for accountability systems. One category of difficult-to-measure materials is holdup, which is addressed below. Other such categories are scrap and waste. Such materials should not be allowed to accumulate. Scrap-recovery facilities should be sized to enable timely recovery of materials. Similarly, waste-measurement facilities should be adequately sized.

### **MBA Boundary Definition**

The purpose of subdividing the facility into MBAs is to enable the facility to localize losses to a particular process step or steps. MBAs are generally defined around specific processes (e.g., casting, recovery) and therefore cover a specific geographical area. DOE orders specify that MBA boundaries do not cross MAA boundaries. An important criterion for defining MBA boundaries, however, is that material entering or leaving the MBA should do so on measured values. Sometimes these goals may conflict; for example, small amounts of material may exit the MAA in vents to be caught in filters. These filters shall be considered to be within the MBA for the sake of maintaining good MBA accountability, even though the boundary-crossing rule is technically violated. MBA boundaries may be conceptual but are fundamentally physical. Materials often move physically out of the geographical MBA boundary before they are measured, although they are still conceptually considered to be in the MBA.

However, the location of MBA boundaries do imply measurement requirements, and this shall be considered in facility design. If the measurement is by NDA, the material will have to be brought to the NDA equipment or vice versa. If the measurement is destructive, similar considerations apply to sampling capability.

### **Measurement Systems**

Measurement systems shall be either installed in the process equipment, located in the process area, or located in an entirely separate laboratory area. Small weighing systems, volume measurement systems, and some NDA equipment are generally installed in the process equipment itself. Other NDA equipment (such as calorimeters) are generally installed within the MAA. Destructive chemistry, mass spectroscopy, etc., are carried out in separate laboratories.

- Weighing systems should be installed in areas that are free from mechanical vibration. Adequate space should be allocated for weight standards.
- In vessels holding solutions containing special nuclear material at inventory, volume measurement and sampling capability are necessary to establish the contents of the tank. The following shall be considered in designing such systems:
  - The capability should be available to mix the tank to a state of homogeneity. This can be accomplished by mechanical mixing or sparging techniques. Considerations of criticality safety and mixing are often in conflict. Some tanks are extremely difficult to homogenize. Slab tanks may need more than one agitation device to provide for adequate mixing.

- The sampling systems used should not dilute or concentrate the sample they are generating. Recirculating samplers are used to make sure samples are representative and not biased by previously drawn samples. In systems that will lift liquid streams in recirculating samplers by injecting air into the upflow side, the possibility exists that evaporation will occur, especially if circulation is for some reason slowed.
  - Plugging of sampling lines can cause problems at some facilities; procedures and designs should be in place to prevent this.
  - Provisions shall be made for removal and transportation of the sample.
  - Tank geometry also contributes to the ability to measure volumes accurately. Tanks oriented horizontally are very difficult to measure.
  - Liquid-level measurements in tanks are generally established by either sight glasses, capacitance probes, or bubbler systems (whose back-pressure is measured in a variety of ways). Bubbler-probe systems are generally the most accurate, but provision has to be made for connection to the plant air supply. Tanks containing nuclear material at the time of an inventory or tanks used to establish the input or output values for an MBA shall be recalibrated regularly.
  - Large heels in tanks should be avoided.
- NDA techniques at nuclear processing facilities generally involve radiation measurement (active or passive) or calorimetry. Facility design shall provide such instrumentation with a suitable environment as follows:
- Specialized NDA instruments sometimes require friendly environments in terms of temperature, humidity and vibration. Specifications set down by the instrument manufacturer shall be consulted. It may be necessary to isolate the instrument against electromagnetic interference. High-resolution gamma ray systems will need to be supplied with liquid nitrogen.
  - Background radiation levels (both static and transitory spikes caused by movement of material) shall be considered in choosing the location of all radiation-sensing equipment.
  - The location of the NDA station should take into account the need to transport materials to the station from the process, and the health/safety impacts of such movement. Certain types of measurements may be impractical if materials have to be repackaged simply to measure them.

## Holdup

In broad terms, holdup is nuclear material that is retained in process equipment at inventory time. Poor accountancy results when the amount of holdup is large and uncertain. Holdup can be either eliminated, measured, or modeled to improve accountancy, but the design goal shall be to minimize nuclear material holdup. For example:

- Minimize the use of horizontal piping runs for high concentration solutions, and allow enough slope for the pipe to drain.
- Eliminate piping configurations where material can collect, especially dead-end piping.
- Design equipment for easy cleanout; this applies especially to gloveboxes and incinerators. Minimize sharp angles and hard-to-access corners where material can collect. Provide adequate lighting.
- Where material cannot be cleaned out, but potential exists for significant holdup, designed-in NDA measurement capabilities shall be considered. It is often important to experiment with the response of these instruments and establish calibration data before the process goes hot.

## Data Acquisition/Data Processing Systems

Material accounting systems generally require a mainframe computer and remote data-entry stations in the process area. Data may be acquired directly from in-line instruments. The design of such data acquisition systems is beyond the scope of this document. However, the spatial and environmental requirements for operation of data-entry terminals shall be considered. In many cases the data involved will be classified, and appropriate orders and guidance on the characteristics of classified data processing equipment consulted (see DOE 5637.1).

### 1300-10.3.4 Other Systems

#### Process Monitoring/Near-Real-Time Accountancy (NRTA)

Process monitoring systems collect data on process variables (liquid levels, densities, valve positions) and perform consistency checks that may reveal anomalies if material is diverted or if other important procedures are not being followed (for example, if a tank is not sampled before transfer, or not sparged before it is sampled). Processes involving large tanks can be instrumented in this manner relatively easily, resulting in an additional detection mechanism as well as better (more reliable) accountability measurements. Such instrumentation shall be considered.

Because of the need for frequent computation of material balances, NDA instrumentation shall be provided.

#### Personnel Control

Personnel access to various parts of a facility (and materials within the facility) are often controlled at a finer level than the MAA; to accomplish this, it is necessary to subdivide the MAA into rooms or sets of rooms to which access is granted by electronic card systems, keypads, guard stations, or other devices. This reduces the number of people having access to a wide range of materials.

### 1300-11 DECONTAMINATION AND DECOMMISSIONING

#### 1300-11.1 Decontamination

Design of the areas in a facility that may become contaminated with radioactive or other hazardous materials under normal or abnormal operating conditions shall incorporate measures to simplify future decontamination. Such items as service piping, conduits, and ductwork shall be kept to a minimum in these areas and shall be arranged to facilitate decontamination. Filters shall be positioned in ventilation systems in locations that minimize contamination of ductwork. Walls, ceilings, and floors shall be finished with washable or strippable coverings. In some areas, metal liners shall be required. If necessary all cracks, crevices, and joints shall be caulked or sealed and finished smooth to prevent contaminated material accumulation in inaccessible areas. Finishes shall comply with Section 0900-99, Special Facilities.

#### 1300-11.2 Decommissioning

Designs consistent with the program requirements of DOE 5820.2A shall be developed during the planning and design phases based on a proposed decommissioning method or a conversion method leading to other uses.

Decommissioning of special facilities is of utmost importance. The facility design shall include features that will facilitate decontamination for future decommissioning, increase the potential for other uses, or both. In addition to the requirements of Section 0205, Demolition, Decontamination, and Decommissioning, the following design principles shall be considered for facilities handling radioactive and other hazardous materials:

- Use of modular, separable confinements for radioactive and other hazardous materials to preclude contamination of fixed portions of the structure
- Use of localized liquid transfer systems that avoid long runs of buried contaminated piping; emphasis on localized batch solidification of liquid waste. Special provisions should be included in the design to ensure the integrity of joints in buried pipelines.
- Location of exhaust filtration components of the ventilation systems at or near individual enclosures so as to minimize long runs of internally contaminated ductwork

- Equipment, including effluent decontamination equipment, that precludes, to the extent practicable, the accumulation of radioactive or other hazardous materials in relatively inaccessible areas including curves and turns in piping and ductwork. Accessible, removable inspection covers are encouraged to allow visual inspection.
- Materials that reduce the amount of radioactive and other hazardous materials requiring disposal and that are easily decontaminated
- Designs that ease cut-up, dismantlement, removal and packaging of contaminated equipment from the facility (e.g., removal and dismantlement of gloveboxes, air filtration equipment, large tanks, vessels, equipment and ductwork)
- Use of modular radiation shielding, in lieu of or in addition to monolithic shielding walls
- Use of lifting lugs on large tanks and equipment
- Fully drainable piping systems that carry contaminated or potentially contaminated liquids

## 1300-12 HUMAN FACTORS ENGINEERING

### 1300-12.1 Coverage

It is DOE policy to ensure that appropriate human factors technology is considered in the design, operation, and maintenance of Departmental nonreactor nuclear facilities. The criteria and requirements provided in this section are applicable to the design of the work environment and human-machine systems at DOE facilities. These criteria shall apply to new construction and to retrofitting of existing facilities. These criteria shall be considered for upgrading existing facilities where cost-benefit or risk-tradeoff analyses indicate justification for such expenditures.

This section outlines a general criteria for incorporating human factors engineering into the system design process. In addition, it provides human factors engineering considerations for system and component displays, controls, alarms, labeling, and communications that are generally applicable to a wide range of human-machine systems, and for the work environment for personnel, including such matters as ventilation, lighting, noise control, work space layout, and equipment design and layout.

### 1300-12.2 Objectives

The primary objective of human factors engineering is to improve human performance through enhancements in the work environment and human-machine interfaces. To achieve this objective, human factors engineering consideration shall be included during the conceptual, preliminary, and design phases of a project.

Enhancements to the work environment and human-machine interfaces will reduce human error and its consequences and lead to increased productivity, lower costs, better product quality, decreased equipment and property damage, improved program schedules, personal

job satisfaction, and, perhaps more important, to further improvements in the safe operation and maintenance of DOE facilities.

### 1300-12.3      System Development

#### 1300-12.3.1      General

The integration of human factors engineering into system development shall begin at the point when the detailed system goals and objectives have been defined. This integration into the system development process shall proceed through four phases: planning, requirements analysis, system design, and system test and evaluation.

Throughout this process, it is important to provide a mechanism that incorporates the knowledge and input of the personnel who have used or will be using the types of equipment, systems, or facilities being designed. Their input shall be systematically developed and applied from the beginning of the requirements analysis phase.

#### 1300-12.3.2      Planning the Human Factors Engineering Role in System Development

A human factors engineering program plan appropriate to the level of importance of a facility or system shall be developed during the system development process (i.e., as an integral part of the conceptual design phase). The plan shall detail the kinds of human factors engineering analyses and evaluations necessary for the design and shall reflect the integration of the human factors engineering effort with the other disciplines having design input. The information inputs include a description of system objectives, applicable standards and specifications, and other project-specific information.

#### 1300-12.3.3      Requirements Analyses

A systems requirements analysis appropriate to the level of importance of the system and the level of risk associated with system failure shall be performed as an integral part of the design process and shall include human factors engineering considerations.

The needs and requirements of the system user or operator shall be systematically examined as an integral part of the design process. Appropriate requirements shall be selected and analyses performed for systems that are important to safety to ensure that the public, the facility, and facility personnel risks are minimized. These analyses shall be directed primarily to the areas of human-machine function allocation and task analysis. A variety of human factors engineering analysis techniques are discussed in NUREG CR-3331 and Meister and Rabideau, Human Factors Evaluation in System Development.

Decisions concerning which system functions to allocate to the human versus the machine shall be determined by analyses of system functions required, impact of error or no action on safety, and a comparison of human capabilities and equipment capabilities for the separate system functions. Factors that shall be considered during the function allocation decision process include system performance criteria, safety, cost, maintainability, scheduling, and training.

For functions allocated to an operator, there shall be a systematic analysis of those vital activity tasks that must be performed by the operator to satisfactorily complete the function.

given a proposed system design. This task analysis shall develop a list of operator needs and requirements necessary for successful task completion. The list shall include not only information and control requirements, but also the number and types of staff required by the various functions, knowledge requirements and special skills, operator aids, decisions to be made by the operator, communication requirements, necessary operator interactions, and any potential safety hazards.

In the development of operator requirements, task conditions associated with high work load features, concurrent emergency conditions and those tasks that must be performed concurrently, to a high degree of accuracy, without error, in short time periods, and/or with a high degree of skill shall be considered for proper function allocation.

#### 1300-12.3.4 Process System Design Interfaces

The design or the selection of equipment to be operated and maintained by personnel shall include the application of human factors engineering criteria together with other appropriate design criteria.

These criteria shall include the list of information and control requirements developed from the task analysis. More generic human factors engineering criteria pertaining to desirable equipment characteristics, available in the form of checklists or text descriptions, shall also be consulted. Studies performed to examine special features of the system design shall be considered.

Human factors engineering data, requirements, or other input to be incorporated into the design shall be made available at the very beginning of the design process. Human factors engineering input to the system design process shall be presented as specific and quantitative design requirements where possible.

The system design process consists of numerous decision points at which choices between options and alternatives are necessary. To the extent possible, these decision points shall be anticipated and the appropriate human factors engineering criteria shall be made available, particularly where safety factors or other important functional features are involved.

As the design evolves from the preliminary concept through the detailed states, there will be modifications in earlier basic decisions and assumptions made by the design team. Human factors engineering requirements shall be refined and design recommendations made more specific during the system design evolution.

Design teams and design review teams shall include or have resource support available from persons knowledgeable in human factors engineering. Human factors personnel shall also be included in the system validation process.

#### 1300-12.3.5 Test and Evaluation

The test and evaluation phase shall focus on verifying that the system can be operated and maintained by the intended user personnel under the conditions for which it was designed. The system shall meet applicable human factors engineering design criteria.



Human factors engineering evaluation and testing shall preferably begin early during the design development and shall be a continuing activity throughout design and construction.

Tests shall be planned to observe the system in simulation or in actual use based on normal and abnormal procedures and scenarios.

Any findings from these tests and evaluations shall be incorporated into the system design and into a final testing phase after completion of system development. Discrepancies between desired and observed system performance shall be documented together with proposed corrections.

#### **1300-12.4      General Human Factors Implementation Criteria and Considerations**

##### **1300-12.4.1      General**

This section provides generic human factors engineering considerations. Facility- or system-specific human factors engineering requirements shall be generated through the requirements analysis discussed in Section 1300-12.3, System Development. The generic considerations in this section shall be combined with the requirements analysis results to ensure that all appropriate human factors considerations have been identified and addressed.

Human factors engineering principles and criteria shall be integrated into the design of systems and the facilities that house and support these systems.

The organization of operator movements and the arrangement and accessibility of equipment and controls in the work area shall facilitate convenient access to each system component for operation and maintenance.

##### **1300-12.4.2      Human Dimension Considerations**

Equipment that is to be used by personnel shall be designed or selected to accommodate their body dimensions. This equipment includes control panels, work tables and counters, enclosures, seating, storage, special clothing, and any other equipment designed for an operator. The design of equipment for personnel shall accommodate a wide variety of body dimensions. Generally, it is recommended that equipment dimensions accommodate the fifth to ninety-fifth percentile of the user population. For recommended data representing these percentiles (from military studies), see NUREG 0700, Section 6.1, and MIL-STD-1472C, Section 5.6. These references also provide recommended dimensions and other guidance for stand-up and sit-down consoles and other work stations, for accessibility of equipment and instrumentation, for furniture and equipment layout, and for traffic flow.

##### **1300-12.4.3      Environmental Considerations**

###### **Temperature and Humidity**

An effective climate control system shall maintain temperature and humidity at an acceptable level between the human and the environment. Temperature and humidity tolerance limits for recommended comfort zones are provided in NUREG 0700, Section 6.1, and UCRL 15673, Section 3.2.4.5.

## Ventilation

See Section 1550-1.5, Ventilation-Exhaust Systems Design Requirements.

## Lighting

Adequate light levels are necessary to ensure optimum performance in all work areas. Glare and shadowing shall be avoided. For recommended control room illumination levels, luminance ratios, reflectance levels and further lighting considerations, see Section 1655, Interior Lighting, and NUREG 0700, Section 6.1.

Lighting design shall consider environmental degradation effects (such as dust or radiation on viewing ports) to ensure adequate lighting intensities can be provided on a long-term basis.

## Emergency Lighting

Emergency lighting systems shall be provided as required by NFPA 101. A control room emergency lighting system shall be automatically activated and immediately available for a stated minimum length of time on failure of the normal lighting system. The emergency lighting system for vital areas shall be an electrically independent system that is not degraded by failure of the normal lighting system. Control room emergency lighting levels shall be in accordance with NUREG 0700, Section 6.1.5.4.

## Noise

Acoustic design shall:

- Minimize noise levels where practical and ensure that the limits of DOE 5480.10 are not exceeded
- Ensure that verbal communications are not impaired
- Ensure that auditory signals are readily detectable
- Minimize auditory distraction and irritation that can cause operator fatigue

For further noise level and protection considerations, see NUREG 0700, Section 6, and UCRL 15673, Section 3.2.4.2

## Vibration

Vibration shall be reduced to the extent practical to minimize operator irritation and distraction. Vibration considerations shall include equipment and tool design, potential effects of vertical and horizontal vibrations on seated and standing operators, and use of appropriate protective devices (e.g., isolation, damping materials). For recommended vibration level limits and further considerations see UCRL 15673, Section 3.2.4.3.

## **Aesthetes**

Cosmetic and aesthetic design considerations shall be reviewed for Compatibility with the work area.

### **1300-12.4.4 Component Arrangement**

The arrangement of controls and displays on a control panel shall promote efficient use of task-related components, rapid location of any given component, and maximum operator awareness of plant conditions. EPRI NP-3659, Chapter 4, and NUREG 0700, Sections 6.8 and 6.9, discuss these concerns and related items.

Components shall be grouped together on the basis of specific criteria appropriate for the required task or tasks. Useful grouping alternatives to be considered include grouping by system membership, which allows subgrouping and mimic methods, and grouping by task relationships such as sequence of use or frequency of use. The groupings shall be emphasized and defined by consistently applied graphic-spatial methods such as demarcation and spacing of components, particularly when there are many components.

Components shall not be hidden within component groupings. Unbroken strings of similar components on the panel shall be avoided. Matrices of components shall have labeled axes to identify any component in the grid. Recurring component subsystems (e.g., Loop A, Loop B,... ) shall each be arranged as consistently as possible. Mirror image arrangements of components shall be avoided.

Component arrangement shall promote easy association of related controls and displays or other related components. Displays are usually placed above and relatively close to the related control.

Component arrangement conventions shall also be considered, particularly when mimic displays are not used. For instance, when several components related by flow direction (e.g., valve-pump-valve) are placed in sequence, the direction of the sequence (e.g., top-to-bottom, left-to-right) shall be consistent for each similar situation.

### **1300-12.4.5 Protective Equipment**

Personnel who work in a hazardous environment (e.g., an environment subject to radiation, gas, airborne particles) or who may be temporarily-exposed to such hazards shall have convenient access to the appropriate protective equipment including proper garments, equipment such as emergency showers and eyewashes, and any other protective equipment necessary for the successful and safe completion of their work.

Provisions shall be made for access and maintenance of protective equipment. Protective equipment shall be periodically checked and shall be maintained in good condition. Storage spaces shall be provided and shall be easily accessible to required personnel.

Personal protection equipment such as garments and breathing apparatus shall be compatible with the body sizes of personnel performing their tasks. There shall be sufficient quantity of this equipment in the proper sizes for the required number of users. Equipment and garments of different sizes shall have permanent size labels located where they are easy to

read. There shall be provisions for an adequate supply of personal protective equipment expendables, such as filters, that are stored with the related protective equipment. Guidance is presented in NUREG 0700, Section 6.1.4.

The design or selection of protective equipment shall be such that it minimizes the impairment of operational and maintenance performance. It shall provide adequate tactile sensitivity and provide the ability to see, reach, move, communicate, and hear. Other considerations include operability and accessibility of equipment by users of protective equipment, provision of an adequate level of safety for the user, and user comfort while working.

#### 1300-12.4.6 Display Devices

Operator task analysis results shall be the basis for establishing operator information needs. Displays shall provide only the information about system status and parameter values that is needed to meet task requirements in normal, abnormal and emergency situations. Status, rather than demand information, shall be displayed for important parameters. Displays shall indicate whether they reflect demand or actual status.

Each display device, including meters, CRTs, LCDs, consoles, and other electronic or mechanical media shall be formatted and designed to ensure that both the display and display content are readable, understandable, and accessible.

Variables important to the adequacy of displays include letter size, font, contrast, viewing distance and angle, lighting, color, and complexity of the task. For additional information see NUREG 0700, Section 6.5, and MIL-STD-1472C, Section 5.2.

Failure of a display of any type shall be easily recognized and shall not affect equipment or system performance.

Where CRTs are used, rapid, error-free access to the information required for the task shall be accomplished by ensuring that system response to any query is less than 2 seconds and that user feedback to control action is less than 0.2 seconds or faster wherever possible. More specific information is contained in NUREG CR-2496. The use of CRT displays also allows removing hardwired displays except those that are essential for various backup functions. Analyses shall be performed to determine where hardwired displays are required and where those displays shall be located relative to the corresponding controls and to CRT displays.

#### 1300-12.4.7 System Controls

The equipment used by an operator to control a complex system is often a composite of many systems. A control panel operator shall be able to rapidly locate each component on a panel. To achieve this, the design shall take full advantage of several techniques of control display integration including various component grouping techniques, system mimics, system demarcation, and hierarchical labeling.

Spurious or ancillary information and data may contribute to operator information overload. Prioritized coding, organization of data by system and subsystem, demarcation of system and subsystem components, and removal or relocation of marginally useful data shall be used to

reduce operator information overload. For additional information, see EPRI NP 3659, Chapter 4; NUREG 0700, Sections 6.1, 6.3, 6.7, and 6.9; and Van Cott and Kincade, Human Engineering Guide to Equipment Design, Chapter 9.

### **Component Controls**

Each control device shall provide the appropriate control capability, range, and sensitivity for necessary control settings and manipulations. Control operating characteristics shall conform with operator expectations. Control components shall be durable, compatible with nontypical apparel where required, and not prone to accidental activation.

Selection of a control device shall fulfill any control requirements described in the task analysis of system functions. In addition, selection shall consider whether a discrete or continuous function is present, and the compatibility relationship between the control and any corresponding displays, the ease with which the function of the control can be identified, the ease of identifying the control actuation mode provided by the control (e.g., on, off, auto), the force necessary to activate the control, and the tactile feedback provided by control actuation.

Selection of controls shall consider the use of coding methods. Coding methods include location, size, shape, and color. For coding guidelines, see NUREG 0700, Section 6.4.

Specific criteria shall be applied to various types of common controls such as rotary controls, toggle switches, push buttons, rocker switches, and linear switches. NUREG 0700, Section 6.4; MIL-STD-1472C, Section 5.4; and Van Cott and Kincade, Chapter 8 describe these criteria. The latter reference also discusses conditions requiring unconventional controls.

### **1300-12.4.8 Warning and Annunciator Systems**

An effective warning system shall alert personnel to a problem or abnormal condition and shall provide sufficient time to respond appropriately to the problem. General warning guidelines are found in MIL-STD-1472C, Section 5.3. For the special case of control room annunciators, see NUREG 0700, Section 6.3. For auditory signals guidelines, see NUREG 0700, Section 6.2

To provide an effective alerting stimulus, it is first necessary to determine whether both auditory and visual stimuli shall be used or just one. Guidelines for determining stimulus modality are provided in Van Cott and Kincade, Chapter 4. For instance, a visual signal shall be used if the message is complex, long, or has to be referred to later. An auditory stimulus is usually provided for warnings requiring rapid response, especially with a mobile operator in an information-rich environment.

Each stimulus shall be easily distinguishable from other stimuli in the same modality but it shall not be a distraction. If an alarm can be one of many similar alarms that may occur simultaneously, it shall be easy to locate.

Any specific stimulus shall have only one meaning. It can either designate one problem or it can be a signal to look at a particular place to define the alarm further. When there are many annunciator alarms, priority coding such as "first in/out" shall be used to assist in

determining message significance, False alarms and nuisance alarms shall be removed. Set point determination shall allow sufficient response time to the operator.

Provision shall be made for active acknowledgment and for silencing of auditory alarms after they have been acknowledged.

Provision shall be made for maintaining personnel awareness of alarm conditions until they have been corrected or "cleared." Clearing of the alarm shall require a positive response from the assigned personnel.

Visual alarm tiles shall be grouped by function or system within panels having horizontal and vertical alphanumeric labeling for ready coordinate designation of individual tiles. Legends shall be unambiguous and address specific conditions. Viewing distance to operator, legend contrast, type style, and letter dimension and spacing shall be considered.

It shall be possible to test the warning system periodically.

#### 1300-12.4.9 Communication Systems

A communication system shall allow the users to transmit and receive information accurately and conveniently with minimum distraction from the user's other tasks. A user requirements analysis shall be performed to determine which of the various types of communication systems is most appropriate for the user conditions and what characteristics the selected system shall have.

Factors to be addressed in the requirements analysis shall include the number of intended recipients, the need for private conversations, mode of information transmittal (e.g., visual, aural, tactile), locations and levels of noise or other interference, and the necessity for recording the message. Any special needs of the users (e.g., necessity to keep the hands free, inability to be at a constant location, classification of data) shall be considered.

General criteria that shall be satisfied by most auditory systems include a minimum frequency response, feedback, sufficient dynamic range and gain to handle instantaneous pressures characteristic of speech, and sufficient speech intelligibility. The system shall have provisions for periodic maintenance tests, instructions for the use of each system used, and procedures for handling emergency communications where applicable.

Specific criteria shall be applied to each type of communications system. For instance, public announcing systems shall have carefully planned Loudspeaker locations to eliminate dead spots. Headsets for sound-powered telephones shall leave the hands free. Switching mechanisms in conventional telephones shall minimize delay in making connections. These criteria are discussed extensively in MIL-STD-1472C; Van Cott and Kincade, Chapter 5; and NUREG 0700, Section 6.2.

#### 1300-12.4.10 Maintainability

The design of equipment shall incorporate the objective of efficient maintainability. The surveillance, testing, and maintenance of a system and its restoration to operational effectiveness shall be achieved at minimum cost with a minimum level of support services. UCRL 15673 shall be considered for system design.

#### 1300-12.4.11 Labels

Equipment and any parts of that equipment to be used by personnel shall be identified with appropriate labels. Equipment and equipment parts include, but are not limited to, system and subsystem component groupings, individual components, control positions or modes, display markings, instructions, procedure manuals, storage spaces, access panels, and tools.

The label shall indicate clearly and concisely the function and purpose of the item being labeled. Unnecessary information (e.g., information used only for manufacturing purposes) shall not be included. Hierarchical labeling also shall be used to facilitate component location on control panels.

The label information shall be easy to understand. Words, symbols, and other markings in a label or instruction shall be unambiguous and accurate. The terminology used shall have commonly accepted meaning for all users.

Label design shall be consistent. The use of abbreviations and acronyms shall be minimized.

Various equipment labels placed on the same or similar pieces of equipment and serving similar functions shall use the same material, color, font type, relative location to component, general format, and other configuration features to promote simplicity and avoid clutter.

The terminology used for equipment, procedures, and training materials shall be the same for each case.

Permanent labels shall be attached to the specific component or equipment in such a manner that environmental conditions or usage by personnel will not remove or destroy the label.

Temporary labels shall be used only when necessary and shall be controlled administratively. They shall not obscure other information or equipment, and they shall be attached securely. If a temporary label is to designate a device that is out of service, the label shall be applied so that it prevents the use of that device. Other label criteria described in this section shall apply to temporary labels.

Labeling shall be legible and conform to human visual capabilities and limitations in regard to physical characteristics such as letter and symbol size, contrast, font simplicity, spacing and stroke width.

Properly designed mimic displays shall be used to improve the users understanding of the system.

Specific guidelines for addressing labeling considerations are contained in NUREG 0700, Section 6.6.; and MIL-STD-1472C, Section 5.5.

See Section 1040, Identifying Devices.

### 1300-13 ACCESSIBILITY AND USABILITY BY THE PHYSICALLY HANDICAPPED

Although special facilities may not generally offer opportunities for employment of physically handicapped persons within hazardous areas, consideration shall be given to employment opportunities in such areas as offices and other administrative or support areas. Suitable provisions shall be made in these areas where such opportunities exist and where handicapped persons would not be subjected to undue risk because of the need for rapid evacuation in the event of fire, explosion, or radiological or other hazards.

## 1304 PLUTONIUM PROCESSING AND HANDLING FACILITIES

### 1304-1 COVERAGE

Section 1300, General Requirements, shall apply. The requirements of Section 1300 are in *addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

PPHF's include facilities principally dedicated to processing and handling plutonium in substantial quantities, e.g., to be used in nuclear explosives production, nuclear reactor fuel assemblies, or heat source packages. What constitutes a "substantial quantity" or a "small quantity" depends on the quantity of each isotope, the physical and chemical form, and the specific process involved. A consideration of the hazard determines whether the facility should be classified as a PPHF.

These criteria shall be used for facilities processing and handling other transuranic radionuclides, such as americium, curium, neptunium, and californium. The activity and mass criteria stated above shall apply.

### 1304-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### 1304-3 NUCLEAR CRITICALITY SAFETY

Enclosures and material transport and transfer control systems shall be designed so that plutonium and moderating material in excess of posted limits cannot be added to otherwise criticality-favorable enclosures or areas.



#### 1304-4 RADIATION PROTECTION

Because of the special characteristics of plutonium or possibly other materials with high specific activity or radiotoxicity, PPHFs shall meet the following requirements when they are applicable.

Facility design shall provide for the continuous monitoring of external radiation exposure levels in process areas such as hot cells and canyons during entries required for maintenance or repair operations.

The design professional shall consider the criteria provided in USNRC R.G. 3.35 for applicability to PPHFs.

Neutron shields in the form of water jackets shall be monitored for water loss.

Installed (fixed) air monitors for radioactive materials shall be designed with a minimum sensitivity of 8 DAC-hours.

#### 1304-5 SPECIAL DESIGN FEATURES

In general, only hazardous gases or liquids that are necessary for a process shall be used in PPHFs. No natural gas for heating purposes shall be used unless the heating occurs in a separate building that is clearly isolated from the primary facility. Other flammable, explosive, corrosive, or toxic gases or liquids that are necessary to the process shall be handled under special control and isolated to avoid releases or reactions that might cause injury to workers, the public, or the environment. Those flammable gases that are necessary for a process shall be provided by a hard-piped system with the gas supply located outside of the facility in cylinders rather than from large capacity sources so as to limit the total quantity available in the event of a fire or explosion.

The design shall accommodate all planned plutonium handling (e.g., chemical or NDA analysis, shipping and receiving operations, packaging and unpackaging, as well as in-process storage). Provisions shall be made to minimize the buildup of packaging materials or packaged materials.

Pipes or other conduits for the transfer of plutonium in a product or waste liquid shall be at least double-walled or run within an enclosure that shall provide a second leak-tight barrier in the event of a DBA. Leakage from the primary pipe shall be collected in a geometrically favorable location. It shall be continuously detectable by a liquid-detection system or by a radiation-detection system.

Exhaust ventilation systems shall be provided with HEPA filtration to minimize the release of plutonium and other hazardous material through the exhaust path. In addition, intake ventilation systems shall also be provided with either HEPA filtration or fail-safe backflow prevention to minimize the release of plutonium and other hazardous material through the inlet path. Additional requirements and guidance are provided in Section 1550-99, Special Facilities.

Structures housing safety class items such as emergency diesel generators, the UPS, and the exhaust ventilation filtration system shall be designed to withstand the DBAs postulated for the PPHF.

The design professional shall consider the criteria presented in the following guides for applicability to PPHFs:

- R.G. 3.12
- R.G. 3.14
- R.G. 3.17

The design professional shall also consider the following criteria to ensure adequate materials control and accountability:

- In order to prevent the accumulation of nuclear materials containing scrap and/or off-standard process recyclable material within the facility equipment, space shall be provided for expeditious treatment or processing of these materials, as necessary, to allow their return to the main process.
- Space shall be provided within each MAA that is adequate for receiving, handling, storing, and measuring receipts.
- For processes involving solids, the process design shall facilitate efficient collection of spilled solids, performance of timely accountability measurements, and expeditious return of such solids to the processing line or scrap recovery system.
- To the extent practical, the shape of the building process areas shall be designed to facilitate surveillance. Irregular shapes shall be avoided as much as possible (i.e., cubes, cylinders, or parallel pipes shall be considered).

## 1304-6            CONFINEMENT SYSTEMS

### 1304-6.1        General

The following provisions shall be considered as typical for a PPHF confinement system. The actual confinement system requirements for a specific plutonium facility shall be determined on a case-by-case basis.

Generally, three confinement systems are used to achieve the confinement system objectives at PPHFs. They consist of the following:

- **Primary confinement.** Primary confinement is provided by piping, tanks, glove boxes, encapsulating material, and the like, and any off-gas system that controls effluent from within the primary confinement. It provides confinement of hazardous material to the vicinity of its processing.

- **Secondary confinement.** Secondary confinement is provided by walls, floors, roofs, and associated ventilation exhaust systems of the cell or enclosure surrounding the process material or equipment. Except in the case of glove box operations, the area inside this barrier is usually unoccupied; it provides protection for operating personnel.
- **Tertiary confinement.** Tertiary confinement is provided by the walls, floor, roof, and associated ventilation exhaust system of the facility. It provides a final barrier against release of hazardous material to the environment.

Which (if not all) of several barriers shall be designed to withstand a particular DBA shall be determined on a case-by-case basis. For example, the cell structure may be a more appropriate barrier than the process vessels in the instance of the DBE.

The effectiveness of each confinement barrier shall be checked analytically against all challenges it is expected to withstand without loss of function. This applies to any form of the hazardous material (gaseous, liquid, or solid) and its carrying medium (i.e., airborne or spilled in a liquid).

Operation of support and protection systems such as the fire protection system shall not promote a failure of the principal confinement systems. Confinement systems shall be designed in accordance with ALARA concepts.

#### 1304-6.2      Primary Confinement System

Primary confinement shall consist of barriers, enclosures, glove boxes, piping, vessels, tanks, and the like that contain plutonium. Its principal function is to prevent release of plutonium to areas other than where processing operations are normally conducted.

Primary confinement of plutonium processes that involves readily dispersible forms (e.g., solutions, powder or small fragments, gases) shall be provided by glove boxes or other fully confining enclosures. Hoods shall be used only when a hazard evaluation indicates the risk involved is acceptable. This evaluation shall consider the quantity of the material involved, the specific operation to be performed, and the chemical form of plutonium involved.

Primary confinement shall be designed, fabricated, tested, and maintained to a degree of quality assurance commensurate with its importance. QA criteria shall be specified at the preliminary design stage. Design features incorporated into the confinement system shall have been proven effective by extensive experience in similar applications or by formal prototype testing.

The integrity of the primary confinement system shall be maintainable through all normal operations, anticipated operational occurrences, and any DBA the primary barrier is required to withstand. Breaches in the primary confinement barrier that cannot be totally avoided or ruled out (e.g., due to glove or seal failure) must be compensated for by provision of adequate inflow of air or safe collection of spilled liquid.

Occasional breaches that are required for anticipated maintenance shall be made only under carefully controlled conditions. Provisions shall be made for storage of in-process material elsewhere, for temporary alternative barriers, and for adequate inflow of air to ensure

contamination control. The exhaust ventilation system shall be sized to ensure radiological doses are maintained at ALARA levels in the event of the largest credible breach.

The process equipment and the process itself shall be designed to minimize the probability of fire, explosion, or corrosion that might breach the confinement barrier. Confinement enclosures for combustible metals shall provide self-contained fire detection and extinguishing capability. An inert atmosphere shall be required when pyrophoric forms (e.g., chips, filings, dust) of materials are being handled in the confinement enclosure. Halon systems shall not be used for enclosures handling pyrophoric metals due to its oxidizing reaction with the hot metal.

Primary confinement barrier(s) shall be provided between the process material and any auxiliary system (e.g., a cooling system) in a manner that minimizes risk of material transfer to an unsafe location or introduction of an undesirable medium into the process area. Differential pressure across the barrier(s) shall be used where appropriate.

The confinement philosophy represented by the foregoing requirements shall also be applied to other components that serve a primary confinement function, such as conveyor systems, material transfer stations, and ventilation/off-gas systems.

Special ventilation problems related to volatile organic liquids or finely divided pyrophoric metal are indirectly related to primary confinement and are discussed in Section 1550-99, Special Facilities.

For further primary confinement design criteria, see Section 1161, Enclosures.

#### 1304-6.3      Secondary Confinement System

The secondary confinement system shall consist of the confinement barriers and associated ventilation systems that confine any potential release of hazardous material from primary confinement. Because plutonium processing commonly is conducted in glove boxes as the primary confinement, the functional requirements below refer to the operating area boundary and the ventilation system serving the operating area as the secondary confinement system.

The integrity of the secondary confinement shall be maintainable through all normal operations, anticipated operational occurrences, and any DBA the secondary barrier is required to withstand. If the secondary barrier is required to withstand the DBE, it shall be designed in accordance with criteria in Section 0111-99.0, Nonreactor Nuclear Facilities-General. Other DBAs, such as the design basis fire, shall also be considered as potential causes of loss of secondary confinement. ALARA concepts shall be incorporated in secondary confinement system design to minimize consequences on the operators and the public and environment.

Design features incorporated into the confinement system shall have been proven effective by extensive experience in similar applications or by formal prototype testing.

Continuous monitoring capability shall be provided to detect loss of proper differential pressure with respect to the process area. Release of hazardous material to the operating area shall also be continuously monitored. Commensurate with the potential hazards, consideration shall be given to the use of redundant sensors.

Penetrations of the secondary barrier shall have positive seals on permanent penetrations (e.g., pipes, ducts) or double closure with controlled secondary to primary leakage on pass-through penetrations (e.g., personnel air locks and enclosed vestibules).

Ventilation systems associated with confinement shall be designed with adequate capacity to ensure proper direction and velocity of air flow in the event of the largest credible breach in the barrier.

#### 1304-6.4      Tertiary Confinement System

Tertiary confinement shall be provided by the building or outer structure of the facility. For some of the DBAs, it represents the final barrier to release of hazardous material to the environment; for others, such as the design basis tornado, it is the barrier that protects the rest of the facility from damage.

The integrity of the tertiary confinement system shall be maintainable throughout normal operations, anticipated operational occurrences, and any DBA the tertiary barrier is required to withstand.

ALARA concepts shall be incorporated in tertiary confinement system design to minimize consequences on operators, the public, and the environment.

#### 1304-7      EFFLUENT CONTROL AND MONITORING

##### 1304-7.1      Radioactive Solid Waste

The solid waste typically associated with a PPHF (e.g., discarded equipment, tools, rags, filters, and gloves) may be contaminated with plutonium metal (fragments or turnings) or various compounds in powder form. It may contain contaminated liquid in solid absorbent material.

Plutonium-contaminated solid waste shall be collected and handled in a location specifically designed to provide favorable geometry for criticality safety and means for packing and safe transfer of TRU waste.

Assay capability shall be provided to allow identification of TRU waste. Measurement Sensitivity shall satisfy both waste management and material accountability requirements.

Volume reduction capability shall be provided where analysis demonstrates that cost benefits will offset installation costs.

Cleaning capability to reduce typical waste from TRU category to low-level category shall be provided unless it can be demonstrated that such capability is not necessary or practical.

Transfer capability shall include transfer of TRU waste in approved containers by approved methods.

## 1304-7.2      Radioactive Liquid Waste

The liquid radioactive wastes typically associated with PPHFs are plutonium-contaminated liquids and nonrecoverable amounts of process liquids (e.g., liquid filter sludge, wet grinding effluent, and contaminated solvents and oils). It may include contaminated laundry waste. The design of the liquid waste handling system shall consider these forms and others specific to the process.

Plutonium-contaminated liquid waste shall be collected in favorable geometry tanks with stirrers or other accepted mixing methods, sampling devices, and volume measuring devices. An appropriate transfer system shall be provided that includes sufficient holdup capacity to allow conclusive sampling before transfer to treatment locations. Fire suppression water drains shall be designed to minimize transfer of SNM to other locations. The tankage for this purpose is not required to be critically favorable.

Liquid radioactive wastes require treatment for removal of plutonium. Adequate holdup of liquid effluents shall be provided to accommodate any anticipated treatment delays or monitoring breakdowns. Appropriate design, monitoring, and administrative controls shall ensure that liquid effluent radioactive concentrations are below the limits on discharge specified in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series. In addition, to the extent practical, releases of radioactive liquid wastes shall be maintained at ALARA levels.

The design professional shall consider the criteria provided in USNRC R.G. 3.10 for applicability to PPHFs.

## 1304-7.3      Effluents

### 1304-7.3.1      Airborne Effluents

The airborne radioactive effluents typically associated with PPHFs are furnace off-gas, airborne dust, off-gas from solvent processes, and corrosive vapor or mists from dissolvers. The design of airborne effluent systems shall consider and minimize plutonium holdup at locations in off-gas and ventilation ductwork and include provisions to detect and monitor the buildup of material and for its recovery. Appropriate nuclear criticality safety provisions shall be applied to the airborne effluent systems. Effluent monitoring and controls shall comply with the requirements of 40 CFR 61; the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series; the directive on Radiological Effluent Monitoring and Environmental Surveillance in the DOE 5400 series; and all applicable Federal, State, and local requirements. In addition, releases of airborne effluents shall be minimized by application of ALARA design principles.

All exhaust outlets that may contain plutonium contaminants shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents. The monitoring capability shall cover the range from normal effluent concentrations to the maximum concentration expected from a credible accidental release.

#### 1304-8            DECONTAMINATION AND DECOMMISSIONING

The PPHF shall include a decontamination area within the process or operating area. This area shall be furnished with all necessary cleaning equipment, radioactivity monitors, waste handling capability, and safety features to safely perform equipment cleaning tasks.

Air cleaning devices shall be located as close to the source of contamination as practicable to avoid the unnecessary spreading of the contamination into ducts, conveyors, or other process areas. This would include the filtration of glovebox exhaust air prior to the exhaust air entering a duct leading to a plenum.

Protection shall be provided for bare floors, walls, and ceilings, particularly for structurally important parts of the building. Protection shall be in the form of strippable coatings or durable coatings for which effective cleaning methods have been developed.

Surfaces in operating or process areas shall have no seams, cracks, or rough or absorbent surfaces.

In areas that are most likely to become contaminated, adequate access shall be provided, such as crawl spaces, piping tunnels, and hatches into ductwork, to facilitate decontamination.

The design of equipment shall include features and characteristics to minimize its contamination and facilitate decontamination.

#### 1305            PLUTONIUM STORAGE FACILITIES

##### 1305-1            COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

These criteria shall be applied in the planning and design of PSF that will contain strategic (Category I as defined in the DOE 5632 series) amounts of plutonium. They are not applicable to "in process" or "in use" material, to material in assembly cells for use in weapons, or to material that is packaged in accordance with the requirements of DOE 5480.3 and is awaiting transportation or has been received and is awaiting disposition. However, these criteria do apply to joint storage with other transuranic elements and uranium. The stored plutonium can be in the form of a liquid, solid, or gas.

These general design criteria shall also be considered for application to facilities storing other transuranic radionuclides, such as neptunium and californium.

## 1305-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

## 1305-3 NUCLEAR CRITICALITY SAFETY

ANS 8.6 shall apply. Favorable geometry, as implemented by storage rack design, is the preferred method of ensuring nuclear criticality safety. The use of fixed neutron absorbing materials shall be considered. When fixed neutron absorbers are used, the rack design shall include provisions to verify the absorber's continual efficacy and to prevent their inadvertent removal by mechanical or chemical action. Storage racks shall be designed to maintain their integrity during and following a DBE, and the DBAs they are required to withstand.

In addition, the design professional shall consider the criteria provided in R.G. 3.43 for applicability to PSFs.

## 1305-4 SPECIAL DESIGN FEATURES

PSF systems, components, and structures shall be designed to provide confinement of radioactive materials under normal operations, anticipated operational occurrences, and the DBA conditions they are required to withstand. The design shall ensure that the degree of confinement is sufficient to limit releases to the environment to the extent that the guidelines referenced in Section 1300-1.4, Guidance on Limiting Exposure of the Public, are not violated. PSF systems shall be designed incorporating ALARA concepts.

The design shall accommodate all planned plutonium handling (e.g., analysis, shipping and receiving operations, packaging and unpackaging, as well as storage). Provisions shall be made to minimize the buildup of packaged materials or packaging materials. Receiving operations involving removal of radioactive material from protective shipping containers shall be performed in the unpackaging room(s).

Facility design, to the maximum extent practical, shall provide sufficient versatility to accommodate equipment for programmatic changes and modifications and for multishift operations.

To expedite recovery from DBAs and provide facility versatility, modular construction concepts shall be used, where feasible.

The design shall provide sufficient spacing between compartments to facilitate relocation and maintenance of equipment and ease of manual or automatic storage operations.

No hazardous gases or liquids shall be used in PSFs. No natural gas for heating purposes shall be used unless the heating occurs in a separate building that is clearly isolated from the primary facility. The storage building(s), where practical, shall be rectangular, windowless and arranged in repetitive bays and compartments.



Facility layout shall provide for efficient cleaning, maintenance, and ease of inspection.

Facility design shall facilitate expeditious identification, inventory, placement, and retrieval of storage containers.

New storage facilities shall be physically separated from process operations, storage of nonnuclear materials or equipment, and functions not directly required for storage operations.

Combustible packaging materials shall be stored in metal containers or structures outside of a PSF in a location that shall not endanger the storage facility or stored material if a fire occurs in the packaging material. The need to provide automatic fire suppression systems for these areas shall be considered in accordance with Section 1530-2.3, Maximum Possible Fire Loss.

Layout of floor and access areas shall consider the requirements for secure location of storage containers, traffic control, and segregation.

Design of storage tanks for aqueous solutions of plutonium shall ensure that they are geometrically favorable with respect to nuclear criticality. When there is a tendency for solids to precipitate, vessels shall be instrumented to detect the buildup of solids and designed to facilitate removal of solids.

Suitable physical compartmentalization shall be provided, as determined from the safety analysis, to limit the quantity of stored materials in each compartment to safe levels; ensure the necessary access features and controls; and satisfy the loss limitation criteria in Section 0110-99.0.7, Loss Limitations.

Cautionary systems (e.g., visual or audible alarms, or other warning systems) or interlocks shall be provided to prevent inadvertent entry into hazardous areas.

All safety alarm systems shall annunciate inside and outside of the PSF so as to identify hazardous areas to anyone present in either area. The need for visual alarm devices within the facility, in addition to audible alarm devices, shall be considered.

Storage racks shall be noncombustible and designed to securely hold storage containers in place, ensure proper separation of storage containers, and maintain structural integrity under normal operations, anticipated operational occurrences, and DBA conditions. These racks shall be designed as safety class items.

Door locations shall be coordinated with aisles to facilitate access to stored material for loading and unloading of material, for use of fire fighting equipment, and for compliance with NFPA 101.

Bumpers shall be provided where necessary to minimize potential damage to the structure of racks from handling equipment.

The design shall provide for sufficient spacing and arrangement of compartments and/or containers to facilitate the taking of inventories. Vault doors, racks, and containers shall be designed to accommodate the application of TIDs. Adequate space for measurement

capability shall be provided for the required inventory verification and/or confirmation. An automated vault surveillance system shall be provided where excessive radiation exposure would result from entering for material control and accountability purposes. The design of the vault and/or system shall facilitate the daily and other inventory requirements of DOE 5633.3. Those areas of the facility where attractive SNM is stored (e.g., plutonium product storage) should be located in the least accessible (to an intrusion force) area of the plant.

## 1305-5 CONFINEMENT SYSTEMS

### 1305-5.1 General

The following provisions are typical for a PSF confinement system. The actual confinement system requirements for a specific PSF shall be determined on a case-by-case basis.

The degree of confinement required shall suit the most restrictive hazards anticipated. Therefore, consideration shall be given to the type, quantity, physical and chemical form, and packaging of the materials to be stored. For materials in a form that is not readily dispersible, a single confinement barrier may be sufficient. However, for more readily dispersible materials such as liquids and powders and for materials with inherent dispersal mechanisms, such as pressurized cases and pyrophoric forms, multiple confinement barriers are required. Qualified packages (such as encapsulation or DOT-approved shipping containers) may be considered to be barriers.

Generally, for the most restrictive cases anticipated, the use of three confinement systems shall be considered. The primary confinement shall be the cladding or the storage container (e.g., canning). Secondary confinement shall be established by compartments with their ventilation systems. The tertiary or final confinement shall be the building structure and its ventilation system.

Operation of support and protection systems such as fire protection shall not promote the failure of the principal confinement systems.

Coding systems shall be provided, as required.

Ingress and egress to the compartments shall be controlled through the use of access ways (e.g., airlocks, enclosed vestibules).

Exhaust ventilation systems shall be provided with HEPA filtration to minimize the release of plutonium and other hazardous material through the exhaust path. In addition, inlet ventilation systems shall also be provided with either HEPA filtration or fail-safe backflow prevention to minimize the release of plutonium and other hazardous material through the inlet path.

### 1305-5.2 Primary Confinement System

Cladding or storage containers, as appropriate, shall provide primary confinement during normal operation, anticipated operational occurrences, and for all DBAs they are required to withstand.

The cladding or storage containers shall be designed to provide a corrosion-resistant confinement for fuel assemblies and to prevent an uncontrolled release of radioactive material.

Special design features shall be considered to ensure safe introduction, removal, and handling of stored plutonium. These handling systems and equipment shall be designed to protect against the dropping of storage containers, fuel assemblies, and other items on the stored plutonium.

#### 1305-5.3      Secondary Confinement System

The compartments and their ventilation systems make up the secondary confinement system.

The secondary confinement system shall be designed to function during normal operations, anticipated operational occurrences, and for all DBAs it is required to withstand. It shall be designed as a safety class system and be capable of performing its necessary functions following a DBE.

Penetrations of the secondary confinement barrier shall have positive seals to prevent the migration of contamination. The use of positive seals shall be considered for penetration of enclosures within the facility building to ensure the availability of proper ventilation flow paths and to prevent the migration of contamination within the facility.

The need for special ventilation systems for confinement purposes shall be based on the results of the safety analysis. In general, each compartment shall be supplied with ventilation air from the building ventilation system, and shall be provided with separate exhaust ventilation handled by a system with sufficient capacity to ensure an adequate ventilation flow in the event of a credible breach in the compartment confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system.

#### 1305-5.4      Tertiary Confinement System

The facility building and its ventilation system compose the tertiary confinement system.

The tertiary confinement system is not required to be protected from tornado missiles or missiles from other external sources (e.g., explosions on nearby transportation routes), but shall be designed to prevent massive collapse of building structures or the dropping of heavy objects onto the stored plutonium as a result of building structural failures and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

Penetrations of the building confinement barriers shall have positive seals to prevent the migration of contamination.

Air locks or enclosed vestibules shall be provided for access through confinement barriers.

## 1305-6 EFFLUENT CONTROL AND MONITORING

### 1305-6.1 General

Routine wastes from PSFs will normally be in the form of uncontaminated and radioactive solids and liquids. A principal design objective for the waste management systems shall be to provide facilities and equipment to handle these wastes safely and effectively.

### 1305-6.2 Radioactive Solid Waste

The design shall include provisions for the safe collection, packaging, inventory of, storage, and loading for transport of solid waste that is contaminated with radioactive material. These provisions shall include allocation of adequate space for sorting and safe temporary storage of solid waste, equipment for assay of the waste, and facilities for volume reduction appropriate to the types and quantities of solid waste expected to be produced. All packages containing radioactive solid waste are required to be monitored, both before being moved from generation sites and volume reduction processes to temporary storage locations and before being loaded for transport to a disposal site.

### 1305-6.3 Radioactive Liquid Waste

#### 1305-6.3.1 Industrial Wastes

Industrial wastes such as discharge from mop sinks shall be collected and transferred to a liquid waste treatment facility or similar type of treatment area. Consideration shall be given to the installation of a retention system. The treatment process shall be designed to reduce radioactive materials to concentrations well below the guidelines in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series, using the best available technology economically achievable.

#### 1305-6.3.2 Decontamination Wastes

Decontamination wastes shall be collected and monitored near the source of generation before batch-wise discharge through appropriate pipelines or by tank transfer to a liquid waste treatment facility or area. These wastes shall be individually collected at the PSF in storage tanks that are equipped with stirrers or other accepted mixing methods, sampling devices, volume measuring devices, and transfer systems. Waste storage tanks and transfer lines shall be designed and constructed so that any leakage shall be detected and contained before it reaches the environment. Transfer lines shall have inspection/collection pits at practicable intervals into which leakage can drain by gravity. The use of double-walled transfer pipelines or multi-pipe encasements as specified in Section 1300-7.4, Transfer Pipes and Encasements, shall be considered. Nuclear criticality safety shall be considered in the design of these collection and monitoring systems.

1305-6.4      Effluents

1305-6.4.1      Airborne Effluents

All airborne effluents from confinement areas shall be exhausted through a ventilation system designed to remove particulate material, vapors, and gases as needed to comply with Section 1300-1.4.3, Routine Releases.

All exhaust outlets that may contain plutonium contaminants shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

1306            UNIRRADIATED ENRICHED URANIUM STORAGE FACILITIES

1306-1            COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

This section is specifically applicable to dry type UEUSF used for the storage of UEU. The UEU may be in the form of a solid, liquid or gas.

These criteria are not applicable to "in process" or "in use" material, to material in assembly cells for use in weapons, or to material that is packaged in accordance with the requirements of DOE 5480.3 and is awaiting transportation or has been received and is awaiting disposition. In addition, UEU fuel storage facilities that are part of a reactor facility are not covered by this section. They are covered by DOE 5480.6.

1306-2            OBJECTIVES

The design objectives shall ensure that conservatively estimated radiological accident consequences are limited in accordance with the guidelines contained in Section 1300-1.4.2, Accidental Releases.

1306-3            NUCLEAR CRITICALITY SAFETY

Favorable geometry, as implemented by storage rack design, is the preferred method of ensuring nuclear criticality safety. The use of fixed neutron absorbing materials shall be considered. When fixed neutron absorbers are used, the rack design shall include provisions to verify the absorbers' continual efficacy and to prevent their inadvertent removal by mechanical or chemical action. Storage racks shall be designed to maintain their integrity during and following a DBE and the DBAs they are required to withstand.

The design professional shall consider the criteria provided in USNRC R.G. 3.43 for applicability.

#### **1306-4 RADIATION PROTECTION**

Radiation protection provisions for UEUSF shall take into account that the combination of the radioactivity level of UEU, its confinement in cladding or storage containers, and the lack of significant operations involving the UEU may reduce the radiation hazards at UEUSF.

#### **1306-5 SPECIAL DESIGN FEATURES**

UEUSF systems, components, and structures shall be designed to provide confinement of radioactive materials under normal operations, anticipated operational occurrences, and the DBA conditions they are required to withstand. The design shall ensure that the degree of confinement is sufficient to limit releases to the environment to the extent that the dose guidelines referenced in Section 1300-1.4, Guidance on Limiting Exposure of the Public, are not exceeded. The design shall incorporate ALARA concepts to minimize overall impacts on operators, the public, and the environment.

The design shall accommodate all planned UEU handling (e.g., analysis, shipping and receiving operations, packaging and unpackaging, as well as storage). Provisions shall be made to minimize the buildup of packaged materials or packaging materials. Receiving operations involving removal of radioactive material from protective shipping containers shall be performed in the unpackaging room(s).

Facility design, to the maximum extent practical, shall provide sufficient versatility to accommodate equipment for programmatic changes and modifications and for multishift operations.

To expedite recovery from DBAs and provide facility versatility, modular construction concepts shall be used, where feasible.

The design shall provide sufficient spacing between compartments to facilitate relocation and maintenance of equipment and ease of manual or automatic storage operations.

No hazardous gases or liquids shall be used in UEUSFs. No natural gas for heating purposes shall be used unless the heating occurs in a separate building that is clearly isolated from the primary facility.

The storage building(s), where practical, shall be rectangular, windowless and arranged in repetitive bays and compartments.

Facility layout shall provide for efficient cleaning, maintenance, and ease of inspection.

Facility design shall facilitate expeditious identification, inventory, placement, and retrieval of storage containers.

New storage facilities shall be physically separated from process operations, storage of nonnuclear materials or equipment, and functions not directly required for storage operations.

Combustible packaging materials shall be stored in metal containers or structures outside of a UEUSF in a location that shall not endanger the storage facility or stored material should a fire occur in the packaging material. The need to provide automatic fire suppression systems for these areas shall be considered in accordance with Section 1530-2.3, Maximum Possible Fire Loss.

Layout of floor and access areas shall consider the requirements for secure location of storage containers, traffic control, and segregation.

Design of storage tanks for aqueous solutions of enriched uranium shall ensure that they are geometrically favorable with respect to nuclear criticality safety. When there is a tendency for solids to precipitate, vessels shall be instrumented to detect the buildup of solids and designed to facilitate removal of solids.

Suitable physical compartmentalization shall be provided, as determined from the safety analysis, to limit the quantity of stored materials in each compartment to safe levels; ensure the necessary access features and controls; and satisfy the loss limitation criteria in Section 0110-99.0.7, Loss Limitations.

Cautionary systems (e.g., visible or audible alarms, or other warning systems) or interlocks shall be provided to prevent inadvertent entry into hazardous areas.

All safety alarm systems shall annunciate inside and outside of the UEUSF so as to identify hazardous areas to anyone present in either area. The need for visual alarm devices within the facility, in addition to audible alarm devices, shall be considered.

Storage racks shall be noncombustible and designed to securely hold storage containers in place, ensure proper separation of storage containers, and maintain structural integrity under normal operations, anticipated operational occurrences, and DBA conditions. These racks shall be designed as safety class items.

Door locations shall be coordinated with aisles to facilitate access to stored material, for loading and unloading of material, for use of fire fighting equipment, and for compliance with NFPA 101.

Bumpers shall be provided where necessary to minimize potential damage to the structure or racks from handling equipment.

The design shall provide for sufficient spacing and arrangement of compartments and/or containers to facilitate the taking of inventories. Vault doors, racks, and containers shall be designed to accommodate the application of TIDs. Adequate space for measurement capability shall be provided for the required inventory verification and/or confirmation. The design of the vault system shall facilitate the daily and other inventory requirements of DOE 5633.3. Those areas of the facility where attractive SNM is stored shall be located in the least accessible (to an intrusion force) area of the plant.

## 1306-6            CONFINEMENT SYSTEMS

### 1306-6.1        General

The following provisions are typical for a UEUSF confinement system. The actual confinement system requirements for a specific UEUSF shall be determined on a case-by-case basis.

The degree of confinement required shall suit the most restrictive hazards anticipated. Therefore, consideration shall be given to the type, quantity, and physical and chemical form of the materials to be stored. For materials in a form that is not readily dispersible, a single confinement barrier may be sufficient. However, for more readily dispersible materials such as liquids and powders and for materials with inherent dispersal mechanisms, such as pressurized cases and pyrophoric forms, multiple confinement barriers shall be considered.

Generally, for the most restrictive case anticipated, the use of three confinement systems shall be considered. The primary confinement shall be the UEU cladding or the storage container (e.g., canning). Secondary confinement shall be established by compartments with their ventilation systems. The tertiary or final confinement shall be the building structure and its ventilation system.

Operation of support and protection systems such as fire protection shall not promote the failure of the principal confinement systems.

Cooling systems shall be provided, as required.

Ingress and egress to the compartments shall be controlled through the use of access ways (e.g., airlock, enclosed vestibules).

### 1306-6.2        Primary Confinement System

The UEU cladding or storage containers, as appropriate, shall provide primary confinement during normal operations, anticipated operational occurrences, and for all DBAs they are required to withstand.

The UEU cladding or storage containers shall be designed to provide a corrosion-resistant confinement for the fuel assemblies and other UEU to prevent an uncontrolled release of radioactive material.

Special design features shall be considered to ensure safe introduction, removal, and handling of UEU. These handling systems and equipment shall be designed to protect against the dropping of storage containers, UEU assemblies, and other items on the stored UEU. The design shall consider the use of geometric constraints to avoid criticality accidents.

### 1306-6.3        Secondary Confinement System

The compartments and their ventilation systems make up the secondary confinement system.



The secondary confinement system shall be designed to function during normal operations, anticipated operational occurrences, and for all DBAs it is required to withstand. It shall be designed as a safety class system and capable of performing its necessary functions following a DBE.

Penetrations of the secondary confinement barrier shall have positive seals to prevent the migration of contamination. The use of positive seals shall be considered for penetration of enclosures within the facility building to ensure the availability of proper ventilation flow paths and to prevent the migration of contamination within the facility.

The need for special ventilation systems for confinement purposes shall be determined by the safety analysis. In general, each compartment shall be supplied with ventilation air from the building ventilation system, and shall be provided with separate exhaust ventilation handled by a system with sufficient capacity to ensure an adequate ventilation flow in the event of a credible breach in the compartment confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system.

#### 1306-6.4      Tertiary Confinement System

The facility's building and its ventilation system compose the tertiary confinement system.

The tertiary confinement system is not required to be protected from tornado missiles or missiles from other external sources (e.g., explosions on nearby transportation routes), but shall be designed to prevent massive collapse of building structures or the dropping of heavy objects onto the stored UEU as a result of building structural failures and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

Penetrations of the building confinement barriers shall have positive seals to prevent the migration of contamination.

#### 1306-7      WASTE MANAGEMENT

Waste management provisions for UEUSFs shall take into consideration that, generally, the quantities of radioactive waste generated are small because of the passive nature of a UEUSF.

The decontamination of storage and handling equipment will result in solid and liquid wastes of low specific activity. Packaging and shipping materials may also be a source of solid waste.

#### 1306-8      EFFLUENT CONTROL AND MONITORING

##### 1306-8.1      Radioactive Solid Waste

The solid radioactive wastes typically associated with UEUSFs that shall be considered during the design include but are not limited to packaging and shipping materials, gloves, rags, and mops. Nuclear criticality safety shall be considered in the design of the radioactive solid waste processing facility.

1306-8.2      **Radioactive Liquid Waste**

1306-8.2.1      **Process Wastes**

The liquid radioactive wastes typically associated with UEUSFs that shall be considered during the design include but are not limited to floor wash down liquids accumulated from equipment maintenance and leaks. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

1306-8.3      **Effluents**

1306-8.3.1      **Airborne Effluents**

The airborne radioactive wastes associated with UEUSFs that shall be considered during the design include but are not limited to the airborne releases associated with the venting of storage containers. Cladding or canning failure during dry storage is also a source of such wastes.

All airborne effluents from confinement areas shall be exhausted through a ventilation system designed to remove particulate material, vapors, and gases as needed to comply with the requirements referenced in Section 1300-1.4.3, Routine Releases.

All exhaust ducts and stacks that may contain enriched uranium contaminants shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

1307      **EXPLOSIVES FACILITIES**

1307-1      **COVERAGE**

1307-1.1      **General**

Section 1300, General Requirements, shall apply. These requirements are in *addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General, and -99.4, Explosives Facilities.

These criteria apply to DOE explosives facilities, with specific applicability to DOE nuclear munitions (explosives-plutonium) facilities.

1307-1.2      **Applicability**

These criteria shall be followed in:

- Siting, planning, and design of any new facilities in which explosives are stored, handled, or processed

- Redesign of any existing facilities where changes in activities will result in a change to a more hazardous class (e.g., a change from Class II to Class I). See Glossary for definition of explosives hazard Class I and Class II. Where changes in activities will not result in a change to a more hazardous class, these criteria are not mandatory.

#### 1307-1.3      Nonapplicability

These design criteria are not applicable to:

- Portable buildings used at specific nuclear test shot locations
- Facilities in which experimental or laboratory-type operations are conducted and where no more than 500 grams of explosives are involved, as further described in (a) and (b), below. However, quantity-distance separation must be provided between the laboratory and other buildings containing explosives based on the quantity of explosives in those buildings; such operations include, but are not limited to, small scale formulation work, chemical, physical and thermal analysis, and sensitivity tests.
  - (a) Experimental and laboratory facilities are exempt from quantity-distance criteria where operations involving explosives in quantities of 10 grams or less (plutonium may be present) are conducted under DOE operating-contractor-approved SOPs.
  - (b) Experimental and laboratory facilities are exempt from quantity-distance criteria where operations involving explosives in quantities between 10 and 500 grams (plutonium shall not be present) are conducted, subject to formal waivers approved by the operating contractor safety organization and issued in writing by the operating contractor management.

#### 1307-2      OBJECTIVES

The objectives of these criteria are to ensure that facility design will achieve a level of safety for DOE explosives facilities specified in DOE/EV 06194 to reduce the risk of an accidental detonation and, in such an event, prevent fatalities, minimize injuries, control plutonium dispersal, prevent propagation, and minimize property loss.

The cardinal principle to be observed in any location or operation involving explosives, ammunition, severe fire hazards, or hazardous materials is to limit the exposure of a minimum number of people, for a minimum time, to a minimum amount of material consistent with safe and efficient operation.

#### 1307-3      NUCLEAR CRITICALITY SAFETY

Where nuclear criticality is a consideration, the design of criticality alarm systems shall comply with ANS 8.3. Other nuclear criticality safety measures shall comply with DOE/DNA TP 20-7.

1307-4 SPECIAL DESIGN FEATURES

1307-4.1 General Criteria

1307-4.1.1 Siting of Explosives Facilities

General

The design of all new explosives buildings shall conform to the DOE explosives safety requirements established in DOE/EV 06194 and implemented in these criteria. For a tabular summary of the types of protective design established by these criteria, see Table 1307-4.1.1. Protective construction design features are specified in TM 5-1300 and DOE/TIC 11268.

Studies necessary to provide the technical basis for location, engineering, design, and operation (under normal and potential DBA conditions) of the buildings shall follow DOE/EV 06194 and DOD 6055.9 for establishing explosives quantity-distance separation DOE 4300.1B and Section 0200-1, Facility Siting, for the requirements for new site selections; and Section 1300-1.4.2, Accidental Releases, for radiological guidelines for accidental releases.

Hazardous Fragments

DOD 6055.9 requires that the minimum distance for protection from hazardous fragments to facility boundaries and specific critical facility and personnel exposures will be 1250 feet for explosives quantities of 101 to 30,000 lbs and 670 feet for 100 lbs or less of hazard Class/Division 1.1 explosives, unless it can be shown that there will be no hazardous fragments or debris at lesser distances. It is not intended that these minimum fragment distances be applied to operating facilities or dedicated support functions within an operating line. For these exposures, the DOE criteria for Class I, II, III, or IV activities with appropriate quantity-distance separations are the required protection levels.

Buildings or areas that are separated from explosives bays by inhabited building distances as determined by blast overpressures may provide an adequate degree of protection to personnel and facilities from fragment hazards from an explosives accident. However, for some explosives activities, the separation distance to inhabited buildings, public traffic routes, and open areas where personnel congregate may have to be increased beyond the distances specified for blast overpressures because of these potential fragment hazards.

1307-4.1.2 Hazard Class Activity

New facilities shall be designed and constructed to accommodate the highest hazard class activity for which they are to be used. Where an activity can be assigned to more than one hazard class, the facility shall be designed and constructed to meet the criteria of the most stringent hazard class.

The contract administrator (Head of Field Organization) shall be responsible for approving the hazard classification of all explosives activities prior to the design of the facilities in which the activities are to be conducted.

Table 1307-4.1.1 Explosives Facilities: Protective Design Requirements by Type of Activity

Protective Design Required							
Type of Activity	DOE/EV-06194 Operational Requirements for Activity Involved	Explosion Protection for Personnel In Other Occupied Areas Including Adjacent Bay	Explosion Pro- tection for All Personnel (Remote Operation)	Control of Plutonium in Event of an Explosion	High Level Protection from Natural Phenomena	Normal Protection from Natural Phenomena***	Radiologi- cal Consi- derations
<u>Class I Activities</u>							
Explosives Only	X	X	X			X	
HE-Pu* Cased							
HE-Pu* Uncased							
<u>Class II Activities</u>							
Explosives Only	X	X				X	
HE-Pu Cased	X	X			X		X
HE-Pu Uncased	X	X		X	X		X
<u>Class III Activities</u>							
Explosives Only	X					X	
HE-Pu Cased		NOT PERMITTED					
HE-Pu Uncased		NOT PERMITTED					
<u>Class IV Activities</u>							
Explosives Only	X**					X	
HE-Pu Cased	X**					X	X
HE-Pu Uncased	X**					X	X
Support Area	X					X	

\* Class I activities with either cased or uncased HE-Pu or IHE-Pu are not permitted, except where such activities are justified from a nuclear explosives safety study performed in accordance with DOE 5610.3.

\*\* Class IV bays need only to be sited and designed to withstand the effects of blast overpressure, structural collapse and missiles (hazardous fragments) from an adjacent bay.

\*\*\* These facilities need only be designed to provide protection acceptable with normal DOE loss criteria.

Definitions of explosives hazard classes by activity are found in the Glossary.

#### 1307-4.1.3 Design Basis

The appropriate DBAs shall be postulated and the design of new explosives buildings shall ensure that any structure, confinement system(s), ventilation systems, fire suppression/detection systems, or other systems required to be safety class will meet the conditions imposed by the consequences of the DBAs.

#### 1307-4.1.4 Unproven Facility Design

For an unproven facility design, either a validated model or full-scale test is required to ensure structural adequacy unless a high degree of confidence can be provided by calculations or other means. The contract administrator (Head of Field Organization) shall concur in any determination regarding test requirements.

#### 1307-4.1.5 Support Buildings

Support buildings are not specifically addressed in these criteria because the degree of protection afforded must be based on the function housed and its effect on the accomplishment of the mission of the installation. Siting of support buildings shall conform to the requirements of DOE/EV 06194 and DOD 6055.9.

### 1307-4.2 Facility Criteria

#### 1307-4.2.1 General

These criteria are presented below in two categories:

- Facilities that deal with explosives only
- Facilities with explosives and nuclear material present, specifically plutonium.

#### 1307-4.2.2 Explosives-Only Bays—Facilities for Storage, Handling, and Processing

In the planning of explosives activities to be performed and in the design of explosives bays to satisfy these activity requirements, a basic tenet shall be to limit explosives activity hazards exposure to a minimum number of personnel. Additionally, each bay housing an explosives activity shall have levels of protection based on the explosives hazard class (see Glossary) determined for the activity. The levels of protection may be accomplished by equipment design, structural design, operation separation and/or the provision of operational shields as defined in DOE/EV 06194.

#### Class IV Explosives Bays

Bays for Class IV (negligible probability of accidental initiation) activities shall provide protection from fire hazards effects. Because accidental detonation is not considered credible, Class IV bay shall be sited and designed to withstand the effects of blast overpressure, structural collapse, and missiles (hazardous fragments) from adjacent facilities.

### **Class III Explosives Bays**

Bays for Class III (low accident potential) activities shall provide protection from explosion propagation from bay to bay within buildings and between buildings that are located at the intraline or magazine separation distance. Minimum separation distances may be reduced when explosives bays are designed to adequately contain the effects of an accident (blast overpressures and missiles).

### **Class II Explosives Bays**

Bays for Class II (moderate accident potential) activities shall, in addition to complying with the requirements for Class III bays, include design to prevent fatalities and severe injury to personnel in all occupied areas other than the bay of occurrence. For the purpose of the Class II category, access ramps and plant roads are not considered occupied areas. Prevention of fatalities and severe injuries is satisfied where personnel in occupied areas other than the bay of occurrence will not be exposed to:

- Overpressures greater than 15 psi maximal effective pressure. This is the threshold pressure for lung damage.
- Structural collapse resulting from overpressures or debris impact. Structural collapse is the loss of structural integrity from the failure of a structural component as a direct result of the facility's being subjected to various loadings (judged in accordance with the ability of the structure to remain intact so that explosion propagation, fatalities, and severe injuries will not occur).
- Secondary missiles (hazardous fragments) generated in the occupied areas. Hazardous fragments for fatalities and severe injuries are defined as those having greater than 58 ft-lb impact energy.

### **Class I Explosives Bays**

Bays for Class I (high accident potential) activities shall, in addition to complying with the requirements for Class II Bays, provide protection to prevent serious injuries to all personnel, including personnel performing the activity, personnel in other occupied areas, and all transient personnel. This protection may be achieved by controlling blast and debris through suppression and containment or by establishing an exclusion area with positive access control. Prevention of serious injuries is provided where personnel will not be exposed to:

- Overpressures greater than 5 psi maximal effective pressure and should not exceed 2.3 psi peak positive incident pressure. The 5 psi value is the threshold pressure for eardrum rupture.
- Structural collapse of the building from overpressure or debris impact. Structural collapse is the loss of structural integrity from failure of a structural component as a direct result of the facility's being subjected to various loadings (judged in accordance with the ability of the structure to remain intact so that explosion propagation and serious injury to personnel will not occur).

- Missiles (hazardous fragments). Hazardous fragments for serious injuries are defined as those having greater than 11 ft-lb impact energy.

#### 1307-4.2.3 Joint Explosives-Plutonium Bays/Facilities

##### General

Bays for joint explosives-plutonium activities shall comply with the requirements of Section 1307-4.2.2, Explosives-Only Bays-Facilities for Storage, Handling, and Processing, for the class of explosives activity involved and DOE-DNA TP-20-7. Additionally, because of the plutonium contamination potential, they shall comply with the following requirements.

##### Bays for Uncased Explosives-Plutonium

Where it is necessary to store, handle, or process uncased explosives assemblies in the same bay with plutonium, the enclosing structure and its associated ventilation, electrical, fire protection, and utility systems shall be designed to ensure that in the event that all the explosives detonate the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated. Radiation protection features shall be included to provide worker protection equivalent to that specified for plutonium facilities.

The quantity of plutonium allowed in this bay will be governed by a safety analysis of the specific activities to be conducted therein and the risk to the workers. Activities may be performed in Class IV bays if only IHE, IHE subassemblies, or IHE weapons are present; however, the limit of plutonium allowed will be governed by criticality considerations.

##### Bays for Cased Explosives-Plutonium Activities

For those bays where it is necessary to conduct operations or to process cased explosive component assemblies that contain plutonium, a Class II-level of protection shall be provided. Storage shall conform to Class III requirements. The limit of plutonium shall be 25 kilograms per bay. The plutonium limits for magazines are specified in DOE/DNA TP-20-7. Activities may be performed in Class IV bays if only IHE, IHE subassemblies, IHE weapons, or test devices are present; however, the limit of plutonium allowed will be governed by criticality considerations.

##### Staging Bays (in-process)

The practice of using staging bays is permissible as long as the bay(s) is (arc) designed to provide Class II level of protection.

##### Design for Natural Phenomena

A high level of protection from natural phenomena for HE-Pu bays is required. Bays involving the coincident storage, handling, or processing of HE and plutonium, whether the HE is cased or not, are considered sensitive operations with respect to the potential hazards from high winds, tornadoes, and seismic phenomena. Structures, systems, and associated equipment involved in these activities shall be designed to protect the HE from the loads induced by such forces, including missile loads. The application of these criteria shall not have an adverse effect on the explosion protection design features of the structure. The



degree of protection afforded IHE-plutonium bays or explosives-only bays and their support buildings shall be based on a determination of the function housed and its effect on the accomplishment of the mission of the installation. See Section 0111-99.0, Nonreactor Nuclear Facilities-General, for design details for specific natural phenomena. Also see Section 1660-99.4.4, Lightning Protection.

#### 1307-4.2.4 Explosives Design Basis

Blast-resistant design for personnel and facility protection shall be based on the TNT equivalency of the maximum quantity of HE to be used in the bay. For example, based on heat of detonation, one pound of one of the most energetic plastic-bonded explosives is equivalent to 1.3 pounds of TNT. It is recommended by TM 5-1300 that the "effective charge weight" or the "actual charge weight," depending on the method used to determine the TNT equivalent, be increased by 20 percent for design purposes.

For total containment facilities, the internal gas pressure produced in an accident may be the controlling design requirement rather than blast pressure. For internal pressure calculations, the TNT equivalency for blast pressures may not be applicable.

#### 1307-4.2.5 Utilities

The design of utility services for explosives facilities shall provide reliability consistent with operational requirements, value, and potential hazard.

### 1307-5 DECONTAMINATION AND DECOMMISSIONING

Installations handling explosives and radioactive material shall have a personnel decontamination facility for emergency use. Minimum requirements shall include sinks, showers, and change areas. Provisions shall be made for radiation monitoring equipment to be located in the decontamination room and at specified locations where workers exit from potentially contaminated areas.

### 1307-6 PHYSICAL PROTECTION AND MATERIAL SAFEGUARDS

Explosives facilities shall comply with the DOE 5632 series. Advice and guidance shall be obtained from cognizant DOE safeguards and security personnel during the planning and design of explosives facilities.

## 1318 URANIUM ENRICHMENT FACILITIES

### 1318-1 COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

This section is applicable to facilities that enrich uranium by the gaseous diffusion, gas centrifuge, or AVLIS process. UEFs include

- Storage capability for incoming feed material
- A sampling station
- A feed system
- Equipment for the enrichment process
- A product withdrawal system
- A tails withdrawal system
- Product packaging, storing, and shipping areas
- Cleaning and decontamination areas
- Assembly/disassembly areas
- Maintenance and storage areas
- A central control station
- A complex to provide technical service and administration

These criteria shall apply to all levels of uranium-235 enrichment. The following are three popular assay levels of uranium-235 enrichment:

- Reactor grade assay (2.5 to 5 percent)
- High enrichment assay (5 to 94 percent)
- Very high enrichment assay (above 94 percent)

#### 1318-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

#### 1318-3 NUCLEAR CRITICALITY SAFETY

For low (<4%) uranium-235 enrichment product, a criticality event is unlikely; but shall be considered. For high (>4% but <20%) and very high (>20%) enriched uranium, nuclear

criticality safety shall be achieved by favorable geometry, minimization of neutron moderation, and administrative procedures.

Favorable geometry shall be achieved by cylinder/vessel size and shape, by limiting the number of cylinders/vessels that can be stacked in a single array, and by establishing a minimum distance between arrays.

Moderation of neutrons shall be minimized by reducing the quantity of hydrogenous materials.

Process systems shall be designed to prevent the carry-over of fissile material and other material capable of sustaining a chain reaction from geometrically safe portions of the facility to other areas of the facility.

A system of positive control and backflow prevention shall be provided in all process systems to prevent inadvertent transfer of fissile material and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers.

#### 1318-4 SPECIAL DESIGN FEATURES

##### 1318-4.1 General

A system design that is not susceptible to the freeze-out or solidification of  $UF_6$  in the gas processing streams or equipment shall be provided.

Special consideration shall be given to the handling of flammable and other hazardous gases and chemicals to minimize their hazard.

Optimum working inventory shall be part of the design for controlling and confining hazardous substances. Optimum working inventory is defined as the minimum feasible inventory considering operational requirements and resulting frequency of material transfer activities. See CONF-86-09116-1 for a risk assessment of hazardous substances that are found in enrichment facilities.

Water from fire sprinkler systems shall be shielded from mixing with  $UF_6$ .

Standby power shall be provided automatically on loss of normal power. The standby power system shall be safety class and capable of providing continuous power for the time required to achieve a safe shutdown condition or for the period of time that components require power to perform their necessary safety functions, whichever is greater. The electric power generating capacity shall be the sum of all emergency power loads and provide adequate reserve capacity for future loads. The inductive loads from equipment startup, such as induction motor starts, shall be considered in the standby power system design.

##### 1318-4.2 $UF_6$ Cylinder Handling Areas

Equipment for handling cylinders shall be designed to ensure:

- A minimum potential for  $UF_6$  release

- Safe operations with cylinders, including storage, movement, receipt, shipment, and sampling
- Strict adherence to the requirements for nuclear criticality safety

Facility design shall include an area for cylinder inspection (internal and external), hydrostatic testing, and repair.

#### 1318-4.3 Sampling, Feed, Conversion, and Preparation Areas

Adequate provisions for cylinder sampling shall be provided for in the design. The normal sampling operation design shall include instrumentation for determining cylinder pressure, autoclaves for heating the cylinders, capability for thorough mixing of the contents, and equipment for vapor and liquid sampling, as appropriate. Cooling of the cylinder (for solidification of  $UF_6$ ) shall be part of the design for the sampling station.

A sampling area shall be located in or adjacent to the receiving-shipping area of the facility. This area shall be equipped with steam-heated, self-contained autoclaves, special material-handling equipment, and weight measurement scales with automatic time and weight printout to accommodate the DOT-approved 2-1/2-ton, 10-ton, or 14-ton shipping containers. The autoclaves shall be equipped with a sensor system to automatically shut off the steam in case of overheating, cylinder leakage, or cylinder rupture.

The feed preparation area for gaseous diffusion and gas centrifuge facilities shall consist of self-contained autoclaves with appropriate instrumentation and safety systems to control the steam heating of inspected  $UF_6$  cylinders placed in the autoclaves. Autoclave shall be designed to contain the rupture of a uranium cylinder. A sensor system shall sense the release of  $UF_6$  inside the autoclave and shut off the steam and water to the autoclave.

The gas centrifuge process is more sensitive than the gaseous diffusion process to the presence of "light gas" impurities (i.e., air,  $CO_2$ ,  $HF$ , and  $N_2$ ). The quantity of "light gases" shall be reduced as needed to satisfy the requirements of the centrifuge being used.

For the conversion of  $UF_6$  to feed material for AVLIS facilities, the design of  $UF_6$  reduction towers and surrounding area shall include confinement integrity, hydrogen monitoring, and explosion venting.  $UF_6$  transfer lines shall be designed for complete confinement with consideration given to maintenance operations. The  $UF_6$  transfer system shall be resistant to  $HF$  acid. Removal of uranium debris from the reduction vessels shall be performed within ventilated enclosures. If the chosen feed conversion process differs from the concept of  $UF_6$  to  $UF_4$  conversion, then the design criteria for this chosen process shall be consistent with the intent of DOE 6430.1A.

Feed for AVLIS facilities shall be metal billets. The separator feedstock shall be stored in a manner that prevents the dispersion of uranium. The billet fabrication process, starting with the preparation of feed material through the removal of billets from the induction furnace, shall be performed under an inert gas cover. Cleaning and refurbishing of induction furnace graphite crucibles shall be performed within ventilated enclosures.

#### 1318-4.4 Uranium Enrichment Areas

These requirements shall apply to all levels of uranium-235 enrichment. The major areas for design consideration in a gaseous diffusion facility shall be equipment, i.e., compressors, converter, motors, electric power, cooling, instrumentation and controls, piping, purge, and support facilities. The designer shall incorporate the latest improvements for optimum-sized equipment to meet the design requirements and provide high reliability (99+ percent on-stream efficiency). Compressors shall be provided with high temperature sensors and vibration detectors to indicate problems before the equipment is destroyed.

The major areas for design consideration in a gas centrifuge facility include centrifuge machines, electric power, cooling, instrumentation and controls, piping, purge, and support facilities. The design shall incorporate the best available improvements for optimum sized equipment to meet the design requirements and provide for reliable operations. Centrifuge units shall be equipped with speed sensors to indicate any unusual or undesired change in speed.

The major areas for design consideration in an AVLIS facility shall be laser systems, electric power, AVLIS separator system, cooling, instrumentation and control, protective enclosures, and support facilities. The design shall incorporate the latest improvements for optimum-sized equipment to meet the design requirements and provide for reliable operations.

All high-powered laser light paths in the AVLIS facility shall be designed as enclosed lasers. Interlocks shall be provided on all laser system enclosures.

The AVLIS separator module shall be designed to withstand the design basis molten-metal water reaction (see FAI/83-9). The module wall thickness shall be sufficiently thick to reduce external X-ray exposure rates to less than 0.5 mR/h. Additional shielding shall be provided, as required, at module penetrations to eliminate leakage radiation. Separator pods shall be disassembled and cleaned within ventilated enclosures or work areas.

#### 1318-4.5 Products and Tails Processing Areas

At gaseous diffusion and gas centrifuge facilities, the  $UF_6$  gas product shall be withdrawn at the top of the cascade (highest assay stage), condensed, and drained into a DOT-approved shipping container. The equipment necessary to perform this operation shall include the mass spectrometer to analyze the assay sample, equipment for liquefaction or condensing the product stream, filling stations with weighing scales, DOT-approved  $UF_6$  shipping containers, a shipping container handling and transport system, and a cooling area. Transuranic impurities are unwanted in the high assay material and shall be removed by a physical adsorption trapping system. Feed level impurities are acceptable for most reactor grade assay material under 5 percent.

At gaseous diffusion and gas centrifuge facilities, the equipment required for the tails processing shall be similar to the equipment required for product processing, but larger in size and volume-handling capacity. Stream impurities and favorable geometry containers are not a design consideration for the tails processing.

Product conversion in AVLIS facilities shall be designed to meet performance and nuclear criticality safety including favorable geometry requirements. Tails ingots shall be packaged and stored in a manner that will minimize the formation and dispersion of uranium oxide.

## 1318-5            CONFINEMENT SYSTEMS

### 1318-5.1        General

The actual confinement system requirements for a specific UEF shall be determined on a case-by-case basis.

Generally, UEFs use two confinement systems. The primary confinement system consists of the process system pressure boundary and its purge and off-gas connections. The secondary confinement system is the barriers that enclose the areas in which the process system components are located and includes the associated system that ventilates the enclosed areas. The degree of confinement required for  $UF_6$  will generally depend on the physical state of the  $UF_6$  (eg., solid, liquid, or gas).

### 1318-5.2        Primary Confinement System

The primary confinement is the process system pressure boundary and its associated purge and off-gas systems.

The integrity of the primary confinement shall be ensured for all normal operations and anticipated operational occurrences such as loss of electric power, loss of cooling, and loss of instrument and control air, and for the DBAs it is required to withstand.

### 1318-5.3        Secondary Confinement System

The secondary confinement system consists of the barriers that enclose the areas in which the process system components are located and the associated ventilation system that ventilates the enclosed areas.

The secondary confinement system shall remain functional for all normal operations, anticipated operational occurrences, and the DBAs it is required to withstand.

The secondary confinement barriers shall be designed and located to allow access to all sides of enclosed equipment to facilitate equipment maintenance, inspection, installation, and removal. As required, access panels shall be provided to allow operation and observation of equipment inside an enclosed area by personnel outside the enclosure. The secondary confinement areas shall be equipped with sensors to detect releases of  $UF_6$  from the primary confinement boundary and provide appropriate alarms.

Penetrations of the secondary confinement barriers shall be minimized. To the extent practical, all equipment components not functionally required to operate directly in the presence of the  $UF_6$  shall be located outside the secondary confinement. The design of equipment that must be located within the secondary confinement area shall, to the extent practical, allow for in-place maintenance and/or replacement. Penetrations of the secondary

confinement barriers shall have positive seals to prevent the migration of contamination out of the confinement area if there is a failure of the process system or primary confinement.

Each secondary confinement area shall be supplied ventilation air from the building ventilation system. The area shall be provided with exhaust ventilation with sufficient capacity to ensure an adequately controlled ventilation flow as required in the event of a credible breach in the secondary confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system.

## 1318-6 EFFLUENT CONTROL AND MONITORING

### 1318-6.1 Radioactive Solid Waste

The solid radioactive waste typically associated with a UEF that shall be considered during the design include but are not limited to uranium contaminated waste such as alumina and other traps, classified waste (e.g., barrier materials, failed centrifuge parts), and nonuranium contaminated waste. Nuclear criticality safety shall be considered in the design of the radioactive solid waste processing facility.

The major waste-handling problem will be an excess of fluorides in solids and solutions. Design considerations shall be given for methods that maximize recovery and recycle of the fluorides. Any remaining fluoride in solid waste that is to be permanently disposed of shall be immobilized.

### 1318-6.2 Radioactive Liquid Waste

#### 1318-6.2.1 Process Wastes

The liquid radioactive wastes typically associated with a UEF that shall be considered during the design include but are not limited to liquid decontamination and cleaning waste and contaminated laundry wastes. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

### 1318-6.3 Effluents

#### 1318-6.3.1 Airborne Effluents

The airborne radioactive wastes typically associated with a UEF that shall be considered during the design include but are not limited to contaminants in the purge cascade vent system such as UF<sub>6</sub> and contaminants in other process vent streams (e.g., cold recovery, buffer seal exhaust). Nuclear criticality safety shall be considered in the design of the airborne effluent system.

All exhaust outlets that may contain uranium contamination shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

## 1318-7 STORAGE FACILITIES

Stacking requirements for cylinder storage arrays and separation distances between arrays shall be determined before preliminary design has begun. The criterion for determination of these parameters shall be criticality prevention and shall be considered based on the greatest enrichment expected to be present in a given array.

Separate storage areas shall be provided for "feed" cylinders, "product" cylinders, and "tails" cylinders. In addition, different storage areas shall be provided for products with differing enrichment assays.

UF<sub>6</sub> shall be stored and transported in DOT-approved containers. Other toxic substances shall be contained, stored, and transported in containers that are standard for the chemical industry.

The design professional shall consider the criteria provided in USNRC R.G. 3.13 for applicability to UEFs.

## 1319 URANIUM PROCESSING AND HANDLING FACILITIES

### 1319-1 COVERAGE

Section 1300 General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

A UPHF is a facility that receives feed material from sources such as a conversion facility, a reprocessing facility, or fuel/target storage material. It processes, handles, and produces products such as UO<sub>2</sub>, UF<sub>6</sub>, uranium metal, reactor fuel assemblies, target assemblies, and nuclear weapons components.

This section is not process specific. It is applicable to facilities that handle and process uranium; however, it is principally directed at facilities that process and handle uranium enriched in U-235.

### 1319-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.



### 1319-3            NUCLEAR CRITICALITY SAFETY

Nuclear criticality safety shall be controlled, in decreasing priority, by geometric spacing, density and/or mass limitation, fixed neutron absorbers, soluble neutron absorbers, and administrative control.

Enclosures and material transport and transfer systems shall be designed so that enriched uranium and moderating material in excess of safety limits cannot be added to otherwise criticality-safe enclosures or areas.

Process systems shall be designed to prevent the carry-over of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the facility to other areas of the facility.

A system of positive control and backflow prevention, such as air gaps (siphon breakers), shall be designed to prevent the inadvertent transfer of fissile material and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers.

Process enclosure floor drains shall be designed to preclude the accumulation of fissile material and other material capable of sustaining a chain reaction in associated traps and piping.

The design professional shall consider the criteria provided in R.G. 3.34 for applicability to UPHFs.

### 1319-4            SPECIAL DESIGN FEATURES

Materials of different uranium assays shall be handled in physically different trains of equipment even though duplication of equipment results. If this is not possible, the equipment shall be sized for criticality control of the most restrictive condition.

A definite isotopic specification for reactor returns shall be established before facility design is started for refabrication of enriched uranium that has been irradiated and reprocessed.

Metallurgical processes and ceramic materials processing are the two principal types of processes for fabrication of uranium products. The hazards associated with each of these processes shall be considered during the design of the fire protection, ventilation, and confinement systems. In addition, the chemical toxicity of uranium shall be considered during the design of the facility. The design shall provide specific control and isolation of flammable, toxic, and explosive gases, chemicals, and materials admitted to the areas of the facility.

The design shall provide space for shielding, both permanent and temporary, for personnel and/or remote operations of equipment and processes.

The primary confinement system shall be constructed of fire-resistant materials, and the process equipment and process being confined shall be designed to prevent or minimize the probability of potential flammable or explosive conditions. Confinement enclosures for

flammable metals shall be designed with self-contained fire protection and extinguishing equipment; in some cases, inert atmospheres may be desirable within the enclosures.

The design professional shall ensure that all work that could subject personnel to possible inhalation exposures is performed in process confinement enclosures by using appropriate designs. Glove boxes shall be the preferred enclosure.

When glove boxes are used, their design and construction shall allow replacement of parts and/or relocation of the box(es) within the facility or system(s) with a minimum of contamination (see Section 1161, Enclosures).

To the extent practical, discrete processing steps shall be performed in individual process confinements to reduce the amount of hazardous material capable of being released by a single or local failure of the confinement system.

Process and auxiliary system differential pressure shall be maintained to inhibit backflow of hazardous materials into auxiliary systems.

All process operations that involve oxide powder or that can generate powder or dust shall be provided with special confinement to prevent contamination spread. Facility design shall preclude the handling of uranium oxides in large open rooms.

When inert confinement system atmospheres are used, consideration shall be given to the treatment by purification and moisture removal systems of the inert atmospheres to allow their recirculation.

Small-volume process enclosures shall be designed to prevent the enclosed atmosphere from being pressurized by rapid insertion of gloves into the enclosure.

Equipment design shall facilitate decontamination and appropriate interlocks to prevent spills and cross-contamination.

Installed (fixed) airborne radioactivity monitors shall have a minimum sensitivity of 8 DAC-hours.

Safeguards ability should be considered in the selection of the preferred processing alternative. Factors such as location of the facility, material holdup, vulnerability to diversion, and ease of inventory must be evaluated.

## 1319-5            CONFINEMENT SYSTEMS

### 1319-5.1        General

The following provisions are typical for a UPHF confinement system. The actual confinement system requirements for a specific UPHF shall be determined on a case-by-case basis.

Generally, facilities that process and handle unirradiated enriched uranium use two confinement systems. The primary confinement system encloses or confines the uranium

materials being fabricated and the equipment used to process the uranium. The secondary confinement consists of the structures and associated ventilation systems that surround the operating areas that house the primary confinement system. The secondary confinement system barriers are those that separate the outside environment and free access areas, such as offices and lunch rooms, from potential contamination.

#### 1319-5.2      Primary Confinement System

The primary confinement system includes barriers, enclosures (including their associated ventilation or atmosphere control systems), and process piping and vessels. Its principal function is to prevent the release of hazardous substances into the operating areas.

The integrity of the primary confinement system shall be ensured for all normal operations, anticipated operational occurrences, and for the DBAs it is required to withstand. Breaches of the primary confinement barrier (e.g., due to glove or seal failure) are acceptable if the off-gas treatment system is capable of maintaining an adequate inflow of air for the specified breach size and location. Some portions of the primary confinement may not form a complete physical enclosure. For these, the primary confinement function shall be ensured by adequate air flow and appropriate process equipment design.

If needed, conveyors shall be used to interconnect glove boxes or other primary confinement enclosures to minimize introduction and removal of materials from the system. The primary confinement system criteria shall be applied to these interconnections.

Special design features shall be considered to ensure safe introduction and removal of materials from process confinements.

All process vessels that amid contain uranium shall vent to the process off-gas system, which, in turn, shall pass through pretreatment, if needed, and HEPA filtration.

Three types of metallurgical processes require special ventilation considerations:

- Processes that use volatile or easily entrained organic liquids
- Processes that produce either finely divided particles of metal or small metal chips
- Processes that use corrosive chemicals (e.g., acids, perchlorates)

The first type of process shall have ventilation that provides sufficient air movement around the process area to prevent exposure of personnel to the hazardous liquid or vapor. The design shall incorporate roughing filters and/or other types of traps to remove entrained organic liquid droplets from the process off-gas before the off-gas enters the main ventilation ducting to prevent ventilation ducts from becoming coated with the organic, and thus, creating a fire hazard.

The second type of process shall have the same kind of front-end ventilation adaptations as for hazardous vapors and liquids to prevent metal accumulations in the off-gas ducting or in the final filtration train(s). Roughing filters or centrifugal separators may be sufficient to remove metal particles from the off-gas.

The third type of process shall use off-gas scrubbers to preclude the possibility of damage to the exhaust air cleaning system (e.g., HEPA filtration train).

Metallurgical processing equipment shall have dedicated ventilation systems that exhaust to a common, final filtration train. If airborne particle capture is required, a high linear velocity will be necessary to ventilate these process areas due to the greater densities of metal particles.

Ceramic processes involve oxide powder that is finely divided. The exposure of personnel to the powder inhalation hazard shall be prevented. Even processes that handle bulk ceramics such as pellets are not dust-free operations, and thus, adequate ventilation shall be required.

#### 1319-5.3      Secondary Confinement System

The secondary confinement system generally consists of the confinement barriers and associated ventilation systems that surround or confine the operating areas that house the process system and its primary confinement.

The secondary confinement system shall remain functional during normal operations and anticipated operational occurrences, and during and following the DBAs it is required to withstand.

The operating area compartments shall have sensors to detect releases of hazardous materials from the primary confinement system and provide appropriate alarms. Commensurate with the potential hazard, consideration shall be given to the use of redundant sensors.

Penetrations of the operating area confinement barriers shall be minimized. When practical, all equipment components not functionally required to operate directly in the presence of radioactive materials shall be located outside the operating area compartments. All penetrations of the secondary confinement shall have positive seals to prevent the migration of contamination out of the operating area.

Each secondary confinement compartment shall be supplied with ventilation air from the building ventilation system and shall have exhaust ventilation with sufficient capacity to ensure an adequately controlled ventilation flow as required in the event of a credible breach in the operating compartment confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system.

#### 1319-6      EFFLUENT CONTROL AND MONITORING

##### 1319-6.1      Radioactive Solid Waste

The solid radioactive wastes typically associated with UPHFs that shall be considered during the design include but are not limited to uranium, uranium-contaminated solid waste and nonrecoverable scrap (e.g., prefilters, HEPA filters, used gloves). In addition, there will be general process trash (e.g., waste, paper, plastic bags). Nuclear criticality safety shall be considered in the design of the radioactive solid waste processing facility.

1319-6.2      Radioactive Liquid Waste

The liquid radioactive wastes typically associated with UPHFs that shall be considered during the design include but are not limited to uranium-contaminated liquid waste and nonrecoverable scrap (e.g., liquid filter sludge, wet grinding effluent, contaminated solvents, contaminated oil), and contaminated laundry waste. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

1319-6.3      Effluents

1319-6.3.1      Airborne Effluents

The airborne radioactive wastes typically associated with UPHFs that shall be considered during the design include but are not limited to airborne particulate material generated by fabrication processes (e.g., airborne grinding dust). Nuclear criticality safety shall be considered in the design of the airborne effluent system.

All exhaust outlets that may contain uranium contamination shall be provided with two monitoring systems. These monitoring systems shall be comply with Section 1589-99.0.1, Radioactive Airborne Effluent.

1319-7      DECONTAMINATION AND DECOMMISSIONING

The UPHF design shall include a special, permanent decontamination process capability in a dedicated area that is furnished with the appropriate equipment and utilities for decontamination of tools and equipment.

1319-8      STORAGE FACILITIES

Storage of enriched uranium shall comply with the storage requirements of Section 1306, Unirradiated Enriched Uranium Storage Facilities.

1320      IRRADIATED FISSILE MATERIAL STORAGE FACILITIES

1320-1      COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

IFMSFs are self-contained installations for storage of highly radioactive fissile material (e.g., spent fuel and target elements) that has been exposed to a neutron fluence usually in a nuclear reactor. The irradiated material is properly clad or canned when received such that leakage from the assemblies is minimized and remains within specified limits. The IFMSF stores the material in a manner that ensures the integrity of the cladding or canning. The

stored material is shipped to facilities such as a reprocessing facility, hot laboratory, or high-level solid radioactive waste facility.

This section applies to a water pool type or dry type of storage facility. Spent fuel storage facilities that are part of a reactor facility are not covered by this section. They are covered by DOE 5480.6.

These criteria shall be applied in the planning and design of new or modification of existing IFMSFs to contain IFM. They do not apply to in-process or in-use materials.

#### 1320-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

#### 1320-3 NUCLEAR CRITICALITY SAFETY

Favorable geometry, as implemented by storage rack design, is the preferred method of implementing nuclear criticality safety. When fixed neutron absorbers are used, the rack design shall include provisions to verify the absorber's continual efficacy and to prevent their inadvertent removal by mechanical or chemical action. Storage racks shall be designed as safety class items and shall their integrity during and following a DBA.

#### 1320-4 SPECIAL DESIGN FEATURES

To ensure that adequate water is available to cool and shield the stored IFM, the pool shall be designed as a safety class structure and structural integrity ensured following a DBE.

The cooling water system for a water pool type IFMSF shall perform its required functions during normal and anticipated operating conditions, and shall be capable of limiting the maximum pool temperature to 110°F.

For a water pool type facility, the cooling water system shall be designed as a safety class system unless there is a safety class emergency source of makeup water for the storage pool and it can be demonstrated that, under emergency conditions, the pool structure can withstand the stresses imposed by the loss of cooling (i.e., boiling of pool water) and the integrity of the stored IFM is not affected. If the emergency makeup system is not permanently installed, the time required to implement its operation shall be less than the time required to lower the pool water level to the minimum allowable depth or raise the pool temperature to boiling.

A pool water cleanup system shall be provided to maintain water clarity, ensure long-term cladding integrity, ensure structural integrity of the storage racks and other submerged structures, and maintain exposure rates and airborne contamination levels on the operating floor within the guidelines specified in DOE 5480.11. In addition, to the extent practical, the

design of this system shall use ALARA principles to minimize overall exposures and contamination levels.

The piping configuration for the pool cooling water and cleanup system shall be designed to eliminate the possibility of siphoning the pool water to a level below the minimum depth required for shielding and/or cooling.

To the extent practicable, passive cooling means shall be used at dry type storage facilities. If a cooling water jacket or air system is provided to ensure an acceptable temperature of the stored material within the dry type storage facility, it shall be designed as a safety class system.

The design professional shall consider the following:

- Filters capable of being either remotely backflushed or designed so that cartridges can be removed directly into a shielded container
- Instrumentation for periodic functional testing of the pool water cleanup system performance
- Instrumentation for periodic functional testing of the heat exchanger(s).

A system shall be incorporated into the design that can detect leakage from stored IFM in the event of a cladding or canning failure that could allow the escape of fission products and other radioactive material greater than specified limits. This system shall include the following:

- The system shall allow for the sampling of coolant such that an individual leaking assembly can be identified.
- System components, piping, and instrumentation shall be appropriately shielded to maintain operator exposures within the guidelines specified in DOE 5480.11 and shall use ALARA design principles to minimize overall exposures.
- The storage facility shall contain provisions that allow the temporary storage of a leaky assembly. These provisions shall limit the spread of contamination by a leaky assembly and provide adequate cooling and shielding of the assembly.
- The design professional of the IFMSF shall consider provisions that allow for the interim canning of leaking assemblies until disposal.

The design professional shall consider the criteria provided in 10 CFR 72 and the following guides for applicability to IFMSFs:

- R.G. 3.49
- R.G. 3.54

## 1320-5 CONFINEMENT SYSTEMS

### 1320-5.1 General

The following provisions are typical for a IFMSF confinement system. The actual confinement system requirements for a specific IFMSF shall be determined on a case-by-case basis.

In general, the primary confinement shall be the IFM cladding or canning. Secondary confinement shall be established by the facility buildings that enclose the dry storage area and/or the storage pool and auxiliary systems.

### 1320-5.2 Primary Confinement System

The IFM cladding or cans, as appropriate, shall provide primary confinement during normal and anticipated operational occurrences.

The IFM cladding or canning shall be designed to provide a corrosion-resistant confinement for the IFM material to prevent an uncontrolled release of radioactive material.

Special design features shall be considered to ensure safe loading, removal, and handling of IFM. These systems and equipment shall be designed as safety class items and shall protect against the dropping of shipping casks, IFM assemblies, and other items on the stored IFM. In water pool type facilities, damage to the pool during loading and unloading operations shall not allow the pool level to drop below the minimum allowable depth. Consideration shall be given to features that will prevent breaching the pool integrity if a shipping cask is dropped.

### 1320-5.3 Secondary Confinement System

The facility building and ventilation make up the secondary confinement system.

The secondary confinement system shall be designed to function during normal operations and anticipated operational occurrences. It shall also be capable of performing its necessary safety functions during and following the DBAs it is required to withstand.

Penetrations of the secondary confinement barrier shall have positive seals to prevent the migration of contamination. The use of positive seals shall be considered for penetration of enclosures within the facility building to ensure the proper ventilation flow paths and prevent the uncontrolled migration of contamination.

Ventilation systems shall provide for inlet air filtration (roughing filters) for the main storage building to prevent dust accumulation, thus reducing the load on other filters in the facility. Recirculated air in the main storage building shall be filtered through a HEPA filter to reduce the buildup of radioactive material in the air. Areas with higher potential airborne radioactive contamination (e.g., pool water purification and waste treatment system areas) shall use only once-through air flow. Supply air to these facilities shall be drawn from the main storage building if such design is feasible. Exhaust air shall be HEPA filtered prior to release. If safety analysis indicates that the guidelines specified in Section 1300-1.4.2, Accidental Releases, could credibly be violated due to a release of radioiodine from a DBA.



## 1320-7 DECONTAMINATION AND DECOMMISSIONING

Decommissioning of the IFMSF is of utmost importance. The facility design shall include features that will facilitate decontamination for future decommissioning.

For water pool type facilities, the design professional shall consider providing the pool liner with a leakage collection system that will allow leakage detection and limit absorption of contaminated pool water by concrete structures.

## 1321 REPROCESSING FACILITIES

### 1321-1 COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

A reprocessing facility recovers uranium, plutonium, and other selected actinides, and selected fission products from irradiated fissile fuel material and target material, and separates them from each other and from any remaining actinides and fission products.

### 1321-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### 1321-3 NUCLEAR CRITICALITY SAFETY

Process systems shall be designed to prevent the carry-over of sludges, fines, or precipitates of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the facility to other areas of the facility (e.g., from a uranyl nitrate concentrator to the acid recovery system).

A system of positive control and backflow prevention, such as air gaps (siphon breakers), shall be provided to prevent inadvertent transfer of fissile materials and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers.

Heating or cooling jackets in the favorable dimension of geometrically favorable vessels shall be incorporated into the design to preclude a leak in the jacket causing an unacceptable reduction of the margin of subcriticality.

Sumps shall be designed so that nuclear criticality safety is ensured if a credible mechanism exists for accumulating fissile material or other material capable of sustaining a chain reaction (e.g., due to leakage from or failure of the primary confinement boundary).

Structures, systems, and components whose failure could in any way result in a criticality shall be designed as safety class items and shall be capable of providing their function following a DBE.

The design professional shall consider the criteria provided in R.G. 3.33 for applicability to reprocessing facilities.

#### 1321-4 SPECIAL DESIGN FEATURES

Process system and auxiliary system differential pressure shall be maintained to inhibit backflow of contamination into auxiliary systems.

The process equipment for transferring toxic and corrosive fluids shall use vacuum and gravity where possible. Pumps and jets shall have pressure capacity no greater than 10 percent above needed transfer capacity.

The integrity of process equipment off-gas treatment systems shall be ensured for normal operations, anticipated operational occurrences, and the DBAs they are required to withstand.

The use of directed airflow and backflow preventers to feed areas (i.e., shear and dissolver areas) is required.

Mechanical chopper and dissolver off-gas and other process vents shall be treated by an off-gas treatment system for removal of nuclides. As a minimum, the treatment system shall be designed for particulate removal and shall control the release of airborne radionuclides to the extent that the guidelines referenced in Section 1300-1.4.3, Routine Releases, are not exceeded. In addition, the design shall incorporate ALARA concepts to minimize impacts on operators and the public/environment.

Radioiodine adsorber units in the exhaust ventilation/off-gas system are required to reduce the radioiodine concentration in the effluent to the extent that the guidelines specified in the references listed in Section 1300-1.4.3, Routine Releases, are not exceeded. Additionally, these releases shall be ALARA. Acceptable criteria for the design of these units are found in ERDA 76-21.

To reduce the amount of hazardous material capable of being released by a single or local failure of the process equipment, the following design provisions shall be considered:

- Grouping or compartmentalizing process equipment to form units that can isolate the process inventory into modular units
- Provisions for the capability to detect leakage from process equipment

- Selection of the method (e.g., manual, remote-manual, or automatic) of performing corrective actions (e.g., process shutdown) according to the potential hazards associated with a particular release

Design features that shall be considered to ensure maintenance of the principal confinement systems include the following:

- The use of electrical equipment that precludes or minimizes the introduction of an ignition source in flammable or potentially flammable locations
- Measures to ensure that operation of support and protection systems such as a fire protection system do not promote the failure of the principal confinement systems
- Provisions for sprinklers, water fog, or other suitable systems within the secondary confinement to provide for rapid heat removal and minimum pressurization of the process cell or canyon and to minimize the loading of ventilation system filters with combustion products

Process equipment shall be designed to operate under process conditions that prevent or minimize the probability of potentially explosive chemical reactions (e.g., solvent vapor explosions, nitrate-solvent reactions).

Process system design shall include provisions for all fission product oxidation states expected during processing (e.g., suppression of the volatilization of ruthenium, the prevention of iodate formation).

Systems shall be provided to minimize the probability and consequences of pressurizing a primary confinement component as a result of an anticipated operational occurrence or DBA.

The design professional shall consider the criteria provided in the following USNRC Regulatory Guides for applicability to reprocessing facilities:

- R.G. 3.14
- R.G. 3.17
- R.G. 3.20
- R.G. 3.22
- R.G. 3.27

## 1321-5 CONFINEMENT SYSTEMS

### 1321-5.1 General

The following provisions are typical for a reprocessing facility confinement system. The actual confinement system requirements for a specific reprocessing system shall be determined on a case-by-case basis.

The degree of confinement required in various locations of the facility depends on the potential hazards associated with the process being carried out and shall suit the most restrictive case anticipated. Consideration shall be given to the characteristics of the hazardous material involved such as type, quantities, physical and chemical forms, dispersibility, and energy available for dispersion.

In general, for the most restrictive case anticipated, the use of three confinement systems shall be considered. In reprocessing facilities where the processes require the use of corrosive or noxious materials, the process system shall be totally enclosed and provided with its own vent system and off-gas cleanup system. In such cases, the process system shall be treated as the primary confinement system. Secondary confinement shall be the process cells and their ventilation system. The tertiary or final confinement shall be the building structure and its ventilation system. In addition to these principal confinement systems, features such as change rooms and special access ways shall be used to minimize the spread of contamination within the facility.

The effectiveness of each confinement barrier shall be checked analytically against all challenges it is expected to withstand without loss of function. This applies to any form of the hazardous material (gas, liquid, or solid) and its carrying medium (i.e., airborne or spilled in a liquid).

In addition, the design professional shall consider the criteria provided in the following guides for applicability to reprocessing facilities:

- R.G. 3.18
- R.G. 3.32

### 1321-5.2 Primary Confinement System

The primary confinement system consists of process systems equipment and its associated off-gas system.

The integrity of the primary confinement system shall be ensured for all normal operations, anticipated operational occurrences, and for the DBAs it is required to withstand.

Failures of process equipment shall not cause failure of the secondary confinement system.

The process equipment shall be designed to operate under process conditions that prevent or minimize the probability of potential explosive chemical reactions.

### 1321-5.3      Secondary Confinement System

The secondary confinement system consists of the process cell barriers and the ventilation systems associated with the cells.

The secondary confinement shall be designed to remain functional during normal operations and anticipated operational occurrences. It shall be capable of performing its necessary safety functions during and following the DBAs it is required to withstand.

Secondary confinement areas shall be equipped with sensors to detect abnormal releases of hazardous material from the primary confinement boundary and provide appropriate alarms. Commensurate with the potential hazard, consideration shall be given to the use of redundant sensors.

All penetrations of the secondary confinement shall have positive seals to prevent the migration of contamination out of the secondary confinement area.

The ventilation system shall be designed to maintain a negative differential pressure during the removal of cell covers and for normal in-leakage at cell cover joints.

Process cells shall be supplied ventilation air from the building ventilation system, and shall have exhaust ventilation with sufficient capacity to ensure an adequate controlled ventilation flow as required in the event of a credible breach in the secondary confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system. Special features (e.g., air locks, enclosed vestibules) shall be considered for access through secondary and tertiary confinement barriers.

### 1321-5.4      Tertiary Confinement System

#### 1321-5.4.1      General

The process building and associated ventilation system compose the tertiary confinement system.

The tertiary confinement system shall be designed to function during normal operations and anticipated operational occurrences. It shall be capable of performing its necessary functions during and following the DBAs it is required to withstand.

The tertiary confinement shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events, including the postulated DBAs and DBF initiated by those events, and remain functional to the extent that guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

#### 1321-5.4.2      Penetrations

Penetrations of the building confinement barriers shall have positive seals to prevent the migration of contamination.

## 1321-6 EFFLUENT CONTROL AND MONITORING

### 1321-6.1 Radioactive Solid Waste

The solid hazardous wastes typically associated with reprocessing facilities that shall be considered during the design include but are not limited to fuel cladding hulls, iodine adsorber waste (e.g., charcoal, silver zeolite), filter media, nontransuranic waste (e.g., waste dryer solids, nonfuel bearing components), and general trash (e.g., paper, rags, gloves). Nuclear criticality safety shall be considered in the design of the solid radioactive waste processing facility.

### 1321-6.2 Radioactive Liquid Waste

#### 1321-6.2.1 Process Wastes

The liquid hazardous wastes typically associated with reprocessing facilities that shall be considered during the design include but are not limited to first-cycle co-decontamination waste, concentrated second- and third-cycles waste, general concentrator bottoms, iodine scrubber waste (e.g., sodium hydroxide, silver nitrate) and contaminated laundry waste. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

### 1321-6.3 Effluents

#### 1321-6.3.1 Airborne Effluents

The airborne radioactive effluents typically associated with reprocessing facilities that shall be considered during the design include but are not limited to dissolver off-gas, process vessel vents, and high-level liquid radioactive waste collection and storage tank vents. Effluent system designs shall preclude the holdup or collection of fissile material and other material capable of sustaining a chain reaction in portions of the system that are not geometrically favorable. Nuclear criticality safety shall be considered in the design of airborne radioactive effluent systems.

All exhaust outlets that may contain transuranics or fission products shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

## 1321-7 DECONTAMINATION AND DECOMMISSIONING

The facility shall include a special, permanent decontamination process capability in a dedicated area that has the appropriate equipment and utilities for decontamination of all or as much equipment as practical.

## 1322 URANIUM CONVERSION AND RECOVERY FACILITIES

### 1322-1 COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

Uranium conversion facilities receive feed materials such as  $UF_6$ , uranyl nitrate or  $UO_3$ , process these materials chemically and produce uranium metal,  $UO_2$  and  $UF_6$ . Uranium recovery facilities receive and handle scrap feed materials that are of different types, shapes, sizes, uranium contents, and enrichments. The kind of scrap and therefore the process to facilitate recovery of uranium may vary as frequently as daily. This section is not process-specific. It is principally directed at facilities that produce products that are feed materials for uranium processing and handling facilities and those facilities that receive scrap from uranium process and handling facilities for the purpose of recovering the uranium.

### 1322-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### 1322-3 NUCLEAR CRITICALITY SAFETY

Enclosures and material transport and transfer systems shall be designed so that enriched uranium and moderating material in excess of posted limits cannot be added to these enclosures or areas.

Process systems shall be designed to minimize the carry-over of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the UCRF to other areas of the UCRF.

A system of positive control and backflow prevention, such as air gaps (siphon breakers), to prevent inadvertent transfer of fissile material and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers shall be included.

Process enclosure floor drains shall be designed to preclude the accumulation of fissile material and other material capable of sustaining a chain reaction in associated traps and piping.

For uranium conversion facilities and  $UF_6$  feed cylinders containing low enriched uranium, a criticality event is unlikely, but shall be considered. For high enriched uranium, nuclear criticality safety provisions shall be achieved by favorable geometry and minimization of neutron moderation. Favorable geometry shall be achieved by cylinder/vessel size and shape,

limiting the number of cylinders/vessels that can be stacked in a single array, and establishing a minimum distance between arrays.

Nuclear criticality safety geometric restrictions shall be the major consideration in the design of the principal processing equipment units.

For a uranium recovery facility, nuclear criticality safety control is more complex because of the variety of materials and greater range of both uranium concentration and total mass of uranium that can be contained in the scrap. Therefore, administrative controls must be implemented to augment the limitations of design controls.

The design professional shall consider the criteria provided in R.G. 3.34 for applicability to UCRFs.

#### 1322-4 SPECIAL DESIGN FEATURES

##### 1322-4.1 General

The design shall provide special control and isolation of flammable, toxic, and explosive gases, chemicals, and materials admitted to the areas of the facility.

To the extent practical, the primary confinement system shall be constructed of fire-resistant materials, and the process equipment and process being confined shall be designed to prevent or minimize the probability of potential flammable or explosive conditions. Confinement enclosures for flammable metals shall be designed with self-contained fire protection and extinguishing equipment; in some cases, inert atmospheres may be desirable within the enclosures.

The design shall ensure that all work that could subject personnel to possible inhalation exposures is performed in process confinement enclosures. Glove boxes shall be the preferred enclosure, but are not always practical. Alternative systems may have to be considered.

When glove boxes are used, their design and construction shall be such as to allow replacement of parts and/or relocation of the box(es) within the facility or system(s) with a minimum of contamination or exposure.

To the extent practical, discrete processing steps shall be performed in individual process confinements to reduce the amount of hazardous material capable of being released by a single or local failure of the confinement system.

Process and auxiliary system differential pressure shall be maintained to inhibit backflow of hazardous materials into auxiliary systems.

Equipment design shall facilitate decontamination and shall include appropriate interlocks to prevent spills and cross-contamination.

The design of process systems shall minimize the production of scrap and waste.



Commercially available, standard equipment with necessary modifications shall be used whenever possible.

Geometric restrictions for nuclear criticality safety shall be applicable to various units of equipment for the different processes used. In addition, other considerations such as provision for sufficient agitation in a process vessel to prevent uranium material from settling out shall be considered for nuclear criticality safety.

Leakage of enriched uranium material from processing equipment shall be prevented. Design considerations shall include, but not be limited to, the use of corrosion-resistant materials of construction and features less vulnerable to leakage, e.g., of flanged and/or welded construction.

Use of thermal insulation on the equipment that processes uranium solutions of high enrichment shall be minimized because it absorbs the solution in the event a leak occurs. The uranium-impregnated insulation would be subject to scrap recovery operations. Because the insulation is considered as a "full reflector," the equipment together with the insulation may not be geometrically favorable for highly enriched uranium solutions.

Storage tanks for aqueous solution of enriched uranium shall be designed to ensure favorable geometry with respect to nuclear criticality safety. Where there is a tendency for solids to precipitate, vessels shall be instrumented to detect settling of solids and be designed to facilitate periodic removal of solids.

#### 1322-4.2      Uranium Conversion Facilities

Piping systems, surge vessels, and control instruments with associated piping that carry UF<sub>6</sub> gas shall be equipped with heat tracing or heated enclosures wherever necessary to prevent solidification of UF<sub>6</sub>. Steam may be used as the primary heating agent where low-enrichment material (less than or equal to 2 percent uranium-235) is involved. At higher enrichments, a dry radiant heat source shall be the preferred means of supplying the heating requirements.

#### 1322-4.3      Uranium Recovery Facilities

The design of a uranium recovery facility shall be approached on a case-by-case basis, and shall consider all possible forms of scrap and different assays of material that could be received for processing and all possible methods that could be used for enriched uranium recovery.

Materials of different uranium assays shall be handled in physically different trains of equipment even though duplication of equipment results. If this is not possible, the equipment shall be sized for criticality control of the greatest uranium enrichment.

For enriched uranium that has been irradiated and reprocessed a definitive isotopic specification for the uranium shall be adopted before facility design is begun.

In addition to provisions for handling uranium and other radioactive materials such as trace quantities of fission products and transuranics, the design shall provide for the safe handling of other hazardous materials (e.g., acids, bases, organic solvents, fluorine, hydrogen, hydrogen fluoride, and magnesium) used or generated during recovery operations.

## 1322-5            CONFINEMENT SYSTEMS

### 1322-5.1        General

The following provisions are considered typical for a UCRF confinement system. The actual confinement system requirements for a specific UCRF shall be determined on a case-by-case basis. Generally, UCRFs use two confinement systems. The primary confinement system encloses or confines the uranium materials being processed and the materials used to process the uranium. The secondary confinement consists of the structures and associated ventilation systems that surround the operating areas that house the primary confinement system. The operating areas shall include those areas that are not normally expected to become contaminated. The secondary confinement system barriers are those that separate the outside environment and free access areas, such as offices and lunch rooms, from potential contamination.

### 1322-5.2        Primary Confinement System

The primary confinement system consists of barriers, enclosures, including their associated ventilation or atmosphere control systems, process piping and vessels, and so forth. Its principal function is to prevent the release of hazardous substances into the operating areas.

The integrity of the primary confinement system shall be ensured for all normal operations, anticipated operational occurrences, and for the DBAs it is required to withstand. Breaches of the primary confinement barrier (e.g., due to glove or seal failure) are acceptable if the off-gas treatment system is capable of maintaining an adequate inflow of air for the specified breach size and location. Some portions of the primary confinement may not form a complete physical enclosure. For these, the primary confinement function shall be ensured by adequate air flow and appropriate process equipment design.

If needed, conveyors shall be used to interconnect glove boxes or other primary confinement enclosures to minimize introduction and removal of materials from the system. The primary confinement system criteria shall be applied to these interconnections.

Special design features shall be considered to ensure safe introduction and removal of materials from process confinements.

All process vessels that could contain uranium shall be vented to the process off-gas system, which shall route off-gas through pretreatment, if needed, and HEPA filtration. Typical pretreatment systems include features such as cyclone dust collection systems, different types of filters, cold traps, liquid condensers, solvent adsorption systems, and aqueous solution scrubbers. Nuclear criticality safety shall be considered during the design of the pretreatment and HEPA filtration systems.

### 1322-5.3        Secondary Confinement System

The secondary confinement system generally consists of the confinement barriers and associated ventilation systems that surround or confine the operating areas that house the process system and its primary confinement.

The secondary confinement system shall be designed to remain functional during normal operations and anticipated operational occurrences. It shall be capable of performing its necessary safety functions during and following the DBAs it is required to withstand.

The operating area compartments shall be equipped with sensors to detect releases of hazardous materials from the primary confinement system and provide appropriate alarms. Commensurate with the potential hazard, consideration shall be given to the use of redundant sensors.

Penetrations of the operating area confinement barriers shall be minimized. When practical, all equipment components not functionally required to operate directly in the presence of radioactive materials shall be located outside the operating area compartments. All penetrations of the secondary confinement shall have positive seals to prevent the migration of contamination out of the operating area.

Each secondary confinement compartment shall be supplied with ventilation air from the building ventilation system. Exhaust ventilation shall be handled by a system with sufficient capacity to ensure an adequately controlled ventilation flow as required in the event of a credible breach in the operating compartment confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system. The secondary confinement exhaust ventilation system shall be equipped with HEPA filtration.

## 1322-6            EFFLUENT CONTROL AND MONITORING

### 1322-6.1        Radioactive Solid Waste

The solid radioactive wastes typically associated with UCRFs that shall be considered during the design include but are not limited to uranium, uranium-contaminated solid waste, and nonrecoverable scrap (e.g., prefilters, HEPA filters, used gloves). In addition, there will be the general process trash (e.g., waste, paper, plastic bags). Nuclear criticality safety shall be considered in the design of the radioactive solid waste processing facility.

### 1322-6.2        Radioactive Liquid Waste

The liquid radioactive wastes typically associated with UCRFs that shall be considered during the design include but are not limited to uranium-contaminated liquid waste and nonrecoverable scrap (e.g., liquid filter sludge, effluent contaminated solvents, contaminated oil), and contaminated laundry waste. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

### 1322-6.3        Effluents

#### 1322 -6.3.1      Airborne Effluents

The airborne radioactive wastes typically associated with UCRFs that shall be considered during the design include but are not limited to airborne particulate material generated during processing (e.g., airborne grinding dust) and vapors and gases used or generated

during the processing. Nuclear criticality safety shall be reconsidered in the design of the airborne effluent system.

All exhaust outlets that may contain uranium shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

#### 1322-7            DECONTAMINATION AND DECOMMISSIONING

The facility shall include a special, permanent decontamination process capability in a dedicated area that has the appropriate equipment and utilities for decontamination of equipment.

#### 1322-8            STORAGE FACILITIES

The storage requirements of Section 1306, Unirradiated Enriched Uranium Storage Facilities, shall be considered for the storage of enriched uranium.

### 1323            RADIOACTIVE LIQUID WASTE FACILITIES

#### 1323-1            COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

RLWFs are used to store, treat, and dispose of the range of liquid wastes generated by DOE nuclear facilities and reactor facilities. This waste includes low-level, high-level, and transuranic-contaminated (to include enriched uranium and uranium-233) liquid waste. These radioactive liquid waste facilities may be separate facilities or they may be an adjunct to another type of a nuclear facility (e.g., a high-level waste processing line associated with a reprocessing facility). The environmental, and safety, and health concerns to be addressed to fulfill the design requirements for these facilities vary significantly according to the nature of the waste, the waste management techniques that are implemented, and the characteristics of the facility site.

#### 1323-2            OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### 1323-3 NUCLEAR CRITICALITY SAFETY

Nuclear criticality safety at radioactive liquid waste facilities is applicable to those facilities that store or process enriched uranium, uranium-233 or transuranic-contaminated liquid waste. For other radioactive liquid waste facilities, nuclear criticality safety is not a design or operational consideration.

Nuclear criticality control provisions for a radioactive liquid waste facility shall include the following:

- Radioactive liquid waste process systems shall minimize the carry-over of sludges, fines, or precipitates of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the facility to other areas of the facility (e.g., from a waste concentrator or evaporator to the low-level storage system).
- A system of positive control and backflow prevention, such as air gaps (e.g., siphon breakers), shall prevent inadvertent transfer of fissile materials and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers.
- Heating or cooling jackets in the favorable dimension of geometrically favorable vessels shall preclude a leak in the jacket that causes an unacceptable reduction of the margin of subcriticality.
- Process enclosures and floor drain designs shall preclude the accumulation of fissile material and other material capable of sustaining a chain reaction in the associated traps and piping (e.g., dams and drain plugs).
- Sumps shall be designed for nuclear criticality safety if a credible mechanism exists for accumulating fissile material and other material capable of sustaining a chain reaction (e.g., due to leakage from or failure of the primary confinement boundary).

### 1323-4 SPECIAL DESIGN FEATURES

#### 1323-4.1 General

The use of multiple barriers shall be emphasized when necessary to restrict the movement of radioactive liquid waste that has the potential for human contact or for reducing groundwater quality below the requirements in DOE 5400.1.

#### 1323-4.2 Collection Systems

Measurement capability shall be provided to determine the volume and radioactivity of wastes fed to collection tank(s).

Individual lines shall be used for each waste stream fed to central collection tanks, where necessary, to prevent chemical reactions or introduction of contaminants such as complexing agents that could interfere with waste decontamination.

The use of traps in radioactive liquid waste lines shall be avoided, and piping shall be designed to minimize entrapment and buildup of solids in the system.

Bypasses that would allow waste streams to be routed around collection tanks shall be avoided.

#### **1323-4.3      Storage and Transfer Systems**

Equipment, waste routing, and spare storage volume shall be installed and available to transfer the contents of one tank to another if a tank shows indications of excessive leakage or other conditions that warrant taking the tank out of service. The minimum spare volume shall exceed the maximum liquid content of any one tank.

Provisions shall be made so that liquids can be analyzed prior to transfer.

All transfer lines shall have individual identification.

#### **1323-4.4      Treatment Systems**

Basic liquid waste treatment concepts include volume reduction, immobilization of radioactive material, change of composition, and removal of radioactive material from waste. The waste treatment concept(s) for a particular application shall be selected on a case-by-case basis. To the extent practical, however, features shall be included to allow volume reduction and/or waste solidification (immobilization) to forms required for long-term isolation.

Provisions shall be made to adjust liquid waste characteristics prior to treatment to minimize adverse chemical reactions in the treatment system.

There shall be no bypasses or drains in the radioactive liquid waste treatment system by which waste may inadvertently be released directly to the environment.

Provisions shall be made so that effluents from a treatment system can be analyzed.

Recirculating closed-loop cooling systems shall be required for facilities and equipment associated with the storage or treatment of high-heat, high-level radioactive liquid waste. As a minimum, these systems shall comply with Section 1540-99, Special Facilities.

Instrumentation and control systems shall be required at a RLWF to provide monitoring and control capabilities associated with confinement, nuclear criticality safety, and/or radiation projection.

### **1323-5      CONFINEMENT SYSTEMS**

#### **1323-5.1      General**

The following provisions are typical for a RLWF confinement system (see Table 1323-5.1). The actual confinement system requirements for a specific RLWF shall be determined on a

**Table 1323-5.1 Typical Confinement Provisions for Radioactive Liquid Waste Facilities**

Material Being Confined	Confinement Barriers		
	<u>Primary</u>	<u>Secondary</u>	<u>Tertiary</u>
High-level liquid waste	Primary storage vessel <sup>1</sup> or Treatment system <sup>3</sup> equipment <sup>3</sup>	Secondary storage vessel <sup>1</sup> or Process cell	Soil barrier <sup>2</sup> or Process building <sup>7</sup>
Low-level liquid	Storage vessel <sup>4</sup> or basin <sup>6</sup>	Dike or berm around vessel	None
	or Treatment system <sup>5</sup>	or Dike or berm	None
Transuranic waste	Storage vessel <sup>4</sup>	Storage building <sup>7</sup>	None
	or Treatment system <sup>5</sup>	or Process building <sup>7</sup>	None

1. Double wall underground storage tanks and transfer piping are typically used to establish primary and secondary confinement barriers. Primary storage tanks have condensers and/or filters in their vent stream. The space between tanks is also ventilated and the exhaust is filtered.
2. Soil barrier is the engineered backfill material and natural setting surrounding the waste storage tanks. A monitoring capability should be available to detect leakage from the storage tanks into the soil. Credit for soil barriers can not be taken in accident analysis.
3. Typical treatment equipment includes waste calciner, evaporator, or waste fractionization equipment. Treatment also occurs within the storage vessel (e.g., precipitation).
4. Single wall storage tank.
5. Typical treatment concepts include volume reduction, immobilization of radioactive material, change of composition and removal of radioactive material from waste.
6. Interim storage in retention or settling basins.
7. With elevated threshold or other means of confinement.

case-by-case basis.

The degree of confinement required in a radioactive liquid waste facility is both storage-specific and process-specific, but in either case shall suit the most restrictive case anticipated.

Systems, components, and structures that compose the process system and/or primary storage tanks shall be designed to ensure their integrity for all normal operations, anticipated operational occurrences, and DBAs they are required to withstand.

Unless it can be demonstrated that the risk is acceptable, the process system and/or primary storage tanks and associated supports shall be designed to remain functional following a DBE, and shall facilitate the maintenance of a safe shutdown condition. As a minimum, portions of the process system and/or primary storage tanks whose failure would result in an unacceptable risk and whose functions are necessary to facilitate a safe shutdown condition shall be designed to remain functional following a DBE. Enriched uranium, uranium-233, and transuranic liquid waste processes and/or primary storage tanks shall meet these requirements. The need to meet these requirements for low-level liquid wastes shall be determined on a case-by-case basis and shall be commensurate with the potential hazards associated with the waste.

The primary confinement system consisting of the process equipment and/or primary storage tanks shall be designed to operate under process conditions that prevent or minimize the probability of potential explosive chemical reactions.

Spills, overflow, or leakage from storage vessels or other primary confinement structures shall be collected and retained within a suitable secondary confinement structure (e.g., secondary vessel, dike or berm, elevated threshold within a storage or process building, etc.). The secondary confinement structure shall be capable of retaining the maximum radioactive liquid waste inventory that may be released by a spill, overflow, or leak from the primary confinement structure. For outdoor applications, the capacity must also include maximum predicted precipitation. The structure shall also be designed to preclude overtopping due to wave action from the primary vessel failure and, in outdoor applications, to wind-driven wave action. The capability shall exist to transfer such collected liquid from the secondary confinement structure to a suitable storage location.

Directly buried storage tanks shall be designed in accordance with the applicable requirements specified in DOE 5400.1. The use of directly buried storage tanks should be avoided if at all possible.

### 1323-5.2      High-Level Liquid Waste Confinement

The following shall apply to the high-level liquid waste confinement system:

- At least one confinement system shall be designed to Withstand the effects of man-made events and DBAs.
- The process cell and/or secondary storage tank confinement and associated ventilation systems shall be designed to remain functional during normal operations, anticipated operational occurrences and for the DBAs they are required to withstand.



- The tertiary confinement system shall be designed to function during normal operations, anticipated operational occurrences and for the DBAs it is required to withstand. It shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events, including the DBAs and the DBF initiated by these events, and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.
- Tank and piping systems used for high-level liquid waste collection, treatment, and storage shall be of welded construction to the fullest extent practical. Materials of construction shall be selected to minimize all forms of corrosion. Consideration shall be given to stress relieving, welding parameter controls, etc., depending on the materials used. Fatigue failure should be a design consideration where temperature cycling is required (i.e., evaporator systems, etc.).
- Potential nonuniform distribution of decay heat caused by solids in the waste shall be considered in the design of storage tanks and any associated cooling system. Agitation of tank contents shall be provided, when necessary, to maintain temperature gradients in the waste within acceptable limits.
- Double-walled piping, multi-pipe encasements, and double-walled tanks shall be used to establish the primary and secondary confinement boundaries in underground portions of high-level liquid waste systems. Provisions shall be made to detect leakage from the primary confinement to the interspace.
- Installation of spare pipe lines between transfer points shall be considered.

Process and waste storage vessels shall be vented through appropriate treatment systems that control the release of radioactive material in gaseous effluents to the extent that the guidelines referenced in Section 1300-1.4.3, Routine Releases, are not exceeded and these releases are ALARA. The design shall ensure the following:

- Off-gas will be suitably pretreated upstream of off-gas treatment equipment to remove or reduce the concentration of chemicals that may adversely affect system operation.
- The venting system will prevent overpressure or vacuum conditions from occurring within vessels.
- The venting system will prevent the buildup of hydrogen from radiolysis.
- Tank overflows will be directed to collection systems.

Integrity of the primary confinement boundary shall be determined by some or all of the following measures:

- Vessel inventory monitoring (e.g., liquid level sensors)
- On-line leakage monitoring for the interspace between double-walled vessels (e.g., airborne activity monitors, sump level sensors, conductivity calls)
- Leakage monitoring outside confinement vessels (e.g., surveillance wells to detect leakage into ground water)

- Capability for periodic visual surveillance, including remote visual surveillance with CCTV
- Periodic evaluation of test coupons of primary tank construction materials that were installed before the tank was placed in service
- Other surveillance or testing measures, as appropriate

#### 1323-5.3 Low-Level Liquid Waste Confinement

The following shall be applicable to the low-level liquid waste confinement system:

- A dike or berm around the process system shall provide secondary confinement for low-level liquid wastes.
- A tertiary confinement system is not required for the low-level liquid waste system.
- Process and waste storage vessel vents shall be provided as discussed in Section 1323-5.2, High-Level Liquid Waste Confinement.
- Retention basins shall comply with DOE 5400.1 and shall be lined, fenced, and posted with appropriate radiation warning signs. A system for monitoring radionuclide migration from the basin shall be available.
- An impervious berm or dike shall be capable of retaining the maximum radioactive liquid waste inventory that may be released by a leak or failure of a primary confinement vessel. A capability shall exist to transfer waste that has leaked into the secondary confinement.

#### 1323-5.4 Transuranic-Contaminated Liquid Waste Confinement

The following shall be applicable to the transuranic-contaminated (to include enriched uranium and uranium-233) liquid waste confinement system:

- A storage or process building shall provide secondary confinement for transuranic-contaminated liquid wastes.
- Transuranic-contaminated liquid waste systems do not require tertiary confinement.
- Tank and piping systems used for transuranic-contaminated waste collection, treatment, and storage shall be of welded construction to the fullest extent practical. Materials of construction shall be selected to minimize all forms of corrosion. Consideration shall be given to stress relieving, welding parameter controls, etc., depending on the materials used. Fatigue failure should be a design consideration where temperature cycling is required (i.e., evaporator systems, etc.).
- Process and waste storage vessel vents shall be provided as discussed in Section 1323-5.2, High-Level Liquid Waste Confinement.
- Integrity of the primary confinement boundary shall be determined as discussed in Section 1323-5.2, High-Level Liquid Waste Confinement.

- Nuclear criticality safety shall be considered in the design of primary and secondary confinement structures and components.

## 1323-6 EFFLUENT CONTROL AND MONITORING

### 1323-6.1 Contaminated Solid Waste

The solid radioactive wastes typically associated with RLWFs that shall be considered during the design include but are not limited to waste calciner products, evaporator bottoms, and general trash (e.g., paper, rags, gloves). Nuclear criticality safety shall be considered in the design of the solid radioactive waste processing facility.

### 1323-6.2 Contaminated Liquid Waste

#### 1323-6.2.1 Process Wastes

Disposal operations involving discharge of low-level liquid waste directly to the environment or on natural soil columns is prohibited, unless specifically approved by the cognizant DOE authority. Techniques such as solidification prior to disposal or in-place immobilization shall be used.

The liquid radioactive wastes typically associated with RLWFs that shall be considered during the design include but are not limited to low-level, high-level, and transuranic-contaminated liquid wastes. Nuclear criticality safety shall be considered in the design of the liquid radioactive waste processing facility.

### 1323-6.3 Effluents

#### 1323-6.3.1 Airborne Effluents

The airborne radioactive waste sources typically associated with RLWFs that shall be considered during the design include but are not limited to radioactive liquid waste process vessel vents, and high-level liquid radioactive waste collection and storage tank vents. Effluent system designs shall preclude the holdup or collection of fissile material or other material capable of sustaining a chain reaction in portions of the system that are not geometrically favorable. Nuclear criticality safety shall be considered in the design of airborne effluent systems.

All exhaust outlets that may contain radioisotopes other than ambient levels of those naturally occurring in the environment shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

## 1324 RADIOACTIVE SOLID WASTE FACILITIES

### 1324-1 COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

Radioactive solid waste facilities store, treat, and dispose of the range of solid waste generated by DOE nuclear facilities and reactor facilities. This waste contains high-level, low-level, and transuranic-contaminated solid waste including radioactive mixed waste. These radioactive solid waste facilities may be separate facilities or they may be adjunct to another type of nuclear facility (e.g., a high-level solid waste storage facility associated with a reprocessing facility). The environmental, safety, and health concerns to be addressed to fulfill the design requirements for these facilities vary significantly according to the nature of the waste, the waste management techniques that are implemented, and the characteristics of the facility site.

For those DOE facilities regulated by the NRC (see 10 CFR 60.1 and 10 CFR 61), the requirements specified in 10 CFR 60 and 10 CFR 61 shall take precedence if a conflict arises between those regulations and these criteria.

### 1324-2 OBJECTIVES

#### 1324-2.1 General

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

#### 1324-2.2 Siting Design Dose Objectives for Normal Operations and Anticipated Operational Occurrences

##### 1324-2.2.1 Disposal (Permanent Isolation) Facilities

For those DOE facilities not regulated by the NRC, the combined annual dose equivalent to any member of the public in the general environment resulting from discharges of radioactive material and direct radiation shall not exceed 25 mrem (0.25 mSv) to the whole body and 75 mrem (0.75 mSv) to any organ (40 CFR 191.03 (b)). WIPP operations are subject to these dose limits. Section 1300-1.4.3, Routine Releases, provides references for additional limits that are applicable to these facilities.

For those DOE facilities regulated by the NRC, the combined annual dose equivalent to any member of the public in the general environment resulting from discharges of radioactive material and direct radiation shall not exceed 25 mrem (0.25 mSv) to the whole body, 75 mrem (0.75 mSv) to the thyroid, and 25 mrem (0.25 mSv) to any other organ (40 CFR 191.03 (a)). Additional requirements specific to these facilities are provided in 10 CFR 60 and 10 CFR 61.

#### **1324-2.2.2      Waste Management and Storage Facilities (Not to Include Disposal)**

The references specified in Section 1300-1.4.3, Routine Releases, provide the appropriate dose limits for members of the public for these facilities.

#### **1324-3            NUCLEAR CRITICALITY SAFETY**

Nuclear criticality safety at RSWFs is applicable to those facilities that store or process enriched uranium, uranium-233, or transuranic contaminated solid waste. For other radioactive solid waste facilities, nuclear criticality safety is not a design or operational consideration.

Nuclear criticality control provisions for a RSWF shall consider the following:

- Design of radioactive solid waste storage and/or process systems to prevent the carry-over of fissile material and other material capable of sustaining a chain reaction from geometrically favorable portions of the facility to other areas of the facility (e.g., from a compaction area to a storage area)
- A system of positive control and backflow preventers, such as air gaps (siphon breakers), to prevent inadvertent transfer of fissile materials and other material capable of sustaining a chain reaction from geometrically favorable or poisoned containers to unsafe containers
- Inclusion of heating or cooling jackets in the favorable dimension of geometrically favorable vessels to preclude a leak in the jacket from causing an unacceptable reduction of the margin of subcriticality
- The design of sumps for nuclear criticality safety if a credible mechanism exists for accumulating fissile material and other material capable of sustaining a chain reaction (e.g., due to leakage from or failure of the primary confinement boundary and/or cooling water system)

#### **1324-4            RADIATION PROTECTION**

For those DOE facilities regulated by the NRC, the occupational radiation protection requirements specified in 10 CFR 20 and DOE 5480.11, both apply (the most conservative limits taking precedent).

## 1324-5 SPECIAL DESIGN FEATURES

### 1324-5.1 General

Process equipment off-gas treatment systems shall be designed to ensure their integrity for normal operations, anticipated operational occurrences, and for the DBAs they are required to withstand.

Cooling water systems or cooling air systems shall be provided, where required, for facilities and equipment associated with the interim storage or treatment of high-level radioactive solid waste, and to ensure the long-term integrity of the primary confinement boundary. As a minimum, cooling water systems shall comply with Section 1540-99, Special Facilities. To the extent practicable, passive cooling means shall be used for air cooling systems. If a cooling air system is provided to ensure an acceptable temperature of the stored material, it shall be designed as a safety class system.

Instrumentation and control systems shall be required at a RSWF to provide monitoring and control capabilities associated with confinement, nuclear criticality safety, and radiation protection.

### 1324-5.2 High-Level Waste Disposal Facility Confinement

During the short-term period following emplacement when short-lived nuclides dominate the hazard associated with a disposal facility, the engineered system of barriers shall remain effective and shall contain the emplaced wastes. This time period is considered to include at least 300 years but not more than 1,000 years following permanent closure. Technical criteria associated with the engineered system of barriers shall address the following:

- Establishment of a high-integrity confinement system during emplacement (limit the rate of release of radionuclides from the system)
- In-situ stresses affecting the engineered system of barriers
- Corrosion affecting the engineered system of barriers
- Radiological effects on barrier integrity
- Contact with groundwater

During the long-term period, reliance shall not be placed on the engineered system of barriers to contain emplaced waste. Confinement during the long-term period shall be accomplished by the geologic setting. Technical criteria associated with the geologic setting shall address the following:

- Leaching characteristics of waste and waste binders
- Site and soil characteristics, including fractures, porosity, hydraulic conductivity, sorption, hydraulic gradient, and thermal gradient
- Long-term geologic stability

- Groundwater travel time
- Absence of resources that would be an incentive for human intrusion
- Stability of rock mass

The facility shall be designed to allow retrieval of wastes during the 50-year period following emplacement and before permanent closure of the facility.

#### 1324-5.3      Low-Level Waste Disposal Facility Confinement

Low-level solid waste that is disposed to the ground shall be confined by a site-specific system of barriers that may include, but not necessarily be limited to, waste form, waste packaging, and the geologic setting.

When site permeability characteristics do not provide the required confinement capabilities, the confinement system shall be augmented by the following:

- Constructing low permeability walls around the low-level waste
- Lining the walls and bottom of the excavated area with low permeability material
- Other suitable methods for reducing permeability

Means shall be provided to minimize contact of emplaced low-level waste with water. Active water control measures shall not be required following permanent closure. Typical requirements for water control are as follows:

- Placing a layer of highly permeable material (e.g., sand, gravel) beneath the low-level waste to channel any permeating water to a sump
- Mounding the soil surface to facilitate surface water runoff
- Use of a suitable low-permeability cover material (e.g., clay) over the disposal area to prevent contact of the waste by infiltrating rainwater. This cover material shall be protected by a layer of overburden (e.g., sand, gravel, top soil).
- A site diversion system for surface water runoff during operation of the facility. (This system shall not be required following site permanent closure.)
- Temporary protective covers (e.g., tarpaulin) before the completion of the natural in-place soil barrier over the low-level waste
- Revegetation of the overburden layer
- Other suitable and reliable means for minimizing water contact with low-level waste

## 1324-6 CONFINEMENT SYSTEMS

### 1324-6.1 General

The following provisions are typical for a RSWF confinement system. The actual confinement system requirements for a specific RSWF shall be determined on a case-by-case basis.

The degree of confinement required in a radioactive solid waste facility is both storage-specific and process-specific, but in either case shall suit the most restrictive case anticipated.

In general, the primary contamination confinement shall be the radioactive solid waste process systems equipment and associated off-gas or vent systems during the treatment stage of processing. In special cases, such as radioactive solid waste facilities where the processes or storage include corrosive or noxious materials, the radioactive solid waste process or storage system shall be totally enclosed and provided with its own ventilation system and off-gas cleanup system. In such cases, the radioactive solid waste process or storage system shall be treated as the primary confinement system. However, depending on the waste being processed and stored, the primary confinement and secondary confinement shall consist of a site-specific engineered system of barriers (e.g., drums, liners, concrete casks) when the primary confinement described above is not required.

Secondary confinement for radioactive solid waste during treatment shall be a process cell or building and its ventilation system, while for such waste during interim storage, a storage building or structure shall be used.

Tertiary confinements are not required in most cases for radioactive solid waste during the treatment or interim storage phase of the radioactive solid waste management process. However, the final repository for all such solid wastes shall be tertiary confinement by the natural geologic setting that has been selected as a site and for the specific solid wastes processed at the facility.

In addition to these principal confinement systems, features such as change rooms and special access ways shall be used to minimize the spread of radioactive contamination within the facility.

### 1324-6.2 Primary Confinement System

The primary confinement system consists of process systems equipment and its associated ventilation and off-gas system, storage containers, or other waste and site-specific engineered barriers.

Systems, components, and structures that compose the process system and/or storage containers shall be designed to ensure their integrity for normal operations, anticipated operational occurrences, and for the DBAs they are required to withstand.

As a minimum, portions of the process system and/or storage containers whose failure would result in an unacceptable risk and whose functions are necessary to facilitate a safe shutdown condition shall be designed to remain functional following a DBE. High-level enriched uranium, uranium-233, and transuranic solid waste processes and/or storage containers shall



meet these requirements. The need to meet these requirements for other solid wastes shall be determined on a case-by-case basis and shall be commensurate with the potential hazards associated with the waste.

#### 1324-6.3      Secondary Confinement System

The secondary confinement system consists of the process cell barriers and the ventilation systems associated with the cells or building, or a storage building or structure. In some cases, a drum, cask, or other waste and site-specific engineered barrier shall provide secondary confinement.

The process cell and/or building confinement barriers and associated ventilation systems shall be capable of performing their necessary functions following a DBE. Other secondary confinements shall be considered to determine the need to meet these requirements commensurate with the hazards associated with the radioactive solid waste to be confined.

The secondary confinement shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events, including the DBAs and DBF initiated by these events, and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

All penetrations of the secondary confinement shall have positive seals to prevent the migration of contamination out of the secondary confinement area.

Process cells shall be supplied with ventilation air from the building ventilation system, and shall be provided exhaust ventilation with sufficient capacity to ensure an adequate controlled ventilation flow as required in the event of a credible breach in the secondary confinement barrier. Pressure in the compartments shall be negative with respect to the building ventilation system. Special features (e.g., air locks or enclosed vestibules) shall be considered for access through secondary and tertiary confinement barriers.

#### 1324-6.4      Tertiary Confinement System

The natural geologic setting composes the tertiary confinement system.

The tertiary confinement system shall function during normal operations, anticipated operational occurrences, and the DBAs it is required to withstand. It shall be capable of performing its necessary functions following a DBE.

The tertiary confinement shall remain functional following DBAs and the severe natural phenomena postulated for the facility site. In addition, the tertiary confinement system shall meet the following performance objectives:

- Following permanent closure, ongoing site maintenance shall not be needed.
- In the absence of unplanned natural processes or human contact with a low-level waste disposal facility, calculated contaminant levels in groundwater at the site boundary shall not exceed the maximum contaminant levels established in 40 CFR 141.

- In the event of human-induced activities following permanent closure, or reasonably foreseeable but unplanned natural processes, the guidelines of Section 1300-1.4.2, Accidental Releases, shall not be violated. Institutional controls may be relied on for a limited time following closure to preclude reclamation activities at a low-level waste disposal site. For the purposes of calculation, these controls shall not be relied on for more than 100 years following permanent closure.

## 1324-7 EFFLUENT CONTROL AND MONITORING

### 1324-7.1 Radioactive Solid Waste

The fundamental radioactive solid waste treatment concepts include volume reduction, immobilization of, change of disposition, and removal of radioactive material from the waste. The waste treatment concept(s) for a particular application shall be selected on an individual case basis. To the extent practicable, however, features shall be included to allow volume reduction and/or immobilization.

The solid radioactive wastes typically associated with RSWFs that shall be considered during the design include but are not limited to fuel cladding hulls, spent fuel elements intended for disposal, solidified high-level liquid waste, nontransuranic waste (e.g., waste dryer solids, nonfuel bearing components), and general trash (e.g., paper, rags, gloves). Nuclear criticality safety shall be considered in the design of the solid radioactive waste processing facility.

### 1324-7.2 Radioactive Liquid Waste

#### 1324-7.2.1 Process Wastes

The liquid radioactive wastes typically associated with RSWTs that shall be considered during the design include but are not limited to decontamination solutions, wash down solutions, water collection systems, and contaminated laundry waste. Nuclear criticality safety shall be considered in the design of the radioactive liquid waste processing facility.

### 1324-7.3 Effluents

#### 1324-7.3.1 Airborne Effluents

The airborne radioactive wastes typically associated with radioactive solid waste facilities that shall be considered during the design include but are not limited to the airborne effluents from process system vents and fission product gases. Effluent system designs shall preclude the holdup or collection of fissile material and other material capable of sustaining a chain reaction in portions of the system not geometrically favorable. Nuclear criticality safety shall be considered in the design of airborne effluent systems.

Exhaust outlets that may contain transuranics or fission products shall be provided with two monitoring systems. These monitoring systems shall comply with Section 1589-99.0.1, Radioactive Airborne Effluents.

## **1325            LABORATORY FACILITIES (INCLUDING HOT LABORATORIES)**

### **1325-1            COVERAGE**

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particularly those sections numbered -99.0, Non reactor Nuclear Facilities-General, and -99.1, Laboratory Facilities (Including Hot Laboratories).

Hot laboratories include those facilities where hot cells, glove boxes, hoods, and other similar enclosures are used for such laboratory work as isotope production, inspection of spent reactor fuel, prototype processes, metallurgical testing, etc., and are included under the general category of "Laboratory Facilities."

### **1325-2            OBJECTIVES**

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### **1325-3            NUCLEAR CRITICALITY SAFETY**

Because the spectrum of processes and hazards that may be present at laboratory facilities (including hot laboratories) is usually large, specific guidelines for nuclear criticality safety for these facilities are not possible. The specific requirements for nuclear criticality safety at these facilities shall be considered on a case-by-case basis.

### **1325-4            CONFINEMENT SYSTEMS**

#### **1325-4.1            General**

A special feature of a laboratory facility to be considered in the design criteria is confinement of the process or operation. The following provisions are typical for a laboratory facility confinement system. The actual confinement system requirements for a specific laboratory facility shall be determined on a case-by-case basis.

The following are typical characteristics of the three principal confinement systems. The number and arrangement of these confinement systems shall be determined on an individual basis considering the potential hazardous material associated with the facility.

Consideration shall be given to the installation of radioiodine adsorber units in the exhaust ventilation/off-gas system to reduce the radioiodine concentration in the effluent to the extent that the limits in the references specified in Section 1300-1.4.3, Routine Releases, are not exceeded. Additionally, the design shall incorporate ALARA concepts to minimize impact on both the operators, the public, and the environment. Acceptable criteria for the design of these units is found in ERDA 76-21.

#### 1325-4.2      Primary Confinement System

In hot laboratories, the primary confinement usually consists of items such as a hot cell, glove box, process piping, tank, fume hood, etc., and the volume enclosed is normally contaminated.

The integrity of the primary confinement system shall be ensured for normal operations and anticipated operational occurrences. The primary confinement volume and isolation systems, as appropriate, shall be compartmentalized to isolate high-risk areas and to minimize the potential effects of the DBAs they are required to withstand.

The primary confinement system, including the ventilation and off-gas system, shall be shielded, as appropriate, to maintain occupational radiation exposure ALARA and within the limits specified in DOE 5480.11.

The primary confinement system(s) shall be designed to operate under process renditions that prevent or minimize the probability of potential explosive chemical reactions and shall use ALARA design principles to minimize exposures.

Design features for primary confinement for laboratory facilities and processes are facility-specific. The following requirements shall be appropriately applied in the design of a laboratory facility primary confinement system(s):

- Design features for introduction and removal stations to ensure the safe introduction and removal of material and maintenance equipment to and from the primary confinement
- Separate ventilation system or off-gas treatment system with appropriate air-cleaning capability (e.g., HEPA filtration, radioiodine adsorbers, scrubbers). The use of an inert gas atmosphere within the primary confinement shall be considered when handling pyrophoric material or tritium.
- The ventilation and cleanup systems associated with the primary confinement shall generally not be shared with secondary and tertiary confinement systems.
- The operating pressure in the primary confinement shall be negative with respect to the secondary confinement.
- Tanks within the primary confinement shall vent to the off-gas treatment system.
- Glove boxes
- Hot cells

Glove boxes shall meet the following criteria:

- Corrosive gases or particles from vats, scrubbers, and similar equipment shall be neutralized prior to reaching HEPA off-gas filters.

- A single filtered exhaust path shall be acceptable when working with low-toxicity materials that do not require dilution or continuous coding.
- Required exhaust flow rates (for air-ventilated glove boxes) shall have the ability to safely confine in-box contaminants when an access port is opened or a glove ruptures (minimum air velocity of 125 linear ft per minute).
- If the glove box is filled with an inert atmosphere, specific design criteria for emergencies (i.e., ruptured glove) shall be incorporated on a case-by-case basis to suit a particular situation (e.g., pyrophoric materials).

Hot cells shall meet the following criteria:

- Space and equipment shall be provided as needed to support accountability, process monitoring, and material control requirements and to meet the performance requirements contained in DOE 5633.3.
- Exhaust prefilters and HEPA filters shall be installed in such a manner as to facilitate filter changing and repairs.
- Stand-by filters shall be incorporated for backup protection during filter changes to allow filter changing without shutting down the exhaust fans. Stand-by filters shall be installed outside the cell and sealed in an acceptable enclosure for direct maintenance.
- All exhaust systems shall have monitors that will provide an alarm if the concentration of the hazardous material in the exhaust exceeds the limits specified in the facility OSR.
- Sufficient holdup capacity shall be provided for the retention of liquid process wastes until they can be analyzed to determine the need for processing or shown to be within acceptable discharge limits.

#### 1325-4.3      Secondary Confinement System

The secondary confinement system usually consists of the facility operating compartments and associated ventilation systems. The secondary confinement houses the hot cells, glove boxes, fume hoods, etc.

The secondary confinement system shall remain functional for all normal operations, anticipated operational occurrences, and for the DBAs it is required to withstand.

The following design requirements shall be incorporated into secondary confinement systems:

- Design features to minimize the probability of the spread of potential contamination from within the laboratory facility operating areas to areas that are not normally contaminated
- The use of a ventilation system separate from the primary confinement ventilation system with appropriate air-cleaning capability (e.g., HEPA filtration, radioiodine adsorbers, scrubbers)

- Measures to ensure that the operating pressure in the secondary confinement shall be negative with respect to the tertiary confinement

#### 1325-4.4      Tertiary Confinement System

The tertiary confinement system typically is the exterior laboratory building and its associated ventilation system. It is an area that is not contaminated and houses offices and other clean laboratory facilities.

The following design requirements shall be incorporated into tertiary confinement systems:

- Measures to ensure that the confinement building provides protection for the primary and secondary confinement barriers and for enclosed equipment against the effects of severe natural phenomena and missiles
- Measures to ensure that the tertiary confinement can withstand the effects of severe natural phenomena and man-made events, including the DBAs and DBF initiated by these events, and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated
- The use of a ventilation system separate from the primary confinement ventilation system with appropriate air-cleaning capabilities (e.g., HEPA filtration, radioiodine adsorbers, scrubbers)
- Measures to ensure that the operating pressure in the tertiary confinement is negative with respect to the atmosphere

The secondary and tertiary confinement ventilation systems may be shared if safety analysis indicates that this type of design is acceptable.

### 1325-5      EFFLUENT CONTROL AND MONITORING

#### 1325-5.1      Radioactive Solid Waste

The solid radioactive wastes typically associated with laboratory facilities including hot laboratories that shall be considered during the design include but are not limited to broken and/or obsolete laboratory equipment from primary confinements, general process trash (e.g., filters, waste paper, gloves, plastic bags). Since hot laboratories often contain a large variety of processes within the facilities, the potential solid radioactive wastes to be processed shall be considered on a facility-specific basis.

#### 1325-5.2      Radioactive Liquid Waste

##### 1325-5.2.1      Process Wastes

The liquid radioactive wastes typically associated with hot laboratories that shall be considered during the design include but are not limited to uranium, plutonium, and other radioactive contaminated liquid wastes, contaminated solvents, contaminated oils, and decontamination solutions. Since hot laboratories often contain a large variety of processes

within the facilities, the potential liquid radioactive wastes to be processed shall be considered on a facility-specific basis.

### 1325-5.3        Effluents

#### 1325-5.3.1      Airborne Effluents

The airborne radioactive wastes typically associated with hot laboratories that shall be considered during the design include but are not limited to relatively short-lived fission product gases and airborne particulate matter. Since hot laboratories often contain a large variety of processes within the facilities, the potential airborne radioactive wastes to be processed shall be considered on a facility-specific basis.

All exhaust outlets that may contain radiological contamination shall be provided with two monitoring systems. These monitoring systems shall meet with the requirements specified in Section 1589-90.0.1, Radioactive Airborne Effluents.

## 1325-6            DECONTAMINATION AND DECOMMISSIONING

Due to the nature of some laboratory facilities (including hot laboratories), their life times may be relatively short when compared to production-scale facilities; thus, consideration shall be given during the design of the facility to plans for decommissioning. All designs shall provide for ease of decontamination for this purpose.

## 1326              TRITIUM FACILITIES

### 1326-1           COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of that section and other applicable sections of these criteria, particular) those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

A tritium facility is a facility that processes, handles, or stores large inventories of tritium in either gaseous, oxide, or hydride forms. Examples of these facilities include tritium target processing facilities, tritium storage facilities, tritium loading facilities and fusion gas test loops. A facility shall be classified as a tritium facility rather than a laboratory-scale facility based on the quantity of tritium involved and the scope of tritium handling/processing operations as determined by a safety analysis.

### 1326-2           OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

### 1326-3            NUCLEAR CRITICALITY SAFETY

The general design requirements for nuclear criticality safety in Section 1300-4, Nuclear Criticality Safety, do not pertain to a tritium facility unless the facility can be expected to handle fissile materials. Tritium facilities generally do not contain quantities of fissile materials and material capable of sustaining a chain reaction that warrant a program for nuclear criticality safety.

### 1326-4            SOURCE AND SPECIAL NUCLEAR MATERIAL

If the safety analysis indicates that either source or special nuclear materials will be handled, then the criteria for the most hazardous material, including that given in ANSI N16.1, shall be applied to the design.

### 1326-5            RADIATION PROTECTION

#### 1326-5.1        Design Features

Design considerations shall evaluate all maintenance operations offering a potential for significant exposure and where practical they should be designed for remote repair or service. In those instances in which remote or enclosed maintenance cannot be achieved, a compressed-air breathing air system shall be provided to allow the use of supplied-air suits by maintenance personnel. However, every effort shall be made to allow routine maintenance activities to be conducted without the need for supplied breathing air.

Systems shall be designed, to the extent practical, to minimize the conversion of elemental tritium to releasable tritium oxide, which poses a greater radiological risk than elemental tritium.

Although shielding may not be required to maintain occupational radiation exposures ALARA, shielding may be required for other radionuclides that may present a direct radiation hazard, shielding may be required in facilities that handle irradiated tritium production assemblies.

#### 1326-5.2        Radiation Monitoring Systems

Criticality alarm systems and nuclear accident dosimetry are usually not required. However, area radiation monitoring shall be provided as appropriate.

### 1326-6            SPECIAL DESIGN FEATURES

Special consideration shall be given to the potential explosive mixtures of hydrogen during the handling, processing, and storage of tritium gas.

When tritium is stored in vessels in the solid form (i.e., as uranium tritide), the design shall ensure that the temperatures of the storage vessels do not reach the eutectic temperature for



iron and uranium during normal operations, anticipated operational occurrences, and DBA conditions.

Process system components (i.e, piping, valves, and vessels) shall be designed to minimize leakage. The use of packless (metal diaphragm or bellows) valves shall be considered. If valve packing or soft seat inserts are required, the use of nonhalogenated plastics shall be considered. Unless confined within a recirculating glovebox, process system component connections shall be welded to the fullest extent practicable.

In the event process hoods are used, they shall be designed so that the number of personnel entering the hood for equipment removal can be minimized.

When glove boxes are used, the following design features shall be considered:

- Air should not be used for the atmosphere of a recirculating tritium glovebox because of the potential for tritiated water production. Argon or nitrogen is recommended.
- The glovebox atmosphere should be maintained at a pressure lower than that of the surroundings and diffusion-resistant material should be used to the maximum extent possible to limit tritium leakage.

To reduce the amount of tritium released by a single equipment failure, the design shall include the following provisions, as practical:

- The capability of completely isolating areas containing tritium process and handling systems from areas normally occupied by personnel
- The capability of isolating the source of tritium
- The location of tritium monitors to allow the detection of conditions requiring corrective or protective actions

Primary confinement barrier design shall avoid the use of materials that are subject to hydrogen embrittlement.

Tritium process and handling systems shall use, wherever possible, nonflammable hydraulic lubricating, and cooling fluids.

The design of facilities that use large quantities of tritium shall include an emergency tritium gas cleanup system to reduce tritium releases to the environment and mitigate the consequences of an accident involving a failure of a tritium system pressure boundary, unless a safety analysis demonstrates that the system is not required.

A surveillance system shall be provided to monitor the integrity of all process piping, tanks, and other containment equipment, including those used for liquid effluents, and other primary confinement components.

## 1326-7 CONFINEMENT SYSTEMS

### 1326-7.1 General

The following provisions are typical for a tritium facility confinement system. The actual confinement system requirements for a specific facility shall be determined on a case-by-case basis.

The degree of confinement required at an individual tritium facility will depend on the form and quantity of tritium that could potentially be released. In general, tritium gas shall be contained in tanks and piping systems that are enclosed in a secondary confinement building or totally within primary confinement enclosures such as glove boxes and fume hoods.

When pressure or missile-producing accidents within a glove box are credible accidents and would result in failure of the glove box or process room, consideration shall be given to using an alternative confinement technique.

### 1326-7.2 Primary Confinement System

The method of establishing primary confinement shall be compatible with the intended operations. Primary confinement systems shall consist of process piping and vessels, process barriers and enclosures such as fume hoods and glove boxes, and their associated off-gas and ventilation systems. When practical, glove boxes rather than fume hoods shall be used.

The integrity of the primary confinement shall be ensured for all normal operations, anticipated operational occurrences, and for the DBAs it is required to withstand.

The use of stack-vented rupture disks, pressure relief valves, seal pots, or bubbler traps shall be considered to prevent overpressurization and subsequent explosive disruption of the primary confinement system.

Features shall be provided to ensure safe introduction and removal of materials from process confinements.

Suitable traps or other effluent recovery systems shall be provided for process equipment vents to reduce tritium releases.

### 1326-7.3 Secondary Confinement System

The secondary confinement systems shall include with their associated ventilation systems, a confinement building or appropriate confinement barriers that enclose the primary confinement system.

The secondary confinement system shall be designed to remain functional during normal operations, anticipated operational occurrences, and during and following the DBAs it is required to withstand.

The use of stack-vented rupture disks, pressure relief valves, seal pots, or bubbler traps shall be considered to prevent overpressurization and subsequent explosive disruption of the secondary confinement system.

If the facility building is not part of the secondary confinement system, its failure as a result of severe natural phenomena or other postulated DBAs shall not prevent the secondary confinement system from performing its necessary safety functions.

Facilities that contain large quantities of tritium shall include an emergency tritium gas cleanup system in the secondary confinement areas to reduce the release of tritium resulting from primary confinement failures, unless a safety analysis demonstrates that the system is not required.

Penetrations of the secondary confinement barriers shall be minimized. All penetrations shall prevent the migration of tritium contamination and ensure the proper differential pressure for confinement ventilation flow.

When gloveboxes are used as secondary confinements, a tritium effluent removal system to handle tritium leakage from primary confinement systems shall be required unless safety analysis indicates that it is not required.

Each secondary confinement area or compartment shall be supplied with ventilation air with sufficient capacity to ensure an adequately controlled ventilation flow as required in the event of a credible accident breach in a secondary confinement barrier.

## 1326-8            EFFLUENT CONTROL AND MONITORING

### 1326-8.1            General

All exhaust stacks that may contain tritium shall be provided with appropriate monitoring systems.

### 1326-8.2            Contaminated Solid Waste

The solid radioactive waste typically associated with tritium facilities that shall be considered during the design include materials used to absorb liquid tritium waste, adsorber materials used in molecular sieves, and adsorber beds to remove oxidized tritium gas that cannot be recovered from equipment vents and exhaust systems.

The potential pressure buildup because of radiolysis in sealed containers of tritium-contaminated waste shall be considered in the design of these canisters, waste storage areas, and in the development of waste-handling equipment.

### 1326-8.3            Contaminated Liquid Waste

The liquid radioactive wastes typically associated with tritium facilities that shall be considered during the design include tritium-contaminated oils, liquid tritium resulting from the oxidation of tritium gas, and contaminated liquids from decontamination operations.

1326-8.4      Effluents

1326 -8.4.1      Airborne Effluents

The airborne radioactive effluents typically associated with tritium facilities that shall be considered during the design include tritium gas and oxides that are not removed by molecular sieves or adsorber beds from process system vents and exhaust systems.

All exhaust outlets that may maintain tritium shall be provided with two monitoring systems. These monitoring systems shall comply with 1589-99.0.1, Radioactive Airborne Effluents.

1326-9              DECONTAMINATION AND DECOMMISSIONING

A dedicated area furnished with appropriate equipment and utilities for decontamination of tools and as much equipment as practical shall be considered for inclusion in the design of the facility. Tritium adsorbed on metal surfaces can be rapidly liberated when the metal is heated whereas water, detergents, and certain solvents are only moderately effective in removing tritium contamination. This property should be considered in the design of decontamination facilities.

1326-10            STORAGE FACILITIES

Although tritium is classified as "Other Nuclear Material," which generally requires safeguarding at Category IV levels, depending on the quantity and form, tritium requires safeguarding at the Category III level.

The appropriate special design features in Section 1326-6, Special Design Features, shall be considered for the storage of tritium in vessels in the solid form.

1326-11            PHYSICAL PROTECTION AND MATERIAL SAFEGUARDS

Tritium is classified as "Other Nuclear Material."

1328                FUSION TEST FACILITIES

1328-1            COVERAGE

Section 1300, General Requirements, shall apply. These requirements are *in addition to* the requirements of this section and other applicable sections of these criteria, particularly those sections numbered -99.0, Nonreactor Nuclear Facilities-General.

These requirements are primarily concerned with the potential radiological hazard because of the intense neutron radiation associated with fusion machine operation, activated structural and blanket material, in some cases, activated nuclides in the atmosphere of the test cell,

and, in the case of tritium fusion facilities, because of the radioactive material inventory of the facility consisting largely of tritium.

It should be noted that some fusion facilities use deuterium rather than tritium as the fuel. Because these facilities do not produce significant radiation fields or contain significant quantities of radioactive materials, the application of the criteria provided in this section to these types of fusion facilities shall be considered on a case-by-case basis.

Examples of fusion facilities are facilities that include magnetic confinement and inertial confinement fusion devices. Fusion devices of interest range from experimental machines that are intended to operate below the break-even point, to experimental or demonstration facilities intended to operate at or beyond the break-even point. It is recognized that an electric power-producing fusion reactor will not exist for many years, and technical advancements during this period can have a significant impact on safety considerations related to such facilities.

Potential hazards that shall be considered in the design of a fusion facility include intense magnetic fields, an intense radiation source, activation products, cryogenic fluids, high-voltage electric power systems, tritium, and in some facilities, laser light. The tritium inventory in a fusion machine and associated primary systems may contain as much as 10 to 100 grams of tritium for small experimental to large demonstration facilities. Tritium processing systems may contain up to 100 grams of tritium, and storage facilities several thousand grams of tritium. Therefore, the total tritium inventory of a fusion facility may range up to 109 curies.

#### 1328-2 OBJECTIVES

The design objective shall be to ensure that conservatively estimated consequences of normal operations and credible accidents are limited in accordance with the guidelines contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public.

#### 1328-3 NUCLEAR CRITICALITY SAFETY

The general design requirement for nuclear criticality safety in Section 1300-4, Nuclear Criticality Safety, are not applicable. A fusion facility does not require a program for nuclear criticality safety.

#### 1328-4 SOURCE AND SPECIAL NUCLEAR MATERIAL

The general design requirements for source and special nuclear material in Section 1300-5, Source and Special Nuclear Material, are not applicable.

## 1328-5 RADIATION PROTECTION

### 1328-5.1 Design Features

Systems should be designed, to the extent practical, to minimize the conversion of elemental tritium to releasable tritium oxide, which poses a greater radiological risk than elemental tritium. Furthermore, to reduce radiation exposure due to inhalation and adsorption of tritium through the skin, the provision of an independent compressed-air breathing air system shall be considered to allow the use of air-supplied suits by personnel involved with the maintenance of tritium systems and equipment.

The design shall incorporate shielding where the potential for substantial neutron or gamma radiation is postulated to exist.

### 1328-5.2 Radiation Monitoring Systems

A criticality alarm system and nuclear accident dosimetry are not required. However, area tritium monitors shall be required.

## 1328-6 SPECIAL DESIGN FEATURES

Section 1326-6, Special Design Features, shall be considered in the design of tritium process and storage facilities.

Cooling systems shall be provided, as required, for removal of heat from the fusion machine first wall (vacuum vessel), blanket, or other ancillary equipment.

To reduce the amount of tritium released by a single equipment failure, the design shall include the following provisions, as practical:

- The capability of completely isolating areas that house equipment containing tritium from areas normally occupied by personnel
- The capability of isolating sources of tritium
- The location of tritium monitors to allow the prompt detection of conditions requiring corrective or protective actions

When the severity of DBAs require that a containment structure be used, the design pressure and temperature for fusion machine secondary confinement or containment shall be determined considering the effects of energy transport and chemical reactions that may occur following the failure of a fluid system inside the containment. The containment shall be designed to allow periodic leak rate testing. To minimize the release of hazardous materials to the atmosphere, means shall be provided to isolate the primary containment following DBAs that release hazardous material to the containment atmosphere.

The design of fusion facilities' secondary confinement or containment where large quantities of tritium are used shall include an emergency tritium cleanup system to mitigate the

consequences of an accident involving a failure of a tritium system pressure boundary, unless a safety analysis demonstrates that the system is not required.

Tritium auxiliary and other systems containing hazardous materials that are located outside of the fusion machine secondary confinement building or containment structure shall be confined by a secondary confinement system.

The secondary confinement system shall be capable of collecting and processing tritium leakage that may occur from tritium auxiliary systems.

The possibly harmful effects of large magnetic fields on operating personnel and equipment shall be considered in the design of the facility. Individuals knowledgeable in the effects of these fields should be consulted for appropriate guidance.

## 1328-7            CONFINEMENT SYSTEMS

### 1328-7.1        General

The following provisions are typical for a fusion facility confinement system. The actual confinement system requirements for a specific fusion facility shall be determined on a case-by-case basis.

Confinement of tritium at a fusion facility is the essential element in minimizing potential environmental, safety, and health risks. The degree of confinement required at various locations in a fusion facility will depend on the quantity of tritium that may potentially be released. When significant inventories of tritium (approaching tritium inventories at large demonstration facilities) are involved, the use of a low-leakage containment building rather than a confinement building shall be used for housing the fusion machine, unless a safety analysis demonstrates that containment is not required. In general, the primary confinement shall be provided by the pressure boundary of the fusion machine, its associated vacuum system, and the various tritium systems.

The fusion machine shall be housed in either a secondary confinement or low-leakage containment structure. The auxiliary tritium systems located outside the fusion machine building shall be housed in a secondary confinement structure.

### 1328-7.2        Primary Confinement System

The primary confinement system shall consist of the primary vacuum vessel and associated vacuum system, tritium (fuel) injection system, and tritium transfer, cleanup, and storage systems.

The confinement shall be designed to withstand a potential hydrogen explosion without loss of confinement function.

The integrity of primary confinement systems, components, and structures shall be ensured for normal operations, anticipated operational occurrences, and for the DBAs they are required to withstand.

### 1328-7.3      Secondary Confinement System

#### 1328-7.3.1      General

The secondary confinement systems shall consist of a confinement building or low-leakage containment structure that houses the fusion machine. The severity of DBAs and their potential consequences as demonstrated by safety analysis will determine if a low-leakage containment structure is needed. Auxiliary tritium systems located outside the fusion machine building shall be housed in a confinement system.

#### 1328-7.3.2      Fusion Machine Building

The secondary confinement or containment building shall be designed to remain functional during normal operations and anticipated operational occurrences. It shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events, including the DBAs it is required to withstand, and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

The confinement or containment shall operate at a negative pressure with respect to atmospheric pressure.

Penetrations through secondary confinement barriers and containment structures shall be minimized and sealed.

Provisions for isolating the fluid system penetrations through the fusion machine building, secondary confinement building or containment structure to minimize the release of hazardous materials to the environment through fluid system lines shall be considered.

Each secondary confinement area shall be provided with an exhaust ventilation system with sufficient capacity to ensure an adequately controlled ventilation flow as required in the event of a credible breach in a secondary confinement barrier. Exhaust systems shall contain appropriate cleanup systems to minimize the release of hazardous materials. Ventilation systems of containment structures shall include provisions for isolating the supply and exhaust connections.

#### 1328-7.3.3      Auxiliary Systems Located Outside the Fusion Machine Building

The secondary confinement system shall be designed to remain functional during normal operations and anticipated operational occurrences. It shall be designed to ensure that it can withstand the effects of severe natural phenomena and man-made events, including the DBAs it is required to withstand, and remain functional to the extent that the guidelines in Section 1300-1.4.2, Accidental Releases, are not violated.

If the facility building is not part of the secondary confinement system, its failure as a result of severe natural phenomena or other postulated accidents shall not prevent the secondary confinement system from performing its necessary safety functions.

Each secondary confinement area or compartment shall be supplied with ventilation air from the building ventilation system and shall provide exhaust ventilation by a system with sufficient capacity to ensure an adequately controlled ventilation flow as required in the



event of a credible breach in a secondary confinement barrier. Pressure in the secondary confinement areas or compartments shall be negative with respect to the building ventilation system and/or atmosphere.

## 1328-8 EFFLUENT CONTROL AND MONITORING

### 1328-8.1 Radioactive Solid Waste

The solid wastes typically associated with fusion facilities that shall be considered during the design include components and materials used in the first wall, blanket, or magnets activated by the fusion neutrons, materials used to absorb liquid tritium waste, and adsorber materials used in molecular sieves and adsorber beds to remove oxidized tritium gas that could not be recovered from equipment vents and exhaust systems.

The potential pressure buildup because of radiolysis in sealed containers of tritium-contaminated waste shall be considered in the design of these containers and waste storage areas and in the development of waste-handling equipment.

### 1328-8.2 Radioactive Liquid Waste

The liquid wastes typically associated with fusion facilities that shall be considered during the design include tritium-contaminated oils, liquid tritium resulting from the oxidation of tritium gas, and contaminated fluids from decontamination operations.

### 1328-8.3 Effluents

#### 1328-8.3.1 Airborne Effluents

The airborne radioactive effluents typically associated with fusion facilities that shall be considered during the design include tritium gas and oxides that are not removed by molecular sieves or adsorber beds from process system vents and exhaust systems, and activated nuclides in the atmosphere in the fusion machine building.

## 1328-9 DECONTAMINATION AND DECOMMISSIONING

The facility design shall include a dedicated area furnished with appropriate equipment and utilities for decontamination of tools and as much equipment as practical. Tritium adsorbed on metal surfaces can be very rapidly liberated when the metal is heated, whereas water, detergents, and certain solvents are only moderately effective in removing tritium contamination. This property shall be considered in the design of decontamination facilities.

## 1328-10 STORAGE FACILITIES

Tritium is classified as "Other Nuclear Material."

When tritium is stored in vessels in the solid form (i.e., as uranium tritide), the design shall ensure that the temperature of the storage vessels does not reach the eutectic temperature

for iron and uranium during normal operations, anticipated operational occurrences, and DBA conditions.

1328-11            **PHYSICAL PROTECTION AND MATERIAL SAFEGUARDS**

Tritium is classified as "Other Nuclear Material."

## **Division 14**

### **Conveying Systems**

#### **1401        General**

Elevators, dumb waiters, wheelchair lifts, escalators, and moving walks shall comply with:

- ASME A17.1
- NFPA 70
- ANSI C2
- UBC, Chapter 51, except enclosures
- 29 CFR 1926
- 29 CFR 1910
- UFAS
- NEMA standards
- NFPA 101, Chapter 6

Hoisting, conveying, and material handling systems and equipment for hazardous materials shall meet the requirements established by the cognizant DOE authority.

Hoisting, conveying, and material handling systems that penetrate one or more security barriers shall provide at each such barrier the same degree of penetration resistance and intrusion detection as is required for that barrier by the site-specific security plan. The power distribution and control circuits for such elevators shall meet the design requirements for secure circuits as specified in Section 1640-3, Power Service for Security, Communications, and Alarm Systems.

## 1420 ELEVATORS

Passenger elevators including those designed to carry freight shall be automatic with push-button controls for each call station.

At least one elevator in each bank of elevators shall be equipped and arranged for fire service operation so that firefighters and other emergency forces can have manual control. The power distribution and control circuits for that elevator or those elevators shall meet the design requirements for secure circuits as specified in Section 1640-3, Power Service for Security, Communications, and Alarm Systems.

The number, size, capacity, type of machinery, types of controls, and other operating characteristics of the elevators to be installed shall be based on an elevator analysis that considers such factors as the following:

- Building size
- Configuration
- Circulation
- Traffic patterns
- Population
- Spacing conditions
- Elevator service functions

Where passenger elevator service is provided and no separate freight elevator service is provided, at least one passenger elevator in each bank shall be designed to carry freight in accordance with Rule 207.1B of ASME A17.1.

All passenger elevators designed to carry freight shall be equipped with hooks to hang protective mats.

All passenger elevators and those designed to carry freight in facilities that are required to be accessible to physically handicapped persons shall comply with UFAS.

Where elevators are designed to be used to transport rescue personnel to and from hazardous areas, they shall be designed and constructed for ease of decontamination.

Where passenger elevator service is provided, an access control system shall be established that meets the requirements specified in the site-specific security plan for the most restrictive security barrier penetrated by that elevator system.

For elevator ventilation, see Section 1550-1.5, Ventilation-Exhaust Systems Design Requirements.

**1440            LIFTS**

**1440-1            WHEELCHAIR LIFTS**

**In facilities without passenger elevator service where access by physically handicapped persons is required, platform lifts shall be considered for use.**

**All wheelchair lifts used in facilities that are required to be accessible to physically handicapped persons shall comply with UFAS.**

**1460            CRANES**

**All cranes shall be designed consistent with CMAA-70 requirements. Overhead cranes and related equipment for special facilities shall be designed and installed to the same requirements in effect in the building location in which they are installed. Cranes shall have wheel restrainers.**

## **Division 15**

### **Mechanical**

#### **1525            MECHANICAL INSULATION**

##### **1525-1            APPLICABILITY**

Insulation shall be considered for equipment, ductwork, piping, flue pipe, and breeching to minimize energy loss, to prevent condensation, and to provide safe surface temperatures.

All insulation material, media used to apply insulation, and jacketing material shall have a maximum flame spread of not more than 25 fuel-contributed and smoke-developed ratings of not over 50 when tested using UL 723 Test Methods. Exception to the smoke-developed rating of 50 shall be made for exterior underground piping and exterior above-ground piping beyond 15 feet from buildings or individual supports (stanchions).

Asbestos or asbestos-containing materials shall not be used.

##### **1525-2            MINIMIZATION OF ENERGY LOSS**

ASHRAE Standard 90 shall be the basis for determining insulation thickness in HVAC systems, service water heaters, and recirculation piping in buildings.

Insulation thicknesses for exterior and underground distribution systems and equipment shall be based on the TIMA Economic Thickness Manual.

##### **1525-3            CONDENSATION PREVENTION**

Insulation material, thickness, and jacketing shall be designed to provide an exterior skin surface temperature greater than the minimum anticipated ambient dew point.

##### **1525-4            SAFE SURFACE TEMPERATURES**

Insulation shall be provided on hot and cold generation equipment, ductwork, piping, flues or breeching, using a material, thickness, and surface treatment that will maintain the surface

temperature between 35°F and 125°F for highly conductive (metal) surfaces or between 32°F and 1500°F for nonconductive surfaces. See also Section 1555-2.6.8, Plant Insulation.

## 1530 FIRE PROTECTION

### 1530-1 GENERAL

Fire protection systems shall comply with DOE 5480.7.

### 1530-2 IMPROVED RISK CONCEPT FOR FIRE PROTECTION SYSTEMS

#### 1530-2.1 General

Fire protection design shall incorporate an “improved risk” level of fire protection as directed in DOE 5480.7 as well as Section 0110-5, Health and Safety.

#### 1530-2.2 Vital Programs

Fire protection systems for vital programs shall incorporate a “higher standard of protection” than the “improved risk” level as directed by DOE 5480.7.

#### 1530-2.3 Maximum Possible Fire Loss

##### 1530-2.3.1 General

The “improved risk” level of protection requires that the “maximum possible fire loss” shall be the basis for determining the need to provide automatic fire suppression systems and for additional fire protection systems and features. “Maximum possible fire loss” is defined in DOE 5480.7. Criteria I through V as discussed in DOE 5480.7 correspond with Section 1530-2.3.2, Criterion I, through Section 1530-2.3.6, Criterion V. The application of these criteria shall be considered by an experienced fire protection engineer.

##### 1530-2.3.2 Criterion I

Whenever the maximum possible fire loss exceeds \$1 million, automatic fire suppression systems shall be provided.

##### 1530-2.3.3 Criterion II

The need for automatic fire suppression systems shall be considered when the maximum possible fire loss is below \$1 million.

Some examples of situations where automatic fire suppression systems may be warranted for potential fire losses below \$1 million are:

- Facilities that contain critical or long-procurement-time construction items

- A temporary-use trailer used as a control center for a vital one-time activity
- A facility with high public visibility or sensitivity
- Electric power transformers with combustible contents that, if damaged, could result in an extended shut-down of the facilities they serve
- Facilities in which a fire could result in the accidental release of toxic or hazardous materials or emissions
- Cooling towers of combustible construction
- Facilities that can be easily protected by extending automatic sprinkler systems from an adjacent protected facility at a low incremental cost
- Facilities in which a fire could damage more important adjacent facilities
- Facilities that may warrant automatic fire suppression systems in the future
- Facilities where required for protection of human life

#### 1530-2.3.4 Criterion III

Automatic fire suppression systems are not required if all of the following conditions are satisfied:

- The maximum possible fire loss is less than \$250,000.
- There is no hazard to human life.
- There is no danger of a fire resulting in release of toxic or hazardous materials or emissions.
- Adequate separation from other facilities is provided
- Important operations or program missions will not suffer unacceptable delays as a result of fire (see DOE 5480.7 for qualification criteria).

#### 1530-2.3.5 Criterion IV

Whenever the maximum possible fire loss exceeds \$25 million, the area shall be subdivided with free-standing fire rated walls or suitable redundant fire protection systems to limit the credible loss to less than \$25 million even in the event the primary system fails. In no case shall the maximum loss potential exceed the \$75 million loss limit established in DOE 5480.7; failure-proof systems such as physical separations shall be provided to prevent this possibility.

Response capability of on-site fire departments and availability of adequate water quantity and pressure at well-located hydrants may be the principal basic method of redundant fire



protection for most DOE facilities, but automatic redundant protection systems may be needed to meet or exceed “improved risk” levels of protection for some facilities.

Redundant fire protection systems may include dual water supplies to sprinkler systems, dual piping risers, or valving systems such that adequate redundancy in water supply to the sprinkler heads is provided to cover maintenance or emergency outages of either of the water supply systems; or, may include multiple types of automatic fire suppression systems (e.g., water sprinklers and Halon).

Some DOE sites or facilities must rely on local (e.g., city or county) fire department response capability for redundant protection. The fire department capability to reduce loss due to a well-developed fire shall be considered in terms of the following factors:

- Location of fire station(s) with respect to the facility to be protected
- Staffing of stations (e.g., continuously or “on-call” volunteer)
- Ability to perform initial fire attack as outlined in NFPA 1410
- Method(s) of fire department notification or alarm reception
- Familiarity of station staff with the DOE facility, and training in preparation for effective response to an alarm at the DOE facility
- Degree of commitment that fire department(s) make to respond to fire emergencies in DOE facilities. This factor shall be emphasized.

Portable fire extinguishers, interior fire hose systems, or interior fire detection and alarm systems do not meet the definition of a redundant fire protection system.

#### 1530-2.3.6 Criterion V

The need for redundant fire protection systems or methods, or for supplementing existing redundant fire protection capability, shall be considered on a case-by-case basis when the maximum fire loss potential is below \$25 million. Factors to be considered include:

- Fire department response capability
- Hazards involved in the operation
- Operating and program-mission effect of interruption and delays due to fire

### 1530-3 WATER FLOW AND PRESSURE REQUIREMENTS FOR FIRE PROTECTION

#### 1530-3.1 General

Total volume, pressure, and design flow rate of water necessary to provide fire protection for facilities shall be determined by the methods described in the following paragraphs. All

sprinkler or other automatic fire suppression system components shall be UL- or FM-approved for the particular application chosen.

### **1530-3.2      Occupancy Hazard Classification**

NFPA 13 shall be used to determine the Occupancy Hazard Classification for any facility. Light hazard occupancy rules are prohibited.

### **1530-3.3      Writer Demands for Sprinklered Facilities**

#### **1530-3.3.1      Schedule-Designed Sprinkler Systems**

For systems designed using pipe schedule methods, NFPA 13 shall be used for calculating water demand in the absence of specific requirements provided by the cognizant DOE fire protection authorities based on unusual occupancies or special hazards.

Precautions shall be taken to ensure that adequate residual pressure exists at full demand flow rate to fulfill the density and coverage requirements for schedule-designed systems. If water supply or pressure is marginal the pressure loss from the base of the riser to the most remote head should be calculated to confirm that the schedule designed-system will meet requirements, or the system shall be hydraulically designed.

#### **1530-3.3.2      Hydraulically Designed Sprinkler Systems**

NFPA 13 shall be used to determine water supply requirements for hose streams (gpm) and duration (min). Density curves presented in NFPA 13 shall be used for calculating sprinkler demand for hydraulically designed systems. For hazard classifications not covered in NFPA 13 and certain other special occupancies or hazards, design density and area of coverage shall be as specified by other more appropriate standards referenced in NFPA 13 or project-specific requirements as determined by the cognizant DOE fire protection engineer. For ordinary hazard occupancies and above, hose stream requirements shall be a minimum of 500 gpm regardless of the hose stream demands listed in the above references unless otherwise specified by the DOE project criteria.

Determination of adequacy of water supply shall be made on the basis of actual flow test data gathered using the methods in NFPA 13, Appendix B.

#### **1530-3.3.3      Fire Hydrant Demand**

Where reliance is placed on fire department response, either for protection of unsprinklered buildings or where the fire department will serve as redundant (backup) protection, as a general rule the water supply should be adequate to supply at least 0.03 gpm per cubic foot of fuel (building and contents) in the largest fire area (for high-BTU-content fuels, convert to equivalent ordinary BTU loads). This water supply should be available at 20 psig residual pressure at the hydrants.

## **1530-4 AUTOMATIC SPRINKLER PROTECTION**

### **1530-4.1 General**

All sprinkler systems shall comply with NFPA 13.

### **1530-4.2 Types of Sprinkler Systems**

#### **1530-4.2.1 Wet Pipe**

Sprinkler systems shall normally be wet pipe using pipe schedule sizes listed in NFPA 13 for ordinary installations. Hydraulic designs shall be considered for all systems. The system shall be hydraulically designed where residual pressure is marginal, water application rate is high, response time is critical, or special risks are involved.

#### **1530-4.2.2 Dry Pipe**

In unheated areas or other areas subject to freezing temperatures, dry pipe systems shall be provided. Because of the time delays associated with release of the air in the system, water demands for dry pipe systems shall be computed over areas 30 percent greater than for comparable wet pipe systems. Where the unheated area is small it may be cost effective to install an antifreeze system or small dry pipe system supplied from the wet pipe system in the main heated area.

#### **1530-4.2.3 Preaction**

A preaction system shall be used where it is particularly important to prevent the accidental discharge of water. Need for a preaction system shall be based on review by and recommendation of a professional fire protection engineer. The detection system chosen to activate the preaction valve shall have high reliability and a separate alarm/supervisory signal to indicate status. The detection system must be designed to be more sensitive than the closed sprinklers in the preaction system, but should not be so sensitive as to cause false alarms and unnecessary actuation of the preaction valve.

#### **1530-4.2.4 Deluge**

For extra hazard areas and specific hard-to-extinguish fuels such as explosives and pyrophoric metals, a deluge system with open sprinkler heads may be used to wet down the entire protected area simultaneously. Deluge systems shall comply with NFPA 13. If quick response is required deluge system piping may be primed with water. The nozzles must be provided with blow-off caps for water-filled deluge systems.

#### **1530-4.2.5 Self-Restoring**

Self-restoring sprinkler systems, such as the on-off multicycle system or systems using individual on-off sprinkler heads, shall be considered where the water from sprinklers will become contaminated by contact with room contents, where there is a concern for water damage, or where water supply or storage volume is marginal.

1530-4.2.6 Quick-Response

Where there are high-value concentrations (values per square foot), quick-response sprinklers shall be considered in lieu of conventional sprinklers.

1530-5 SPECIAL PROTECTION SYSTEMS

1530-5.1 General

Special protection systems may be used to extinguish or control fire in easily ignited, fast-burning substances such as flammable liquids, some gases, and chemicals. They shall also be used to protect ordinary combustibles in certain high-value occupancies especially susceptible to damage. Special protection systems supplement automatic sprinklers as described by NFPA and shall not be used to substitute for them except where water is not available for sprinkler protection. The added expense of the supplementary system shall be supported by documented justification.

The selection of a particular special suppression system shall be based on:

- The effectiveness of that system or agent for the type of hazard
- The damage likely to be caused by the extinguishing agent, including cleanup and downtime

1530-5.2 Types of Special Suppression Systems

1530-5.2.1 Water Spray

Installation of water spray systems shall comply with NFPA 15.

1530-5.2.2 Carbon Dioxide

Agent quantity requirements and installation procedures shall comply with NFPA 12.

1530-5.2.3 Dry Chemical

Systems shall comply with NFPA 17.

1530-5.2.4 Foam

Foam systems shall comply with NFPA 11, NFPA 11A, NFPA 16, NFPA 16A, and NFPA 409.

1530-5.2.5 Halon

The installation of Halon 1301 systems and Halon 1211 systems shall comply with NFPA 12A and NFPA 12B, respectively.

**1530-6 STANDPIPES AND HOSE SYSTEMS**

Installation of standpipe systems shall comply with NFPA 14.

**1530-7 PORTABLE FIRE EXTINGUISHERS**

Portable fire extinguishers shall comply with NFPA 10.

**1530-8 FIRE DETECTION AND ALARM SYSTEMS**

**1530-8.1 General**

All fire detection and alarm devices shall have UL-listed components or be FM-approved. Devices and systems shall comply with NFPA 71, NFPA 72A, NFPA 72B, NFPA 72C, NFPA 72D, NFPA 72E, NFPA 72F, NFPA 72G and NFPA 72H as applicable.

**1530-8.2 Alarm Systems**

**1530-8.2.1 General**

Fire alarm systems shall have the following basic features:

- Transmission of signals to the DOE facility fire department alarm center and other constantly attended locations in accordance with the appropriate NFPA Signaling Systems Standard
- Local alarms for the building or zone in alarm
- Trouble signals as required by the appropriate NFPA Signaling Systems Standard
- Emergency battery backup for system operation
- Electric supervision of all circuits as required by the appropriate NFPA standard
- Supervisory devices for all critical functions (valve position switches, water level, temperature).
- Capability of annunciating at least three separate conditions: 1) a fire alarm, 2) a supervisory alarm, and 3) a trouble signal indicating a fault in either of the first two. Annunciation of each condition shall be separate and distinct from the other two.

Fire alarm systems for new DOE buildings shall be compatible with those for the DOE complex where the new building is to be located.

#### 1530-8.2.2 Alarm Actuating Devices

- Alarms that respond to flow of water shall be provided wherever a sprinkler system is installed and shall comply with requirements of the NFPA standard for the type of signaling system used.
- A manual fire notification method such as manual fire alarm boxes shall be provided and located in accordance with the appropriate NFPA standard
- Combined watch reporting and fire alarm systems, if used, shall be in accordance with the appropriate NFPA standard

#### 1530-8.3 Automatic Fire Detection Systems

##### 1530-8.3.1 General

Automatic detection systems may be used to supplement or to actuate extinguishing systems. Automatic fire detectors shall comply with NFPA 72E. Detector spacing shall be in accordance with NFPA 72E, Appendices A, B, and C.

##### 1530-8.3.2 Heat-Actuated Detectors

Heat-actuated detectors are appropriate when any of the following conditions exists:

- Speed of detection is not the prime consideration
- The space is small or confined and rapid heat build-up is expected
- Ambient conditions do not allow the use of other detection devices

##### 1530-8.3.3 Flame-Actuated Detectors

Flame-actuated detectors are appropriate when rapid detection is of prime importance in high hazard areas, such as:

- Fuel-loading platforms
- Industrial process areas
- Hyperbaric chambers
- High ceiling areas
- Atmospheres where explosions or very rapid fires may occur

Since this type of device must "see" the flame to operate, the number of devices and their aiming must be carefully engineered. False trips from extraneous radiation sources are also possible. For the above reasons use of this type of device shall require coordination among the fire protection engineer, DOE project manager, and the equipment manufacturer.

#### 1530-8.3.4 Smoke Detectors

Smoke detectors shall be installed in all areas where required by the appropriate NFPA standard or by the cognizant DOE fire protection authority. Smoke detectors shall be of a type operating on one of the principles described in NFPA 72E. A mixture of detector types may be appropriate. Location and required spacing of smoke detectors shall be determined by the methods of NFPA 72E and its Appendix C. Spacing shall be based on threshold fire size, fire growth rate, and ceiling height as described in these standards.

### 1530-9 WATER STORAGE AND DISTRIBUTION

Wherever practical, dedicated fire water storage and distribution systems shall be used. If a dedicated fire water supply system cannot be provided, the fire protection water supply shall assure availability regardless of simultaneous process and domestic water usage.

Where automatic sprinkler systems or standpipes are fed from a potable water system, approved check valves shall be installed in sprinkler lead-ins to preclude the introduction of pollutants from systems or recirculation of stagnant water that would contaminate the domestic water system.

Underground fire water mains or combined fire and domestic water mains, including valves, hydrants, and fittings, shall be installed, flushed, sterilized, and tested in accordance with NFPA 24 and Section 0260, Piped Utility Materials. Water storage tanks shall comply with NFPA 22. Fire pumps shall comply with NFPA 20. Water storage shall be sufficient to meet the density, pressure, and duration requirements of NFPA 13.

Whenever feasible, all water distribution systems shall be of the looped grid type providing two-way flow with sectional valving arranged to provide alternate water flow paths to any point in the system.

Fire mains (except those supplying a single hydrant or extensions of existing smaller mains) shall be at least 8 inches. Mains shall be sized to supply the largest fire demand plus the largest domestic and process demand with consideration for residual sprinkler system pressure requirements.

Sprinkler supply lead-ins should be at least 6 inches, except lead-ins of 4 inches may be used for very small sprinkler systems when substantiated by hydraulic calculations. In no case shall the lead-in be smaller than the sprinkler riser.

Where combined fire and domestic-process water systems are used, the supplies to each building shall be arranged and valved so that the domestic and process systems can be shut down without shutting off the fire system supply.

Sprinkler risers should be located at an exterior wall. Sprinkler supply lead-ins should run under buildings the minimum distance possible. Where a riser must be located in a potentially contaminated area, consideration should be given to locating the riser exterior to the building in a heated enclosure.

Outside control valves that can be locked open shall be provided on each supply lead-in, located if possible a minimum distance of 40 feet from the building. PIVs should be used where possible. If site conditions preclude the use of PIVs, such as where they would be subject to mechanical damage and cannot be properly guarded, OS&Y valves in pits may be used.

Key-operated buried valves shall not be used for sprinkler control valves. In no case shall there be more than one valve controlling a sprinkler supply lead-in.

All lead-ins shall be connected with the sprinkler system at the base of the riser. Alarm valves shall be located as close as practical to the building entry point. Hydrants shall be provided so that hose lays from hydrants to all exterior portions of a protected building are no more than 300 feet. Hydrants shall not be closer to buildings than 50 feet.

## 1530-99 SPECIAL FACILITIES

### 1530-99.0 Nonreactor Nuclear Facilities-General

An assessment shall be made early in the design or modification to determine the facility structures, systems, and components that shall be protected against the effects of a DBF and explosion. A fire protection engineer or person knowledgeable in applying the principles of fire protection shall develop the fire protection system. To maximize the protection against fire, the system shall contain art appropriate integration of fire prevention, detection, and suppression features.

Fire protection systems shall not: (1) prevent a facility from achieving and maintaining a safe shutdown condition, (2) prevent the mitigation of DBA consequences, or (3) cause an inadvertent nuclear criticality.

Total reliance shall not be placed on a single fire suppression system. Appropriate backup capability shall be provided.

To ensure that redundant safety class components shall be capable of performing the necessary safety functions, the facility design shall provide appropriate separation against fire, explosion, and failure of fire suppression systems.

Fire protection systems, or portions of them, that must function to control effects of a DBA event (as determined by safety analysis accident scenarios) shall be designed to be functional for all conditions included in the accident scenario. This shall include both the event initial cause and its consequences.

Mechanical- and fluid-system portions of the fire protection system shall meet the appropriate NFPA requirements.

The operation or failure of a fire protection system that interfaces with a safety class system, such as a safety class water system, shall not prevent the safety class system from completing its safety functions when required. Wherever practical, special facilities shall be designed and constructed using building components of fire-resistant and noncombustible material, particularly in locations vital to the functioning of confinement systems. To the extent



practicable, combustible materials shall not be used in the construction of process system confinement barriers.

Confinement systems, particularly the building structural shell and its associated ventilation system, shall be designed with the capability of retaining the confinement function during the DBF.

When the use of water sprinkler coverage is precluded because of nuclear criticality or other hazards, nonaqueous extinguishing systems (i.e., inert gas, carbon dioxide, high-expansion foam, or halogenated organics) shall be used.

Fire protection systems shall be designed so that the failure of any active component (equipment or control device) shall not disable the fire protection system. Fire protection systems and components shall have fail-safe features and audible and visual alarms for operability and trouble indications.

An emergency source of electric power shall be provided to operate fire protection systems. Fire protection systems shall be capable of operating during a normal power outage. The emergency power sources and the electrical distribution circuits shall have independence and testability to ensure performance of their safety functions assuming any single failure.

The designers of the fire protection system shall consider the fire and explosion potential of the materials being processed and the solvents used during processing. In addition, the design shall include facility-specific fire protection systems to mitigate the damage from pyrophoric and other materials that are fire hazards, (e.g., magnesium, ion exchange resins, nitrate solvent and nitrate reduction reactions, and zirconium fuel element cladding hulls).

When the process uses or produces combustible gases or vapors, the design shall include features such as inert gas purging, premixing hydrogen to a nonflammable percent with inert gas, and increasing the air flow within process confinement barriers to provide the dilution required to maintain the concentration of gases or vapors below the lower limit for flammability.

Entry of air into furnaces operating with reducing gas shall be precluded by the use of inert-gas purged locks or other suitable means at the furnace entry and exit. Furnace gas shall be exhausted through a filtered exhaust system.

Process furnaces shall be provided with a system for automatically shutting off the furnace gas and purging with inert gas in the event of power failure, loss of coolant water, loss of exhaust ventilation, overtemperature, or detection of hydrogen in the vicinity of the furnace.

Automatic water sprinkler coverage shall be provided throughout the facility except in areas where nuclear criticality or other hazards specifically preclude its use or where Halon systems are required to reduce equipment damage.

The water supply for the permanent fire protection installation shall have a minimum of two reliable, independent sources each with sufficient capacity (based on maximum demand) for firefighting until other sources become available. Only time of these two sources shall be required to be DBE qualified.

To protect the integrity of process confinement systems, fire protection systems shall include the following features:

- Automatic and redundant fire detection devices
- A fire-extinguishing system to rapidly remove heat produced by fire to prevent or minimize the pressurization of a process confinement and to rapidly extinguish a fire to minimize the loading of ventilation system filters with combustion products
- The introduction of the extinguishing agent in a way that does not result in overpressurization of the confinement barriers

Where fire barriers are penetrated by the confinement system's ventilation ducting, fire dampers shall be appropriately used to maintain the barrier integrity. However, the closure of such dampers shall not compromise the functions of the confinement system where the loss of confinement might pose a greater threat than the spread of fire. In such cases, alternative fire protection means (e.g., duct wrapping) shall be used as a substitute for fire barrier closure. In no case shall a sprinkler system (including safety class sprinklers) be considered a fire barrier substitute.

Where the risk of uranium or plutonium metal fire is high, the operator shall be provided with a supplementary capability to extinguish a fire (e.g., dousing with carbon microspheres or magnesium oxide sand).

Because of flammable or potentially flammable atmospheres, electrical installations in hazardous process locations shall be designed to preclude the introduction of any ignition source by the electrical equipment.

#### **1530-99.2      Emergency Preparedness Facilities**

Emergency preparedness facilities containing telecommunication equipment, alarm transmission equipment, or ADP equipment shall be protected according to the criteria of 1530-99.8, Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations.

#### **1530-99.4      Explosives Facilities**

The hazards from fire in buildings (except storage magazines) containing explosives and plutonium require the installation of automatic fire suppression systems. For buildings containing only explosives, fire suppression systems are required as dictated by DOE "improved risk" criteria and mission requirements. Additional protection, such as automatic deluge systems, shall be provided where appropriate. Advice and guidance shall be obtained from cognizant DOE fire protection personnel during the planning and design of explosives facilities to ensure that necessary protection is provided.

Where fire suppression is required, each explosives bay shall have an individual feed with controls protectively located outside the bay to remain operable in the event of an explosion in any bay. The design of the fire suppression systems shall comply with these criteria. Specific operations conducted on explosives shall be considered and fire protection features provided to mitigate unfit risk. In addition, early warning of fire shall be considered where such early warning might reasonably aid in prevention or mitigation of an accident.

Transmitted alarms shall distinguish between explosives and non-explosives areas through the use of annunciator panels at safe locations.

**1530-99.8      Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**

An automatic sprinkler system shall be provided in all centers where water availability is adequate based on engineering analysis. Systems should normally be wet pipe with smoke detection. Other automatic suppression systems such as halogenated fire extinguishing agent systems or carbon dioxide systems shall be supplementary only.

The fire protection system shall comply with DOE/EP 0108 and NFPA 75. All sprinkler systems should be hydraulically designed for the appropriate water density based on occupancy.

Automatic fire detection systems shall be provided in all centers. Each system shall provide for automatic alarm transmission to local sounding devices and to the cognizant fire alarm center.

The detection system shall perform the following functions:

- Initiate an alarm to the building alarm system and to the local fire alarm control center
- Shut off electric power to computer-electronic data processing equipment in those areas where fire may operate sprinkler heads before manual power shutdown could be accomplished
- Activate the appropriate HVAC system control sequence to provide smoke evacuation

A manual reset shall be provided to reenergize the interrupted electrical systems.

**1530-99.12      Uranium Enrichment Facilities**

**1530-99.12.1      Gaseous Diffusion and Centrifuge Facilities**

Storage areas for  $UF_6$  and process areas handling  $UF_6$  shall be physically isolated from other areas by fire-resistant barriers. In addition,  $UF_6$  storage areas shall be protected by water sprinklers designed to keep the  $UF_6$  area cool in case of fire.

Fire-resistant physical-isolation barriers shall be designed for both the fluorine gas storage area and process areas that use fluorine. All off-gas that can contain fluorine (or hydrogen fluoride) shall be scrubbed with a caustic (or equivalent) solution in a scrubber to reduce hydrogen fluoride, fluorine, and ozone (minor product resulting from reaction between fluorine and moisture) in the off-gas to less than the allowable EPA emission limits.

Jet exhausters and caustic- or soda-lime traps shall be provided to purge manifold pigtail connections.

Nitrogen shall be used as a fluorine system purge.

Fluorine and hydrogen fluoride monitors shall be provided at strategic points to detect and to cut off fluorine flow at the source (by self-activation of the monitor and positive shutoff valves).

#### 1530-99.12.2 Atomic Vapor Laser Isotopes Separation Facilities

The laser dye areas of AVLIS facilities contain ethyl alcohol and shall be classified as hazardous locations according to Article 500 of the NEC. Electrical equipment and wiring shall meet the requirements for use in a Group D atmosphere.

Ethyl alcohol shall be confined to a closed system that prevents exposure to the atmosphere under normal operating conditions. The dye flow system shall be segregated into small flow loops with interlocked stop and shunt valves actuated by signals from flow, level, and pressure sensors.

#### 1530-99.16 Uranium Conversion and Recovery Facilities

The fire protection provisions for UCRF shall meet the following requirements:

- Physical isolation barriers shall be designed for process areas that use hydrogen. Pressurized hydrogen gas storage areas shall be surrounded with fire-resistant barriers. The pressurized hydrogen storage tanks shall be capable of being isolated from the distribution system using positive shutoff valves. The distribution system shall either be double piped (pipe within a pipe) or have hydrogen detectors located at strategic points, with the detector-activated capability of shutting off hydrogen flow at the source.
- Fire-resistant, physical isolation barriers shall be designed for both the fluorine gas storage area and process areas that use fluorine. All off-gas that can contain fluorine (or hydrogen fluoride) shall be scrubbed with a caustic (or equivalent) solution in a scrubber to reduce hydrogen fluoride, fluorine, and ozone (minor product resulting from reaction between fluorine and moisture) in the off-gas to less than the allowable EPA emission limits.
- Jet exhausters and caustic- or soda-lime traps shall be provided to purge manifold pigtail connections.
- Nitrogen shall be used as a fluorine system purge.
- Fluorine and hydrogen fluoride monitors shall be provided at strategic points to detect and to cut off fluorine flow at the source (by self-activation of the monitor and positive shutoff valves).
- For a metal fire, water shall not be used except to keep the fire from spreading or as a last resort to save the facility. Effective extinguishing agents for small metal fires shall include inert gas in an enclosure, carbon microsphere for blanketing the burning metal, and magnesium oxide for blanketing the fire.
- All flammable materials shall be stored separately from one another and in-process inventories minimized.

- Sources of heat or ignition shall not be designed for inclusion near metal storage or holdup areas. Facilities fires shall be kept from spreading to metals, and fire-resistant barriers shall be designed into facilities to isolate metal storage areas.

1530-99.19      Tritium Facilities

The fire protection provisions for tritium facilities shall meet the following requirements:

- Consideration of unique fire sources (e.g., uranium traps used for tritium storage)
- Compatibility of fire extinguishing agents with the fire sources in a tritium facility
- Handling requirements for expended fire fighting agents that may become contaminated with tritium

1540              PLUMBING/SERVICE PIPING

1540-1           PLUMBING

1540-1.1        General

These criteria apply to interior plumbing systems (fixtures, supply, drain, waste and vent piping, service water heating system, safety devices, and appurtenances) up to 5 feet beyond the building exterior wall.

Domestic water shall be supplied by a separate service line and not be a combined fire protection and potable water service or a combined process water and potable water system within the building.

Plumbing shall comply with the NSPC (or other locally adopted nationally recognized plumbing code), ASHRAE Handbooks, and ASHRAE Standard 90.

Design criteria for special systems related to the facility process or research requirements shall be provided by the cognizant DOE authority.

Penetrations of piping through security barriers shall be minimized. Such penetrations more than 96 square inches in area and more than 6 inches in minimum dimension shall provide a penetration delay equal to that required for the security barrier. The physical attributes and intended service of the piping and the axial configuration of the barrier penetration shall be considered when evaluating that penetration delay.

1540-1.2        Fixtures

All fixtures shall comply with FS WW-P-541.

Fixtures and appurtenances suitable for use by handicapped persons shall comply with Section 0110-8, Accommodations for the Physically Handicapped. Self-contained mechanical-

refrigerated coolers shall be provided wherever a need for drinking fountains exists. Ratings shall be based on ARI 1010. Electrical equipment shall be UL listed.

**1540-1.3      Piping**

**1540-13.1      Supply**

Type K copper tubing shall be used below grade. Type L copper tubing shall be used above grade. CPVC and PB plastic pipe and tubing may be used in lieu of copper tubing above grade where not subject to impact damage or otherwise prohibited by DOE project criteria.

Fittings for Type K shall be flared brass, solder-type bronze or wrought copper. Fittings for Type L shall be solder-type bronze or wrought copper. Fittings for plastic pipe and tubing shall be solvent cemented or shall use other forms of joining (such as electric heat fusion) at the direction of the cognizant DOE authority or shall use Schedule 80 threaded. No lead solder shall be used for copper pipe in potable water systems.

Stop valves shall be provided at each fixture. Accessible shut-off valves shall be provided at branches serving floors or fixture batteries for isolation, or at risers serving multiple floors. Shut-off valves shall be provided to isolate equipment, valves, or appurtenances for ease of maintenance.

Accessible drain valves shall be provided to drain the entire system. Manual air vents shall be provided at high points in the system.

Provision for expansion compensation shall be included where thermal expansion and contraction cause piping systems to move. The movement shall be accommodated by using the inherent flexibility of the piping system as laid out, by loops, by manufactured expansion joints, or by couplings.

Accessible manufactured water hammer arresters shall be provided. Dielectric connections shall be made between ferrous and non-ferrous metallic pipe.

Where domestic or fire water service lines enter buildings, suitable flexibility shall be provided to protect against differential settlement or seismic activity in accordance with the NSPC or NFPA 13, respectively.

**1540-1.3.2      Drain, Waste and Vent**

Underground lines shall be service weight cast iron soil pipe hub-type (with gasket); hubless cast iron soil pipe may be used in locations where piping is accessible. Aboveground (above grade) lines that are 1-1/2 inch in diameter and larger shall be either hubless or hub-type (with gasket) service weight cast iron soil pipe, Lines 1-1/2 inch through 6 inch in diameter may be ABS or PVC plastic pipe where allowed by DOE project criteria. Pipe and fittings shall be joined using solvent cement or elastomeric seals. Lines smaller than 1-1/2 inch in diameter shall be either 1) Type L copper with solder-type bronze or wrought copper fittings or 2) galvanized steel with galvanized malleable iron recessed threaded and coupled fittings. Cast iron soil pipe fittings and connections shall comply with CISPI guidelines.

Provisions for expansion compensation shall be included as above.

#### 1540-1.4 Service Water Heating Equipment

The service water heating system shall provide flow within 10 seconds of approximately 110°F at the most remote outlet from generation equipment, except where this is deemed unnecessary by the cognizant DOE authority.

Booster heaters shall be provided where sanitizing for dishwashing or where a temperature above the normal water heater outlet temperature is required.

Generation equipment and system selection shall be based on LCC analysis and available energy sources.

#### 1540-1.5 Safety Devices

Tempering valves shall be the fail-safe pressure balance type.

As directed by project criteria, emergency eye washes, emergency showers, or combination emergency eye wash-showers shall be provided in areas where corrosive or other skin or eye irritant chemicals are stored, handled, used or dispensed. Equipment shall comply with ANSI Z358.1 and be serviced by the potable water system.

Hot water generation equipment shall be provided with ASME code-stamped tanks, when of sufficient capacity, water temperature, or hot input rate to be within the jurisdiction of the ASME Boiler and Pressure Vessel Code. Approved relief devices, combination temperature-pressure or separate units, depending on the application, shall be provided.

Backflow preventers and air gaps shall be used to prevent cross-connection (contamination) of potable water supplies. Vacuum breakers (to prevent back-siphonage) shall be used only in conjunction with administrative controls.

#### 1540-1.6 Appurtenances

##### 1540-1.6.1 Pressure Modification

Pressure-reducing valves shall be provided where service pressure at fixtures or devices exceeds the normal operating range recommended by the manufacturer.

Wherever a pressure-reducing valve's failure may cause equipment damage or unsafe conditions, a pressure-relief valve shall be provided downstream of the reducing valve.

A means to increase the system water pressure shall be provided when incoming water service pressure will provide less than the minimum operating flow and pressure recommended by the manufacturer.

The basic pressure-boosting system should be a manufactured package composed of an ASME-rated hydropneumatic, non-rechargeable tank, multiple alternating pumps, and low-flow/demand tank operation (without pump). Manufactured packages shall include all required operational and safety features.

Booster system pressure-flow requirements shall be based on existing water main hydraulic data, static pressure, and residual pressure at flow, at the approximate anticipated tap-in connection point. The cognizant DOE authority shall provide this information to the design professional.

#### **1540-1.6.2 Water Treatment**

The cognizant DOE authority shall provide the design professional with current water quality analysis data on which to base the method of water treatment, if needed.

The system shall be selected on an LCC basis considering equipment first cost, operating and maintenance costs, and chemical cost.

#### **1540-1.6.3 Trap Seal Protection**

A trap primer valve and floor/funnel drain with trap primer valve discharge connection shall be used where there is the possibility of the loss of the seal in floor/funnel drain traps.

#### **1540-1.6.4 Hose Bibbs, Wall Hose Outlets, and Yard Hose Outlets**

Project criteria shall indicate number, location and preferred type of hose bibbs and hydrants. All units served by potable water systems shall have integral vacuum breakers, and in addition, administrative controls in the form of signs and scheduled maintenance testing of vacuum breakers.

#### **1540-1.6.5 Insulation**

See Section 1525, Mechanical Insulation.

#### **1540-1.6.6 Sterilization**

New supply systems or rehabilitation to existing supply systems shall require sterilization as per AWWA C652, AWWA C5186, or local governing plumbing code.

#### **1540-1.6.7 Miscellaneous**

Access panels shall be provided where maintenance or replacement of equipment, valves, or other devices are necessary.

Escutcheons shall be provided at wall, ceiling, and floor penetrations of piping in occupied areas.



**1540-99 SPECIAL FACILITIES**

**1540.99.0 Nonreactor Nuclear Facilities-General**

**1540-99.0.1 General Coding System Criteria**

These criteria and requirements are for cooling water and water supply systems including all components that transfer heat from sources in the facility to the ultimate heat sink that are classified as safety class items in accordance with Section 1300-3.2, Safety Class Items. The function of the safety class water system is to provide a sufficient quantity of water to satisfy the safety needs of the following:

- Cooling systems that remove heat from storage pools or process vessels that contain radioactive liquids
- Cooling needs of other equipment or systems requiring a supply of water to perform their safety functions

Water systems shall be designed to incorporate sufficient redundancy and independence to ensure that served systems and structures are adequately cooled and that adequate emergency supplies of water are available during normal operations, anticipated operational occurrences, and DBA conditions with the addition of a single failure of a component in the water system.

The cooling water system shall have a heat utilization capability at least equal to the maximum heat load imposed under any mode of normal operations, anticipated operational occurrences, and DBA conditions.

The water supply system shall be capable of supplying the long-term water needs of a facility following a loss of normal water supply or other accident.

The water system shall be designed to a national piping code (i.e., ASME Boiler and Pressure Vessel Code, Section III or ASME B31.3). The design of systems that must provide cooling water following a DBE shall include the forces resulting from a DBE.

The cooling water system shall be provided with at least two source of motive power.

The water system design shall include provisions for isolating leaking components such as heat exchangers.

Adequate instrumentation and controls shall be provided to assess water system performance and allow the necessary control of system operation.

Components of the water system that are powered by electricity only shall be considered as safety class loads.

As required to provide necessary cooling, the water system and the ultimate heat sink shall be protected against the effects of severe natural phenomena and man-made events.

Equipment in the cooling water system shall be appropriately qualified to ensure reliable operation under normal operations, anticipated operational occurrences, and DBA conditions.

The design of cooling and water supply systems shall require the following:

- The performance of initial and periodic hydrostatic tests to verify system integrity
- The performance of periodic operational tests of system performance
- In-service inspection of system components

Pumps, valves, filters, and other components associated with cooling and water supply systems shall be readily accessible for maintenance.

System redundancy requirements include the following:

- The cooling system shall be composed of at least two water sources, each capable of performing the necessary safety functions, unless it can be demonstrated by safety analysis that there is an extremely low probability of losing the capability of a single source.
- Where conduits and pumps are required as a part of the cooling system, the use of at least two complete delivery systems shall be considered.

Means shall be provided to detect and control leakage of radioactive material into the coolant. The consequences of such leakage shall not significantly degrade system performance or endanger personnel.

Leakage of coolant into waste storage vessels shall be detectable, and the volume of coolant that may enter the waste tank shall be controlled to prevent overflow.

To the extent practicable, all cooling system components shall be accessible during all operating modes.

#### 1540-99.0.2 Water Collection System

Collection systems shall be provided for water runoff, such as from firefighting activities, from areas within special facilities containing radioactive material. Nuclear criticality prevention (if necessary), confinement, sampling, volume determination, and retrievability of liquids and solids shall be provided for in the design of collection systems. The size of the collection system for firefighting water shall be based on the maximum amount of water that would be collected in fighting the DBF. The configuration of the system components shall be based on conservative assumptions as to the concentration of fissile or other materials capable of sustaining a chain reaction that might collect in the system. Recirculating systems shall also be considered when there is no possibility of contamination.

For facilities that process, handle, or store fissile or other material capable of sustaining a chain reaction, the water runoff collection system shall be designed with the following nuclear criticality safety considerations:

- The maximum material mass loading that could be in the runoff system
- The most disadvantageous material concentrations, particle size, and material dispersion in the water slurry
- The change in concentration of material and geometric configuration of the slurry as the particulate matter settles out of the water

#### **1540-99.0.3 Other Collection Systems**

Consideration shall be given to the collection and monitoring of radioactive and nonradioactive contaminants in natural runoff (e.g., roof drainage) and blowdowns from heating and cooling systems before discharge to the environment.

Safety shower water and personnel decontamination shower water shall drain to the contaminated process water waste system.

#### **1540-99.0.4 Equipment Operability Qualification**

Testing or a combination of testing and analysis shall be the preferred method of demonstrating the operability of fluid system components, mechanical equipment, instrumentation, and electrical equipment that are required to operate during and following a DBE. Seismic experience data may be used as an alternative to testing or dynamic analysis where such data have been documented and validated.

#### **1540-99.0.5 Water Supplies and Other Utility Services**

On-site water supplies and other utilities shall be provided as necessary for emergency use. The design of each utility service or coding water system shall consider the demands for normal operations, anticipated operational occurrences, and DBA conditions.

#### **1540-99.0.6 System Installation**

The following design features represent recommended practices for the installation of piping and valves carrying hazardous process fluids. In addition, the design professional shall consider the applicability of the criteria in R.G. 8.8 for piping systems carrying radioactive materials.

- Pipe and valve locations as specified on approved drawings (not located at the discretion of the installer)
- Valves designed and installed to operate in the stem-up orientation
- Valves and other connections located to minimize the consequences of leaks
- Block valves in pipes that enter or exit a process area
- Piping not embedded in concrete slabs
- Pipe sleeves where piping passes through nonshielding concrete walls, floors, and roofs

- Sleeves sloped to drain toward the controlled area
- Space between the pipe and sleeve to be packed and sealed
- Process valves not located at low points in the piping
- Corrosion resistance of block valve and/or check valve and associated process piping equivalent and adequate
- Welded joints rather than flanged connections wherever possible; butt-welded joints rather than socket-welded joints

#### 1540-99.4      Explosives Facilities

##### 1540-99.4.1      Drains and Sumps

All drain lines handling explosive wastes shall be provided with sumps or basins of adequate design and capacity for the removal of explosives by settling. The drains shall be of adequate capacity, free of pockets, and have sufficient slope (at least 1/4 inch per foot) to prevent the settling out of explosives in the line until it reaches the sump or settling basin.

Drain gutters within buildings may be constructed with a slope of 1/8 inch per foot. However, a satisfactory program of cleaning must be developed to ensure that all hazardous material is removed from drain gutters.

Sumps must be designed so that suspended solid explosive material and solid explosive material capable of settling out cannot be carried in the wash waters beyond the sumps. The design shall allow sufficient settling time on the basis of the settling rate of the material and the usual flow rate. The sump shall be constructed so that the overflow will not disturb any floating solids. The design must also allow easy removal of collected explosives that float on water (until it can be skimmed off).

Explosives collection trays for sumps shall be constructed of nonferrous metal. Hoisting equipment used for lifting the trays shall be designed to prevent the trays from binding on the sides of the sump. Bolted sump tanks or other types of construction that allow the explosives to settle in obscure or hidden spaces are prohibited.

Drains between the source of explosives and the sump shall be troughs with rounded bottoms and removable ventilated covers to facilitate inspection for accumulation of explosives. Short sections of closed pipe or trough are acceptable if they can be visually inspected for blockage or explosives buildup. Explosives or explosive-contaminated waste liquids shall not be run into closed drains and sewers.

Drains containing explosive waste materials must not be connected in a manner to empty such wastes into the normal sewage systems carrying inert or sanitary wastes. Wastewater that might contain explosives materials shall be kept from contaminating potable water or conventional wastewater systems. If pumping is involved in removing the settled explosives from a slurry settling tank, the operation shall be arranged to preclude exposure of the explosive material to any pinching in the operation.

Care must be taken to avoid the possibility of deposition of explosives from sump effluent due to drying, temperature changes, or interaction with other industrial contamination. When explosives that are appreciably soluble in water are handled, sweeping and other dry collecting measures shall be used to keep it out of the drainage system.

The combination of sumps, settling ponds, etc., must remove explosives so that outflows (if allowed) meet environmental standards.

#### **1540-99.12      Uranium Enrichment Facilities**

UEF require cooling systems to remove the heat of compression from  $UF_6$  in the process system and to liquefy  $UF_6$  at product, tails, and withdrawal stations. This cooling is accomplished by a compatible coolant (e.g., Freon) in an intermediate loop between the process equipment and a cooling water system that transfers the heat to an ultimate heat sink.

UEF cooling systems are not generally required to be designed as safety class systems because their continued operation is not essential for protecting the health and safety of the public. Corrective actions or automatic protective actions may, however, be required to ensure the integrity of the process equipment (primary confinement) boundary following transients associated with the cooling system.

#### **1540-99.14      Irradiated Fissile Material Storage Facilities**

An IFMSF (water pool type) requires a safety class cooling water system, unless there is a safety class emergency source of makeup water to the storage pool and it can be demonstrated that, under emergency conditions, the pool structure can withstand the stresses imposed by loss of cooling and other considerations addressed in Section 1320, Irradiated Fissile Material Storage Facilities.

To the extent practicable, passive cooling means shall be used at dry storage facilities. If a cooling water jacket or air system is provided to ensure an acceptable temperature of stored material within the dry type storage facility, it shall be designed as a safety class system.

A safety class emergency makeup water system shall be provided when the cooling water system is not designed as a safety class system.

#### **1540-99.15      Reprocessing Facilities**

A cooling system shall be provided as a heat sink for heat removal from high-level liquid waste handling and storage systems.

#### **1540-99.18      Radioactive Solid Waste Facilities**

Facilities and equipment associated with the interim storage or treatment of high-level radioactive solid waste shall require a safety class water cooling system to remove decay heat from the waste and to ensure the long-term integrity of the primary confinement boundary.

Other radioactive solid waste facilities, including a high-level radioactive solid waste repository, do not generally require a safety class cooling system.

## **1550            HEATING, VENTILATING AND AIR-CONDITIONING SYSTEMS**

### **1550-1            GENERAL SIZING AND DESIGN CRITERIA**

#### **1550-1.1            General Selection Procedures for HVAC Systems**

The design professional shall evaluate building HVAC systems and sub-systems and select major HVAC equipment components based on a consideration of health and safety requirements, initial costs, operating costs, and maintenance costs according to the procedures listed in 0110-12.7, Building Design Analysis.

HVAC equipment shall be sized to satisfy the building heating and cooling load requirements and to meet all general equipment design and selection criteria contained in the ASHRAE Fundamentals handbook, ASHRAE Equipment handbook, ASHRAE Systems handbook, ASHRAE Applications handbook, and ASHRAE Refrigeration handbook. Calculations and equipment selection shall be made according to the procedures given in ASHRAE GRP 158 and appropriate chapters of the ASHRAE Fundamentals handbook.

#### **1550-1.2            Heat Gain and Heat Loss Calculations**

##### **1550-1.2.1            Building Envelope Thermal Transmittance ("U") Values**

See Section 0110-12.3, Building Envelope Thermal Transmittance Values, for criteria to be applied in building planning and design.

##### **1550-1.2.2            Inside Design Temperature and Relative Humidities**

Environmental design temperatures and relative humidities for special space uses other than those listed here shall be designated in the project criteria. The design professional shall verify that the recommended design values are within the criteria bounds of ASHRAE Standard 55 and that the values are within energy conservation criteria guidelines as stated in Section 0110-12, Energy Conservation. The design professional shall alert the cognizant DOE authority if the recommended DOE design values will result in energy inefficiency or occupant discomfort.

When space cooling is required, the inside design temperature to maintain personnel comfort shall be 78°F dry bulb unless otherwise indicated by project criteria. The design relative humidity shall be 50 percent. Summer humidification shall not be provided for personnel comfort. Cooling systems shall be designed to maintain space relative humidity conditions through the normal cooling process and should not have controls to limit the maximum relative humidity unless project specific criteria dictate.

See Section 1550-1.4, Use of Evaporative/Adiabatic Cooling, for inside design temperature and humidity conditions applicable for adiabatic cooling systems.

The inside wintertime design temperature for personnel comfort shall be **72°F dry bulb** unless otherwise indicated here or directed by the project criteria. The following design temperatures shall be used for the space usages indicated in Table 1550-1.2.2.

**Table 1550-1.2.2 Inside Design Temperatures**

<b>Temperature (°F db)</b>	<b>Space</b>
<b>As indicated by DOE project criteria</b>	<b>Storage (unoccupied)</b>
<b>55°</b>	<b>Storage (occupied)</b>
<b>50°</b>	<b>Warehouses</b>
<b>60°</b>	<b>Kitchens</b>
<b>65°</b>	<b>Laundries</b>
<b>65°</b>	<b>Shops (high work activity)</b>
<b>70°</b>	<b>Toilets</b>
<b>75°</b>	<b>Change Rooms (heating only when occupied)</b>

Except where it can be substantiated from recordings or engineering computations that the inside relative humidity will be less than 30 percent, winter humidification for personnel comfort and health shall not be provided. Where such renditions have been substantiated, a design relative humidity of 30 percent shall be used in establishing minimum humidification equipment requirements.

#### **1550-1.2.3 Outside Design Temperatures**

The design professional shall design the HVAC system equipment using outside design temperatures as indicated in Table 1550-1.2.3 for the particular application. The percentage dry bulb (db) and wet bulb (wb) temperatures refer to the sources of tabulated weather data described in Section 1550-1.2.5, Weather Data. Where data for a particular location are not listed, design conditions shall be estimated from data available at nearby weather stations or by interpolation between stations, taking into account elevation and other local conditions affecting design data.

#### **1550-1.2.4 Infiltration Calculations**

Infiltration for heating and cooling design loads shall be calculated according to the methods provided by ASHRAE Publication GRP 158 and the ASHRAE Fundamentals handbook.

#### **1550-1.2.5 Weather Data**

Weather data for use in sizing HVAC equipment shall be obtained from one or more of the following:

- Local weather station

Table 1550-1.2.3 Outside Design Conditions

Winter	Summer	Application
99% db	1% db and mean coincident wb	Process, laboratory and other uses where close temperature and humidity control is required by project criteria.
97-1/2% db	2-1/2%db mean coincident wb	Personnel comfort systems
--	1% wb	Cooling towers* and research, technical-type systems
--	1% db plus °F	Air-cooled condensers*
*Temperature should be verified by actual site conditions.		

- AFM 88-29
- "Climatic Conditions for the United States," ASHRAE Fundamentals handbook
- National Climatic Data Center, NOAA, Federal Building, Asheville, North Carolina 28801

### 1550-1.3 Heating and Air-Conditioning Equipment Sizing and Performance

The capacity of central heating, refrigeration, and ventilation equipment shall be sized for the peak block building or the maximum simultaneous zone heating and cooling design loads and in accordance with the ASHRAE Fundamentals handbook. The equipment shall not be sized for future additional capacity nor redundancy unless indicated in DOE project criteria. Individual zone equipment shall be sized according to the peak zone load. The requirements of ASHRAE Standard 62 concerning minimum outside air requirements shall also be considered during the sizing process.

All HVAC equipment shall meet the performance and efficiency standards of ASHRAE Standard 90, Section 6.

Thermal storage systems shall be considered according to the requirements of 0110-12.7, Building Design Analysis, when required by DOE project criteria.

### 1550-1.4 Use of Evaporative/Adiabatic Cooling

In locations where a wide variation exists between the dry and wet bulb temperatures for extended periods of time, evaporative/adiabatic cooling shall be considered for the applications listed below. Selection of cooler types shall depend on the system configuration, user experience, and LCC analysis. All evaporative coolers shall maintain a positive water-bleed and water-makeup system for control of mineral buildup.



Applications that shall be considered include warehouses, shops not requiring close (plus or minus 5°F) temperature control, non-residential size kitchens, makeup air ventilation units, and mechanical equipment spaces.

Air duct design, number and location of coolers, and relief of the higher rate of air supply to the atmosphere shall be considered to ensure a satisfactory operating system. Multi-stage evaporative cooling systems shall also be considered.

For shops and similar large open bay areas, the heating and cooling systems shall not be combined except where it is economically or operationally justified. Two-speed fan operation shall be used: fast speed and higher cfm air flow rate during the cooling cycle and slow speed and lower cfm air flow rate during the heating cycle. Where the difference between heating and cooling air flow requirements is too great to allow for adequate air outlet device performance at the lower air flow requirement, separate heating and cooling systems shall be provided.

Indoor design dry bulb temperatures for spaces air-conditioned by adiabatic cooling systems shall be as specified by project-specific criteria. Design operating efficiency of adiabatic cooling equipment shall be a minimum of 70 percent. System-installed capacity shall be based on the conditioned space peak design cooling load. An arbitrary air-change rate for design air flow shall not be used. Adiabatic cooler specifications shall be stated in terms of the air capacity and the entering ambient dry and wet bulb temperatures and leaving dry bulb temperature.

#### 1550-1.5      Ventilation-Exhaust Systems Design Requirements

##### 1550-1.5.1      General

The design professional shall select ventilation-exhaust systems for the effective removal of noxious odors, hazardous gases, vapors, fumes, dusts, mists, and excessive heat and for the provision of fresh air to occupants. The design criteria contained in this section shall be followed in determining the required air quantity and quality for ventilation and exhaust systems. Further design criteria are contained in Section 1550-2.5, Air Handling and Air Distribution System; Section 1550-99, Special Facilities, Section 1550-3, Testing, Adjusting and Balancing; Section 1595-6, Control of Air Handling Systems; NFPA 90A; and NFPA 91.

The use of exhaust stack(s) shall be considered to provide dispersion and preclude exhaust-to-intake return of air to this or an adjacent facility. Local weather and site conditions along with guidance found in ASHRAE Fundamentals shall be used to determine requirements.

##### 1550-1.5.2      Outdoor Air Quality

Outdoor air shall be used to provide makeup air, dilute non-toxic contaminants and provide acceptable indoor air quality in spaces served by ventilation systems. The outdoor air shall meet the quality required by ASHRAE Standard 62. DOE project criteria shall include test data on ambient air quality. If the outdoor air does not meet the ambient air quality standards for particulate, gases, or other contaminants, it shall be treated to remove particles and gases and vapors as required to meet the minimum ambient air quality standards.

### 1550-1.5.3 Personnel Ventilation Air Requirements

The outdoor air shall be provided in the quantities indicated for conditioned offices and other occupied spaces in ASHRAE Standard 62. Outdoor air in addition to the quantities required by ASHRAE Standard 62 shall be provided when required to balance a building's or space's exhaust air rate or to maintain the building or space under a positive pressure. Proper ventilation rates shall be demonstrated by calculation if natural ventilation or infiltration is used as the outdoor air introduction method.

Special attention shall be given to design of ventilation systems where smoking will be allowed. For these spaces, the outside air ventilation rate designated for smoking areas by ASHRAE Standard 62 shall be provided or the appropriate air cleaning used.

### 1550-1.5.4 Recirculation

When air is supplied to a space, the portion of the total supply air that exceeds the required outdoor air quantity shall be recirculated through the ventilation system except from areas in which recirculation is specifically prohibited. If the indoor air quality does not meet or exceed the limits given in ASHRAE Standard 62, the recirculated air must be treated and monitored.

Areas from which air shall not be recirculated include areas that produce or emit dust particles, heat, odors, fumes, spray, gases, smoke, or other contaminants that cannot be sufficiently treated and could be potentially injurious to health and safety of personnel or are potentially damaging to equipment. These areas shall be 100-percent exhausted. Project criteria shall indicate other areas of non-recirculation.

Ventilation and exhaust air systems serving these areas or other special areas shall meet all the deliverable-air quantity requirements of ASHRAE Standard 62 and any other specific equipment requirements as discussed in Section 1550-2.5, Air Handling and Air Distribution Systems, and Section 1550-99, Special Facilities.

Rest rooms, janitor's closets, garbage rooms, and other malodorous spaces shall be exhausted at a rate of not less than 2 cfm per square foot or as specified in ASHRAE Standard 62, whichever is the more stringent, regardless of any other calculated ventilation requirements. Space ventilation air from adjacent spaces should be used as the ventilation supply air for the 100-percent exhausted spaces, as long as:

- Ventilation by this method does not violate any requirements of NFPA 90A, NFPA 101, or special space pressurization requirements
- The air supplied is not potentially more hazardous than the air from the space exhausted

### 1550-1.5.5 Industrial Ventilation Requirements

Industrial-type facilities and laboratories shall be provided with ventilation (supply and exhaust) systems as required for heat exposure control, or dilution ventilation. Ventilation air shall be provided in the quantities required to maintain OSHA air quality limits, all the PELs established by 29 CFR 1910 and all ACGIH TLVs. Design air quantities and transport velocities shall be calculated according to the calculation methods prescribed by the

ASHRAE Systems handbook, the ASHRAE Applications handbook, the ACGIH Industrial Ventilation Manual, 29 CFR 1910, and NFPA 45. Designers shall consider ASHRAE Applications Chapter 14, "Laboratories," when designing laboratories and laboratory buildings. Makeup air shall be provided in the quantities required to maintain required positive or negative room static pressure requirements and to offset local exhaust air quantities. Makeup air shall be tempered.

#### **1550-1.5.6 Local Exhaust Systems**

DOE project-specific criteria shall provide information on the source, quantity, and type of contaminants. Local exhaust systems shall be designed to maintain the required capture air velocities for source contaminant control. Air quantities and transport shall be calculated based on the calculation methods prescribed by the ASHRAE Systems handbook, the ASHRAE Applications handbook and the ACGIH Industrial Ventilation Manual. Further design criteria for local exhaust systems are contained in Section 1550-2.5, Air Handling and Air Distribution Systems, and Section 1550-99, Special Facilities.

#### **1550-1.5.7 Equipment Room Ventilation**

Mechanical and electrical equipment rooms shall be exhausted so that room temperature does not exceed NEMA equipment ratings. DOE project criteria shall provide the space temperature limit criterion. Where mechanical ventilation cannot maintain a satisfactory environment, evaporative cooling systems or other mechanical cooling systems shall be provided. Exhaust air openings should be located adjacent to heat-producing equipment to minimize ambient thermal loads.

Thermostatic controls shall be used to operate the ventilation or exhaust systems as discussed in Section 1595, Controls.

Equipment rooms containing refrigeration equipment shall be ventilated in accordance with ASHRAE Standard 15.

Combustion air for fuel-burning appliances and equipment shall be provided to all equipment rooms with this type of equipment in accordance with BOCA Basic/National Mechanical Code.

#### **1550-1.6 Energy Conservation-Waste Heat Recovery Systems**

Specific energy-efficient features and waste heat recovery systems for all types of heating, ventilating, and air-conditioning equipment shall be considered according to the methods prescribed in Section 0110-12.7, Building Analysis Procedures, and in Section 1550-1.1, General Selection Procedures for HVAC Systems. Special consideration shall be given to energy conservation systems if they affect health and safety, for example, corrosiveness of exhaust air to heat recovery coils.

Energy conservation-waste heat recovery systems shall be considered and designed according to the procedures outlined in specific chapters of the ASHRE Fundamentals handbook, the ASHRAE Systems handbook, the ASHRAE Applications handbook, ASHRAE Equipment handbook, ASHRAE Refrigeration handbook, and the SMACNA Energy Recovery Equipment and Systems Manual.

The following types of heat-recovery systems shall be considered for incorporation into the building HVAC system design where applicable:

- Use of rotary heat exchanger, heat pipe, or coil run-around systems for heating and air-conditioning air handling systems with more than 4000 CFM of outside ventilation-exhaust air
- Recovery of rejected heat from the condenser systems of central station cooling equipment for use in heating the remainder of the building when the central station cooling equipment must operate during the heating season to cool computer rooms, high internal heat gain areas, or process requirements
- Use of thermal heat from the condenser systems of kitchen or other continuously operated refrigeration equipment for space heating or domestic hot water heating when the rejected heat from the equipment is greater than 35,000 BTUh
- Use of a free cooling system using cooling tower water (water side economizer) when air-side economizer systems are not feasible
- Use of a heat pump run-around loop

These evaluations shall be included in the "Energy Conservation Report" detailed in Section 0110-12.8, Energy Conservation Report Requirements.

1550-2            HEATING VENTILATING AND AIR-CONDITIONING SYSTEMS  
SELECTION

1550-2.1        Central Station Cooling Equipment and Systems

1550-2.1.1      General

Selection of central station cooling systems shall be based on the LCC analysis procedures outlined in Section 0110-12.7, Building Analysis Procedures. Size, selection and design shall be based on ASHRAE Fundamentals handbook, ASHRAE Systems handbook, ASHRAE Applications handbook, and ASHRAE Equipment handbook. Refrigeration equipment shall comply with ARI 520, ARI 550, and ARI 590.

Central chilled water plants shall be considered where two or more adjacent buildings are to be air-conditioned. The number and size of central station cooling units shall be based on the annual estimated partial-load operation of the plant to assure the most economical operation.

DOE project design criteria shall provide direction on installed standby chiller capacity. The design professional shall consider the use of multiple chillers for all chilled water loads greater than 400 tons. Wherever possible, the central station chilled water equipment shall be designed into the chilled water distribution systems as part of a primary-secondary loop system maintaining chilled water inlet temperature below a maximum predetermined value, preferably with the central station cooling equipment as a secondary portion of the loop.

Temperature-critical areas, as determined by project criteria, such as laboratories and computer centers, shall be provided with independent refrigeration systems with backup systems if involved with vital programs. The design professional shall consider use of off-peak cooling systems in areas having high electric peak demand charges.

#### 1550-2.1.2 Water Chillers

The selection of either centrifugal, reciprocating, helical, rotary-screw, absorption, or steam-powered chillers shall be considered based on coefficients of performance at full load and part-load conditions using the LCC methods as described in Section 0110-12.7, Building Analysis Procedures. The LCC analysis shall also consider chilled water and condenser water system pumping energy burdens as part of the evaluation. Compression refrigeration machines shall be designed with the safety controls, relief valves, and rupture disks noted below and in compliance with the procedures prescribed by ASHRAE Standard 15 and UL 207. Controls shall at a minimum include:

- High discharge refrigerant pressure cutout switch
- Low evaporator refrigerant pressure or temperature cutout switch
- High and low oil pressure switches
- Chilled water flow interlock switch
- Condenser water flow interlock switch (on water cooled equipment)
- Chilled water low temperature cutout switch

Centrifugal compressors shall be designed to operate with inlet vane control or variable speed control for capacity modulation. Units shall be capable of modulating to 20 percent of design capacity without surge. Reciprocating compressors shall be designed for capacity control by cylinder unloading. Design using hot gas bypass control of compressors for capacity modulation shall not be used except when capacity modulation is required below 10 percent of rated load. Compressor motors for refrigeration equipment shall be selected in compliance with all requirements of the NEC.

Absorption refrigeration machines shall at a minimum be provided with the following safety controls:

- Condenser water flow switch
- Chilled water flow switch
- Evaporation refrigerant level switch
- Generator high temperature limit switch (gas-fired units)
- Generator shell bursting disc (high temperature water or steam)
- Concentration limit controls

Liquid coolers (evaporators) shall be designed to meet design pressure, material, welding, testing and relief requirements of ASHRAE Standard 15 and ASME Boiler and Pressure Vessel Code, Section VIII. The design professional shall select evaporators according to the requirements of ASHRAE Standard 24-78.

The design professional shall select chilled water system temperature controls according to the procedures described in Section 1595-7, Control of Chilled Water and Hot Water Distribution Systems.

#### **1550-2.1.3      Condensers/Condensing Units**

Water cooled condensers shall comply with ASHRAE Standard 15 and ASME Boiler and Pressure Vessel Code, Section VIII. The design professional shall select condensers based on the cooling factors recommended in ARI 450. Water-cooled condenser shell and tube types shall be designed and specified with removable heads, if available, to allow tube cleaning.

Air-cooled condensers and condensing units shall meet the standards, rating, and testing requirements of ARI 460 and ASHRAE Standard 20. Unless project-specific criteria dictate otherwise, these units shall not be located on roofs. The design professional shall locate air-cooled condenser intakes away from any obstructions that will restrict the air flow and from locations that receive peak solar heat gain. Air-cooled equipment shall be located away from noise-sensitive areas, and air-cooled condensers shall have refrigerant low head pressure control to maintain satisfactory operation during light loading.

#### **1550-2.1.4      Cooling Towers**

The design professional shall locate and place cooling towers to avoid problems with water drift and deposition of water treatment chemicals. Unless project-specific criteria dictate otherwise, towers shall not be located on roofs. Cooling towers shall have ample clearance from any obstructions that would restrict air flow, cause recirculation of discharge air, or inhibit maintenance.

The design professional shall specify cooling tower acceptance and factory rating tests conducted in accordance with CTI Bulletin ATC-105.

An automatic-controlled water bleed shall be designed for all cooling towers. A cooling tower water treatment program should be selected by a specialist. Cooling tower components shall be selected to prolong cooling tower life by use of neoprene-fiberglass fill and one of the following:

- Chemical treatment of tower members constructed of wood to form a coating insoluble in water
- Pressure treatment of the tower members constructed of wood with chemicals that are toxic to the organisms that cause wood decay
- Use of noncorroding ceramic, plastic, and metal components instead of wood

Cooling towers shall be specified with sump water heating systems if they will operate during freezing weather conditions.

## **1550-2.2      Central Station Heating Equipment and Systems**

### **1550-2.2.1      General**

This section applies to heat-generating equipment or heat-transfer equipment and accessories located in individual buildings. Information on central plant heat-generation equipment serving several buildings is contained in Section 1555, Central Plant Heat Generation/Distribution.

A building heat generation system shall not be provided unless one the following conditions exists:

- Connection to the central plant distribution system is not cost effective
- The central plant has insufficient capacity to accept the building load
- The use of the building precludes connection to a potentially interruptible central system

DOE project criteria shall direct the design professional regarding factors to be considered in the selection of heating system capacity, including redundancy, future expansion or building modification, thermal storage or solar assistance, or other project-specific considerations. If maintaining building design temperature is critical, the design professional shall design for a “stand alone” heating system with backup capability with no dependence on other facility systems.

### **1550-2.2.2      Interfacing with Central Plant Heat Generation/Distribution Systems**

Where buildings are connected to the central plant heat generation/distribution system, one of the following shall be provided:

- Steam-to-building hot water heat exchanger
- HTW-to-building hot water heat exchanger
- Steam-pressure-reducing station

Where appropriate a pressure-reducing (back-pressure) turbine shall be considered in accordance with DOE 4330.2C.

For space heating by hot water, conversion of the central heating plant steam or HTW shall be made to provide a maximum 200 °F heating-water supply temperature to serve the building terminal units. For space heating by steam, the building steam supply shall be reduced to 15 psig unless a higher supply is required for process requirements.

For process-related or other high temperature requirements, the DOE project criteria shall indicate the capacities and temperature and pressure requirements.

For facilities with a central plant condensate return system, a condensate receiver with duplex pumps shall be specified.

Steam-to-hot water or HTW-to-building heating water converters shall be selected based on design criteria contained in ASHRAE Systems handbook, ASHRAE Applications handbook and ASHRAE Equipment handbook.

#### 1550-2.2.3 Building Heat Generation Equipment/Distribution Systems

The design professional shall consider the use of direct and indirect gas-fired units, electric heating, heat-pumps (air-cooled and water-cooled), low temperature gas infrared heating, and hot water radiant heating and hot water distribution to terminal units depending on the building type, the DOE facility preference, and LCC. Office buildings or buildings with occupants sitting near fenestration shall be designed with perimeter finned-tube radiation heating systems or other perimeter heating systems. If the optimum heating system selected includes a hot water or steam distribution system and the heating medium is generated within the building and distributed to terminal units, the following requirements shall be met:

- Hydronic or steam boilers shall be selected based on LCC analysis considering fuel availability, boiler construction (e.g., steel or cast iron), space availability, and cognizant DOE authority preferences.
- Two or more boilers shall be provided, with the proportion of load each handles to be determined based on energy, redundancy and maintenance requirements (suggested proportioning 70 percent and 30 percent) and with staging of boilers, internal heating steps, and boiler sequencing as discussed in Section 1595-7.4, Load Control for Hot Water Systems.
- If the selected fuel is fuel oil, underground storage tanks installed in accordance with national, state, and local EPA regulations shall provide for 30 days of full heating capacity. Tank shall be fully trimmed for safety and operating conditions and shall include a remote level gauge. Tank shall comply with NFPA 30 requirements.

#### 1550-2.3 Water Distribution Systems

##### 1550-2.3.1 General

The design professional shall select chilled water, hot water, condenser water, boiler feed, and condensate return systems designed for economical pipe sizes based on allowable pressure drop, flow rate, and pump selection criteria as prescribed by the ASHRAE Fundamentals handbook, ASHRAE Equipment handbook, and ASHRAE Systems handbook, ASHRAE Applications handbook. Insulation shall be provided on all water distribution piping and system components. Strainers shall be provided at the suction side of each pump and each control valve. The design professional shall specify flexible connectors to be installed on the suction and discharge piping of base-mounted end suction type pumps.

Water distribution systems shall comply with the requirements of Section 0266-1, General, regarding location markers and cross-connections between distribution systems.

Check valves and balancing valves or combination check-shut-off-balance valves shall be installed in the discharge piping of all pumps operating in parallel pumping systems. Balancing valves shall be installed in the discharge piping of solitary pump systems.



Service valves shall be installed in the suction and discharge piping of all major pieces of equipment. Balancing valves shall be provided in the discharge piping of all coils, and central station cooling equipment.

Air elimination pressure control, venting, and automatic filling system (with backflow prevention) shall be provided for each hot water and chilled water distribution system, including provision of water treatment injection if required.

Expansion or compression tanks and fill piping connections shall be located on the suction side of the distribution system pump or pumps. Expansion tanks and air separation devices shall be sized according to the methods in the ASHRAE Systems handbook and specified in accordance with the requirements of ASME B31.1. Gauge glasses, drain valves and vent valves shall be provided for all expansion tank systems.

Water treatment design information for chilled water, hot water, and boiler feed water systems shall be provided by a specialist based on project criteria (tested water condition).

#### **1550-2.3.2       Pumps and Pumping Systems**

Pumps for chilled water, hot water, condenser water, boiler feed-water and condensate systems shall be of the centrifugal type selected based on criteria in ASHRAE handbooks. Materials, types of seals, bearings, wear rings, shafts and other features shall be selected based on specific system requirements and economic evaluation. The design professional shall consider the use of primary-secondary type pumping systems and high-efficiency motors for pumps for all hot and chilled water distribution systems.

On systems where system pumping horsepower requirements are greater than 20 bhp, the design professional shall consider the use of variable speed drives or parallel pumping arrangement.

Standby pumps shall be provided for all systems as dictated by project-specific criteria. Pumps shall comply with HI standards.

#### **1550-2.3.3       Piping, Fittings and Accessories**

Piping size shall comply with Section 1550-23.1, General. Selection of materials, installation of piping, fittings, valves, and accessories, as well as methods of joining and suspending piping systems, shall be based on pressure requirements, pipe size, and type of service as recommended by ASHRAE Equipment handbook and ASME B16 series, ASTM G46 and ASME B31.1. The design professional shall calculate and design for expansion of piping systems. Chilled water, heating water, and condenser water piping shall be Schedule 40 piping with flanged, screwed, grooved-end or welded fittings depending on pipe sizes and operating conditions. Boiler feed water and condensate piping shall be schedule 80 black steel with appropriate fittings depending on pipe sizes.

#### 1550-2.4      Steam Distribution Systems

All steam piping shall comply with ASME B31.1 and be a minimum of Schedule 40 black steel. Fittings, valves, and accessories shall be selected based on pipe size and temperature and pressure conditions.

#### 1550-2.5      Air Handling and Air Distribution Systems

##### 1550-2.5.1      General

The design professional shall consider and design air handling equipment and air distribution systems sized to optimize both initial cost and air handling system operating and maintenance costs according to the procedures outlined here and in Section 0110-12.7, Building Analysis Procedures.

The design professional shall provide all air handling system equipment (fans, terminal units, air handling units, etc.) with vibration isolators and flexible ductwork connectors to minimize transmission of vibration and noise. Systems shall satisfy the NC levels recommended for various types of spaces and vibration criteria as listed in the ASHRAE handbooks. Where air handling equipment and air distribution systems cannot meet these requirements, sound attenuation devices shall be installed in the air handling systems.

Air flow diagrams shall be developed and provided in the preliminary design phase unless waived by the DOE project criteria. These diagrams shall be provided for each air handling and air distribution system, and shall include capacities and locations of fans, coils, filters, terminal devices, and other major air distribution system equipment, as well as air flows and system air pressures and space pressure differentials.

Airflow velocities shall be designed to minimize settling of entrained particles as outlined in the ACGIH Industrial Ventilation Manual.

Air handling and air distribution systems shall meet the energy conservation requirements for transport energy in ASHRAE Standard 90. Controls for air handling and air distribution equipment shall comply with Section 1595-6, Control of Air Handling Systems. Supply and outdoor air intakes shall be located a minimum of 10 feet from any exhaust opening.

##### 1550-2.5.2      Air Handling Units

The design professional shall select packaged air handling units complete with filters, coils, mixing boxes, fan section, and other accessories or built-up air handling units based on an LCC evaluation and the requirements of NFPA 90A, AMCA Publication 99, AMCA Publication 261, and ARI 430.

##### 1550.2.5.3      Fans/Motors

Fans shall be designed and specified to assure stable, nonpulsing aerodynamic operation in the range of operation over varying speeds. Air handling units and fans in sizes over 1 hp shall use belt drives. Fans with motors of 10 hp or less shall be designed with adjustable motor pulley sheaves to assist in air balancing of systems. Fans with motors greater than 10 hp shall use fixed (non-adjustable) drives that can be adjusted by substituting fixed motor pulley sheaves of different diameters. Supply air handling units and return air fans in

variable-air-volume systems shall control capacity through the use of variable-speed drives, inlet vanes or scroll bypass dampers. All fans shall comply with AMCA Standard 210, ASHRAE Standard 51, and ASHRAE Equipment handbook.

Fans shall be located within the ductwork system according to the requirements of AMCA Publication 201 and ACGIH Industrial Ventilation Manual. The design professional shall consider the use of variable-speed drives on fans in variable-air-volume systems where the supply fans are larger than 5 BHP. Motors shall be sized according to properly calculated BHP fan requirements and shall not use oversized fans and motors to meet future capacity needs unless so directed by DOE project criteria.

The design professional shall consider the selection of fan construction materials based on corrosion resistance and cost. Spark-resistant construction shall be used where required by NFPA.

All fans and accessories shall be designed and specified to meet all smoke and flame spread requirements of NFPA 255.

#### 1550-2.5.4 Coils

Heating and cooling coils shall comply with ARI 410. Heating or cooling coil selection shall not conflict with ASHRAE Fundamentals handbook or ASHRAE Equipment handbook. The design professional shall specify that coil manufacturers certify coil performance by ARI certification or provide written certification from a nationally recognized independent testing firm that will verify coil performance to be in accordance with ARI 410.

Heating and cooling coils shall be specified of materials appropriate for corrosive atmosphere in which they are contained.

Cooling coils shall be designed with a maximum face velocity of 600 fpm. Coils designed with face velocities exceeding 500 fpm shall be specified with provisions to prevent condensate carryover, or use moisture eliminators. Coils shall be specified with drain feature.

Recirculating air systems with outside air winter design temperatures below freezing shall be designed with a preheat coil located either in the outside air intake or in the mixed air stream upstream of the cooling coil, unless the theoretical mixed air temperature is calculated to be above 35°F. In this case, the preheat coils may be omitted if adequate baffling is provided to guarantee positive mixing of the return and outdoor air. Preheating coils shall be specified and designed to maintain discharge air temperature without modulation of the steam or hot water flow through use of modulating face and bypass dampers. Steam modulation may be used for control of steam coils in moderate climates where proven to be reliable without concern of coil freeze-up.

#### 1550-2.5.5 Air Cleaning Devices

##### General

Air cleaning equipment for ductwork installation shall be easily removable, servicable, and maintainable. Air cleaning equipment shall have face velocities as recommended by the filter

manufacturer and the design manuals recommended above to achieve maximum efficiency and minimum pressure drop.

Filters shall be constructed of noncombustible materials meeting the requirements for UL 900, Class L Air filters shall be located on the suction side of fans and coils and in other special locations as required for air treatment. Air-filter pressure drop gauges of the diaphragm-actuated, dial-type (preferred) or the inclined manometer type shall be located on all filter assemblies.

#### High-Efficiency Particulate Air Filters

HEPA filter assemblies shall comply with ASME N509.

HEPA filtration systems shall be used to minimize the release of particulate contaminants such as carcinogens, infectious agents, radioisotopes, or highly toxic materials when determined by safety analysis to be necessary.

The design professional shall design for a location that facilitates in-place testing of HEPA filters, with particular attention given to plenum hardware provisions that allow for testing of the HEPA filter bank without requiring the testing personnel to enter the plenum. Utility services shall be extended to the plenum location (e.g., electrical receptacles and compressed air) to facilitate testing work. In-place testing design requirements shall meet all the recommendations of UL 586, ASME N510, and ERDA 76-21. HEPA filtration systems shall be designed with prefilters installed upstream of HEPA filters to extend the HEPA filter's life. The design professional may eliminate the installation of pre-filters if an analysis of filtration requirements and consideration of the filter assembly justifies omission.

#### Filters for Air Handling Systems Serving Inhabited Spaces

Filters include air filter devices and air filter media used in building environmental air handling systems for removing particulate matter from atmospheric air. These filters shall be specified to meet minimum efficiencies required by the ASHRAE Dust Spot method using atmospheric dust for medium-efficiency applications. Filters shall be specified and installed for use as pre-filters, medium-efficiency filters, or high-efficiency filters. These filters shall comply with ARI 850.

High-efficiency filters and assemblies shall comply with DOE project criteria. Electronic air cleaners and extended dry-surface filters shall be considered for use as high-efficiency filters. Pre-filters are normally provided, being either pre-filter or medium-efficiency filters depending on the upstream air particle size distribution.

#### Air-Cleaning Devices for Special Applications

Filters include dry type dust collectors, wet collectors, centrifugal collectors, adsorbers, absorbers, oxidizers, and chemical treatment filters that are used primarily in industrial and process-type applications associated with air or gases that have heavy dust loadings in exhaust systems or stack gas effluents. Filters shall be designed according to the requirements given in DOE project criteria, ASHRAE Equipment handbook, and ACGIH Industrial Ventilation Manual. Adsorbers for nuclear or toxic applications should comply with ERDA 76-21, ASME N509, and ASME N510.

### **Fire Protection of HEPA Filter Assemblies**

In providing fire protection for the HEPA filters, the design shall separate prefilters or fire screens equipped with water spray sufficiently from the HEPA filters to restrict impingement of moisture on the HEPA filters. Under conditions of limited separation, moisture eliminators or other means of reducing entrained moisture shall be provided. Moisture eliminators may be omitted where system design provides sufficient filter redundancy to ensure continued effluent filtration in the event of fire within any portion of the system. The HEPA filter fire protection system shall be activated in a manner consistent with the fire protection system in the room or building in which the filters are located and as directed by the cognizant DOE fire protection authority.

#### **1550-2.5.6 Ductwork Systems**

Ductwork systems shall be designed for efficient distribution of air to and from the conditioned spaces with consideration of noise, available space, maintenance, air quality and quantity, and an optimum balance between expenditure of fan energy (annual operating cost) and duct size (initial investment).

Ductwork systems shall be designed to meet the leakage rate requirements of SMACNA HVAC Air Duct Leakage Test Manual.

Ductwork accessories, and support systems shall be designed to comply with the following:

- ASHRAE Fundamentals handbook
- SMACNA HVAC Duct Construction Standards-Metal and Flexible
- SMACNA Fibrous Glass Duct Construction Standards
- SMACNA Round Industrial Duct Construction Standards
- SMACNA HVAC Duct Design Manual
- ACGIH Industrial Ventilation Manual
- NFPA 45
- ERDA 76-21

Ductwork shall also be designed to comply with NFPA 90A, including specification and installation of smoke and fire dampers at fire wall penetrations and smoke pressurization/containment dampers as required for smoke pressurization/evacuation systems. Fire dampers shall not be used on exhaust system ducting if it is required to maintain confinement of hazardous materials during and after a fire event.

Exhaust ductwork shall comply with NFPA 91. Ductwork for kitchen exhaust systems shall comply with NFPA 96.

Ductwork shall be designed to resist corrosive contaminants if present. Ductwork that handles air exhausted from shower rooms, dishwashing areas, or other areas causing condensation on the duct interior shall be of aluminum construction, have welded joints and seams, and have drainage at low points.

Ductwork thermal insulation shall comply with ASHRAE Standard 90. Ductwork shall be acoustically insulated to meet acceptable noise criteria.

Ductwork systems shall have testing and balancing dampers and accessories specified and installed as discussed in Section 1550-3.2, Testing and Balancing Devices. The design professional shall specify automatic controls for ductwork systems and provide them in required locations as discussed in Section 1595, Control of Air Handling Systems.

Penetrations of ductwork through security barriers shall be minimized. Such penetrations more than 96 square inches in area and more than 6 inches in minimum dimension shall provide a penetration delay equal to that required for the security barrier. The physical attributes and intended service of the ductwork and the axial configuration of the barrier penetration shall be considered when considering that penetration delay.

**Table 1550-3.2a Required Balancing Devices for Air Distribution Systems**

<b>System Components</b>	<b>Required System Devices</b>
<b>Diffusers, grilles, registers</b>	<b>Round butterfly or square/rectangular opposed blade volume damper, either integral with device or in spin-in take offs</b>
<b>Branch ductwork runs</b>	<b>Rectangular/square or round (with more than one opposed blade damper and terminal device). Sealed test hole for pitot tube traverse</b>
<b>Fan discharge ductwork</b>	<b>Sealed test holes for pitot tube traverse. Sealed test hole for static pressure measurements</b>
<b>Fan suction ductwork</b>	<b>Sealed test hole for static pressure measurement</b>
<b>Cooling coil suction and discharge airstreams</b>	<b>Duct-mounted airstream thermometer</b>
<b>Heating coil suction and discharge airstreams</b>	<b>Duct-mounted airstream thermometer</b>
<b>Mixed air plenum airstream</b>	<b>Duct-mounted airstream thermometer</b>

**1550-3 TESTING, ADJUSTING AND BALANCING**

**1550-3.1 System Performance Tests**

The design professional shall specify system performance tests for mechanical air distribution and HVAC water distribution systems to verify compliance with DOE project criteria. These tests shall be performed by an independent AABC testing organization in accordance with the guidelines contained in ASHRAE Systems handbook and AABC Volume A-82 or by others as dictated in project-specific criteria.

**1550-3.2 Testing and Balancing Devices**

HVAC air and water distribution systems shall be provided with permanently installed calibrated testing and balancing devices and access as needed to accurately measure and adjust water flows or air flows, pressures, or temperatures as required. The design professional shall provide as a minimum the balancing devices in Table 1550-3.2a and Table 1550-3.2b. Test devices shall be located and installed according to AABC Volume A-82.

**1550-3.3 General Guidelines**

Test and measuring locations shall be noted on construction drawings. The use of duct mounted air flow monitoring stations shall be considered where limited duct space or configuration restrict the use of pitot tube traverse procedures or where especially sensitive measuring requirements are dictated by the DOE project criteria.

**1550-99 SPECIAL FACILITIES**

**1550-99.0 Nonreactor Nuclear Facilities-General**

**1550-99.0.1 General Ventilation and Off-Gas Criteria**

These criteria cover ventilation and off-gas systems, or portions of them, that are classified as safety class items in accordance with Section 1300-3.2, Safety Class Items. Safety class ventilation and off-gas systems are generally designed to operate in conjunction with physical barriers to form a confinement system to limit the release of radioactive or other hazardous material to the environment and to prevent or minimize the spread of contamination within the facility.

Ventilation and air-conditioning systems designed to provide a comfortable working environment and whose functions are not necessary to provide confinement are generally not designed as safety class systems.

Ventilation systems shall be designed to provide a continuous airflow pattern from the environment into the building and then from noncontaminated areas of the building to potentially contaminated areas and then to normally contaminated areas. Thus, the airflow is toward areas of higher radioactive or hazardous material contamination. Dampers shall be located so that cross-contamination will not occur in case of a localized release of material.

Electronic air cleaners shall not be used in systems recirculating air.

**Table 1550-3.2b Required Balancing Devices for Water and Steam Distribution Systems**

<b>System Components (Water)</b>	<b>Required System Devices</b>
<b>Pump suction and discharge piping</b>	<b>Manifold pressure gauge with pressure taps</b>
<b>Pump discharge piping</b>	<b>Flow measuring device (type depending on accuracy required)</b>
<b>Chiller evaporator water suction and discharge piping</b>	<b>Thermometer/test well and pressure gauge and gaugecock</b>
<b>Chiller condenser water suction and discharge piping</b>	<b>Same devices as required for chiller evaporator piping</b>
<b>Boiler or heat exchanger suction and discharge piping</b>	<b>Same devices as required for chiller evaporator piping. Note: Simple, easy-to-install, state-of-the-art equipment such as magnetic vanes should also reconsidered.</b>
<b>Heating or cooling coil (AHU) suction and discharge piping</b>	<b>Thermometer/test well; pressure gauge/pressure tap</b>
<b>Heating or cooling coil (AHU) discharge piping</b>	<b>Presentable calibrated balancing valve with integral pressure test ports</b>
<b>Reheat coil, fan coil unit, unit heater, ports and finned tube radiation, convector: 1) discharge piping 2) suction piping</b>	<b>1) Presentable calibrated balancing valve with integral pressure test ports 2) temperature test 3) pressure tap</b>
<b>Three-way control valves (each port) suction and discharge piping</b>	<b>Pressure tap</b>
<b>System Component (Steam)</b>	<b>Required System Devices</b>
<b>Boiler discharge piping</b>	<b>Flow measuring device (orifice or venturi type)</b>



**Ventilation system balancing shall be specified to ensure that the building air pressure is always negative with respect to the outside atmosphere.**

**Portions of ventilation and off-gas systems that provide required functions following a seismic event shall be designed to be functional following a DBE.**

**The use of downdraft ventilation within occupied process areas shall be considered as a means to reduce the potential inhalation of contamination.**

**The failure of ventilation and off-gas systems not designed as safety class systems shall not prevent other facility safety class systems from performing their required safety functions.**

**Hydrogen gas storage areas and process areas that use hydrogen shall have provisions for sufficient ventilation to ensure that under all conditions (normal operations, anticipated operational occurrences, and DBA conditions), the hydrogen concentration in the air and/or off-gas will never exceed 4 percent by volume.**

**Gas storage areas and process areas that use hazardous materials shall have ventilation systems designed to ensure that the hazardous material concentrations do not exceed the limits referenced in DOE 5480.10 and are ALARA in the workplace environment. Effective loss-of-ventilation alarms shall be provided in all of these areas.**

**Suitable off-gas stream pretreatment shall be provided upstream of the off-gas cleanup system to remove or reduce the concentration of chemicals that would adversely affect system operation.**

**Components of ventilation and off-gas systems that require electric power to perform their safety functions shall be considered safety class loads.**

**Adequate instrumentation and controls shall be provided to assess ventilation or off-gas system performance and allow the necessary control of system operation.**

**Equipment in ventilation and off-gas systems shall be appropriately qualified to ensure reliable operation during normal operating conditions, anticipated operational occurrences, and during and following a DBE.**

#### **1550-99.0.2      Confinement Ventilation Systems**

**The design of a confinement ventilation system shall ensure the ability to maintain desired airflow characteristics when personnel access doors or hatches are open. When necessary, air locks or enclosed vestibules shall be used to minimize the impact of this on the ventilation system and to prevent the spread of airborne contamination within the facility. The ventilation system design shall provide the required confinement capability under all credible circumstances with the addition of a single failure in the system.**

**If the maintenance of a controlled continuous confinement airflow is required, electrical equipment and components required to provide this airflow shall be supplied with safety class electric power and provided with an emergency power source.**

Air cleanup systems shall be provided in confinement ventilation exhaust systems to limit the release of radioactive or other hazardous material to the environment and to minimize the spread of contamination within the facility as determined by the safety analysis. The following general cleanup system requirements shall be met, as appropriate, for ventilation system design:

- The level of radioactive material in confinement exhaust systems shall be continuously monitored. Alarms shall be provided that will annunciate in the event that activity levels above specified limits are detected in the exhaust stream. Appropriate manual or automatic protective features that prevent an uncontrolled release of radioactive material to the environment or workplace shall be provided.
- To limit onsite doses and to reduce offsite doses by enhancing atmospheric dispersion, elevated confinement exhaust discharge locations are required. The height of the exhaust discharge location shall ensure that the calculated consequences of normal or accidental releases shall not exceed the radiological guidance contained in Section 1300-1.4, Guidance on Limiting Exposure of the Public. In addition, to the extent practical, all normal and accidental releases shall be maintained at ALARA levels.
- An elevated stack shall be used for confinement exhaust discharge. Provisions shall be made to ensure an adequate ventilation exhaust discharge path in the event of stack failure. The stack shall be located so that it can not fall on the facility or an adjacent facility containing safety class items. The alternative is the construction of a stack that shall remain functional following a DBE, severe natural phenomena, and man-made events. Stack location and height shall also consider intakes on the facility and adjacent facilities to preclude uptake.
- Safety class air filtration units shall be designed to remain functional throughout DBAs and to retain collected radioactive material after the accident.
- Air sampling locations shall meet ACGIH/ASHRAE criteria. Sample collecting devices shall be located as close to the sampling probe as possible.
- The number of air filtration stages required for any area of a facility shall be determined by safety analysis based on the quantity and type of radioactive materials to be confined.
- Air filtration units shall be installed as close as practical to the source of contaminants to minimize the contamination of ventilation system ductwork.
- Ducts shall be sized for the transport velocities needed to convey, without settling, all particulate contaminants.
- Air filtration units shall be located and provided with appropriate radiation shielding to maintain occupational doses ALARA during operations and maintenance.
- Air filtration units shall be designed for ease of recovery of fissile material and other materials capable of sustaining a chain reaction in case of an accident as well as during normal operations.

- The cleanup system shall have installed test and measuring devices and shall facilitate monitoring operations, maintenance, and periodic inspection and testing during equipment operation or shutdown, as appropriate.
- Where spaces, such as a control room, are to be occupied during abnormal events, safety class filtration systems shall be provided on the air inlets to protect the occupants. As a minimum, air inlets shall be filtered to limit the loading of exhaust filters with normal atmospheric dust.
- Either HEPA filtration or fail-safe backflow prevention for process area intake ventilation systems shall be provided.
- Consideration shall be given to providing roughing filters or prefilters upstream of a HEPA filter to maximize the useful life of the HEPA filter and reduce radioactive waste volume.

Hot cell exhaust systems shall be as follows:

- Exhaust prefilters and HEPA filters shall be installed in such a manner as to facilitate filter changing and repairs.
- Standby filters provide backup protection and facilitate changing the primary filters without shutting down the exhaust fans. Standby filters shall be installed outside the cell and sealed in an acceptable enclosure for direct maintenance.
- All exhaust systems shall have monitors that provide an alarm if the concentration of the hazardous material in the exhaust exceeds specified limits.

In facilities where plutonium or enriched uranium is processed, the following additional requirements shall be met:

- Wherever possible, the designer shall provide enclosures for confining the process work on plutonium and enriched uranium. Design criteria for enclosures of radioactive and other hazardous materials are provided in Section 1161, Enclosures. When these confinement enclosures are specified and designed, consideration shall be given to whether room ventilation air can be recirculated. If a recirculation ventilation system is provided, the design shall provide a suitable means for switching from the recirculation mode to a once-through ventilation system.
- A safety analysis under DOE direction shall establish the minimum acceptable performance requirements for the ventilation system and the response requirements of system components, instrumentation, and controls under normal operations, anticipated operational occurrences, and DBA conditions.

The safety analysis shall determine system requirements such as the need for redundant components, emergency power for fans, dampers, special filters, and fail-safe valve/damper positions. The safety analysis and the guidelines provided by the cognizant DOE authority shall determine the type of exhaust filtration required for any area of the facility during normal operations, anticipated operational occurrences, and DBA conditions.

- If advantageous to operations, maintenance, or emergency personnel, the ventilation system shall have provisions for independent shutdown. Shutdown of a ventilation system under such conditions shall be considered in light of the effects on air flows in other interfacing ventilation systems. When a system is shut down, positive means of controlling backflow of air to noncontaminated spaces shall be provided by positive shutoff dampers, blind flanges, or other devices.
- Equipment to continuously monitor oxygen levels shall be provided for occupied working areas of facilities equipped with significant quantities of inerted or oxygen-deficient process glovebox lines.
- The supply air to enclosures that confine the processing of plutonium and enriched uranium shall be filtered by HEPA filters at the ventilation inlets to the enclosures and area confinement barriers to prevent the transport of radioactive contamination in the event of a flow reversal.
- If room air is recirculated, at least one stage of HEPA filtration shall be provided in the recirculation circuit. The design shall include redundant filter banks and fans that shall be located based on the results of the safety analysis. If recirculation systems are used, a means shall be provided to prevent contaminated process enclosure air from exhausting into the working area rooms. Process enclosure air (from hoods, gloveboxes, etc.) shall be treated and exhausted without any potential for recirculation to occupied areas.
- Ventilation system components and controls that require electric power to perform safety functions shall be supplied with a safety class UPS and/or emergency power supply as is determined to be required by a systems design/safety analysis.
- The designer shall specify and locate components in the exhaust systems to remove radioactive materials and noxious chemicals before the air is discharged to the environment. These components shall be capable of safely handling products of combustion. These systems shall be designed to operate under DBA conditions including the DBF. The exhaust system design shall ensure that effluents are safely directed through the appropriate ventilation ducts and not spread beyond the physical boundary of the ventilation system until treated.
- The number of required exhaust filtration stages to limit the quantity and concentration of airborne radioactive or other hazardous materials released to the environment from any area of the facility shall be determined by the safety analysis. The design shall include all necessary cleaning and detection equipment for detection and removal of noxious chemicals from the exhaust ventilation system.
- HEPA filters shall be installed at the interface between the enclosures that confine the process and the exhaust ventilation system to minimize the contamination of exhaust ductwork. Prefilters shall be installed ahead of HEPA filters to reduce HEPA filter loading. The filtration system shall be designed to allow reliable in-place testing of the HEPA filter and simplify filter replacement.
- Separate exhaust ventilation system ductwork and the initial two stages of filtration shall be designed for exhaust air from enclosures that confine the process (e.g., gloveboxes). These systems shall maintain a negative pressure inside the enclosure with respect to the

operating area. These systems shall be designed to remove moisture, heat, and explosive and corrosive gases, as well as other contaminants. These systems shall be designed to automatically ensure adequate inflow of air through a credible breach in the enclosure confinement.

- Enclosures that confine the process and are supplied with gases at positive pressure shall have positive-acting pressure-relief valves that relieve to the exhaust system to prevent over-pressurization of the process confinement system.
- The design of air cleaning systems for normal operations, anticipated operational occurrences, and DBA conditions shall include the use of the following equipment as directed by the cognizant DOE authority and these criteria:
  - Prefilters
  - Scrubbers
  - Process vessel vent systems-HEPA filters
  - Sand filters
  - Glass filters
  - Radioiodine adsorbers
  - Demisters
  - Condensers
  - Distribution baffles
  - Pressure and flow measurement devices
- Airborne contaminant cleaning systems shall be designed for convenient maintenance and the ability to decontaminate components and replace components in the supply, exhaust, and cleanup systems without exposure of maintenance or service personnel to hazardous materials. Filtration systems shall be designed so that a bank of filters can be completely isolated from the ventilation systems during filter element replacement.

#### 1550-99.0.3 Off-Gas Systems

The sources and characteristics of radioactive material in off-gas systems shall be identified. The design of an off-gas system shall be commensurate with the characteristics of the radioactive material in the off-gas and the risk associated with its release as an effluent.

The design of the off-gas system shall ensure that off-site doses resulting from normal system operation are maintained within the guidelines referenced in Section 1300-1.4.3, Routine Releases, and are ALARA.

Portions of off-gas systems and components that are required to control or limit the release of radioactive material to the environment or for safe operation of the system shall be provided with redundancy.

Electrical equipment and components of off-gas systems that require electric power to perform their safety functions shall be considered safety class loads.

Adequate instrumentation shall be provided to monitor and assess system performance and to provide necessary alarms. Appropriate manual or automatic protective features shall be provided to prevent an uncontrolled release of radioactive material to the environment and to minimize the spread of contamination within the facility.

The off-gas system shall be designed to allow periodic maintenance, inspection, and testing of components.

The system's capacity shall be consistent with the needs for handling off-gas from components and systems during normal operations, anticipated operational occurrences, and DBA conditions. Process system tanks and other sealed components shall be vented to an off-gas system.

The design of process confinement off-gas treatment systems shall preclude the accumulation of potentially flammable quantities of hydrogen generated by radiolysis or chemical reactions within process equipment.

Vents from liquid components shall be provided with traps and drains to prevent inadvertent flooding of off-gas systems.

Adequate shielding shall be provided for filters, absorbers, scrubbers, and other off-gas treatment system components to maintain occupational exposures within the limits specified in DOE 5480.11. In addition, to the extent practical, the shielding design shall use ALARA principles to minimize overall exposures.

Corrosive gases and particles from vats, scrubbers, and similar equipment in gloveboxes shall be neutralized prior to reaching the HEPA off-gas filters.

Air ventilated gloveboxes shall have the ability to safely contain in-box contaminants when an access port is opened or a glove ruptures.

Vent streams containing UF<sub>6</sub> shall be equipped with chemical traps to remove radionuclides from the gases before they are vented to the atmosphere. The following are typical vents to be equipped with traps:

- Purge cascade
- Cold recovery
- Buffer seal exhaust stations
- Wet-air evaluation stations

Traps shall be designed for nuclear criticality safety under conditions of design loading of fissile or other materials capable of sustaining a chain reaction. The design shall also minimize the spread of contamination during replacement.

All vent streams having the potential of containing significant quantities of radioactive material shall be processed by an off-gas cleanup system before being exhausted to the environment. The cleanup systems shall be designed to remove particulate and noxious chemicals and control the release of gaseous radionuclides.

#### **1550-99.4      Explosive Facilities**

##### **1550-99.4.1      Ventilation Requirements**

The ventilation requirements for an explosives facility shall be determined by the safety analysis for the proposed facility.

Exhaust ventilation shall be used to control explosives dust (or other hazardous materials used in or resulting from explosives operations) that may create a hazard to operating personnel or contaminate the operating area. When exhaust ventilation is used to remove explosives dust, an approved dust collection system is required to prevent the release of dust outside the building.

Exhaust ventilation and collection systems for control of explosives dust and materials associated with explosives production shall be designed to meet minimum requirements established in these criteria and ACGIH Ventilation Manual. The exhaust ventilation system shall have sufficient capture and adequate makeup air to reduce exposure to explosives dusts, or materials used in conjunction with explosives, to ALARA. This is particularly important when toxicity information and occupational exposure limits are not available for the explosives in use.

The ventilation system shall be constructed of materials that are compatible with explosives work. The ducting system shall be designed to allow for inspection and disassembly without encouraging collection of explosives material in the system. The need for filtration shall be considered to ensure that release of contamination is minimized.

The following radiological criteria shall be incorporated into the design of ventilation systems where both explosives and radiological hazards are relevant. For the DBA, the ventilation system in the affected area shall be designed to ensure that the radiological requirements of Section 1300-1.4.2, Accidental Releases, are satisfied. To minimize the dispersal of contamination from accidents less severe than an explosion, supply and exhaust air shall be filtered to ensure that airborne releases to the environment do not exceed the concentration guides specified in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series. Air supply flows shall be directed toward the areas of the highest potential concentration.

##### **1550-99.4.2      Collection of Explosives Wastes**

Provision shall be made for the removal of explosives waste from any area in which explosives waste is generated. Removal of explosives waste may be accomplished by collecting

dust/fines in a wet or dry vacuum system; collecting dust, fines, or chips in a slurry of water or nonflammable solvent; or by collecting solid waste in receptacles.

Explosives waste shall be collected and maintained separately from conventional waste.

Mixing of incompatible explosives shall be avoided. Receptacles shall be clearly labeled to indicate the type of permissible waste.

#### **Vacuum Collection of Explosives Dusts**

When using a wet system, the design of that system shall provide for the following:

- The dust shall be maintained in a wet form and in close proximity to its point of origin.
- The dust shall be maintained in a wet form until removed for disposal.
- Water-soluble explosives shall not be collected in a wet system.

Storage compatibility Group A explosives (for definition see DOE/EV 06194) may be collected by a vacuum system provided they are maintained in a wet form using a wetting agent that is kept close to the point of intake. Use of a vacuum system for collecting these more sensitive materials shall be confined to operations involving small quantities of explosives.

Dry-type dust collection chambers, except portable units, should be located in the open and outside operating buildings, or in buildings exclusively set aside for that purpose. The quantity of explosives collected shall not exceed the capacity of the shielding to protect operating personnel. This limit shall be determined by the degree of barricading and the appropriate intraline distance.

If dry dust collection outside the building is not practical, a separate room within the building shall be designated for the purpose. This room shall not contain other operations, nor shall it be used as a communicating corridor or passageway between other operating locations within the building when explosives are being collected.

Stationary and portable wet-type collectors may be placed in the explosives operating bays or cubicles if the quantity of explosives in the collectors does not exceed 2 kg. If placed in separate cubicles, the explosives weight limits may be increased by an amount determined by the capabilities of the cubicle walls to serve as operational shields.

Collection systems and chambers shall be designed to prevent pinching explosives between metal parts.

There shall be no screw threads, recesses, or cracks that may be exposed to explosives contamination in a dust collection system. Dust collection lines should be equipped with flanged connectors and inspection ports. Pipes or ducts through which explosives are conveyed shall have long radius bends with a Centerline radius of at least four times the diameter of the pipe or duct.

Dust collectors shall be designed in such a manner that explosives dust is prevented from reaching any mechanical power source of the collection system. Two collection chambers



shall be installed in series ahead of the pump or exhauster to prevent explosives dust from entering the vacuum producer in dry vacuum collection systems. In addition, non-sparking fans and dust-tight motors shall be used.

The entire explosives-dust collecting system shall be electrically bonded and grounded with resistance-to-ground not exceeding 10 ohms. The grounds shall be tested periodically.

#### **1550-99.4.3      Air Monitoring Requirements for Explosives/Plutonium Bays**

Where explosives and plutonium are present in the same bay, continuous air monitoring systems shall be provided in work locations for airborne contamination detection. The systems shall be equipped with audible and visual alarms at both the immediate work location and a location external to the immediate area. The systems shall be sensitive to radionuclides associated with the operation and shall sample air representative of the air breathed by the worker for whom the monitoring is provided.

#### **1550-99.8      Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**

##### **1550-99.8.1      General**

These criteria shall apply to telephone switching centers, teletype, data, and facsimile centers, computer or ADP centers, radio control centers, security alarm control centers, and radio repeater stations.

Heat recovery options for utilization of the heat produced by equipment such as rectifier-charger bays, radio and microwave transmitters, and ADP equipment to conserve energy in the heating season shall be considered on the basis of LCC as described in 0110-12.7.1. The ventilation design shall also consider directly exhausting heat gains from this equipment during the cooling season to minimize air-conditioning requirements.

##### **1550-99.8.2      Air-Conditioning and Ventilating Systems**

Air-conditioning systems for telecommunications, alarm, and automatic data processing centers shall comply with NFPA 90A and shall be completely separate and independent from other air distribution systems. Initial equipment heat loads for telecommunications and computer rooms shall be increased by 25 percent or to satisfy a five-year growth forecast (whichever is greater) in sizing the systems. Noncombustible duct construction materials shall be specified. Air ducts serving other spaces shall be designed to bypass these areas or shall be encased in a fire resistant enclosure with suitable fire doors and dampers as required by NFPA 90A.

Operating and equipment areas that contain dust-sensitive equipment shall be designed with a ventilation system that will maintain positive pressures and is provided with HEPA filtration systems.

##### **Telephone Switching Centers**

Where switchboard or console operators are seated higher than other employees within the room, the air-conditioning system shall be designed to minimize temperature imbalances between the working levels for employee comfort.

Power control boards, rectifiers, storage batteries, battery chargers, ringing machines and tone generators shall be located in rooms separate from the switching equipment and shall be provided with separate exhaust systems. Power equipment room ventilation systems shall be designed to maintain a positive air pressure in the equipment area.

#### **Storage Battery Areas**

Storage battery areas shall be ventilated according to the NEC, Section 4808(a) and ASHRAE handbooks. Where storage batteries are to be located in the same room with telecommunications or alarm equipment, in lieu of providing a separate (and separately ventilated) battery room, the design professional shall consider the adequacy of the normal room ventilation system and the need for supplemental or emergency backup ventilation during scheduled or emergency shutdown of the central ventilation system.

#### **Security Alarm Control Centers**

HVAC systems shall be designed with air filtration features to protect against infiltration of aerosol and gas incapacitating agents.

#### **Radio Repeater Stations**

HVAC systems shall be designed to use heat gains from equipment for maintaining the operating room ambient temperature at not less than **40°F** during the heating season. Auxiliary heaters shall be provided with adequate capacity to maintain an inside temperature of at least **70°F** in the operating room at design outdoor winter conditions assuming a power outage to the radio equipment. Auxiliary heaters shall maintain temperature in the emergency engine-generator room at not less than **40°F**.

Exhaust fans shall provide sufficient ventilation during the cooling season to maintain the internal station temperatures required for equipment operation. The primary ventilating air system shall be designed with a backup system. Air-conditioning and dehumidification equipment shall be designed to meet environmental control requirements if an exhaust system cannot do so.

Air intakes equipped with replaceable glass-fiber type filters shall be located to provide makeup air for the heat exhaust system. A separate exhaust or air-conditioning system shall be provided for the engine-generator room to provide cooling in accordance with the manufacturer's recommendations.

#### **1550-99.10      Secure Conference Rooms**

Based on the project-specific criteria, either an independent heating-cooling system with minimal utility service penetration through the room envelope shall be specified and designed or special sound attenuation devices shall be provided in the central heating-cooling system around the conference room.

These systems should reduce airborne or structure-borne sound transmission paths. Suitable sound traps, metal air duct isolation material on the secure side, packing around pipe penetrations and fixed pipe flanges on both sides of the penetrations, personnel access

barriers/controls for exterior ductwork or other security devices shall be specified and designed as required and directed in the DOE project criteria.

See also Section 0110-99.10, Secure Conference Rooms

## 1555 CENTRAL PLANT HEAT GENERATION/DISTRIBUTION

### 1555-1 PLANNING

#### 1555-1.1 General

These criteria shall be applied in the planning and design of steam and HTW generation and distribution systems and cogeneration facilities.

DOE/MA 0129 shall be used as a general reference.

#### 1555-1.2 Facility Sizing

New facilities shall be sized to accommodate all present energy needs as well as all confirmed future needs within two years. Consideration shall be given to a plant design that can be easily expanded should potential future loads in addition to confirmed short-term loads become a reality. Load computations to establish boiler capacity shall be based on the building design heating load as developed in conformance with ASHRAE Fundamentals handbook, Chapter 25. To this shall be added process heating loads (if any) and an allowance for piping losses where heat loss through piping does not transfer to the heated space. For process plants, the process heat losses shall be investigated during the design stage to determine if heat can be recovered, thereby reducing the boiler load.

A load analysis should be performed, including determination of the peak, average, and baseload demand. The load factor, which is the ratio of peak demand to average demand, should be maintained as close to unity as practical.

The amount of installed excess boiler capacity shall be considered on a case-by-case basis depending on the effect of loss of a boiler. For heating and process loads of a critical nature for vital programs such as weapons production and uranium enrichment, the applicable DOE program agency shall determine whether 100-percent redundant heat generation capacity is required.

For routine office heating applications, no redundant capacity is allowed.

Modular boiler installations shall be considered for all applications to maintain a high operating plant efficiency throughout the year. Number and size of the boilers shall be based on the number of operable hours at full and part load operation, turn-down ratio of the boiler being considered, efficiency at part load, and year round process or summer loads. Use of a baseload boiler shall be considered when a year-round process demand exists. The system shall be designed to satisfy peak demand by operating over its maximum rating for short periods of time.

The possibility of operating small local boilers rather than the central plant to satisfy summer loads shall also be considered as well as the possibility of using excess summer capacity for satisfying air-conditioning requirements using absorption chillers.

Sufficient capacity shall be furnished to allow one boiler to be down for inspection, maintenance, or on standby, while the remaining boiler(s) maintain normal operations.

#### **1555-1.3      Generating Facility Location**

The generating facilities shall be located to allow efficient steam/hot water distribution throughout the site and allow for future expansion of the generating and distribution system. The facility shall also be located to minimize problems associated with the following:

- Noise
- Dirt
- Air pollution
- Harmful effects to adjacent property owners
- Accommodate fuel deliveries and storage
- To take advantage of prevailing winds

#### **1555-1.4      Central Facilities Versus Satellite Facilities**

The installation of one or more satellite boiler facilities rather than a single central boiler complex shall be evaluated by LCC analysis when one or more of the following conditions exist:

- An extensive distribution system connecting several separate steam users is required
- Requirements exist for several different steam pressures
- Variable steam loadings exist with respect to time or quantity

Alternate energy supply distribution systems such as natural gas, steam, and condensate return systems shall be included in the analysis. Consideration shall be given to convertibility to noncritical fuels in accordance with DOE 4330.2C.

#### **1555-1.5      Selection of Fuels**

Selection of fuels shall comply with DOE 4330.2C. Fuel-burning installations with input of 100 million BTU per hour or greater shall comply with FUA.

**1555-1.6      Cogeneration**

The use of a cogeneration plant as a possible alternative shall be considered in the planning of any large steam generation facility. The feasibility of cogeneration with HTW or HTW boilers or HTW to steam generators shall be considered.

In determining the feasibility of cogeneration, the following factors shall be considered:

- Energy demand and cost, peak load, average load, seasonal variations, and utility rate structures
- Regulatory concerns: PURPA, relevant environmental regulations, and current local regulations

Generation plants shall be sized to accommodate existing loads.

**1555-2              STEAM AND HIGH-TEMPERATURE WATER GENERATION**

**1555-2.1          General**

All boilers shall comply with the ASME Boiler and Pressure Vessel Code.

The recommendations of FCC Technical Report No. 71 shall be followed when specifying packaged boilers.

Boiler efficiency and capacity shall be tested in accordance with ASME PTC 4.1. The "Input-Output (direct) Method" of measuring boiler efficiency and capacity in ASME PTC 4.1 shall be used. In the case of boilers 5 million BTU per hour or less, the "Short-Form (indirect) Method" in ASME PTC 4.1 is acceptable when radiation and other losses are calculated in accordance with charts obtained from ABMA. Under test conditions, the minimum acceptable boiler efficiencies shall be as shown in FCC Technical Report No. 71, Table 3.

**1555-2.2          Package-Type Versus Field-Erected Boilers**

An LCC analysis shall be performed to determine whether to select a field-erected or a package-type boiler.

Field-erected boilers shall provide for furnace volumes adequate under continuous operation to comply with Table 1555-2.2. Criteria prescribed in FCC Technical Report No. 51 shall apply to package and field-erected boilers.

**1555-2.3          Comparison of Steam and High-Temperature Water Systems**

In determining whether to select a steam or HTW system, the following factors shall be considered as a minimum:

- Whether the system will be operated intermittently or continuously
- Whether fast response to significant load variation is important

- Pumping costs
- Length, size, and configuration of piping required
- LCC
- In a facility where a few of the processes require steam, the possibility of using HTW to generate the steam at its point of use

**1555-2.4      Steam Generation Units**

Steam boiler ratings shall comply with the recommendations in FCC Technical Report No. 44.

Boilers shall be designed to provide dry, saturated steam unless specific economic requirements for electric generation, process requirements, or extensive distribution systems require superheated steam.

If required for process, the use of high-pressure satellite boilers located close to the high-pressure process requirement shall be considered in lieu of distribution of high pressure steam.

**1555-2.5      High-Temperature Water Generation Units**

**1555-2.5.1      Definition**

A HTW system is a system that generates heating or process water in excess of 300°F.

**1555-2.5.2      General**

HTW boilers shall be of the controlled forced-circulation type, specifically designed for high-temperature water service, in accordance with FCC Technical Report No. 37.

**Table 1555-2.2 Field-Erected Boiler Continuous Heat Release Rates (BTU/Hr/Cubic Foot of Furnace Volume)**

Field-Erected Boiler Type	Heat Release Rate
Pulverized Coal	15,000 to 30,000
Gas/Oil	20,000 to 30,000
Oil	25,000 to 30,000
Gas	20,000 to 30,000
Spreader Stoker	25,000 to 35,000
Single-Retort Stoker	30,000 to 40,000
Multiple-Retort Stoker	30,000 to 30,000
Chain-Grate Stoker	40,000 to 55,000
Traveling-Grate Stoker	40,000 to 55,000

Because of costs associated with high-pressure pipe, valves, and fittings, HTW systems should not be designed for temperatures and pressures higher than absolutely necessary.

#### 1555.2.5.3 System Pressurization

In a gas-pressurized HTW system, an inert gas such as nitrogen shall be used, and the pressurizing tank shall be installed vertically to reduce the area of contact between gas and water, thus reducing the absorption of gas into the liquid.

Gas-pressurized systems should be maintained at a pressure well above the pressure at which the HTW will flash to steam.

Pump pressurization is generally restricted to small process heating systems. In larger HTW systems, pump pressurization can be combined with gas pressurization.

#### 1555-2.5.4 Circulating Pumps

The energy efficiency of circulation pumps shall be emphasized.

In steam-pressurized systems, circulating pumps shall be located in the supply lines to maintain pressure above the flashpoint of the hottest water in the distribution system.

A mixing connection that bypasses some of the cool return water into the supply line at the pump suction shall be provided to safeguard against flashing or cavitation at the pump(s).

In a gas-pressurized HTW system, the circulating pumps may be installed in either the supply or return lines.

### 1555-2.6 Associated Systems for Steam and High-Temperature Water Generation

#### 1555-2.6.1 Fuel Storage and Handling Systems

Fuel storage and handling shall comply with the recommendations in FCC Technical Report No. 51, Chapter III, Sections 2.0, 3.0, and 4.0.

Control, containment, and treatment of rainwater runoff from coal storage yards shall comply with effluent guidelines and standards for steam-electric power generating point sources, 40 CFR 423.

The relative economy of a central natural gas-fired plant compared to a gas distribution system serving the individual requirements of each building shall be considered.

The long-range availability of the gas supply and the possible need for a secondary fuel shall be established. The economics of interruptible versus uninterruptible gas service relative to availability of a secondary fuel shall be considered.

#### 1555-2.6.2 Fuel Burning Systems

Fully automatic mechanical-firing equipment and mechanical draft equipment shall be provided. Mechanical-firing equipment shall be specified to develop 100 percent to 125

percent of the boiler capacity. Fuel burning systems shall comply with FCC Technical Report No. 51, Chapter III, Section 8.0.

#### 1555-2.6.3 Ash Handling Systems

Ash handling systems shall comply with FCC Technical Report No. 51, Chapter III, Section 3.1.

Land availability for storage or disposal, water availability, nearness to residential areas, the possibility of the sale of ash as a means of disposal, and environmental regulations shall be considered.

Collection and treatment of ash-carrying liquid effluents shall comply with 40 CFR 423.

#### 1555-2.6.4 Combustion Auxiliaries

Combustion auxiliaries shall comply with FCC Technical Report No. 51, Chapter III, Section 5.0.

#### 1555-2.6.5 Boiler Water Treatment

Boiler water treatment shall be provided to prevent deposits on or corrosion of internal boiler surfaces and to prevent the carry-over of boiler water solids into the steam.

A boiler water treatment specialist shall be consulted in determining corrective treatment measures. Water quality measures for the steam plant and other site process water users should be coordinated.

The design of the plant shall provide for daily sampling to determine internal water conditions. A small laboratory shall be provided for on-the-spot analyses of the samples and preparation of check samples for outside analyses.

Provisions shall be made for introducing treatment chemicals into the feed water. The plant shall contain adequate space and equipment for storing, handling, and mixing chemicals.

Continuous versus intermittent blowdown operations shall be considered to determine which system will keep the concentration of total solids within acceptable limits. For continuous blowdown operations, the economics of installing a heat recovery system shall be considered.

#### 1555-2.6.6 Boiler Water Makeup

The decision to waste or return condensate shall be based on a consideration of the cost of construction and maintenance of return lines and pumps, the danger of returning contaminated condensate, and the costs of treating and heating make up water. See Section 1555-3.2, Steam Distribution Systems, for additional condensate-line economic considerations.

A minimum of two boiler feed pumps, each sized to handle the peak load, shall be provided to allow one pump to be out of service.

The feasibility of duplicate pumps with different drives (steam turbine, reciprocating steam engine, or electric motor) shall be considered.



Pumps shall be equipped with automatic controls to regulate feed water flow to maintain required water level range, and with a relief valve preset to lift at lower pressure than the boiler safety valve setting plus static and friction heads.

#### **1555-2.6.7      Boiler Room Controls and Instrumentation**

Boiler plant instrumentation and control panels shall include devices for monitoring the combustion process and consoles in which such devices are mounted. Combustion controls shall comply with "improved risk" criteria as defined in DOE 5480.7.

Boiler room controls and instrumentation shall comply with the appropriate standard from among NFPA 85A, NFPA 85B, NFPA 85D, and NFPA 85E.

#### **1555-2.6.8      Plant Insulation**

All hot surfaces within 7 feet of the plant floor or any catwalk shall be insulated to prevent surface temperatures above 140°F where contact would be inadvertent and 120°F where contact is likely or necessary for equipment operation. Insulation shall be in accordance with the manufacturer's recommendations and ASHRAE Fundamentals handbook. See also Section 1525-4, Safe Surface Temperatures

### **1555-3            STEAM AND HIGH-TEMPERATURE WATER DISTRIBUTION**

#### **1555-3.1        General**

Steam and HTW distribution systems shall be sized to accommodate future expansion without extensive modification.

An economic comparative cost analysis shall be made to determine whether to run distribution piping aboveground or underground by direct burial in conduits, trenches, or tunnels.

When aboveground steam or HTW distribution systems are to be constructed, pipe shall be installed on concrete pedestals, concrete/steel stanchions, or on poles. Where piping crosses over roadways, a minimum of 14 feet of clearance shall be provided.

Provisions shall be made for expansion and contraction in the piping system. Expansion loops shall be provided where space allows. Where space does not allow, expansion joints may be used. Expansion joints shall be limited to the packless bellows-type joints or ball joints.

Piping shall comply with ASME B31.1.

#### **1555-3.2        Steam Distribution Systems**

Steam shall be supplied to the distribution system at the lowest pressure that will adequately serve the connected load unless economics dictate otherwise. The economics of higher pressure distribution shall be considered. Processes requiring higher pressures shall be

served, where practical, by a separate section of the distribution system to avoid operating the entire system at pressures higher than necessary.

Warmup bypass valves shall be provided at all shutoff valves in steam distribution lines. Steam velocities shall be selected for the type of service being considered, but shall not exceed 10,000 feet per minute.

Steam and condensate pipe shall, where possible, be graded a minimum of 1 inch in 40 feet in the direction of flow. Drip stations and steam traps shall be provided at all low points in steam lines.

To assure tightness of the steam system, all joints to valves and fittings for sizes larger than 1-1/4 inches shall be welded, except in the boiler house, where flanges shall be used to facilitate maintenance of equipment, connections, or valves.

The decision to use more expensive corrosion-resistant materials or heavier schedule pipe for condensate return systems shall be considered against the cost of corrective chemical treatment of boiler water. Where soil and climatic conditions allow, underground condensate lines may be coated or wrapped, installed separate from steam lines, and buried directly without insulation. Where the potential for severe corrosion exists, the need for cathodic protection should be examined, and the cost shall be one of the economic factors used to determine if condensate should be returned or wasted as discussed in Section 1555-2.6.6, Boiler Water Makeup.

### 1555-3.3 HTW Distribution Systems

HTW piping shall be sized for an average velocity of 5 feet per second, a maximum velocity of 10 feet per second, and a minimum velocity of 2 feet per second. HTW piping shall be sized so that each zone or circuit has equal friction loss.

To assure tightness of the HTW system, all joints to valves and fittings for sizes larger than 1-1/4 inches shall be welded, except in the boiler house, where flanges shall be used to facilitate maintenance at equipment connections and valves.

Unlike steam piping, HTW piping may follow the natural terrain; however, proper provisions shall be made to drain and vent the piping.

### 1555-3.4 Piping Insulation

Insulation containing asbestos materials shall be prohibited.

Insulation thickness of exterior above-ground and underground distribution systems and equipment shall be based on the TIMA Economic Thickness Manual.

The possibility that water infiltration will cause physical damage or loss of thermal characteristics of underground pipe insulation shall be considered in the selection of insulation.

All insulation installed aboveground, in tunnels, and in manholes shall be provided with a metal jacket, either factory or field installed, or provided with a hard cement finish.

1565            **REFRIGERATION**

1565-1            **CHLOROFLUORCARBON (CFC) LIMITATION AS A REFRIGERANT**

1565-1.1            **Coverage**

These criteria provide guidance to reduce DOE dependence on regulated CFCs as refrigerants in HVAC systems. CFC-22 is the only refrigerant in common use for HVAC systems not subject to international regulation due to environmental concerns (ozone depletion). Production of regulated CFCs (CFC-11, CFC-12, CFC-113, CFC-114, CFC-115, and, indirectly, refrigerant mixtures of those regulated CFCs such as CFC-500, CFC-501, and CFC-502) will be reduced 50 percent by 1993, with corresponding reduction of availability and increase in cost. Requirements to recycle and recover these regulated CFCs is expected in the near future. Continued installation of HVAC systems using regulated CFCs is unacceptable from operational and environmental viewpoints and shall be minimized to the maximum extent feasible.

Alternatives to CFC-11 and CFC-12 are being developed for use in existing equipment and future new equipment; however, significant technical problems have yet to be overcome. As technically proven alternative refrigerants become commercially available, these criteria will be updated to include their application. With present technology, the only feasible course to reduce DOE HVAC dependence on regulated CFCs is to limit new equipment to unregulated CFCs (CFC-22) where feasible and replace the existing inventory with equipment designed for CFC-22 as existing units fail.

1565-1.2            **Effective Date**

These criteria are to be implemented as of the date of this Order. Application is as follows:

- Projects in planning or programming and those in Title I design or less: Comply with these criteria.
- Projects in Title II design and those under construction: Implement where technically and economically feasible.

1565-1.3            **Implementation**

These criteria require immediate implementation to reduce DOE dependence on regulated CFCs to a minimum. The limitation of refrigerants to CFC-22 will be reviewed and relaxed to include alternative environmentally acceptable refrigerants as they become available. These criteria limit the use of CFCs in DOE design and construction and operations and maintenance programs to nonregulated compounds as follows:

- New mechanical refrigeration equipment: Use CFC-22 as the refrigerant where feasible.
- Repair-by-replacement projects involving the complete refrigerant side of existing equipment: The replacement equipment shall use CFC-22 as the refrigerant.

1565-1.4      Exceptions

Exceptions shall be as follows:

- New absorption equipment or replacing existing equipment with absorption equipment
- Equipment in size ranges where CFC-22 equipment is not commercially available by a minimum of two suppliers with adequate operational experience. All available equipment types (reciprocating, helical screw, and centrifugal) shall be considered before making this decision.
- Repair projects involving partial replacement of the refrigerant side of a system, such as compressor or coil replacement only can use the existing refrigerant.

1574              CRYOGENIC SYSTEMS

1574-1            COVERAGE

These criteria shall apply to cryogenics as defined by the NBS, that is, involving temperatures below 120°K (-124°F). Systems involving hydrogen and methane are not covered by these criteria. Systems involving oxygen and fluorine (because of their reactivity) may require special design and cleanliness requirements in addition to these basic criteria.

1574-2            SYSTEM DESIGN

1574-2.1         General

Liquefaction plants for DOE facilities shall be designed, supplied, and installed by experienced manufacturers specializing in complete, installed, and fully tested systems. All components shall be furnished and assembled by a single responsible contractor or manufacturer. Specifications for these systems shall be performance-based. Liquid and gas production rates, purity, storage volumes, and special equipment features and materials to be supplied shall be specified.

1574-2.2         Compressors

1574-2.2.1       Screw or Lobe Compressors

Screw or lobe compressors shall be considered when the following characteristics are desired:

- Low specific weight and space requirements
- Low orders of vibration or pulsation
- Positive displacement without surge limitations

- Low maintenance

To reduce oil carryover to less than 1 ppm charcoal filters, oil separators, absorbers and/or coalescers should be specified.

#### 1574-2.2.2 Reciprocating Compressors

For continuous service, first consideration shall be given to water-coded, heavy-duty, long-life, low-maintenance reciprocating compressors. Lighter, higher-speed air-cooled types shall be considered for mobile or intermittent duty. Non-lubricated compressors shall be considered in terms of the cost of oil removal systems where oil free gas is required. A hermetic type compressor with heavy duty water cooling should be considered when impressing low molecular weight gases.

#### 1574-2.2.3 Centrifugal Compressors

Centrifugal compressors should normally be used as the main air supply for separation plants. These should be equipped with adjustable inlet guide vanes to facilitate capacity reduction.

#### 1574-2.2.4 High-Pressure Centrifugal Compressors

Vertically split case compressors shall be considered to produce output pressure in the range 2000-3000 psig. The design professional shall pay particular attention to shaft seal type and application when this type of compressor is used. These machines should not be specified for service on low molecular weight gases.

#### 1574-2.2.5 Axial Compressors

Gas or steam-turbine driven axial compressors shall be considered for the main air supply to very large plants. They have capacities of approximately 120,000 ACFM at 100 psig.

#### 1574-2.2.6 Diaphragm Compressors

A diaphragm compressor shall be considered for applications requiring relatively low flow rates (5 to 60 cfm) and discharge pressures up to 15,000 psig, where it is especially important that the gas being processed not come into contact with any lubricant.

#### 1574-2.2.7 Multistage Compressors

Where high capacity and high pressure service is required, (up to 20,000 scfm and 3000 psig discharge pressure) the design professional should combine compressor types in a multistage configuration. Centrifugal or screw compressors are appropriate for lower stages with reciprocating compressors used for higher pressure stages.

#### 1574-2.2.8 Compressor Support and Isolation

Large compressors used in conjunction with a liquefier or refrigerator and its driver shall be properly aligned and isolated from the building structural members. The manufacturer's

standard foundation design shall be considered in the context of local soil conditions and modified as appropriate.

### 1574-2.3      Pumps

#### 1575-2.3.1      Axial-Piston Pumps

Axial-piston pumps shall be considered for transferring cryogenic fluids at high pressure and low flow rate. Piston seals and frictional energy dissipation are disadvantages to be considered in design applications.

#### 1574-2.3.2      Centrifugal Pumps

Centrifugal pumps should be used for transferring cryogenic fluids at high flow rate and low discharge head. Disadvantages include higher frequency of shaft bearing and seal failure.

#### 1574-2.3.3      Pressure Vessel Pumping

Consideration should also be given to transferring cryogenic fluids using pressurizing tanks as transfer pumps. Batch transfer of cryogenics may use vaporizers in combination with tanks or dual tank systems with internal heating.

### 1574-2.4      Vaporizers

#### 1574-2.4.1      Ambient Air Vaporizers

Ambient air vaporizers work well at ambient temperatures greater than **244°K (-20°F)**. Electric defrost may be required to prevent ice-over. They shall be used only as part of a system containing other vaporizers.

#### 1574-2.4.2      Forced-Flow Ambient Air Vaporizers

Automatic electric defrost shall be considered for forced-flow ambient air vaporizers depending on intended use cycles.

#### 1574-2.4.3      Electric Vaporizers

Electric vaporizers are simple, compact, and capable of handling very high flow rates (up to 500,000 scfm). Electric vaporizers shall be provided with safety interlocks for protection during power outage.

Electrical demand and power consumption can be very high for these units.

#### 1574-2.4.4      Steam Vaporizers

Steam vaporizers are complex relative to the electric units and are subject to freezing if steam supply is interrupted or flow is inadequate. These shall be used only for special applications.

## **1574-2.5      Storage Vessels**

### **1574-2.5.1      General**

Section VIII of the ASME Boiler and Pressure Vessel Code shall be used in specifying the design of the cryogen storage vessel that is inside another vessel that serves as a vacuum jacket. For classification of cryogenic storage vessels and recommended vessel insulation for various fluids, sizes, and application see ASHRAE Applications handbook, Chapter 38, Table 11.

### **1574-2.5.2      Inner Vessel Design**

The inner vessel shall be designed according to the rules for vessels under internal pressure loading. To provide for boil-off, a minimum 10 percent ullage (i.e., the amount a vessel lacks of being full) shall be used. Vacuum jacketed storage vessels shall be equipped with two pressure relief devices: one for use under normal conditions and a second, larger one to protect the vessel from the rapid boil-off that would occur in the event of a sudden loss of vacuum. If the inner vessel is to be contained within a vacuum vessel, an additional 14.7 psi shall be added to the design working pressure for the inner vessel.

Materials used for the inner vessel that contains the cryogen shall be suitable for the temperatures encountered, and not be brittle. Austenitic stainless steel (AISI 300 Series) and aluminum shall be the principal materials used.

### **1574-2.5.3      Outer Vessel Design**

The outer vessel shall be designed according to the rules for failure by elastic instability rather than excessive stress. Pressure relief devices shall be provided to protect the vacuum jacket from large positive pressures that could result from small leaks from the inner vessel containing the cryogen into the vacuum jacket and for the case of complete inner vessel failure.

## **1574-2.6      Instrumentation and Control**

Advantages and disadvantages of the various generic types of temperature, pressure, flow, and liquid level instrumentation and control devices are listed in Tables 6-1 through 6-8 of TM-5-810-7/AFM 88-12, Chapter 4.

## **1574-2.7      Piping and Fittings**

The low temperatures in cryogenic systems present problems not commonly encountered in other systems. The following shall be considered when specifying and designing piping systems:

- Lines partly filled with a liquid cryogen are subject to upward bowing. This occurs when the wetted bottom of the pipe is much colder than the unwetted top portion, and differential thermal expansion causes the pipe to rise between supports. Restraints shall be designed to withstand this phenomenon.

- Cryogenic piping materials shall be suitable for the temperatures encountered, and they shall not be brittle. Austenitic stainless steel (AISI 300 Series) and copper shall be used. Stainless steel pipe shall comply with ASTM A312 Type 304 or 316. If schedule 5S or 10S pipe is specified it shall be reinforced at the anchor attachment points if loads exceed one kip. In very special circumstances, aluminum or titanium alloy piping may be appropriate.
- Due to weight of extended stems, bonnets, and operators, support requirements for cryogenic valves shall be carefully analyzed, and if necessary valves shall be supported separately from piping. Depending on operator weight, separate, flexible mounting of operators may be required.
- Pipelines shall be sized for both cool down requirements and steady state conditions.
- Cryogenic valves shall be of the slow opening type.
- Cryogenic piping sections between shut off valves shall include relief devices to allow for venting of trapped cryogen boil-off vapors. Relief devices should be redundant and each of different design.

#### 1574-2.8      Joining Methods

##### 1574-2.8.1      Welded Joints

Welded joints and silver brazed joints shall be used except where disassembly is required. The design and inspection requirements shall comply with ASME B31.1 or ASME B31.3.

##### 1574-2.8.2      Reweldable Joints

Reweldable joints featuring an outer can welded to sealed closure rings shall be used where periodic but infrequent disassembly is required.

##### 1574-2.8.3      Bayonet Joints

High-performance bayonet joints shall be used where frequent disassembly is required.

##### 1574-2.8.4      Flanged Joints

Where possible, flanged joints shall be avoided due to high thermal stresses introduced within the flange assembly during cooldown.

##### 1574-2.8.5      Compression Joints

Compression joints may be used for small bore tubing, but shall not be used for high pressure service (downstream for positive displacement pumps, for example).

##### 1574-2.8.6      Copper Shear Seals

Copper shear seals shall be used for larger diameter tubing.



#### 1574-2.8.7 Vacuum Seals

Either single or double O-ring elastomer seals shall be used for most general vacuum installations because of their availability and low cost. For ultrahigh vacuum systems, metallic seals shall be used.

#### 1574-2.8.8 Transition Couplings

Transition couplings shall be used to join aluminum and stainless steel piping in cryogenic systems, e.g., where aluminum heat exchangers are used.

#### 1574-2.9 Valves and Pressure Relief Devices

Extended stem valves shall be used to allow ambient temperature stem packing and minimize heat leakage in vacuum jacketed valves.

Valve materials selection shall ensure ductility, minimum heat influx, and gasket compatibility with cryogenic fluid temperature extremes. For a comprehensive guide to valve selection consult TM 5-810-7, Table 4-6. Pressure relief devices shall comply with ASME Boiler and Pressure Vessel Code, Section VIII, ASME B31.1 or ASME B31.3 as applicable, and CGA Pamphlet S-1.1, CGA Pamphlet S-1.2, and CGA Pamphlet S-1.3. Devices shall be code certified. Relief devices shall be installed on stainless steel risers at least 8 inches from the pipe or component they are designed to protect.

#### 1574-2.10 Miscellaneous Materials

Bolts, gaskets, pipe, fittings, castings, and forgings for temperatures from **-20°F to -150°F** shall comply with TM 5-810-7/AFM 88-12, Tables 4-7 through 4-9.

#### 1574-2.11 Insulation

Except when equipment is located outside of buildings, all insulation material, media used to apply insulation, and jacketing material shall have a maximum flame spread of 25 and maximum smoke development of 50 when tested using UL 723 Test Methods.

The following insulation methods for exterior application to cryogenic equipment are listed in order of increasing cost and increasing effectiveness:

1. expanded foams
2. gas-filled powders
3. gas-filled fibers (loosely compacted fibrous insulation)
4. vacuum alone (both static and dynamic vacuum systems)
5. vacuum with powder
6. vacuum with opacified powders
7. vacuum with multilayer insulation

Non-vacuum insulating systems should generally be specified for short-term storage and transfer of less volatile liquids, storage of larger quantities such as in field-erected tanks and production plants. Evacuated systems should be specified for long-term storage of more volatile fluids, especially of small quantities.

A good vapor barrier shall be used to prevent air and moisture from diffusing through the insulation when using expanded foams, gas-filled powders, or gas-filled fibers. Insulation or expanded metal shall be used to protect personnel from cold piping and equipment.

### 1574-3 FACILITY SITING AND EQUIPMENT INSTALLATION

#### 1574-3.1 General

Installation of cryogenic oxygen systems shall comply with NFPA 50. Liquid nitrogen and helium systems shall comply with CGA Pamphlet P-1.

#### 1574-3.2 Siting

Siting considerations shall include:

- Noise levels during facility operation
- Proximity to public roads and public land
- Spill consequences
- Adequate maintenance access and staging space
- Accessibility to cranes and other heavy equipment
- Adjacent clear space to allow future plant capacity increase

The requirement for liquefaction towers and associated equipment to be within buildings shall be considered for cost effectiveness based on geographic siting and anticipated use cycles. When liquefaction towers and associated equipment and controls are to be located within buildings they shall be in a dedicated area. Bulk storage tanks may be located above ground outdoors or indoors. Buildings or catch basins housing bulk oxygen tanks or oxygen generation equipment shall be of fire resistive, noncombustible, or limited-combustible construction, adequately vented, and used exclusively for that purpose.

Relief devices shall be located away from walkways and normally or potentially occupied areas and at angles to avoid injury due to release of cryogenics.

#### 1574-3.3 Noise

Acoustical control and structural considerations for vibrating equipment shall comply with DOE 5480.1B.

#### 1574-3.4 Spills

Cryogen "burns" can result from direct contact with either a cryogen or uninsulated piping or equipment containing a cryogen. The large evolution of gases associated with the cryogenic spill can result in asphyxiation. Facilities that will house cryogenic storage vessels shall have

collection sumps, adequate ventilation equipment for controlling vaporization pressurization and precluding asphyxiation, oxygen deficiency alarms, and rapid means of egress.

Air can contaminate the cryogen, creating a potential explosive either directly as when air mixes with liquid hydrogen or liquid methane, or indirectly by transforming an inert cryogen such as liquid nitrogen into an oxidant (a potential hazard for combustible insulation). When liquid oxygen spills on asphalt it tends to cause the asphalt to explode. When cryogen falls on concrete it tends to spall. A 6-inch layer of crushed stone shall be placed in areas where a cryogenic spill could occur.

#### 1574-4            **QUALITY ASSURANCE**

##### 1574-4.1        **General**

The special hazards inherent with cryogenic system make quality assurance in design, cleaning, and testing extremely important. In addition to special requirements listed herein, quality assurance shall be in accordance with DOE 5700.6B.

##### 1574-4.2        **Cleaning**

Cleaning procedures shall include, as a minimum, blowdown, flushing, decreasing, drying, and standby pressurization. For cleaning standards consult CGA Pamphlet G-4.1, CGA Pamphlet G-4.4, MIL-STD-1330 and MIL-STD-1630, and NASA SP-3072.

##### 1574-4.3        **Testing**

Cryogenic components and systems shall be tested prior to acceptance. Piping and piping components shall be tested per ASME B31.3, and vessels per ASME Boiler and Pressure Vessel Code, Section VIII. Testing shall include, but not be limited to, thermal shock, thermal performance, pressure, leakage, welding quality, and vacuum retention.

#### 1574-5            **SAFETY REVIEW**

All cryogenic systems shall be subjected to a safety review in accordance with DOE 5481.1B. These considerations must include the potential for ODHs. All cryogenic systems shall be categorized as a minimum of "low hazard" as defined in DOE 5481.1B unless sufficient analysis has been done to justify a lower classification.

**1589                    AIR POLLUTION CONTROL**

**1589-1                GENERAL**

These criteria shall be applied to the control, treatment, and subsequent disposal of all airborne and gaseous wastes to assure compliance with the following:

- DOE 5400.1
- DOE 5440.IC.
- DOE 5480.4
- Federal, State and local standards including restrictions on sulfur content of fuel and other requirements specifically related to the control of air pollutants, as referred to in Executive Order 12088

**1589-2                IMPROVED RISK CONCEPT**

At a minimum, facility confinement systems, including filters and ventilation systems, shall comply with the "improved risk" criteria defined in DOE 5480.7 and shall preclude offsite release of greater than TLV amounts of toxic materials during the maximum credible fire loss conditions. As defined in DOE 5480.7, "maximum credible fire loss" is the maximum loss that could occur from a combination of events resulting from a single fire assuming installed fire protection systems function as designed. The effect of emergency response (i.e., manual fire fighting actions) is generally omitted except for post-fire actions.

**1589-3                PLANNING FOR AIR POLLUTION CONTROL**

Air pollution control planning shall include:

- Identification of potential sources of air pollution
- Analysis of the characteristics of the pollutants and the feasibility of conversion to usable or salable products
- Analysis of alternative methods available for control and treatment of emissions
- Consideration of alternative methods of ultimate disposal of wastes

Priority shall be given to the potentials for conservation and recovery of resources. The technical and economic feasibility of conversion systems for recovery of usable products shall be determined during the planning or early design phase for a project. The potential adverse environmental impacts on land and water shall also be taken into account.

Any system that may discharge dust, fumes, gases, or other contaminants to the environment shall be considered in terms of applicable regulations or standards.

These include the following:

- Combustion process installations
- Incinerators
- Volatile product storage facilities
- Fume exhaust systems

#### 1589-4 COMBUSTION PROCESS INSTALLATIONS

##### 1589-4.1 Selection of Fuel

Fuel selection for heat-generating equipment shall comply with DOE 4330.2C. General guidance on effects of fuel choice on air pollution is contained in FCC Technical Report No. 57. Fuel choice shall be made on the basis of economic analysis, weighing the added cost of pollution control equipment as well as sulfur removal against cheaper coals and oils of high sulfur and nitrogen content.

##### 1589-4.2 Firing Equipment

All combustion process systems shall have fully automatic firing, and shall be installed in accordance with manufacturer's recommendations. Stoker-fired installations shall provide for over-fire, secondary air jets controlled by a photoelectric smoke-detecting device.

Combustion process equipment shall comply with NFPA 30, NFPA 31, NFPA 54, NFPA 85A, NFPA 85B, NFPA 85D, NFPA 85E, NFPA 85F, and NFPA 85G for the appropriate fuel and equipment type.

Combustion controls to maintain proper fuel/air ratio shall be provided for firing equipment regardless of design, capacity, or fuel burned. The design and construction of firing equipment, or equipment conversions, shall ensure that the necessary burning time, temperature, turbulence, and fuel-air ratio are maintained to minimize hydrocarbon components in the flue gas. Combustion controls shall comply with "improved risk" criteria defined in DOE 5480.7.

Equipment shall reduce NO<sub>x</sub> emissions below permissible levels with combustion refinements such as:

- Two-stage (off-stoichiometric) combustion
- Low-excess-air operation
- Gas recirculation in the combustion zone (oil- and gas-fired units)
- Use of dual-register burner for delayed air and fuel mixing

#### 1589-4.3 Draft Auxiliaries

Combustion products from gas-, oil-, or solid fuel-fired installations shall be discharged to the atmosphere at sufficient height and in such manner as to maximize the dispersion of stack effluent. Requirements for dispersing stack effluent may frequently influence the selection of natural draft rather than mechanical draft methods. Determination of discharge height shall be based on:

- Air quality criteria
- Land use
- Meteorology
- Aesthetics
- Operating parameters

Exhaust stack height shall comply with:

- EPA 600/8-81-009
- EPA 450/4-80-023
- EPA 450/4-81/003

#### 1589-4.4 Emission Detectors

CEM systems for measuring the opacity of emissions, sulfur dioxide emissions, NO<sub>x</sub> emissions, and either oxygen or carbon dioxide for fossil-fuel fired equipment are required for most operations under current NSPS.

All coal- and oil-fired combustion equipment shall be equipped with continuous emission opacity monitors and alarms. See Section 1589-4.2, Firing Equipment, for use of a smoke detector to control over-fire jets.

Opacity monitors may be traditional photoelectric or feature fiberoptic cable to connect transmitter and receiver. All components that must be cleaned shall be accessible.

Flue gas monitors may be extractive (gas sample removed from stack for analysis) or in-situ (analyzer mounted on the stack with sampling apparatus directly in contact with flue gas).

Sulfur dioxide and NO<sub>x</sub> are traditionally analyzed by instruments that rely on ultraviolet light. Recent developments in analyzer technology have presented other options such as:

- Electrochemical cell analyzers
- Pulsed fluorescent analyzers
- Chemiluminescent analyzers

Because of rapidly changing technology, direction cannot be given for specification of emissions monitoring equipment. Instruments shall be selected after consideration of advantages and disadvantages of each type of device.

#### **1589-4.5      Coal and Ash Handling**

Systems for storage and handling of coal and ash shall prevent the release of significant quantities of dust to the atmosphere. Dust collectors shall be located at vents on pneumatic ash handling systems as well as at material transfer points such as:

- Car dumper
- Sample house
- Crusher house
- Reclaim tunnel
- Conveyor room

Conveyor transfer towers and car dumpers shall be totally enclosed. Telescoping chutes shall be used where applicable. Support structures shall have concrete floors with drains to facilitate washdown.

Conveyor enclosures shall be designed to minimize exposed structural steel where dust can accumulate. Openings in building upper floors shall be curbed. Drain trenches shall be designed for positive cleanout. (Conditioning/agglomeration systems shall be considered where dust from dust collectors is being re-entrained at the conveyors.

Dust collection equipment for coal and ash shall be selected from recommended collector types in ACGIH Industrial Ventilation Manual, Figures 11-18. Coal and ash handling equipment shall comply with NFPA 68, NFPA 85E, and NFPA 85F as appropriate.

#### **1589-4.6      Facilities for Testing**

Access openings, platforms, ladders, man lifts, or elevators shall be provided for periodic testing of combustion equipment. Instrumentation shall be accessible for cleaning and easily removable for repair.

### **1589-5      REFUSE DISPOSAL FACILITIES**

#### **1589-5.1      Incinerators**

Incinerators for refuse disposal shall burn efficiently with minimum environmental impact based on best available technology. Air emissions shall meet or exceed the requirements of the air quality permit for the State in which it is located. If the State does not have a vested air quality program, the incinerator shall be required to meet Federal standards. The manufacturer shall be required to submit, with its quotation, certified test results for similar incinerators demonstrating experience meeting applicable standards and regulations.

Incinerators used to dispose of toxic or other hazardous waste shall comply with 40 CFR 260, et seq, and Subpart O of 40 CFR 264. Sufficient incinerator capacity shall be provided to ensure adequate pollution control capabilities. Emission control and monitoring features, as well as firing-rate limit controls, shall prevent operation in excess of design capacity.

Incinerators shall be considered as a means of thermal energy generation, particularly those handling large volumes of waste. The use of wet process destructors, pulverizers, shredders, and compactors as alternatives to incineration shall be considered for disposal of paper wastes. These methods shall be for disposal of classified paper wastes only with the approval of the cognizant DOE security officer.

#### 1589-5.2      Off-Site Disposal

Nearby public and commercial disposal facility capabilities shall be considered and used if economically and operationally feasible. Such facilities shall comply with applicable air and water quality standards, regulations, and permit requirements.

#### 1589-5.3      Landfill and Dumping

Any land disposal shall comply with RCRA, as amended, and implementing regulations in 40 CFR 241, et seq. The appropriate State environmental agency or regional EPA office shall be consulted when wastes will be generated and not incinerated.

### 1589-6      GAS-CLEANING EQUIPMENT AND EMISSION CONTROL DEVICES

#### 1589-6.1      Gases

Oxides of nitrogen are controlled by combustion refinements as discussed in 1589-4.2, Firing Equipment.

Since flue-gas desulfurization technology is changing rapidly, a single criterion for choosing a flue-gas desulfurization process cannot be given. In keeping with previous criteria, the system shall be chosen based on economic analysis among those systems that satisfy current emissions requirements.

#### 1589-6.2      Particulates

Selection of an air cleaning device for a given particulate shall be based on the recommendation of the ASHRAE Equipment handbook, Section I, Chapter II, Industrial Gas Cleaning and Air Pollution Control, and ACGIH Industrial Ventilation Manual. Equipment shall be selected to achieve removal efficiencies that will produce emissions within applicable Federal, State, or local regulations.

### 1589-7      STORAGE FACILITIES FOR VOLATILE LIQUIDS

Vapor emission control devices shall be installed on volatile liquid storage facilities as required. Submerged fill-piping shall be provided on new storage tanks. Compliance with CAA, RCRA and other State, regional or local requirements shall also be satisfied.



1589-99        SPECIAL FACILITIES

1589-99.0      Nonreactor Nuclear Facilities-General

1589-99.0.1    Radioactive Airborne Effluents

All airborne effluents from confinement areas shall be exhausted through a ventilation system designed to remove particulate material, vapors, and gases as needed to comply with the guidance provided in Section 1300-1.4.3, Routine Releases, and, if other hazardous materials are additionally present, with DOE 5400.1. ALARA design principles shall be implemented to minimize effluent concentrations and quantities released for all hazardous materials.

All exhaust ducts (or stacks) that may contain radioactive airborne effluents shall be provided with effluent monitoring systems that are designed in accordance with the applicable requirements contained in the directive on Radiation Protection of the Public and the Environment in the DOE 5400 series and the directive on Radiological Effluent Monitoring and Environmental Surveillance in the DOE 5400 series. Backup capability for monitoring systems shall be considered in the design of each system (e.g., redundant detectors, additional sample line ports, additional sampler trains, etc). Continuous stack sampling and continuous radiation detection shall be considered. Sampling systems shall be designed in accordance with ANSI N13.1 and associated appendixes to ensure representative sampling of the effluent stream. Isokinetic sampling shall be provided for effluent streams that are expected to contain particulate radionuclides. The range capability of continuous monitors shall cover from routine to potential DBA releases of radionuclides. Nuclear criticality safety shall be considered in the design of equipment used to treat and discharge radioactive airborne effluents.

1589-99.0.2    Nonradioactive Airborne Effluents

For nonradioactive hazardous gaseous or airborne effluents, the point of release shall be considered the point at which the effluent exits the stack, vent, etc. Nonradioactive gaseous or airborne effluent releases shall not exceed the guidelines contained in DOE 5400.1 and shall comply with all other applicable Federal, State, and local requirements. To the extent practical, ALARA principles shall be applied to minimize adverse impacts to the public and the environment.

## 1595            CONTROLS

### 1595-1           GENERAL

This section covers safety and operating controls, automatic temperature and humidity controls, energy monitoring and (central supervisory) control systems, energy conservation requirements for controls, and inking requirements and restrictions.

Specific safety control system requirements for individual types of equipment are discussed in Section 1550, Heating, Ventilating and Air-Conditioning Systems. Lighting, security, and fire protection control systems related to central supervisory control systems are discussed in Section 0110-12, Energy Conservation, and Division 16, Electrical.

Special control requirements shall be indicated in DOE project-specific criteria. Selection of control system types and associated equipment, shall be based on the most economical and maintainable system.

Control systems shall be designed to use the closed-loop feedback method of control. Open-loop systems shall not be used. Proportional-type control shall be used for all control systems; other type control systems shall be used as needed according to the degree of control required.

All control equipment shall be easily accessible. One temperature control panel shall be provided for each system, complete with panel-face-mounted indicators, switches, pilot lights, and tags. All control interlocks shall be through HOA switches.

Control air compressors shall be duplex non-lubricated type with oil lubricated crankcase and distance piece. Air shall be filtered and dried using refrigerated air dryers for dew point of 15°F and regenerative silica type for dew point below 15°F.

Copper piping shall be used for high pressure air in inaccessible locations (plastic piping may be used if installed in conduit). Air leakage shall not exceed 5 percent of pressure in 24 hours. Transmitters shall be capable of field calibration and thermometers or pressure gauges shall be provided at transmitters. All controllers and thermostats shall be pilot-bleed type.

### 1595-2           ZONING

Zoning for automatic control of space temperatures, static pressures, humidities, ventilation, smoke and fire detection, security, and lighting shall satisfy health and safety requirements as indicated in DOE project criteria, NFPA 101, space operational and occupancy requirements, and zoning exposure with relation to building size, orientation and configuration.

Zoning requirements are as follows:

- Each HVAC system shall have a separate thermostat for space temperature regulation and a separate humidistat if humidity control is provided.
- No zone shall contain more than a single building floor regardless of floor space.

- Automatic controls shall be provided to shut off heating or cooling to any individual zone.
- Interior zones shall not be combined with external zones.
- Interior space zones shall be placed on separate air handling systems from external, if cost effective. External space zones shall be selected for each individual exposure.
- For office facilities and similar occupancies, each major orientation shall be zoned to have no more than 2,000 square feet of floor area with exterior exposure, and no more than 3,000 square feet of floor area with no exterior exposure.

#### 1595-3 CONTROL SETBACK AND SHUTOFF DEVICES

With the exception of research, process, or other environmentally sensitive spaces identified by DOE project criteria as requiring constant year-round temperature or humidity control, automatic control setback and shutdown devices with manual override feature shall be provided for all HVAC systems. Use of separate or dual setting thermostats, switches, time clocks, or connections for on/off control through EMSs shall be considered for control of air-conditioning to raise cooling setpoint with humidity override during summer unoccupied periods and to control the heating setpoint during winter unoccupied periods.

#### 1595-4 HUMIDITY CONTROL

Summer and winter space or zone humidity control shall be provided only on a space-by-space or zone-by-zone basis and not for the entire central ventilation system unless required for project-specific humidity requirements as stated in the DOE project criteria. No controls shall be provided to dehumidify spaces to below 50-percent relative space humidity or to humidify spaces to greater than 30-percent relative space humidity unless required on a project-specific basis.

#### 1595-5 SIMULTANEOUS HEATING AND COOLING

Simultaneous heating and cooling shall not be used to control comfort conditions within a space by reheating or recoding supply air or by concurrent operation of independent heating and cooling systems serving a common zone except under the following conditions.

- Renewable energy sources are used to control temperature or humidity.
- Project-specific temperature, humidity, or ventilation conditions require simultaneous heating or cooling to prevent space relative humidity from rising above special-space relative humidity requirements.
- Project-specific building construction constraints as determined in DOE project criteria prohibit installation of other types of HVAC systems.

Where simultaneous heating and cooling must be used, the temperature and humidity controls shall be designed to limit energy consumption by the methods given in ASHRAE Standard 90.

## 1595-6 CONTROL OF AIR HANDLING SYSTEMS

### 1595-6.1 Mechanical Ventilation Control

All supply, return, and exhaust ventilation systems shall be equipped with automatic and manual control of fan operation to shut off the fan when ventilation is not required. These systems shall also be provided with manual gravity-operated or automatic control of dampers for outside air intake and exhaust or relief to prevent introduction of outside air when ventilation is not required. Systems that circulate air shall be provided with minimum outdoor air damper position control to assure that the minimum outdoor air quantity is being introduced to the system. Automatic dampers should fail open for return air and fail closed for outside air.

### 1595-6.2 Outdoor Air Cooling Control (Economizer Cycle)

All air handling systems that recirculate air and are used for space cooling shall be designed to automatically use outside air quantities up to 100 percent of the fan system capacity for cooling the space, with the exceptions noted in ASHRAE Standard 90. Economizer cycle control shall not be used for air handling systems where introduction of the additional outside air would actually increase energy consumption.

The economizer-cycle control system shall have a reset feature.

The economizer cycle control system shall be designed with a relief air control cycle to positively relieve the supply air from the space by sequencing return or relief fans or dampers to maintain a constant room static pressure. Systems using the economizer-cycle should be provided with adequate air filtration to handle the quality of the outside air.

### 1595-6.3 Automatic Control Dampers

Automatic air control dampers shall be specified to be the low-leakage type with a maximum leakage of 6 CFM/Square foot at maximum system velocity of 1500 FPM and 1-inch pressure differential. The dampers shall be opposed-blade type for modulating control, but may be parallel-blade type for two-position control. Dampers shall be sized for at least 20 percent of the total ductwork resistance pressure drop. Return air dampers shall never be sized less than 1500 FPM. Pilot positioners and operators shall be out of airstream.

### 1595-6.4 Variable-Air-Volume System Fan Control

Variable-air-volume systems shall be designed with control devices to sense ductwork static air pressure and velocity air pressure and control supply fan airflow and static pressure output through modulation of variable inlet vanes, mechanical speed drive controls, or variable frequency electric drive controls. These control systems shall have a minimum of one static pressure sensor mounted in ductwork downstream of the fan and one static pressure controller to vary fan output either through inlet vane, damper, belt modulator, or speed control. Exhaust fans, supply fans, and return or relief fans shall have control devices that

interface the operation of the fans to “track” air volumes and maintain fixed minimum outdoor air ventilation requirements.

**1595-6.5      Fire and Smoke Detection and Protection Controls**

Engineered smoke pressurization and evacuation systems shall comply with the following:

- NFPA 90A
- NFPA 72E
- ASHRAE Manual, Design of Smoke Control Systems for Buildings
- ASHRAE Systems handbook

All air handling systems shall be provided with the smoke and fire protection controls required by NFPA 101.

All supply, return, relief, and exhaust air ventilation systems shall have interlock controls that interface with fire and smoke detection system controls and either turn off or selectively operate fans and dampers to prevent the spread of smoke and fire throughout the building. These controls shall comply with NFPA 90A.

Special exhaust systems shall be designed to include fire and smoke safety controls as required by NFPA 91. Kitchen exhaust ductwork systems shall be designed to include all fire and smoke safety controls as required by NFPA 96.

**1595-6.6      Gas-Fired Air Handling Unit Control**

Gas-fired air handling units shall be specified with operating limit, safety controls, and combustion control systems.

Gas burner and combustion controls shall comply with FM Loss Prevention Data Sheets and be listed in the FM Approval Guide. Gas-fired air handling units shall be specified with controls to lock out the gas supply in the following conditions:

- Main or pilot flame failure
- Unsafe discharge temperature (high-limit)
- High or low gas pressure
- No proof of air flow over heat exchanger
- Combustion air loss
- Loss of control system actuating energy

1595-7                    **CONTROL OF CHILLED WATER AND HOT WATER DISTRIBUTION SYSTEMS**

1595-7.1                **Zone Control/Distribution System Control**

Each zone or air handling system shall be designed with individual terminal unit valved control. Use of either two-way or three-way valves shall be considered based on part-load pump performance requirements and potential pump bhp savings. If the pumping system brake horsepower is greater than 20 bhp, an LCC analysis of variable speed pumping control shall be performed.

Water systems that vary the load to the terminal devices by varying water flow rates using two-way control valves shall be provided with differential pressure controls to reduce system pressure build-up and save energy. These controls shall either signal control valves to route water flow around terminal devices, signal variable-speed pumping controls to reduce pump speed, or turn off one or several pumps working in parallel or series.

1595-7.2                **Control Valve Selection**

Temperature control valves shall be either two-way or three-way, two-position or proportioning-type valves. Valves controlling modulation shall be equal-percentage proportioning valves. Control valves shall be sized for a 5 psi pressure differential across the valve or a pressure differential of 50 percent of the combined branch piping and coil pressure drop, whichever is greater. Selection of valve sizes shall be based on flow coefficient "C<sub>v</sub>" capacities, where "C<sub>v</sub>" is defined as the flow of water in gallons per minute divided by the square root of the pressure drop in psi. Control valves shall use either pneumatic, electric, electronic, or self-contained controllers. Valves in cooling and heating systems shall be fail-safe. Valve operators shall be selected to close against pump shutoff head for two-way valves, and one-half pump shut off head for three-way valves.

Setpoints shall be selected to maintain either a fixed space temperature or a fixed coil-discharge temperature, with the discharge temperature setpoint reset by space temperature requirements.

1595-7.3                **Two-Pipe and Three-Pipe Combination Heating and Cooling Systems**

Fan coil terminal devices with one coil shall have their control valves operated by a room or coil discharge temperature thermostat that can change from summer to winter operation. Air handling units with heating and cooling coils shall have their control valves controlled by normal sequences of operation, but shall be provided with two-position control valves in the piping entering each coil to prevent hot water from entering the cooling coil and chilled water from entering the heating coil and to sequence on/off and summer and winter operation.

If the two- or three-pipe water distribution system is not provided with heat exchangers to isolate the boilers and chillers from the distribution system, a control system using three-way control valves to control and route water around the source devices shall be designed to prevent hot water from entering the chiller and cold water from entering the boiler during the changeover periods from heating to cooling seasons.

**1595-7.4      Load Control for Hot Water Systems**

The temperature of hot water for building heating systems shall be controlled by a supply temperature sensor that modulates the boiler operating controls. The supply delivery temperature shall be reset based on the temperature outside, lowering the delivery temperature as the outdoor air temperature rises and raising the delivery temperature as the outdoor air temperature falls.

**1595-7.5      Load Control for Chilled Water Systems**

Central station cooling equipment producing chilled water shall be controlled by a signal from a sensor mounted in the return chilled water piping or the leaving chilled water piping that modulates the chiller to control capacity.

Central station cooling equipment shall be provided with controls to limit the current draw of the cooling equipment in periods of high electrical demand.

**1595-8              COOLING TOWER AND WATER-COOLED CONDENSER SYSTEM CONTROLS**

Cooling tower fans shall be designed with two-speed and on/off controls to reduce power consumption and maintain condenser water temperature. Bypass valve control shall be provided if required to mix cooling tower water with condenser water to maintain the temperature of entering condenser water at the low limit. Condenser water temperature shall be allowed to float to decrease compressor horsepower as long as the temperature remains above a lower limit required by the chiller. Condenser water temperature or flow shall be controlled from condenser head pressure for reciprocating chillers. The design shall provide basin temperature sensing devices and, if the cooling tower is operated during freezing conditions, hot water or steam control valves and additional control system components to maintain cooling tower sump water temperatures above freezing.

**1595-9              CONTROL OF STEAM SYSTEMS**

**1595-9.1          Zone Control**

Each zone air handler, heating coil, and individual terminal unit shall be controlled using two-way control valves that actuate either electrically, pneumatically, or through use of self-contained liquid or wax-filled sensing elements. These control valves shall modulate the steam flow to the coil or terminal unit based on space temperature or coil discharge temperature preset by zone temperature requirements.

**1595-9.2          Control Valve Selection**

Steam pressure and temperature control valves shall be selected according to the requirements in ASHRAE handbooks.

### **1595-9.3      Load Control for Steam Systems**

Intermittent flow controls commonly called “heat timers” shall be evaluated by LCC analysis for incorporation into all space heating steam systems to cycle steam on and off from the source system based on zone indoor temperature requirements, outdoor air temperatures, and space occupancy requirements.

### **1595-10      ENERGY MANAGEMENT SYSTEMS**

Central EMSs shall be provided where justified by LCC analysis. If cost effective, an EMS shall be combined with integral fire and smoke detection supervisory systems and lighting control systems. An EMS shall be specified with the capability to connect to additional building utility systems. When an EMS is contemplated for the future, other building system controls and instrumentation shall be selected that will allow for simple future interfacing.

See Section 0110-12.6, Energy Management Systems.

### **1595-11      ENERGY METERING**

The design professional shall design permanent metering in accordance with 10 CFR 435 for each type of nonprocess energy supplied to and consumed by new buildings and facilities owned and leased by DOE except for the following cases:

- Energy supplied to buildings and facilities where the total energy consumption is not expected to exceed 500 million BTU per year (see Section 0110-12.7, Building Analysis Procedures)
- A type of energy supply that is estimated to be 10 percent or less of the total energy input to the building
- Renewable energy sources or recovered waste heat

Permanent submetering shall be considered and implemented for each type of process energy consumed in new buildings and facilities owned and leased by DOE if indicated in DOE project criteria.

Interface with an existing EMS shall be determined by DOE project criteria.



## **Division 16**

### **Electrical**

#### **1600            GENERAL RETIREMENTS**

These criteria are not intended to supercede more stringent or equivalent criteria or standards required for specific facilities or used by any DOE power administration. Planning of systems shall assure that projected requirements will be satisfied.

#### **1605            BASIC ELECTRICAL MATERIALS AND METHODS**

##### **1605-1        GENERAL**

All systems shall comply with NFPA 70 and ANSI C2. Electrical systems shall be designed so that all components operate within their capacities for initial and projected loads. Preferred standard voltages in conformance with ANSI C84.1 shall be used, with a single-voltage level characteristic in any classification, to minimize stocks of spare equipment and to standardize operating and maintenance practices and procedures.

Electrical materials and equipment shall be UL- or FM-tested, with label attached, for the purpose intended, whenever such products are available. Where there are no UL- or FM-listed products of the type, testing and certification by another nationally recognized testing agency may be acceptable. Installation methods shall be in accordance with the manufacturer's instructions, with NFPA 70, and with other applicable requirements.

On-site acceptance testing shall be required for each major electrical system. Tests shall be specified to demonstrate that each function and important parameter is implemented. Specific criteria shall be included to determine pass/fail acceptance. Tests shall be performed in the presence of a government representative. Copies of all test results shall be submitted for approval.



## **1605-2 WIRING SYSTEMS**

### **1605-2.1 Raceways**

#### **1605-2.1.1 General**

Raceways that penetrate fire-rated assemblies shall be noncombustible. The complete installation shall be suitably sealed to maintain the established fire ratings as defined in UL Building Materials Directory and UL 1479. Raceways shall be 1/2-inch minimum in diameter. Raceways embedded in concrete or masonry shall be 3/4-inch minimum and shall be adequate in number and capacity for the initial and projected facility requirements.

#### **1605.2.1.2 Electrical Metallic Tubing**

EMT shall be used to enclose circuit power conductors, alarm and signal circuits in nonhazardous and noncorrosive locations. It shall not be installed where subjected to physical damages during installation or while in service. EMT shall not be installed underground and shall not be encased in concrete. When EMT is used outdoors or in damp locations, compression type (rain tight) fittings must be used.

#### **1605-2.1.3 Flexible Steel Conduit**

Flexible steel conduit shall be used for connection to equipment subject to vibration and connection from junction boxes to recessed lighting fixtures.

#### **1605-2.1.4 Rigid Steel Conduit and Intermediate Metal Conduit**

Rigid steel conduit or intermediate metal conduit shall be used in locations classified as hazardous by NFPA 70.

Only rigid steel conduit or intermediate metal conduit shall be used to route secure circuits through nonsecured areas. Such circuits shall be capable of detecting tamper with the line.

#### **1605-2.1.5 Aluminum Conduit**

Aluminum conduit shall be used for high-frequency circuits where steel will cause magnetic problems or in atmospheres where steel conduit is unsuitable. Aluminum conduit shall not be used to enclose secure conductors carrying classified information (as defined by the cognizant DOE authority). Aluminum conduit shall not be installed underground, encased in concrete, or where the atmosphere is corrosive to aluminum.

#### **1605-2.1.6 Nonmetallic Conduit**

Nonmetallic conduit shall be used only where allowed by NFPA 70. Nonmetallic conduit shall not be used in electromagnetically sensitive areas or to enclose secure conductors, except when required in red/black design in accordance with NSA NACSIM 5203.

#### 1605-2.1.7 Surface-Metal or Nonmetallic Systems

Except for vertical routings to source or termination points, surface-metal or nonmetallic raceways and multi-outlet assemblies shall be used only where allowed by NFPA 70. Surface-metal raceways passing from one floor to another shall have mechanical protection to a height of 4 feet above the floor level.

#### 1605-2.1.8 Cable Trays

The use of cable trays shall be considered for large multiple-cable applications in both interior and exterior locations.

Cable trays that penetrate security barriers shall provide the same degree of penetration resistance as required by the site-specific security plan for the barrier through which they penetrate. This provision applies when the opening at the point the barrier is penetrated is more than 96 square inches in area and over 6 inches in smallest dimension and is located less than:

- Eighteen feet above uncontrolled ground, roofs, or ledges
- Fourteen feet diagonally or directly opposite windows, fire escapes, roofs, or other openings in uncontrolled buildings
- Six feet from uncontrolled openings in the same barrier

#### 1605-2.2 Conductors

##### 1605-2.2.1 General

Conductors for interior electrical systems shall be copper, except that aluminum conductors size No. 4 AWG and larger may be used. Conductors for power and lighting branch circuits shall be not smaller than No. 12 AWG. No. 10 and No. 12 AWG conductors for power and lighting branch circuits shall be solid. No. 8 AWG conductors and larger shall be stranded.

Conductors for Class 1 remote-control and signal circuits shall be enclosed in cable and shall comply with NFPA 70. Conductors for Class 2 low-energy remote-control and signal circuits shall be not smaller than No. 18 AWG. Power and lighting conductors shall be 600-volt, Type THW, XHHW, or THWN. Conductors required to be rated 90 degrees C in accordance with NFPA 70 shall be Type RHH, THW, or THHN. Conductors in high-temperature areas shall be NEC Type FEP or TFE as required. Direct-burial conductors shall be Type UF, UL 493. Bonding and grounding conductors shall be ASTM B1 solid, bare copper for sizes No. 8 AWG and smaller and shall be ASTM B8 Class B stranded copper for wire sizes No. 6 AWG and larger.

Each set of contract documents shall indicate the basis for the size of the conductors shown on those plans when the option for aluminum conductors has been chosen.

#### **1605-2.2.2 Aluminum Conductor Termination**

The termination of aluminum conductors, the connection of aluminum terminating lugs to copper or aluminum pads, and the use of Belleville washers shall comply with IEEE 141. Setscrew termination shall be allowed for connection to approved circuit breakers. Split-bolt terminators shall not be used.

#### **1605-2.2.3 Conductor Identification**

Voltage levels, grounded conductors, equipment grounding conductors, and ungrounded phase conductors shall be identified.

Existing field center wire color-code systems shall be used. If no present field center wire color code exists, the following color-coding system shall be used:

- Color coding for 240/120-volt, single-phase systems
  - Grounded neutral white
  - Grounding conductor green or bare
  - Ungrounded conductor black
  - Ungrounded conductor red
- Color coding for 208Y/120-volt, three-phase systems
  - Grounded neutral white
  - Grounding conductor green or bare
  - Phase "A" (ungrounded) conductor black
  - Phase "B" (ungrounded) conductor red
  - Phase "C" (ungrounded) conductor blue
- Color coding for 480Y/277-volt, three-phase systems
  - Grounded neutral gray
  - Grounding conductor green or bare
  - Phase "A" (ungrounded) conductor brown
  - Phase "B" (ungrounded) conductor orange
  - Phase "C" (ungrounded) conductor yellow

**1605-2.3      Receptacles**

Receptacles shall comply with general grade as defined in FS W-C-596.

Receptacle circuits shall be provided with ground-fault circuit-interrupters as directed by NFPA 70.

Receptacle circuits that serve receptacles installed outdoors (except receptacles that are in secure circuits and are not readily accessible) and within 6 feet of sinks and building entrances shall be provided with ground-fault circuit-interrupters.

**1620            POWER GENERATION**

**1620-1        GENERAL**

Generally, purchased power from an available off-site utility company shall be used in lieu of central on-site generating stations. Cogeneration shall be considered if steam is being produced for on-site processes or if it is possible to achieve greater economy in power costs. Where an on-site central station is justified, design shall be coordinated with utility company. See Section 1555-1.6, Cogeneration.

**1630            EXTERIOR ELECTRICAL UTILITY SERVICE**

**1630-1        GENERAL**

**1630-1.1      Load Requirements**

Demand and diversity factors shall comply with NFPA 70. Demand and diversity for feeder and substation load calculations shall be as stated in Fink and Beaty, Standard Handbook for Electrical Engineers.

Electric service quality and reliability shall be considered in conformance with IEEE 493 to ensure that they meet the load requirements.

Where loads require a high degree of voltage and frequency stability, the available short-circuit MVA at the service connection and the stability of the supplying utility system shall be considered to ensure adequate power quality.

**1630-1.2      Power Factor**

An overall power factor of not less than 85 percent shall be achieved. When power-factor correction is required, the amount of correction shall be coordinated with the billing tariff to prevent uneconomical overcorrection. Switched capacitor banks shall be used only when necessary to prevent overvoltages during off-peak hours during low power consumption.

Starting capacitors shall be located as near to the loads as practical. Starting capacitors shall be switched simultaneously with the load.

**1630-1.3      Redundancy**

Facilities designated by the cognizant DOE authority as critical shall be served by dedicated, redundant circuits. The two services shall be separated by a 4-hour fire-rated barrier and shall be served from separate sources. In lieu of providing two separate services, a single service supplied from a loop-type transmission or distribution system having sectionalizing features may be provided when the reliability of the single service proves adequate when considered in conformance with IEEE 399 and IEEE 493.

**1630-1.4      Utility Corridor**

Electric circuits shall be located in utility corridors established on master utility plans.

Utility corridors that penetrate security barriers shall provide the same degree of penetration resistance as required by the site-specific security plan for the barrier through which they penetrate. This provision applies when the free area within the utility corridor is more than 96 square inches in area and over 6 inches in smallest dimension.

**1630-2            SUPPLY EQUIPMENT AND FACILITIES**

**1630-2.1        General**

Exterior electrical systems shall be designed and constructed with regard to existing electrical system construction in adjacent areas. Design shall be coordinated with the utility company. Relaying shall comply with IEEE 242, and switchgear shall comply to IEEE C37 series.

**1630-2.2        Power Supply Lines**

**1630-2.2.1      General**

Circuits shall be arranged so that faults, failures, or maintenance on less critical circuits will not jeopardize critical loads. Protective devices shall be used and coordinated for sequential operation from the load to the source. Line location shall be established in accordance with clearance requirements stated in ANSI C2 and shall be routed within established rights-of-way for each facility. Minimum rights-of-way shall extend 5 feet beyond outside conductors. The cognizant DOE authority shall establish facilities and areas that require additional clearances for security and safety.

**1630-2.2.2      Overhead Lines**

Overhead power supply lines shall be used where service is to be installed in remote, unsettled, or industrial areas. Maximum use shall be made of single-pole structures.

Joint use of poles for power and communications distribution shall maintain safety standards and shall limit electrical interference to communications services. In the joint use of poles, either for multiple electrical distribution systems or for both electrical distribution and

communications lines, underbuilt lines or cables shall be of vertical construction. Use of double-stacked crossarm construction shall be allowed only where proper clearances for hot-line maintenance work can be ensured. Clearances shall comply with ANSI C2.

#### **1630-2.2.3      Underground Lines**

In congested areas and where required for safety, for service continuity, or for conformance with local practices, primary and secondary power distribution circuits shall be placed underground.

Underground distribution circuits may consist of direct-burial cable installations or of cable installed in manholes and duct. Direct-burial cables shall have physical protection where hazards from rodents or soil will impair their safe operation. Underground cables or duct systems shall be suitably identified under the ground surface and above the cable protective system or duct bank.

Direct-burial cable shall be used for secondary (600 volts or below) single-circuit installations through areas not likely to be disturbed by excavation and where service reliability is not of critical importance. Where direct-burial cables are connected to above-grade junction or terminating boxes, they shall be encased in rigid steel conduit from the elevation of the cable to the box.

For primary circuits (above 600 volts) and where secondary circuit service reliability is a prime consideration, cable in duct shall be used. Duct banks shall be concrete-encased. Minimum duct size shall be 2 inches. In seismic zones, ducts may be direct-buried when the risk of loss due to earthquakes is greater than the cost of future utility work adjacent to the circuit. Electric manhole covers shall be appropriately labeled. A minimum of 25-percent spare ducts (but not less than two spare ducts) shall be provided in each duct run. Spare ducts shall include nylon or plastic cords of a 200-pound minimum breaking strength to facilitate future cable installation. Spare ducts shall be plugged or capped to prevent contamination.

Underground duct runs that penetrate security barriers shall provide the same degree of penetration resistance as required by the site-specific security plan for the barrier through which they penetrate. This provision applies when the free area within the duct run is more than 96 square inches in area and over six inches in smallest dimension.

#### **1630-2.3      Substations and Switching Stations**

##### **1630-2.3.1      General**

Design of substations and switching stations shall be coordinated with the utility company. When transformation is their main function, the substations shall be located to optimize the lengths of secondary conductors. When switching is their main function, switching stations shall be located as determined by circuit routings.



**1630-2.3.2      Metering**

Electric energy (kWh) metering shall be furnished at each substation of 500 kVA or larger capacity. Demand (kW) metering shall be furnished as required for load management purposes.

**1630-2.3.3      Grounding**

Station grounding shall comply with Section 1639-2, Substation and Switching Station Grounding.

**1630-2.3.4      Surge Protection**

Surge protection shall be included to limit the potential difference across the terminals of the protected device below the BIL of the device.

**1630-2.3.5      Oil-Filled Equipment**

Dikes and drainage provisions shall be built as required by the local SPCC in conformance with 40 CFR 112. PCB or PCB-contaminated electrical equipment and the treatment of PCB oil spills shall comply with 40 CFR 761. Oil-filled transformers installed near buildings shall comply with FM 5-4/14-8. Existing PCB or PCB-contaminated equipment shall be provided with warning signs and shall not be relocated or reused in other existing or new facilities. Electrical equipment cooling material shall be handled in accordance with 29 CFR 1910.1200.

**1630-3            POWER SUPPLY FOR EXTERIOR LIGHTING**

**1630-3.1        Primary Power**

Where discharge lighting loads are used, the ballasts shall operate at 480 volts when 480Y/277-volt service is available and cost effective.

**1630-3.2        Emergency Power**

Sites or facilities requiring continuous lighting for safety or security reasons shall have an emergency power source for such lighting.

**1630-3.3        Switching**

Selective manual/automatic switching systems shall be used to turn off all unnecessary lighting during inactive periods, consistent with safety and security requirements.

## 1630-4 POWER SUPPLY FOR BUILDINGS

### 1630-4.1 General

The following factors shall be considered in the selection of power supply for buildings:

- Initial and projected demand for motor, lighting, and power loads and their individual proportions to the total facility load
- Power utilization equipment characteristics
- Power supply options available from existing on-site distribution systems
- Available maximum short-circuit current at the service connection to the facility
- Rate structure of the utility company
- Investment, operating, and maintenance costs of power service options and of the facility's main service equipment

### 1630-4.2 Voltage Levels

Electric service voltage shall comply with MIL-HDBK-1004/4. For small facilities without three-phase power requirements, 240/120-volt, single-phase service may be used.

## 1630-5 LIGHTNING PROTECTION

Lightning protection systems shall comply with NFPA 78. Lightning protection systems shall be considered for buildings containing facilities for the use, processing, and storage of radioactive, explosive, and similarly hazardous materials; for buildings over 50 feet in height; and for buildings containing valuable equipment. A risk assessment using the guide in Appendix I of NFPA 78 shall be made of these buildings to determine the risk of loss due to lightning.

Electric power and communication services to all buildings and facilities and to underground power cables, where connected by overhead power distribution lines, shall have lightning and surge protection.

## 1630-99 SPECIAL FACILITIES

### 1630-99.8 Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations

Lightning protection shall comply with MIL-HDBK-419. For additional information, refer to FIPS PUB 94.

All electrically grounded towers shall be protected in conformance with NFPA 78. Ground conductors shall be without intervening splices and shall be protected against mechanical damage. Where it is necessary to enclose ground conductors within a tower foundation,

separate ground conductors shall be brought to at least two legs of the tower and shall be extended to the air terminal. The point of emergence of ground conductors from the foundation shall be sealed to prevent the entrance of moisture and shall be protected from mechanical damage. Where guy supports are used, ground rods shall be installed at each anchor and connected to all guys terminating at that location. A ground counterpoise system shall be used only when required to meet the needs of the communications system or as necessary to ensure effective grounding.

1639            **GROUNDING**

1639-1            **GENERAL**

Grounding systems shall comply with NFPA 70 and IEEE 142. A separate ground conductor shall be used. Raceway systems shall not be used as ground path.

1639-2            **SUBSTATION AND SWITCHING STATION GROUNDING**

Substation and switching station grounding systems shall comply with IEEE 80. Grounding connections shall comply with IEEE 837.

1639-3            **FENCE GROUNDING**

Permanent fence grounding shall comply with ANSI C2. Grounding of temporary fences shall depend on the type of fence, consideration of the potential hazards, the expected duration of use and guidance from cognizant DOE safety personnel.

1639-4            **ISOLATED GROUND SYSTEMS**

Isolated ground systems may be required to meet special instrumentation or other equipment needs. Such ground systems shall be clearly identified, protected against improper usage, and installed in conformance with NFPA 70.

1640            **INTERIOR ELECTRICAL SYSTEMS**

1640-1            **GENERAL**

1640-1.1            **Demand and Diversity Factors**

Demand and diversity factors shall comply with Section 1630-1.1, Load Requirements.

**1640-1.2      Power Factor**

Interior electrical system power factor shall comply with Section 1630-1.2, Power Factor.

**1640-1.3      Interior Distribution Voltage Levels**

Standard voltages shall comply with MIL HDBK 1004/4 or shall continue the 240/120-volt single-phase service provided, as applicable.

**1640-1.4      Power System Reliability**

Power system reliability consideration shall comply with IEEE 493 to ensure continual power supply to systems and equipment designated as critical by the cognizant DOE authority. The need for multiple transformer-switchgear service equipment, to ensure power supply continuity within the facility during scheduled or emergency equipment outages, shall also be considered.

**1640-1.5      Power Quality Requirements**

Adverse effects of voltage level variations, transients, and frequency variations on equipment operation shall be minimized.

Sensitive electrical equipment, such as data processing equipment, shall be isolated as needed for protection. Uninterruptible power systems, motor-generator sets, or power conditioners may be used for isolation.

**1640-1.6      System Protection**

System protection shall comply with IEEE 242. For specialized research and development facilities, protection of equipment and systems shall comply with DOE/EV 0051/1.

**1640-1.7      Ground-Fault Protection**

Ground-fault protection shall comply with NFPA 70. See also Section 1605-2.3, Receptacles.

**1640-1.8      Neutral Conductors**

The neutral conductor for electric discharge lighting and for data processing and other similar equipment shall be sized in accordance with NFPA 70. Calculations shall include harmonic current.

**1640-2      SERVICE EQUIPMENT AND FACILITIES**

**1640-2.1      General**

Interior electrical systems shall comply with NFPA 70. Switchgear criteria shall comply with IEEE C37 series.

#### 1640-2.2 Metering

Energy metering requirements for new buildings and building additions shall comply with Section 1595-11, Energy Metering. Conventional kilowatt-hour meters shall be used for measuring and recording electric energy use at the incoming power service to the building and at the internal service points of significant process loads. Where a facility load management program is used, individually for the facility or as a part of a site load management program, demand (kW) metering shall be used. Metering devices shall be compatible with existing or projected EMSs. See Section 1595-10, Energy Management Systems. Where a facility is to be served directly by a utility company, service metering requirements, equipment to be used, and service metering equipment locations shall be coordinated with the utility company.

#### 1640-2.3 Transformers

Interior service transformer installations shall comply with NFPA 70. The minimum number of transformers necessary to satisfy initial and projected facility loads and operational continuity, safety, and security requirements shall be used. Transformer protection and appurtenances shall comply with IEEE C37.91. Transformer installation shall comply with FM 5-4/14-8.

#### 1640-2.4 Motors

Motors shall comply with NEMA MG-1, except that hermetic refrigerant motor compressors shall comply with UL 984.

Motors shall have a sufficient rating for the duty they are to perform and shall not exceed their continuous horsepower rating, including service factor, when the driven equipment is operating at the greatest horsepower renditions it is likely to encounter. Starting and running characteristics shall be coordinated with the driven machine and the motor control equipment.

Motor enclosures shall be drip-proof for indoor dry locations and totally enclosed or totally enclosed fan-cooled for outdoor or other wet locations, except where special conditions require otherwise.

Single-phase motors 1/8 hp and smaller shall be shaded-pole or permanent split capacitor; those larger than 1/8 hp shall be capacitor-start. Polyphase motors shall comply with NEMA Design B, unless other characteristics are required by the driven machine or the speed controller. Motors shall be designed for continuous service at 40°C ambient temperature. Motors shall operate at full capacity, with a voltage variation of plus or minus 10 percent of the nameplate voltage. High-efficiency motors shall be considered where loading and nearly constant usage may result in significant energy savings.

Except where special conditions require otherwise, motors 1 hp and less shall be specified as single-phase, 115-volt motors, while those greater than 1 hp shall be specified as three-phase, 200- or 460-volt, motors.

Motor characteristics shall be selected to avoid excessive acceleration time for motors driving large masses and starting under load.

Variable-speed drives shall be considered where motor speed requirements vary widely during normal operation. Solid-state variable-frequency units are recommended for smaller horsepower motors. In all cases, the driven motor shall be selected in accordance with the drive manufacturer's recommendations to ensure a coordinated system and to avoid overheating the motor.

#### **1640-2.5      Motor Control**

Control equipment shall comply with the NEMA ICS standards and UL 508.

All motors shall be provided with fully coordinated control equipment to perform the functions required. Overcurrent devices shall be provided in each ungrounded conductor; overcurrent devices located in the motor or controller shall be rated in accordance with the manufacturer's instructions. Manually reset devices are recommended.

Single-phase motors may be controlled directly by automatic control devices of adequate rating. Polyphase motors controlled automatically and all polyphase motors rated greater than 1 hp shall have magnetic starters.

Control devices shall be of adequate voltage and current rating for the duty to be performed. Pilot control circuits shall operate with one side grounded, at no greater than 120 volts. Where control power transformers are required, they shall be located inside the associated motor starter housing, shall be protected against faults and overload by properly sized overcurrent devices, and shall be of sufficient capacity to serve all devices connected to them without overload.

Reduced-voltage starters shall be provided for larger motors where starting the motor may result in unacceptable voltage dip.

### **1640-3      POWER SERVICE FOR SECURITY, COMMUNICATIONS, AND ALARM SYSTEMS**

#### **1640-3.1      General**

Electric power distribution systems shall be filtered to reduce emanation of detectable electromagnetic signals to acceptable levels as directed by the cognizant DOE telecommunications and security personnel. Installations shall comply with DOE 5300.2B, DOE 5300.3B, and DOE 5300.4B. Additional guidance shall be obtained from the Office of Computer Services and Telecommunications Management, DOE Headquarters, as directed by the cognizant DOE authority.

#### **1640-3.2      Primary Power Supply**

Normal primary power for protective alarm and communications systems shall come directly from the on-site power distribution system or, in the case of isolated facilities, shall come directly from the public utility. Where several primary power sources are available, the most reliable source shall be used.

**1640-3.3      Standby or Emergency Power Supplies**

Standby or emergency power supplies for security, communications, and alarm systems shall be provided in accordance with Section 1660, Special Systems.

**1640-99      SPECIAL FACILITIES**

**1640-99.2      Emergency Preparedness Facilities**

Electrical distribution systems shall ensure the needed degree of flexibility for facility work and for personnel safety. Special-purpose receptacles shall be marked as to voltage, amperage, number of phases, power source, conventional building power or conditioned power, AC or DC, and frequency. Emergency preparedness facilities shall have emergency power provisions according to Section 1660, Special Systems.

**1640-99.7      Occupational Health Facilities**

Electrical design of occupational health facilities shall comply with NFPA 99 and IEEE 602.

**1640-99.8      Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**

**1640-99.8.1      General**

Essential equipment shall be connected to uninterruptible power supply or to emergency power. Design shall be coordinated with the equipment system specialists and DOE security personnel. Where continuity of service is required for critical cord-connected equipment, twist-lock-type connectors shall be used.

**1640-99.8.2      ADP Centers**

Emergency shutdown of power for ADP facilities shall comply with DOE/EP 0108 and NFPA 75. See FIPS PUB 94 for additional information.

**1640-99.8.3      Radio Control Centers**

In determining the power supply requirements, it shall be assumed that all transmitters are keyed simultaneously while associated receivers and other equipment and building services are in operation.

**1650      EXTERIOR LIGHTING**

**1650-1      GENERAL**

Exterior lighting systems shall comply with IES Lighting handbook. System control shall use time clock and/or photocell to provide illumination only when needed.

Light glare shall be kept to a minimum in those situations where it would impede effective operations of protective force personnel, interfere with rail, highway, or navigable water traffic, or be objectionable to occupants of adjacent properties.

For protective lighting, see Section 0283-7, Lighting.

#### **1656-2 LIGHTING SOURCES**

Maximum use shall be made of high-efficiency HID lamps such as metal halide or high-pressure sodium vapor lamps.

HID lamps shall not be used for exterior lighting within 25 miles of observatories.

See Section 0283-7, Lighting, for use of HID lamps for protective lighting.

#### **1655 INTERIOR LIGHTING**

##### **1655-1 GENERAL**

Interior lighting systems shall comply with the IES Lighting handbook. Nonuniform lighting practices shall comply with 41 CFR 101-20.116-2 and with Section 1694, Energy Conservation. Lighting power budget shall be determined in conformance with ASHRAE Standard 90. Exit and emergency lighting systems shall comply with NFPA 101 and NFPA 110.

##### **1655-2 LIGHTING SOURCES**

Maximum use shall be made of fluorescent and HID lamps. Where HID lamps are used, a standby lighting system shall be provided to meet emergency lighting requirements during HID lamp restrike periods. When using HID lighting indoors, careful consideration of the required color rendition shall be made from visual and health safety perspectives.

##### **1655-3 FIXTURES**

Fixtures shall have diffusers and lenses constructed of noncombustible materials.

##### **1655-99 SPECIAL FACILITIES**

##### **1655-99.8 Telecommunications, Alarm, and ADP Centers and Radio Repeater Stations**

Lighting fixture types, location, and illumination levels shall be coordinated with the equipment and functions of telecommunication, alarm, and ADP centers to provide the required illumination without:



- Interfering with prompt identification of self-illuminate indicating devices
- Creating reflecting glare that might detract from adequate observation of essential equipment
- Creating electrical or electromagnetic interference detrimental to proper operation of equipment

## **1660            SPECIAL SYSTEMS**

### **1660-1            GENERAL**

Standby and emergency systems shall serve loads set forth in NFPA 110. Additional standby or emergency systems shall be provided to support systems or equipment components whose operating continuity is determined to be vital by the cognizant DOE authorities for protection of health, life, property, and safeguards and security systems. Safety Class 1 items shall be provided with emergency power.

Optional standby systems shall be provided for production process operations in cases where the cognizant DOE authority determines that the process will become unstable on loss of power or that a severe monetary loss will result.

Uninterruptible power shall be provided for equipment that cannot sustain functions through the momentary power loss that occurs when an alternate power source comes on line and picks up the load. See Section 1660-3, Uninterruptible Power Systems.

Emergency power shall be provided for protective alarm and communications systems as dictated by the system requirements. Switchover to emergency power shall be automatic on failure of the primary power source and shall be indicated on an annunciator panel. The annunciator shall be located in an occupied area and shall indicate any problems with the emergency system. Definition of "emergency systems" "legally required standby systems," and "optional standby systems" shall be in accordance with NFPA 70.

Emergency power equipment areas shall be ventilated to exhaust hazardous gases (if applicable) and to maintain satisfactory ambient temperatures for equipment operation or personnel access.

Emergency or standby power shall service fire alarm, security alarm, and supervisory sensing devices designated essential by the cognizant DOE authority.

Emergency power systems are further detailed in Section 1660-99, Special Facilities.

## **1660-2            EMERGENCY POWER SYSTEMS**

Emergency power systems shall comply with NFPA 37, NFPA 70, NFPA 101, NFPA 110, and IEEE 446.

Emergency power systems shall be capable of maintaining full operation of emergency loads for the full time period specified by the cognizant DOE authority (nominally, a minimum of 24 hours). Such power sources shall have the necessary built-in features to facilitate operational testing on a periodic basis to verify their readiness.

Where emergency generators are required for loads 25 kVA and smaller, gasoline or LPG engines may be used. For loads greater than 25 kVA, diesel engines shall generally be used. Steam-turbine generators may be used if steam is being produced for on-site processes. Gas turbines may be used if an LCC analysis warrants and if the NEC criteria to emergency power throw-over time is met.

Application of diesel engines shall comply with FCC Technical Report No. 69.

Batteries, when required, shall be rechargeable and shall be kept fully charged at all times when primary power is available. The charger shall automatically switch from float to fast charge rate at a preset drop in DC bus voltage. The charger shall be furnished with a capacity to charge the battery from a fully discharged state to not less than 85 percent of the rated ampere-hour capacity within 24 to 72 hours. See also IEEE 308.

Emergency power systems legally required by NFPA 70 shall be installed to meet normal emergency power requirements. More stringent emergency power requirements shall be identified by the cognizant DOE authority on a case-by-case basis.

## **1660-3            UNINTERRUPTIBLE POWER SYSTEMS**

Uninterruptible power supplies shall be provided for those loads requiring guaranteed continuous power. Application of UPSs shall comply with IEEE 446, as modified by the cognizant DOE authority. UPS installations may be Safety Class 1 (Seismic Category I functional) or standby type dependent on the classification of the loads served.

## **1660-99           SPECIAL FACILITIES**

### **1660-99.0        Nonreactor Nuclear Facilities-General**

#### **1660-99.0.1     Safety Class (Emergency) Electrical Systems**

Electric power and electrical instrumentation and control systems are designated safety class electric if they are required to satisfy the safety class criteria in Section 1300-3.1, General, through Section 1300-3.4, Equipment Environment Considerations. These components and systems are subject to the basic approach outlined in the IEEE 308 standards and to higher quality assurance requirements as needed.

For safety class items that require electric power to perform their safety functions, the design shall provide safety class emergency electric power systems (AC, DC, and their distribution systems). The design shall define the type, capacity, performance characteristics, and features of the safety class electric systems, including generator and batteries, required to meet safety class system needs.

Safety class electric systems shall be provided with suitable redundancy and separation to ensure that adequate capacity and capability are available with the addition of a single failure.

Redundant safety class electric systems shall be physically protected or separated to prevent a common external event from causing a failure of the redundant systems. IEEE 379 and IEEE 384 shall be used as redundancy and separation criteria.

The connection of loads that do not require safety class power to safety class buses shall be minimized. Where such loads must be connected to a safety class electric power system, the loads shall be provided with suitable separation or devices that will prevent failures from affecting the safety class electric system.

Safety class electric systems shall be qualified to the requirements in Section 1300-3.4, Equipment Environment Considerations, and, as appropriate, to the structural requirements imposed on safety class items in Section 0111-99, Special Facilities.

Testing or a combination of testing and analysis shall be the preferred method of demonstrating the operability of instrumentation and electrical equipment that are required to operate during and following a DBE. Seismic experience data may be used as an alternative to testing or dynamic analysis where such data have been documented and validated.

The capability to periodically test safety class electric systems to verify system performance shall be provided.

#### **1660-99.0.2 Protection System and Instrumentation and Controls**

The design shall provide, as necessary, safety class protection systems and safety class instrumentation and control systems to minimize the risk associated with facility operation.

##### **Protection Systems**

A protection system is a system that initiates corrective action, eg., fire detection/Halon release, UPS.

The protection system shall provide automatic initiation of protective actions that require rapid response and automatic control of interlocks that prevent unsafe operator actions.

Protection systems shall be designed to sense potentially hazardous conditions and to initiate actions to ensure that specific acceptable design limits are not exceeded as a result of anticipated operational occurrences.

The protection system shall automatically activate safety class systems and components that are required to ensure the safety of operating personnel and the public. It shall provide audible and visual indication of system status. Automatically initiated protective actions shall be provided with a manually initiated backup.

The design of the protection system shall provide suitable redundancy and diversity to ensure that safety functions can be completed, when required, and that no single failure will result in the loss of the protective functions. The protection system shall be designed to fail in a safe state following a component or channel failure or loss of power (e.g., control air or electric power).

#### **Safety Class Instrumentation and Controls**

Safety class instrumentation shall sense abnormal conditions affecting safety and subsequently provide an alarm, e.g., low differential pressure between HVAC zones, criticality monitoring.

Safety class instrumentation and control systems shall provide audible and visual alarms so that the operator can take timely corrective actions to ensure the safety of operating personnel and the public.

The safety class instrumentation shall be designed to monitor safety-related variables and safety class systems over expected ranges for normal operation, anticipated operational occurrences, DBA conditions, and for safe shutdown. Safety class controls shall be provided when they are necessary to control these variables. Typical systems whose design shall require safety class instrumentation and controls include safety-related process systems, safety class confinement systems, and safety class cooling systems.

The design of safety class instrumentation and controls shall provide suitable redundancy and diversity to ensure that safety functions can be completed, when required, and that no single failure will result in the loss of the protective functions. The safety class instrumentation and controls shall fail in a safe state following a component or channel failure or loss of power (e.g., control air, electric power).

#### **1660-99.0.3      Qualification**

Protection systems and safety class instrumentation and control equipment shall be qualified to the environmental qualification requirements in Section 1303.4, Equipment Environment Considerations.

#### **1640-99.0.4      Separation and Physical Protection**

Redundant protection system and safety class instrument channels shall be physically protected or separated to prevent a common external event or failure of one channel from causing a failure in the redundant channel.

The safety class protection system and safety class instrumentation and control system shall be appropriately separated or isolated from other instrumentation and control systems to the extent that a failure (e.g., electrical, control air) in these other systems will not degrade the safety class systems to the extent that they are unable to perform their necessary safety functions.

#### **1660-99.0.5      Test and Calibration**

The design of protection system and safety class instrumentation and control systems shall provide for the periodic in-place testing and calibration of instrument channels and interlocks. The design shall allow periodic testing of protective functions to determine whether failure or loss of redundancy may have occurred.

#### **1660-99.0.6      Power sources**

An analysis shall be made to determine power requirements for safe shutdown of the process. Safety class electric power or a safety class control air system shall be provided unless adequate system performance including fail-safe shutdown can be demonstrated when only the conventional power sources are used.

#### **1660-99.0.7      Control Areas**

Control areas or a control room shall be designed to allow occupancy and actions to be taken to operate the facility safely under normal conditions, anticipated operational occurrences, and DBA conditions to achieve and maintain a safe shutdown condition including the remote manual initiation and control of safety functions, if used. In the event that a control area or control room is disabled, there shall be sufficient redundancy and capability to allow the facility to be placed in a safe shutdown condition.

#### **1660-99.4      Explosives Facilities**

##### **1660-99.4.1      General**

The NEC and this section are the minimum electrical requirements for DOE facilities containing explosives.

##### **1660-99.4.2      Electrical Equipment and Wiring**

All permanent equipment and wiring for those areas containing explosives shall conform to the standards of the NEC hazardous locations Class II or Class I and II (dual rated). For Class II installations, provisions should be made for easy conversion to Class I. The NEC does not specifically address explosives; however, Article 500, Hazardous (Classified) Locations," establishes requirements for the design and installation of electrical equipment and wiring in locations containing combustible dusts and flammable liquids, vapors, or gases that, in general, are comparably hazardous. Exceptions for laboratory operations are discussed in DOE/EV 06194.

##### **Hazardous Locations**

NEC definitions of Class I and II hazardous locations are modified as follows for DOE explosives facilities applications.

- Areas containing explosives dusts or explosives that "may, through handling or processing, produce dust capable of being dispersed in the atmosphere shall be regarded as Class II, Division 1, hazardous locations.

- Areas that contain exposed explosives but where no dust hazard exists may be regarded as Class II, Division 2, hazardous locations.
- Areas where explosives are processed and sublimation may occur or where flammable gases or vapors may be present in sufficient quantities to produce explosive or ignitable mixtures shall be regarded as Class I, Division 1.

Watertight equipment (that which would pass a NEMA 4 hose test) should be provided in those locations where water-explosives mixtures may come in contact with the electrical equipment and wiring.

If the properties of an explosive are such that Class II, Group G, equipment provides inadequate surface temperature limits, special protection shall be provided, or the equipment shall be excluded from the hazardous location. This equipment shall not have a surface temperature exceeding the lowest onset of the exotherm of the explosive, as determined by the DTA test or the DSC test (see DOE/EV 06194).

When NEC Class I or II equipment is not available, the substitute equipment should either be purged (in accordance with NFPA 496), be sealed to prevent explosives contamination, be determined intrinsically safe by facility management, or be administratively controlled. If equipment is purged, it must be monitored for flow.

Areas containing explosives that are not defined as hazardous locations (areas containing no dust, vapor, or gas hazards or exposed explosives, e.g., storage magazines) shall be evaluated and documented to ensure that electrical ignition sources are minimized, or shall be regarded as NEC Class II.

#### Electrical Supply Systems

Mutual hazards may exist where explosives facilities are located near electrical supply lines. To protect against these hazards, ANSI C2 and the following requirements shall apply to all new construction or major modifications, and shall be considered for existing facilities:

- Electric lines serving explosives facilities shall be installed underground from a point not less than 50 feet away from such facilities. This also applies to communications and instrumentation lines and security alarm systems.
- Electric service lines required to be in close proximity to an explosives facility shall be no closer to that facility than the length of the lines between the poles or towers supporting the lines, unless an effective means is provided to ensure that broken, energized lines cannot come into contact with, and present a hazard to, the facility or its appurtenances.
- Unmanned electrical substations shall be no closer to explosives facilities than public traffic route distances (as defined in DOD 6055.9)
- Electrical transmission lines (carrying 69 kV or more) and the tower or poles supporting them shall be located no closer to explosives than:

- Inhabited building distance if the line in question is part of a system serving a large, off-site area
- Public traffic route distance if loss of the line will not create serious social or economic hardships

Underground utility separation distance criteria are found in Table 1660-99.4.2. Permanent DOE-controlled underground utilities installations (excluding building service lines) shall be separated from explosives locations containing Class 1.1 materials (defined in DOE/EV 06194) according to Table 1660-99.4.2. Utilities installations (above-ground and underground)

**Table 1660-99.4.2 Quantity-Distance Separation For Protection of Underground Service Installations**

	Quantity of Explosives (maximum pounds)				
	0-10,000	20,000	50,000	100,000	250,000
Distance					
Meters	26	28	36	46	62
Feet	80	85	110	140	190

Note: If the explosives building is designed to contain the effects of an explosion, the formula  $D = 3.0 W^{1/3}$  where D is distance in feet and W is the weight of explosives in pounds can be used to determine separation distances for less than 20,000 pounds.

that are privately owned or operated shall be separated from explosives locations by at least public traffic route distances. If these installations include structures they shall be separated from explosives facilities by the inhabited building distances.

#### **Building Service Entrance**

Electrical service entrance for explosives facilities shall be provided with:

- An intermediate, valve-type lightning arrester on the primary side of the transformer
- Surge arresters and surge capacitors on the supply side of the main service disconnect
- Interconnected grounding between the lightning arrester, surge arrester, surge capacitors, service entrance ground, and building ground

### 1660-99.4.3 Static Electricity

#### General

Positive steps shall be taken control or eliminate static electricity in areas where materials that are ignitable by static spark discharge are processed or handled. This includes spark-sensitive explosives, propellants, and pyrotechnics as well as solvent vapors and flammable gases.

#### Bonding and Grounding of Equipment

Bonding straps shall be used to bridge locations where electrical continuity may be broken by the presence of oil on bearings, paint, or rust at any contact point. Permanent equipment in contact with conductive floors or table tops is not considered to be adequately grounded. Static grounds shall not be made to gas, steam, or air lines, dry pipe sprinkler systems, or air terminals of lightning protection systems. If a structure is equipped with lightning protection system, all grounds must be interconnected. Wires used as static ground conductors should be at least No. 10 AWG.

#### Conductive Floor Specifications

Conductive floors shall be made of non-sparking material such as lead, conductive rubber, or conductive flooring composition and shall meet the following requirements:

- The flooring and its grounding system shall provide for electrical resistance not to exceed 1 million ohms. The resistance is to be measured as prescribed in DOE/EV 06194.
- The surface of the installed floor shall be free from cracks and reasonably smooth. The material must not slough off, wrinkle, or buckle under operating conditions.
- Conductive floors shall be compatible with the explosive materials to be processed.

#### Ground Fault Circuit Interrupter

Ground fault circuit interrupter protection shall be provided in static grounded areas where personnel may come in contact with AC-powered electrical equipment.

### 1660-99.4.4 Lightning Protection

Lightning protection shall be provided for buildings containing explosives as determined by an assessment of need for lightning protection conducted using the criteria in this division, DOE/EV 06194, and NFPA 78, Appendix I. Specific explosives operations shall also be assessed for the risk of initiation of process material by lightning. Lightning protection is required for facilities where operations are conducted with electrostatic-sensitive explosive material or components. Installation of protection systems shall be in accordance with the requirements of NFPA 78 or project-specific criteria provided by the cognizant DOE authority.



## **1670            EXTERIOR COMMUNICATIONS AND ALARM SYSTEMS**

### **1670-1           GENERAL**

Systems shall be designed to avoid unnecessary duplication of facilities. Emergency power supply facilities, exterior power supply pole lines, and underground power supply duct bank systems shall be used jointly for the installation unless the joint use of facilities will degrade system performance. Joint use shall not expose the security system to damage or to unauthorized access. Power wiring and signal wiring shall not share the same conduit.

The following shall be considered in the design of telecommunications and alarm facilities:

- Areas and locations to be served
- Initial and ultimate requirements for telecommunications and alarm services
- Type of construction to be used

### **1670-2           FIRE ALARM AND SUPERVISORY SYSTEMS**

Fire alarm and supervisory systems shall comply with NFPA 71, NFPA 72A, NFPA 72B, NFPA 72C, NFPA 72D, NFPA 72E, NFPA 72F, NFPA 72G, NFPA 72H, NFPA 1221, and ANSI C2 as appropriate for the location. Outside cable plant for fire alarm and supervisory systems shall comply with the standards for telephone cable plant. In the joint use of poles for electric power distribution and for supporting fire alarm and telephone cables, separate fire alarm cable shall be placed below the telephone cable.

Fire alarm cables installed in underground ducts shall be distinctively marked within manholes and handholes that are shared with other communications cables and shall be kept physically separated from all power conductors.

Exterior fire alarm pull boxes and emergency-reporting telephones shall be installed in weatherproof housings manufactured specifically for the mechanism.

### **1670-3           SECURITY ALARM AND ASSESSMENT SYSTEMS**

Devices and equipment for interior IDSs required for SNM and storage of classified matter shall meet FS W-A-450B or equipment as approved by the cognizant DOE authority.

Purchases of new protective alarm equipment or replacement of existing equipment shall be approved by the cognizant DOE authority.

Protective alarm systems shall have a primary and emergency power source.

Emergency power for protective alarm systems shall be supplied by batteries or engine-driven generators. Switchover to the emergency power shall be automatic on failure of the primary

power source, and on failure of both the primary and emergency power sources a signal shall be generated to indicate an alarm condition at the monitor.

Rechargeable batteries shall be kept fully charged or subject to automatic recharging whenever the voltage drops to a level specified by the battery manufacturer. Nonrechargeable batteries shall be replaced whenever the voltage drops 20 percent below the rated voltage; a signal shall be activated in the monitor to indicate when this condition exists.

Auxiliary power sources shall be capable of maintaining full operation of the alarm system for not less than 8 hours. As determined by the cognizant DOE authority, such power sources shall contain a switching capability to facilitate operational testing to determine adequate emergency power sources. Such power sources shall be maintained by qualified service personnel.

The power supply, other than public utility, shall be vented sufficiently to preclude deterioration of any of its components as a result of operation under high temperatures.

Supervisory and line-tamper circuits for existing alarm systems that protect Category I or II amounts of SNM shall meet the following requirements:

- Direct or alternating current with continuous line supervision adequate to detect a short, open, or substitution shall be the minimum used, provided that all equipment containers, junction boxes, and so forth, are tamper resistant and that alarm wiring is contained in rigid wall metal conduit. Digital line supervision is preferred over direct or alternating current supervision.
- The system shall be capable of detecting tamper with the system, any component, or the line in both the secure and access modes.
- Interface components such as line modems or data-gathering panels shall be located, if practical, either at the central annunciating point or in the protected area. All components located in the field (eg., line amplifiers) shall be tamper protected and preferably buried or located within a building.
- If dedicated telephone cable pairs are used to connect the protected area to the annunciating point, they must not be routed through telephone switching equipment. Where connected through frame rooms, the pairs shall not be identified as alarm system pairs.

Supervisory and line-tamper circuits for new alarm systems that protect Category I or II amounts of SNM shall meet the following requirements:

- A continuously polled, digital interrogation/response transmission system shall be used.
- Each protected zone shall have a unique digital address code.
- The polling shall be in a pseudo-random manner (i.e., all zones are polled during each sequence but the order or start point is varied). Alternately, encryption may be used.

- Interface components such as line modems or data-gathering panels shall be located, if practical, either at the central annunciating point or in the protected area. All components located in the field (eg., line amplifiers) shall be tamper protected and preferably buried or located within a building.

The interface equipment shall be capable of initiating an alarm if any malfunction or tamper occurs while in both the access or secure modes.

- The polling sequence shall poll all protected zones at the fastest rate consistent with the type of transmission media used (e.g., coaxial cable, radio, or telephone pairs).
- A redundant and separately located transmission line shall be installed. If two cables are used, they shall not be installed in the same trench.

#### **1670-4            SECURE COMMUNICATIONS SYSTEMS**

Secure communications systems shall comply with DOE 5300.3B, DOE 5300.4B, and the DOE 5632 series.

Data processing, amplifying, telecommunications, and other systems that emit electromagnetic emanations, and communications lines to remote interrogation points used to process classified data processing information, shall be protected against compromise of such data in accordance with DOE 5637.1, DOE 5300.2B, and DOE 5300.4B.

For the protection of classified matter, line supervision limits and/or line tamper alarm capability shall meet UL Class AA requirements.

Telephones or public address systems in conference rooms or offices in which classified discussions at the Secret or Top Secret level occur shall comply with Section 0110-99.10, Secure Conference Rooms, and Section 0110-99.11, Secure Offices, respectively.

Where transmissions of classified data outside security areas are involved, NSA-approved encryption shall be used or the signal lines shall be installed in accordance with DOE. 5300.4B.

ADP equipment and cabling shall be installed per NSA NACSIM 5203 or other means approved by the CSOM to preclude compromising emanations from radiating beyond the control zone.

#### **1670.5            ENERGY MANAGEMENT SYSTEMS AND DEVICES**

When directed by the cognizant DOE authority, EMSs and their accessories shall be included in the design of new or upgraded telecommunications and alarm systems.

1670-6            **ANTENNA TOWERS, POLES, AND MASTS**

Antennas or reflectors, transmission lines, and other equipment to be mounted on the antenna structures, and the location, number, height, arrangement, and orientation of antenna structures shall comply with DOE 5300.1B and guidance from the Headquarters Office of Computer Services and Telecommunications Management.

Reference drawings and specifications obtained from Headquarters Office of Computer Services and Telecommunications Management may be used as guidance for the following

- Typical antenna mast suitable for supporting various types of VHF or UHF and with mounting details
- Underground, hardened, and remotely controlled telescoping pushup antenna structures and facilities for HF applications

1671            **INTERIOR COMMUNICATIONS AND ALARM SYSTEMS**

1671-1            **PLANNING**

1671-1.1            **General**

Specific project communications requirements shall be designated by the cognizant DOE authority.

Interior communications and alarm systems shall be designed to use standard, commercially available equipment. The initial and projected requirements for telecommunications systems shall comply with DOE 5300.1B. Secure communications systems shall comply with DOE 5300.3B. TEMPEST criteria shall be as set forth in DOE 5300.2B. Protected distribution systems shall comply with DOE 5300.4B. Data communications facilities, services, and equipment shall comply with DOE 5300.1B. Spectrum-dependent services shall comply with DOE 5300.1B. See also Section 0110-1.2, Systems Integration.

1671-1.2            **Joint Use**

Telephone circuits shall be used for other telecommunications and alarm services to the maximum extent practicable. If separate conductors are required, they shall be routed through the main telecommunications and signal raceway systems if raceway systems are present. Separate wireways and cabinets shall be used only when necessary to meet security, technical, or code requirements or to achieve significant economies.

1671-1.3            **Hazardous Locations**

Telecommunications and alarm equipment and conductors shall be located outside areas subject to explosion, fire, flood, chemical fumes, excessive dust, radiation or vibration, and excessive electrical or electromagnetic noise levels. Where telecommunications and alarm

services must be extended into these areas, equipment and installation shall be suitable for the hazard.

**1671-1.4      Security Conference Rooms and Security Offices**

See Section 0110-99.10.1, General, and Section 0110-99.11.1, General.

**1671-2      FIRE ALARM AND SUPERVISORY SYSTEMS**

Fire alarm and signaling systems shall comply with NFPA 72A, NFPA 72B, NFPA 72C, NFPA 72D, NFPA 72E, NFPA 72F, NFPA 72G, and NFPA 72H.

**1685      ELECTRIC SPACE HEATING**

Electric space heating may be considered where economically justified by LCC analysis.

**1694      ENERGY CONSERVATION**

**1694-1      GENERAL**

System planning and equipment selection shall maximize efficient energy usage and shall minimize energy losses within the electrical system on an LCC-effective basis. See Section 0110-12, Energy Conservation, for criteria on LCC for energy conservation. Industrial systems shall comply with IEEE 739. Commercial systems shall comply with ASHRAE Standard 90.

**1694-2      ENERGY MANAGEMENT SYSTEMS AND DEVICES**

In the planning and design of new systems and for alterations or additions to existing systems, the need for and potential benefits from EMSs and devices as a part of, expansion of, or in addition to such systems shall be considered. See Section 1595, Controls.

## Key Word Index

### Absorption refrigeration 15-32

Access 5, 14, 15, 16, 18, 20, 21, 22, 23, 26, 1-6, 1-30, 1-47, 1-53, 1-57, 1-61, 1-68-74, 1-76, 1-78-81, 1-83-86, 1-103, 1-106, 2-5, 2-7, 2-8, 2-25-27, 2-42, 2-44, 2-53-55, 2-57, 2-58, 2-60, 2-61, 2-65, 2-67, 7-10, 8-1, 8-2, 9-5, 9-6, 10-2, 11-3, 13-5, 13-9, 13-10, 13-13, 13-17-25, 13-27-29, 13-32, 13-33, 13-37, 13-39, 13-40, 13-43, 13-51, 13-53-55, 13-59, 13-60, 13-67, 13-74, 13-79, 13-89, 13-90, 13-95, 13-109, 13-110, 13-114, 14-2, 14-3, 15-19, 15-42, 15-44, 15-49, 15-53, 15-69, 15-74, 16-16, 16-24-26

Access area 5, 20, 23, 1-68-70, 1-74, 2-53, 13-18, 13-20, 13-22, 13-23, 13-27, 13-53, 13-59, 13-79, 13-95

Access control 21, 23, 26, 1-68, 1-80, 1-84-86, 2-7, 2-54, 13-18-23, 13-25, 13-28, 13-67, 14-2

Access drive 2-27

Access flooring 1-53, 10-2

Access road 1-103, 1-106, 2-67

Access way 13-10, 13-13, 13-54, 13-60, 13-89, 13-109

Accessibility 8, 1-6, 1-9, 1-57, 1-77, 2-29, 2-68, 11-2, 13-37, 13-40, 13-44, 15-69

Accident 3, 6, 9, 11, 12, 13, 15, 16, 21, 22, 1-17, 1-35, 1-53, 1-54, 1-76, 1-97, 1-100, 1-107, 2-1-3, 2-6, 13-3, 13-4, 13-6-8, 13-11, 13-12, 13-44, 13-52, 13-57, 13-60, 13-64, 13-67, 13-69, 13-70, 13-76, 13-82, 13-86, 13-92, 13-97, 13-100, 13-105, 13-112, 13-116-120, 13-122-125, 15-11, 15-13, 15-20, 15-45, 15-50

Accidental release 13-4, 13-7, 13-12, 13-50, 13-55, 13-57, 13-61, 13-64, 13-68, 13-84, 13-90, 13-102, 13-110, 13-111, 13-115, 13-125, 15-3, 15-45, 15-50

Acoustic 1-81, 9-5, 13-38

Acoustic plaster 9-5

Acrylonitrile-butadiene-styrene (ABS) 1, 15-17

Action description memorandum (ADM) 1, 1-104

Active solar 1-61, 1-67

Actuating device 15-9

Adiabatic 15-25, 15-27, 15-28

Adsorption 13-73, 13-95, 13-123

Adversary 1-69, 1-70, 2-31, 2-61, 2-62, 13-16, 13-17, 13-19, 13-21, 13-24-27

Aesthetic 2-2, 2-44, 2-64, 13-39, 15-73

Agitation 13-30, 13-94, 13-102

Air cleaning 1-22, 1-28, 13-51, 13-80, 15-29, 15-38, 15-48, 15-75

Air compressor 2-23, 15-77

Air conditioning 7, 1-19, 1-40, 1-46, 13-27

Air distribution 1-13, 1-72, 15-28-30, 15-37, 15-41, 15-42, 15-52

Air filtration 13-34, 13-84, 15-45, 15-53, 15-79

Air handling unit (AHU) 1, 1-19, 15-28-31, 15-37, 15-39, 15-41, 15-43, 15-78-81

Air leakage 1-60, 1-61, 15-77

Air lock 1-57, 13-13, 13-49, 13-55, 13-90, 13-110, 15-44

Air monitoring 2, 13-10, 15-52

Air pollution 1-31, 1-57, 1-105, 13-14, 13-16, 15-55, 15-71, 15-72, 15-75

Air quality 1-21, 1-60, 1-105, 2-1, 2-4, 2-45, 9-1, 15-28, 15-29, 15-40, 15-73, 15-74

Air retarder 7-6

Air vaporizer 15-65

Airborne 14, 1-18, 1-105, 11-1, 13-10, 13-13-15, 13-39, 13-47, 13-50, 13-57, 13-62, 13-75, 13-78, 13-80-82, 13-84, 13-85, 13-87, 13-89, 13-91, 13-96, 13-97, 13-102, 13-104, 13-111, 13-116, 13-121, 13-126, 15-44, 15-47, 15-48, 15-50, 15-52, 15-53, 15-71, 15-76

Airborne effluent 13-14, 13-50, 13-57, 13-62, 13-75, 13-81, 13-85, 13-91, 13-96, 13-97, 13-104, 13-111, 13-116, 13-121, 13-126, 15-76

Aircraft 10, 1-30, 1-40, 1-100, 2-28-30, 13-21

Airfield 2-28-30

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Alarm** 2, 4, 7, 12, 13, 19, 29, 1-5, 1-17, 1-32, 1-39, 1-48, 1-52, 1-57, 1-68-70, 1-73, 1-75, 1-76, 1-78-80, 1-84-87, 2-7, 2-8, 2-17, 2-50, 2-52, 2-53, 2-58, 2-61, 8-7, 11-4, 13-2, 13-7-11, 13-18-20, 13-22-25, 13-28, 13-29, 13-34, 13-41, 13-42, 13-53, 13-59, 13-63, 13-74, 13-80, 13-90, 13-96, 13-114, 13-117, 13-123, 14-1, 14-2, 15-4, 15-6, 15-8, 15-9, 15-11-14, 15-44-46, 15-49, 15-52, 15-53, 15-70, 15-73, 16-2, 16-9, 16-13-16, 16-19, 16-21, 16-24-28
- Alarm readout** 13-11
- Allowable soil-bearing capacity** 9
- Alternating current (AC)** 1, (AC) 1-30, (AC) 2-28-30, 16-14, 16-18, 16-23, 16-25
- Alternative designs** 1-52, 2-21
- Alternative production** 2-47
- Alternative technology** 2-67
- Aluminum** 1, 1-13, 1-14, 1-24, 1-46, 5-1, 5-3, 7-13, 8-3, 8-4, 8-6, 9-7, 15-41, 15-66-68, 16-2-4
- Ambient** 9, 22, 13-11, 13-104, 15-1, 15-9, 15-28, 15-30, 15-53, 15-65, 15-68, 16-12, 16-16
- Anaerobic digestion** 9
- Anchor bolt** 4-2
- Annunciating equipment** 1-85
- Annunciation** 1-79, 13-24, 15-8
- Annunciator** 1-79, 13-24, 13-41, 15-14, 16-16
- Antenna** 1-28, 1-78, 1-84, 1-86, 5-2, 16-27
- Anticipated Operational Occurrence** 9, 1-73, 13-3, 13-5, 13-12, 13-15, 13-47-49, 13-52-55, 13-58-61, 13-74, 13-79, 13-80, 13-84, 13-87-90, 13-95, 13-96, 13-101, 13-102, 13-105, 13-107, 13-109, 13-110, 13-113, 13-114, 13-118, 13-119, 13-124, 13-125, 13-127, 15-20-22, 15-44, 15-46, 15-48, 15-49, 16-18-20
- Approved storage container** 9
- Appurtenance** 18, 1-20, 1-39, 1-86, 2-15, 2-32, 15-16-18, 16-12, 16-21
- Aqueous** 1-17, 13-53, 13-59, 13-94, 13-95
- Aquifer** 9, 2-45, 2-64
- Archaeologic** 12, 1-104
- Architect** 1, 1-58, 2-68
- As low as reasonably achievable (ALARA)** 1, 9, 1-42, 1-73, 1-76, 11-1, 13-3, 13-4, 13-9, 13-10, 13-13, 13-15, 13-47-50, 13-52, 13-58, 13-83, 13-87, 13-102, 13-112, 13-113, 13-117, 15-44, 15-45, 15-48-50, 15-76
- Asbestos** 1, 1-55, 2-18, 9-1, 15-1, 15-61
- Asbestos-cement board (ACB)** 1
- Asb** 1-8, 2-2, 15-59, 15-74
- Assembly cell** 13-51, 13-57
- Asset** 2-56, 2-57, 13-17
- Assistant Secretary for Defense Programs (DP1)** 3
- Atmosphere** 22, 1-57, 8-3, 8-6, 11-4, 13-48, 13-78, 13-79, 13-93, 13-95, 13-113-115, 13-118, 13-121, 13-123, 13-126, 15-9, 15-13, 15-15, 15-28, 15-38, 15-44, 15-49, 15-73, 15-74, 16-2, 16-20
- Atmospheric** 5, 25, 1-29, 1-41, 2-6, 13-85, 13-125, 15-39, 15-45, 15-46
- Atomic Vapor Laser Isotope Separation (AVLIS)** 2, 13-70, 13-72, 13-73, 15-15
- Attenuative capacity** 2-66
- Attractive** 2-27, 13-17, 13-28, 13-29, 13-54, 13-59
- Austenitic** 1-22, 15-66, 15-67
- Automated data processing (ADP)** 1, 1-5, 1-32, 1-78, 1-80-84, 2-7, 13-2, 15-13, 15-14, 15-52, 16-9, 16-14, 16-15, 16-26
- Auxiliary air unit** 9
- Auxiliary building** 26
- Auxiliary power** 14, 1-79, 1-85, 1-87, 16-25
- Auxiliary space** 1-74
- Auxiliary system** 13-48, 13-78, 13-84, 13-87, 13-93, 13-124, 13-125
- Aviation** 3, 1-30, 2-28, 2-29
- Axial** 15-16, 15-41, 15-64, 15-65
- Axial compressor** 15-64
- Axialpiston pump** 15-65
- Backslope** 2-17
- Backup** 1-66, 1-67, 9-1, 13-23-26, 13-40, 13-114, 15-5, 15-8, 15-11, 15-32, 15-34, 15-46, 15-53, 15-76, 16-19
- Balancing device** 15-41-43
- Ballast** 9, 2-25, 16-8
- Barbed wire** 2-53, 2-58, 2-59
- Barricade** 19, 1-103

Double-hyphen entries indicate inclusive pages e.g., "3-27.29" means page 3-27 through page 3-29  
Entries for singular words can include plurals.

- Barrier 11, 12, 14, 16, 21, 23, 25, 28, 1-16, 1-37, 1-42, 1-56, 1-57, 1-68-70, 1-72, 1-73, 1-80, 1-85, 1-86, 1-96, 1-98, 2-17, 2-31, 2-38-40, 2-55-61, 7-1, 7-3-5, 8-2-4, 8-7, 9-7, 11-1-4, 13-8, 13-9, 13-12-14, 13-16, 13-19-22, 13-25, 13-27, 13-29, 13-45, 13-47-49, 13-54, 13-55, 13-60, 13-61, 13-74, 13-75, 13-79, 13-80, 13-84, 13-89, 13-90, 13-95, 13-96, 13-98, 13-100, 13-107-110, 13-115, 13-118-120, 13-125, 13-126, 14-1, 14-2, 15-12-16, 15-41, 15-42, 15-47, 15-54, 15-69, 16-3, 16-6, 16-7
- Base course 9, 27, 1-106, 7-1, 7-4, 7-5
- Base Electronic System Engineering Plan (BESEP) 2
- Baseline 1-70, 1-108, 13-16, 13-19
- Baseline protection requirement 1-70, 13-19
- Basement 1-38, 7-1, 7-4
- Basic impulse insulation level (BIL) 2, 16-8
- Bath 10-4
- Battery area 15-53
- Bay 15, 21, 27, 28, 1-74, 9-2, 9-7, 13-52, 13-58, 13-64-69, 15-13, 15-28, 15-51, 15-52
- Bayonet joint 15-67
- Bearing capacity 9, 2-21
- Bellow 13-118, 15-60
- Bench mark 10, 1-41, 1-108, 2-14, 2-15
- Beaumont 10, 7-2, 7-3
- Best available technology 2, 10, 13-56, 15-74
- Best available technology economically achievable (BATEA) 2, 13-56
- Best conventional pollutant control technology (BCPCT) 2
- Bibb 15-19
- Biochemical 2
- Biochemical oxygen demand (BOD) 2
- Biological agent 1-56
- Bird strike 10, 2-65
- Bitumen 7-11
- Bituminous 1-20, 2-27, 2-60, 7-2-5, 7-11
- Blast 22, 28, 1-28, 1-78, 1-93, 1-100, 13-64-67, 13-69
- Block 1-63, 2-32, 4-1, 7-2, 7-13, 8-1, 15-22, 15-23, 15-27
- Boiler 1, 1-14, 1-22, 1-28, 1-31, 1-40, 2-36, 8-6, 11-1, 13-5, 15-18, 15-20, 15-33, 15-35, 15-36, 15-43, 15-54-57, 15-59-61, 15-66, 15-68, 15-70, 15-81, 15-82
- Boiler room 8-6, 15-60
- Boiler water 15-59, 15-61
- Bonding 1-27, 2-26, 2-60, 16-3, 16-23
- Booster heater 15-18
- Boring 10, 2-9, 2-10
- Brake horsepower (BHP) 2, 15-36, 15-38, 15-81
- Breathing air 13-11, 13-13, 13-39, 13-117, 13-123
- Breeching 15-1
- Brick 2, 29, 1-22, 1-24, 4-1, 7-1, 7-2, 7-5
- Bridge 1-14, 1-15, 1-89, 1-106, 2-25, 2-53, 3-5, 16-23
- British thermal unit (BTU) 2, 1-62, 1-63, 1-66-68, 15-5, 15-55-57, 15-83
- Bubbler trap 13-119
- Building acquisition 10, 1-1
- Building addition 1-59, 1-63, 1-66, 1-92, 2-4, 16-12
- Building Research Board (BRB) 2
- Building Research Advisory Board (BRAB) 2
- Building service 1-72, 2-34, 2-35, 16-14, 16-22
- Buried storage tank 13-101
- Cable 1-16, 1-78, 1-81, 1-84, 1-86, 1-94, 1-105, 2-7, 2-52, 5-2, 13-21, 15-73, 16-3, 16-7, 16-9, 16-24-26
- Cable tray 16-3
- Cable trench 1-84, 2-52
- Cafeteria 2-7
- Caisson 10
- Calcined 22
- Cantilever 10, 1-91
- Canyon 15, 13-45, 13-88
- Capacitor 16-5, 16-6, 16-12, 16-22
- Capillary water 10, 7-3, 7-5
- Capital cost 2-35, 2-56

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.



- Carbon dioxide (CO<sub>2</sub>) 2, 1-38, 13-72, 15-7, 15-12, 15-14, 15-73
- Carpet 2, 14, 1-8, 1-26, 9-6
- Case 1-47, 1-54, 1-56, 1-62-67, 1-72, 1-77, 1-91, 1-97, 1-98, 1-102, 2-53, 2-60, 11-2, 13-9, 13-12, 13-13, 13-15, 13-17, 13-18, 13-21, 13-25, 13-26, 13-28, 13-29, 13-32, 13-41, 13-43, 13-46, 13-47, 13-54, 13-60, 13-72, 13-74, 13-78, 13-84, 13-89, 13-93-95, 13-99, 13-101, 13-109-112, 13-114, 13-119, 13-121, 13-122, 13-124, 15-3, 15-4, 15-10, 15-11, 15-13, 15-14, 15-38, 15-42, 15-45, 15-54, 15-56, 15-64, 15-66, 15-83, 16-13, 16-16, 16-17
- Cased explosive 10, 13-68
- Casework 12-1
- Cast-in-place concrete 20, 2-22, 3-2, 3-3
- Cast iron (CI) 2, 15-17, 15-35
- Catchment 2-41
- Cathode ray tube (CRT) 2, 1-41, 1-83, 13-40
- Cathodic 1-109, 2-32, 2-48, 2-49, 15-61
- Cavity wall 4-1, 7-4, 7-7
- Ceiling 2, 27, 29, 1-23, 1-25, 1-33, 1-35, 1-56, 1-74, 1-75, 1-78, 1-81, 1-84, 1-86, 1-88, 1-95-97, 7-4, 7-9, 8-1-3, 9-1, 9-3-6, 13-25, 13-27, 13-33, 13-51, 15-9, 15-10, 15-19
- Cement fiber 3-4
- Cementitious 3-4, 7-2, 7-4, 7-5
- Cementitious deck 3-4
- Cementitious waterproofing 7-4
- Centers and repeater stations 1-78
- Central alarm station (CAS) 2, 1-17, 1-79, 1-86, 13-18, 13-24, 13-25
- Central facility 15-55
- Central plant heat 15-34, 15-54
- Central station 1-19, 1-39, 1-79, 1-80, 13-28, 15-31, 15-34, 15-36, 15-82, 16-5
- Centrifugal 1-19, 1-38, 13-79, 15-32, 15-36, 15-39, 15-63-65
- Centrifugal compressor 15-32, 15-64
- Centrifugal pump 15-65
- Centrifugal separator 13-79
- Centrifuge 13-70, 13-72, 13-73, 13-75, 15-14
- Ceramic 29, 1-47, 9-3, 9-4, 13-77, 13-80, 15-33
- Ceramic tile 1-47, 9-3, 9-4
- Certification 1-27, 1-109, 1-110, 2-49, 15-38, 16-1
- Change room 13-11, 13-89, 13-109, 15-26
- Channel 14, 18, 28, 1-84, 1-86, 2-17, 2-40, 2-42, 3-4, 13-19, 13-25, 13-108, 16-19, 16-20
- Chemical 9, 11, 12, 13, 15, 19, 24, 25, 1-22, 1-28, 1-38, 1-39, 1-56, 1-71, 1-73, 1-76, 1-104, 2-1, 2-4, 2-6, 2-7, 2-32, 2-35, 2-36, 2-48, 2-49, 13-6, 13-44, 13-45, 13-47, 13-52, 13-54, 13-57, 13-60, 13-63, 13-71, 13-76, 13-77, 13-79, 13-82, 13-88, 13-89, 13-93, 13-98, 13-99, 13-101, 13-102, 13-113, 13-123, 15-7, 15-18, 15-19, 15-33, 15-39, 15-44, 15-47, 15-49, 15-50, 15-59, 15-61, 16-27
- Chemical additive 2-35
- Chemical analysis 2-35
- Chilled water 15-31, 33, 15-35, 15-36, 15-81, 15-82
- Chiller 2-23, 11-1, 15-31, 15-32, 15-43, 15-55, 15-81, 15-82
- Chlorinated polyvinyl chloride (CPVC) 2, 15-17
- Chlorofluorocarbon (CFC) 2, 15-62, 15-63
- Circulating pump 15-58
- Class control 16-19, 16-20
- Class instrumentation 16-18-20
- Classified 10, 12, 15, 16, 18, 19, 20, 25, 26, 27, 28, 1-5, 1-11, 1-12, 1-27, 1-69, 1-79, 1-80, 1-82, 1-83, 1-86-88, 1-93, 2-7, 2-9, 2-62, 4-2, 9-5, 9-6, 13-2, 13-16, 13-19, 13-21, 13-32, 13-44, 13-75, 13-116, 13-121, 13-126, 13-127, 15-15, 15-20, 15-42, 15-75, 16-2, 16-20, 16-24, 16-26
- Classified information 10, 25, 26, 1-79, 1-80, 1-83, 1-88, 9-5, 9-6, 16-2
- Classified interest 10
- Classified matter 10, 15, 20, 26, 28, 1-5, 1-11, 1-69, 1-79, 1-86, 1-87, 2-62, 13-2, 16-24, 16-26
- Classified telecommunications facility 10
- Cleaning 12, 1-18, 1-22, 1-25, 1-27, 1-28, 1-37, 1-74, 1-82, 8-2, 8-3, 11-2, 13-49, 13-51, 13-53, 13-58, 13-70, 13-72, 13-75, 13-80, 13-113-115, 15-23, 15-29, 15-33, 15-38, 15-39, 15-47, 15-48, 15-70, 15-74, 15-75
- Cleanup 2-18, 2-48, 13-13, 13-82, 13-83, 13-89, 13-109, 13-113, 13-118, 13-120, 13-123-125, 15-7, 15-44, 15-45, 15-48, 15-50
- Climate 1-104, 2-5, 2-35, 2-38, 2-70, 13-37, 15-38
- Climatic 1-89, 1-104, 2-68, 2-70, 3-3, 15-27, 15-61
- Closed circuit television (CCTV) 2, 13-24, 13-29, 13-103

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29.  
Entries for singular words can include plurals.

- Close... 1-107, 1-110
- Coal 1-40, 4-2, 15-57, 15-58, 15-72-74
- Coating 1-18, 1-23, 1-37, 1-44, 2-32, 2-60, 5-3, 7-1, 7-4, 7-5, 8-8, 9-1, 9-2, 9-6, 9-7, 12-1, 13-51, 15-33
- Coaxial cable 16-26
- Cogeneration 1-65, 15-54, 15-56, 16-5
- Coil 1-19, 2-59, 15-30, 15-31, 15-36-39, 15-41, 15-43, 15-63, 15-81, 15-82
- Coiling door 8-5
- Cold storage room 7-8
- Cold weather concreting 1-15, 3-3
- Collection system 1-104, 2-37, 2-50, 13-8, 13-86, 13-95, 13-98, 13-102, 13-111, 15-21, 15-22, 15-50-52
- Color 1-53, 4-2, 7-9, 7-11, 13-40, 13-41, 13-43, 16-4, 16-15
- Combustible 1-39, 1-79, 1-82, 1-98, 2-32, 11-2, 11-3, 13-48, 13-53, 13-59, 15-3, 15-7, 15-12, 15-69, 15-70, 16-20
- Combustion 1-31, 1-39, 1-55, 11-4, 13-88, 15-13, 15-30, 15-47, 15-59, 15-60, 15-72-75, 15-80
- Combustion process installation 15-72
- Comfort 1-71, 13-37, 13-40, 15-25-27, 15-52, 15-78
- Communications 19, 25, 26, 1-10, 1-20, 1-52, 1-71, 1-78-80, 1-82, 1-85, 1-86, 1-96, 1-108, 1-109, 2-8, 2-52, 2-53, 2-61, 13-18, 13-24, 13-25, 13-28, 13-34, 13-38, 13-42, 14-1, 14-2, 16-6, 16-7, 16-10, 16-13, 16-14, 16-16, 16-21, 16-24, 16-26, 16-27
- Community facility 1-77
- Compaction 1-108, 1-109, 2-11, 2-49, 13-106
- Compartment 11, 21, 27, 1-74, 1-79, 10-1, 13-7, 13-13, 13-52-55, 13-58-61, 13-75, 13-80, 13-90, 13-96, 13-110, 13-114, 13-120, 13-125, 13-126
- Compartmentalization 1-74, 1-75, 13-53, 13-59
- Compressed air 15-39
- Compressed gas 2, 1-25, 1-72,
- Compression joint 15-67
- Compressor 1-19, 1-49, 2-23, 13-7, 13-73, 15-32, 15-63, 15-64, 15-77, 15-82, 16-12
- Compromise joint bar 2-24
- Computer 2, 6, 1-10, 1-12, 1-27, 1-39, 1-62, 1-63, 1-66, 1-80-84, 2-9, 2-42, 8-2, 9-6, 13-32, 15-14, 15-31, 15-32, 15-52, 16-13, 16-27
- Computer Security Operations Manager (CSOM) 2, 1-83, 1-84, 16-26
- Computer System Security Officer (CSSO) 2, 1-80, 1-83
- Conceptual Design Report (CDR) 2, 1-104, 2-2, 2-5, 2-58
- Concrete 1, 2, 5, 6, 7, 10, 11, 14, 17, 20, 22, 24, 25, 26, 27, 28, 29, 1-8, 1-15-17, 1-22, 1-37, 1-38, 1-42, 1-44, 1-75, 1-82, 1-87, 1-94-96, 1-101, 1-108, 1-109, 2-12, 2-22, 2-24, 2-27, 2-28, 2-38, 2-58, 2-60, 3-1-5, 5-3, 7-1, 7-2, 7-4, 7-5, 7-7, 7-9, 9-2, 9-3, 9-7, 13-9, 13-86, 13-109, 15-22, 15-60, 15-70, 15-74, 16-2, 16-7
- Concrete pipe (CP) 2, 7
- Condensate 2-50, 15-34-36, 15-38, 15-55, 15-59, 15-61
- Condensation 7-6, 15-1, 15-41
- Condenser 1-19, 1-21, 13-95, 13-100, 15-27, 15-31-33, 15-35, 15-36, 15-43, 15-48, 15-82
- Condensing unit 1-19, 2-69, 15-33
- Conductivity 2-50, 2-66, 8-2, 9-5, 9-6, 13-102, 13-107
- Conductor 1-22, 16-2-4, 16-6, 16-7, 16-9-11, 16-13, 16-23, 16-24, 16-27
- Conduit 4, 1-78, 1-88, 2-49, 7-9, 11-2, 11-3, 13-23, 13-33, 13-45, 15-21, 15-60, 15-77, 16-2, 16-7, 16-24, 16-25
- Conduit connection 1-78
- Conference room, see Secure conference room
- Confinement 11, 12, 15, 22, 29, 1-42, 1-57, 1-73, 1-75, 1-98, 1-100, 11-1-4, 13-4, 13-7-10, 13-12-14, 13-33, 13-46-49, 13-52, 13-54, 13-55, 13-57, 13-58, 13-60-62, 13-66, 13-72, 13-74, 13-75, 13-77-80, 13-84, 13-87-90, 13-93, 13-95, 13-96, 13-98-104, 13-106-110, 13-112-115, 13-118-120, 13-122-126, 15-11-13, 15-21, 15-24, 15-40, 15-42, 15-44-49, 15-71, 15-76, 16-19
- Confinement area 11, 22, 29, 13-7, 13-13, 13-57, 13-62, 13-74, 13-75, 13-90, 13-110, 13-120, 13-125, 13-126, 15-76
- Confinement system 11, 15, 22, 1-73, 1-98, 13-4, 13-7, 13-12, 13-13, 13-46-49, 13-54, 13-55, 13-60, 13-61, 13-66, 13-74, 13-77-80, 13-84, 13-88-90, 13-93, 13-95, 13-96, 13-99, 13-101-103, 13-107-110, 13-112-115, 13-119, 13-120, 13-124, 13-125, 15-11-13, 15-42, 15-48, 15-71, 16-19
- Confinement ventilation 1-73, 13-114, 13-115, 13-120, 15-44, 15-45
- Consolidation 1-75, 1-94
- Construction 1-103-110, 2-16
- Construction facility 1-103
- Construction joint 11, 7-2, 7-5
- Construction project 11, 1-58

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

Construction project planning 11

Construction specification 2, 1-3, 1-102, 2-10

Containment 1-56, 1-57, 1-72, 2-47, 2-48, 7-1, 9-1, 13-19, 13-27, 13-29, 13-67, 13-69, 13-118, 13-123-125, 15-40, 15-58

Contaminant 12, 1-71, 1-76, 1-106, 2-6, 2-33, 2-65, 9-1, 11-2, 11-4, 11-5, 13-16, 13-50, 13-57, 13-62, 13-75, 13-98, 13-110, 13-114, 15-22, 15-28-30, 15-39, 15-41, 15-45, 15-48, 15-49, 15-71

Contaminated liquid waste 13-50, 13-81, 13-96, 13-98, 13-103, 13-104, 13-115, 13-120

Contaminated solid waste 2-68, 13-49, 13-80, 13-96, 13-104-106, 13-120

Contamination 12, 1-56, 1-71, 1-74, 1-77, 2-47, 2-64, 9-1, 11-1, 11-3, 11-4, 13-7, 13-10, 13-11, 13-14, 13-33, 13-48, 13-51, 13-55, 13-61, 13-68, 13-75, 13-78-85, 13-87, 13-89, 13-90, 13-93, 13-95, 13-96, 13-109, 13-110, 13-114, 13-116, 13-120, 13-121, 13-126, 15-18, 15-21, 15-24, 15-42, 15-44, 15-45, 15-47, 15-49-52, 16-7, 16-21

Contingency plan 2-67

Continuous Air Monitoring System (CAMS) 2, 13-10, 13-11

Continuous emissions monitoring (CEM) 2, 15-73

Control 2, 3, 4, 5, 7, 8, 10, 13-16, 18, 20, 21, 22, 23, 24, 25, 26, 28, 1-9-12, 1-16-18, 1-20, 1-21, 1-29, 1-30, 1-38, 1-41, 1-44, 1-47-49, 1-52, 1-55-57, 1-65-70, 1-72-74, 1-78, 1-80-86, 1-93, 1-96, 1-101-103, 1-105, 1-106, 1-108, 2-3, 2-7, 2-8, 2-11-15, 2-19, 2-27, 2-28, 2-31, 2-32, 2-35, 2-39, 2-40, 2-43, 2-46-48, 2-50, 2-53-56, 2-58, 2-60, 2-61, 2-67, 2-69, 3-2, 3-3, 7-2, 7-5, 9-3-5, 9-7, 10-4, 11-3-5, 12-1, 13-5, 13-7-11, 13-14-23, 13-25-28, 13-33, 13-34, 13-36-41, 13-43-46, 13-48-50, 13-53, 13-54, 13-56, 13-59, 13-61, 13-63, 13-65, 13-67, 13-70-75, 13-77, 13-79, 13-80, 13-85-87, 13-91-96, 13-98, 13-99, 13-102, 13-104, 13-106-108, 13-111, 13-114, 13-115, 13-120, 13-126, 14-1, 14-2, 15-3, 15-7, 15-11-14, 15-18-21, 15-25, 15-27-30, 15-32, 15-33, 15-35-38, 15-41, 15-43, 15-44, 15-46, 15-47, 15-49, 15-50, 15-52-54, 15-58, 15-60, 15-66, 15-69, 15-71-73, 15-75, 15-77-83, 16-3, 16-12-14, 16-17-20, 16-23, 16-26, 16-28

Control area 13-18, 16-20

Control center 3, 13, 14, 1-84, 1-85, 2-8, 9-4, 13-23, 15-3, 15-14, 15-52, 15-53, 16-14

Control damper 15-79

Control joint 1-96

Control monument 10, 2-12, 2-13, 2-15

Control panel 13-37, 13-39, 13-40, 13-43, 15-60, 15-77

Control setback 15-78

Control valve 15-11, 15-35, 15-43, 15-81, 15-82

Control zone 1-83, 1-84, 16-26

Controller 1-37, 1-62, 15-77, 15-79, 15-81, 16-12, 16-13

Conversion 8, 21, 1-5, 2-55, 13-2, 13-33, 13-72, 13-73, 13-76, 13-92, 13-94, 13-117, 13-123, 15-15, 15-34, 15-71, 15-72, 16-20

Conveyance 12, 14, 18, 1-105, 2-42, 2-70, 14-1-3

Cooling 2, 5, 1-15, 1-19, 1-22, 1-26, 1-36, 1-59, 1-61-64, 1-71, 1-82, 2-15, 2-68, 2-69, 7-10, 13-7, 13-11, 13-48, 13-54, 13-60, 13-72-74, 13-82, 13-83, 13-86, 13-98, 13-99, 13-102, 13-106, 13-107, 13-114, 13-118, 13-123, 15-3, 15-20-22, 15-24-28, 15-30-33, 15-36, 15-38, 15-41, 15-43, 15-52, 15-53, 15-64, 15-78, 15-79, 15-81, 15-82, 16-8, 16-19

Cooling tower 2, 1-26, 7-10, 13-11, 15-3, 15-27, 15-31, 15-33, 15-82

Copper 1-22, 2-35, 2-59, 15-17, 15-67, 15-77, 16-3, 16-4

Corridor 1-58, 1-74, 1-75, 1-78, 2-17, 2-31, 2-33, 2-37, 9-3, 15-51, 16-6

Corrosion 16, 1-23, 2-31, 2-32, 2-35, 2-48, 2-49, 7-7, 8-1, 9-5, 10-1-4, 11-2, 13-48, 13-55, 13-60, 13-84, 13-94, 13-102, 13-107, 15-23, 15-38, 15-59, 15-61

Corrosivity 11, 2-35

Corrugated metal pipe (CMP) 2

Cost 5, 7, 10, 11, 20, 27, 1-2, 1-29, 1-34, 1-37, 1-51, 1-52, 1-54, 1-56, 1-58, 1-60, 1-62, 1-65, 1-67, 1-74, 1-83, 1-90, 2-7, 2-17, 2-21, 2-22, 2-35, 2-37, 2-39, 2-40, 2-42, 2-44, 2-46, 2-47, 2-56, 2-64, 2-69, 2-70, 13-9, 13-17, 13-34, 13-35, 13-42, 13-49, 15-3, 15-6, 15-19, 15-25, 15-34, 15-37, 15-38, 15-40, 15-56-62, 15-64, 15-68, 15-69, 15-72, 15-78, 15-83, 16-5, 16-7-9

Countermeasure 7, 1-12, 1-28

Cover 11, 1-3, 1-60, 2-18, 2-26, 2-29, 2-31, 2-32, 2-35, 2-38, 2-40, 2-41, 2-65, 2-69, 2-70, 3-1-5, 4-1, 5-1, 6-1, 7-1, 7-2, 10-3, 11-3, 13-2, 13-11, 13-30, 13-34, 13-50, 13-72, 13-90, 13-108, 15-4, 15-23, 15-42, 15-76, 15-77, 16-7

Crack 13-33, 13-51, 15-51, 16-23

Crane 1-25, 1-26, 1-96, 1-100, 14-3, 15-69

Creep 1-95

Critical area 11, 1-75, 15-32

Critical facility 11, 14, 1-93, 2-9, 2-28, 2-42, 13-24, 13-64

Criticality 11, 13, 22, 25, 1-17, 1-18, 1-42, 1-74, 1-76, 1-97, 1-98, 1-100, 2-51, 2-68, 11-2, 13-3, 13-5, 13-7, 13-8, 13-11, 13-30, 13-44, 13-49, 13-50, 13-52, 13-53, 13-56, 13-57, 13-59-63, 13-68, 13-70-72, 13-74-77, 13-80-82, 13-85-87, 13-91-99, 13-104, 13-106, 13-107, 13-111, 13-112, 13-117, 13-122, 13-123, 15-11, 15-12, 15-21, 15-50, 15-76, 16-19

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Criticality incident 11
- Cross-connections 15-18, 15-35
- Cross-contamination 1-71, 13-78, 13-93, 15-42
- Crossing frog 11
- Crown, roadway 11
- Cryogenic 1-20, 13-122, 15-63, 15-65-70
- Crypto 10, 12, 1-83
- Cubicle 15, 10-1, 11-1, 15-51
- Cultural resource 12, 1-104
- Curb 12, 1-6, 2-16, 2-17, 2-26, 2-27, 2-42, 2-43, 2-54, 2-56, 7-10, 7-12
- Curb inlet 12, 2-42
- Curb return 12, 2-42
- Curtain 8-3, 8-5, 12-2
- Cylinder 1-25, 1-72, 1-108, 13-45, 13-46, 13-71, 13-72, 13-76, 13-92, 13-93, 15-32
- Cylinder handling area 13-71
- Dampproofing 1-16, 1-24, 7-1, 7-2, 7-4, 7-5, 9-7
- Datum 5, 12
- Daylighting 1-61
- Dead load (DL) 3, 12, 1-91
- Deck 7, 1-45, 3-4, 5-3, 7-8-10, 9-5
- Decommissioning 12, 1-2, 1-53, 1-71, 1-75, 1-106, 2-18, 13-33, 13-51, 13-69, 13-81, 13-86, 13-91, 13-97, 13-116, 13-121, 13-126
- Decontaminate 1-53, 15-48
- Decontamination 12, 21, 1-71, 1-75, 1-77, 1-106, 2-18, 9-2, 9-3, 13-11, 13-13, 13-33, 13-34, 13-51, 13-56, 13-61, 13-69, 13-70, 13-75, 13-78, 13-81, 13-86, 13-91, 13-93, 13-97, 13-98, 13-111, 13-115, 13-116, 13-120, 13-121, 13-126, 14-2, 15-22
- Decontamination waste 13-56, 13-91
- Dedicated fire water system 12
- Deflagration 12
- Deflection angle 12, 2-16
- Deluge 24, 1-38, 15-6, 15-13
- Demolition 1-55, 1-106, 2-18, 13-33
- Departmental-approved equipment 12
- Departmental element 12, 1-7
- Derived air concentration (DAC) 3, 13-45, 13-78
- Derived concentration guide (DCG) 3, 13-15
- Design basis accident (DBA) 3, 9, 11, 12, 13, 14, 21, 22, 25, 1-73, 1-75, 1-76, 1-100, 2-5, 11-2, 13-3-6, 13-11-13, 13-15, 13-45-49, 13-52-55, 13-57-61, 13-64, 13-66, 13-74, 13-79, 13-80, 13-82, 13-84, 13-87-90, 13-95, 13-96, 13-101, 13-102, 13-107, 13-109, 13-110, 13-113-115, 13-118-120, 13-123-125, 13-127, 15-11, 15-20-22, 15-44-50, 15-76, 16-19, 16-20
- Design basis earthquake (DBE) 3, 13, 24, 1-54, 1-98, 1-99, 13-6, 13-9, 13-13, 13-47, 13-48, 13-52, 13-55, 13-57, 13-61, 13-82, 13-87, 13-101, 13-109, 13-110, 15-12, 15-20, 15-22, 15-44, 15-45, 16-18
- Design basis fire (DBF) 3, 9, 13, 1-54, 1-75, 13-12, 13-48, 13-90, 13-102, 13-110, 13-115, 15-11, 15-12, 15-21, 15-47
- Design basis flood (DBFL) 3, 13, 1-54
- Design basis tornado (DBT) 1-54, 1-98, 1-99, 3, 13, 13-49
- Design basis wind (DBW) 3, 1-54
- Design document 1-101, 1-102, 1-108
- Design speed 2-56
- Detection 4, 12, 13, 19, 20, 1-47, 1-52, 1-56, 1-70, 1-75, 1-79, 1-87, 1-108, 2-16, 2-27, 2-44, 2-50, 2-59, 2-61, 11-4, 13-19-24, 13-26, 13-27, 13-32, 13-45, 13-48, 13-66, 13-86, 13-118, 13-123, 14-1, 15-4, 15-6, 15-8, 15-9, 15-11-14, 15-47, 15-52, 15-76, 15-77, 15-80, 15-83, 16-18
- Detection equipment 13, 13-22, 15-47
- Detonation 10, 12, 13, 16, 17, 19, 13-63, 13-66, 13-69
- Detonator 13, 18, 19
- Developed area 2-41, 2-42
- Deviation 1-2, 1-3, 1-61, 1-102, 5-1, 5-2, 13-1, 13-4
- Dewatering 1-20, 2-11, 2-19, 2-20
- Diaphragm 1-45, 13-118, 15-39, 15-64
- Diaphragm compressor 15-64
- Dielectric 15-17
- Differential scanning calorimetry (DSC) 3, 16-21
- Differential thermal analysis (DTA) 3, 16-21
- Dike 1-104, 13-100, 13-101, 13-103, 16-8

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Diethylphthalate (DOP) 3, 17
- Direct current (DC) 3, 1-7, 1-9, 1-10, 1-12-14, 1-16, 1-19, 1-27-31, 1-37, 1-38, 1-41, 1-43, 1-45, 1-50, 16-14, 16-17, 16-18
- Director of Safeguards and Security Agreement (DP34) 3, 27, 1-47
- Discharge 4, 5, 17, 19, 21, 23, 24, 1-8, 1-106, 2-38-42, 2-44, 2-45, 2-50, 7-3, 7-10, 7-13, 13-15, 13-28, 13-50, 13-56, 13-104, 13-105, 13-114, 15-6, 15-19, 15-22, 15-32, 15-33, 15-35, 15-36, 15-38, 15-41, 15-43, 15-45, 15-64, 15-65, 15-71, 15-73, 15-76, 15-80-82, 16-8, 16-11, 16-23
- Dismantlement 13-34
- Dispensary 1-77
- Dispersion 2-3, 2-18, 9-1, 13-72, 13-74, 13-89, 15-22, 15-28, 15-45, 15-73
- Display device 13-40
- Disposal 8, 16, 20, 25, 1-7-9, 1-29, 1-55, 1-71, 1-104, 1-106, 2-18, 2-20, 2-43-47, 2-50, 2-67, 2-68, 2-70, 11-3, 13-14, 13-34, 13-56, 13-83, 13-104-108, 13-110, 13-111, 15-51, 15-59, 15-71, 15-74, 15-75
- Dissolver area 13-87
- Distribution 11, 12, 14, 16, 20, 1-9, 1-10, 1-13, 1-25, 1-34, 1-59, 1-60, 1-62-64, 1-66, 1-67, 1-72, 1-83, 1-104, 2-6, 2-7, 2-15, 2-31, 2-33-35, 2-40, 2-42, 13-7, 13-102, 14-1, 14-2, 15-1, 15-10, 15-12, 15-15, 15-28-31, 15-33-37, 15-39-43, 15-48, 15-52, 15-54, 15-55, 15-57-61, 15-81, 16-6, 16-7, 16-9, 16-11, 16-13, 16-14, 16-18, 16-24, 16-27
- Diversity factor 16-5, 16-10
- Document improvement proposal 1-6
- DOE buildings, naming 10-2
- DOE Energy Management Coordinator 13, 1-61, 1-62, 1-64-67
- DOE Fire Protection Authority 13, 1-55, 1-56, 11-2, 15-5, 15-10, 15-40
- DOE Office of Scientific and Technical Information (OSTI) 6, 1-27, 1-28, 1-35
- DOE Safeguards and Security Coordinator 13
- DOE Safeguards and Security Coordinator 1-28, 2-56, 2-57, 13-26
- Domestic 9, 25, 1-62-67, 2-31, 2-33, 2-34, 2-38, 2-44, 2-45, 2-64, 13-26, 15-10, 15-16, 15-17, 15-31
- Door 4, 6, 7, 27, 29, 1-6, 1-14, 1-18, 1-32, 1-35, 1-39, 1-43, 1-45, 1-46, 1-48, 1-61, 1-68, 1-69, 1-72, 1-74-76, 1-78, 1-85-88, 2-62, 7-8, 8-1, 8-2, 8-4-7, 9-3, 9-5, 9-6, 10-4, 11-3, 13-13, 13-21, 13-23, 13-26, 13-28, 13-29, 13-53, 13-59, 15-44, 15-52
- Dose 3, 5, 14, 24, 2-2, 2-3, 13-4, 13-8-10, 13-48, 13-58, 13-85, 13-105, 13-106, 15-45, 15-48
- Dose rate 13-9
- Downdraft ventilation 15-44
- Draft 1-19, 1-21, 1-47, 2-48-50, 15-58, 15-73
- Draft auxiliary 15-73
- Drain 2-17, 2-23, 2-28, 2-31, 2-40, 2-42, 2-45, 2-50, 7-3, 7-12, 7-13, 11-2, 13-32, 13-50, 13-56, 13-77, 13-92, 13-98, 13-99, 15-16, 15-17, 15-19, 15-22, 15-23, 15-36, 15-38, 15-49, 15-61, 15-74
- Drainage 9, 23, 1-30, 1-103, 1-104, 1-106, 2-6, 2-11, 2-16, 2-17, 2-19, 2-23, 2-26, 2-27, 2-30, 2-39-42, 2-44, 2-56-58, 7-3, 7-5, 7-10, 7-12, 7-13, 15-22, 15-24, 15-41, 16-8
- Drapery 1-48, 12-2
- Drilling 15, 1-23, 2-9, 2-10
- Drilling fluid 2-10
- Dry chemical 1-38, 15-7
- Dry pipe 15-6, 16-23
- Dry wall 9-3
- Ductwork 11-2, 11-4, 13-33, 13-34, 13-50, 13-51, 15-1, 15-37, 15-38, 15-40, 15-41, 15-45, 15-47, 15-54, 15-79, 15-80
- Dumping 15-75
- Duress system 13, 2-61, 13-25
- Dust 1-20, 1-78, 1-82, 1-103, 1-105, 1-106, 2-7, 13-38, 13-48, 13-50, 13-78, 13-80, 13-81, 13-84, 13-95, 13-96, 15-28, 15-29, 15-39, 15-46, 15-50-52, 15-71, 15-74, 16-20, 16-21, 16-27
- Earth 14, 22, 26, 27, 1-38, 1-91, 1-94, 2-10, 2-20, 2-42, 2-60, 7-1-4
- Earth pressure 1-91, 1-94, 2-10
- Earthquake 3, 6, 7, 13, 24, 1-42, 1-54, 1-75, 1-90, 1-91, 1-93, 1-94, 1-96, 1-97, 1-99, 1-100, 2-4, 2-9, 9-3, 11-2, 16-7
- Earthwork 2-20, 2-21
- Easement 2-17
- Effective Dose Equivalent (EDE) 3, 14, 2-3, 13-9
- Efficiency 4, 17, 27, 1-28, 1-48, 1-53, 1-60, 1-64, 1-74, 2-1, 2-7, 2-8, 2-30, 2-61, 11-1, 13-7, 13-73, 15-27, 15-28, 15-36, 15-39, 15-54, 15-56, 15-58, 15-75, 16-12, 16-15

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

Effluent 10, 14, 20, 24, 1-11, 1-18, 2-6, 2-45, 2-51, 2-68, 13-4, 13-10-12, 13-14, 13-15, 13-34, 13-46, 13-49, 13-50, 13-56, 13-57, 13-61, 13-62, 13-75, 13-80, 13-81, 13-85, 13-87, 13-91, 13-96, 13-97, 13-99, 13-102, 13-104, 13-111, 13-112, 13-115, 13-116, 13-118-121, 13-126, 15-24, 15-39, 15-40, 15-47, 15-48, 15-58, 15-59, 15-73, 15-76

Egress 14, 1-71, 2-58, 2-61, 2-63, 13-8, 13-29, 13-54, 13-60, 15-70

Elastomeric 7-2, 7-5, 7-11, 15-17

Electric 27, 1-9, 1-18, 1-26, 1-27, 1-29, 1-31, 1-34, 1-86, 2-17, 2-19, 13-25, 13-71, 13-73, 13-74, 13-122, 15-3, 15-8, 15-12, 15-14, 15-17, 15-32, 15-35, 15-44, 15-47, 15-49, 15-57-59, 15-65, 15-79, 15-81, 16-5-9, 16-11-13, 16-17-21, 16-24, 16-28

Electric space heating 16-28

Electrical 3, 4, 5, 18, 1-18, 1-20, 1-27, 1-31, 1-32, 1-34, 1-38-40, 1-53, 1-60, 1-64, 1-69, 1-72, 1-76, 1-79, 1-87, 1-88, 1-90, 1-96, 1-104, 1-108, 1-110, 2-7, 2-8, 2-17, 2-26, 2-32, 2-52, 2-55, 2-58-60, 5-2, 8-6, 9-4, 13-6, 13-23, 13-26, 13-68, 13-88, 15-12-15, 15-17, 15-22, 15-30, 15-39, 15-44, 15-49, 15-65, 15-77, 15-82, 16-1-3, 16-5, 16-6, 16-8, 16-10, 16-11, 16-14, 16-16-23, 16-27, 16-28

Electrical interference 2-7, 16-6

Electrical metallic tubing (EMT) 3, 16-2

Electrical potential 2-26

Electrically conductive floor 9-6

Electro Explosive Device (EED) 3, 14

Electroanalogous 2-19

Electrode 2-26

Electronic air cleaner 15-39, 15-42

Elevation 17, 24, 1-109, 2-10, 2-12, 2-14-17, 2-20, 2-34, 15-26, 16-7

Elevation contour 2-16

Elevator 1-6, 1-22, 1-96, 14-1-3, 15-74

Emergency 3, 14, 29, 1-4, 1-11, 1-19, 1-26, 1-31, 1-32, 1-34, 1-39, 1-40, 1-52-54, 1-57, 1-68, 1-71, 1-73, 1-76, 1-77, 1-79, 1-84, 1-86, 1-109, 2-2, 2-3, 2-5, 2-8, 2-30, 2-48, 2-52, 2-54, 2-55, 2-58, 2-61-63, 8-2, 8-7, 9-2, 9-3, 11-4, 13-2, 13-7, 13-10, 13-13, 13-24-27, 13-36, 13-38-40, 13-42, 13-46, 13-69, 13-71, 13-82, 13-114, 13-118, 13-120, 13-123, 14-2, 15-4, 15-8, 15-12, 15-13, 15-18, 15-20, 15-22, 15-24, 15-44, 15-46, 15-47, 15-53, 15-71, 16-8, 16-11, 16-14-18, 16-24, 16-25

Emergency Control Center (ECC) 3, 14, 1-76

Emergency Control Station (ECS) 3, 14, 1-76

Emergency equipment 1-52, 13-13, 16-11

Emergency exit 8-2, 8-7

Emergency management 3, 1-11, 1-31, 1-53

Emergency Operating Center (EOC) 3, 14, 1-76

Emergency planning 14, 1-11, 1-52

Emergency power 3, 14, 29, 2-52, 2-61, 11-4, 13-71, 15-12, 15-44, 15-46, 15-47, 16-8, 16-14, 16-16, 16-17, 16-24, 16-25

Emergency power system (EPS) 3, 14, 2-61, 16-16, 16-17

Emergency preparedness 1-4, 1-53, 1-54, 1-76, 13-2, 15-13, 16-14

Emergency response 14, 2-2, 2-3, 15-71

Emergency shower 13-39, 15-18

Emergency vehicle 2-5, 2-54, 2-58

Emission 2, 14, 1-8, 1-10, 1-105, 9-2, 12-1, 15-3, 15-14, 15-15, 15-71-75

Encasement 14, 2-50, 13-13, 13-56, 13-102

Enclosure 11, 15, 21, 27, 1-40, 1-78, 1-83, 1-87, 1-98, 2-49, 11-1-5, 13-3, 13-13, 13-23, 13-33, 13-37, 13-44, 13-45, 13-47, 13-48, 13-55, 13-61, 13-72-74, 13-77-79, 13-84, 13-92-95, 13-98, 13-112, 13-114, 13-119, 14-1, 15-10, 15-15, 15-46-48, 15-52, 15-74, 16-12

Endangered 2-64

Energy 1, 3, 13, 15, 16, 17, 18, 23, 24, 25, 27, 28, 1-4, 1-7, 1-8, 1-10, 1-11, 1-13, 1-17, 1-20, 1-21, 1-23, 1-27, 1-33-37, 1-46, 1-52, 1-59-68, 1-71, 1-73, 1-74, 1-93, 1-100, 1-109, 2-1, 2-2, 2-4, 2-34, 2-36, 2-44, 2-45, 2-47, 2-52, 2-68, 7-6, 7-7, 7-9, 7-11, 8-1, 10-3, 10-4, 11-1, 12-1, 12-2, 13-67, 13-68, 13-89, 13-123, 15-1, 15-18, 15-25, 15-30-32, 15-35, 15-37, 15-40, 15-52, 15-54-56, 15-58, 15-65, 15-75-81, 15-83, 16-3, 16-8, 16-12, 16-15, 16-26, 16-28

Energy conservation 23, 1-4, 1-7, 1-21, 1-34, 1-59, 1-60, 1-62-68, 1-71, report 1-60, 1-62, 1-63, 1-65-67, 2-2, 2-4, 2-34, 2-36, 2-68, 7-6, 7-7, 7-9, 7-11, 8-1, 10-4, 11-1, 12-1, 12-2, 15-25, 15-30, 15-31, 15-37, 15-77, 16-15, 16-28

Energy conservation report 1-66-67, 15-31

Energy management 1-7, 1-10, 1-27, 1-37, 1-52, 1-61, 1-62, 1-64-67, 1-109, 2-1, 2-52, 3, 13, 15, 15-83, 16-12, 16-26, 16-28

Energy management system (EMS) 3, 15, 1-52, 1-62, 1-109, 2-52, 15-78, 15-83, 16-12, 16-26, 16-28

Energy monitoring and control system (EMCS) 15, 1-20

Engine 1-31, 1-39, 1-79, 1-84, 2-23, 15-53, 15-59, 16-17, 16-24

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Engineered Safety Feature (ESF)** 3, 15, 2-3
- Entry Control Point (ECP)** 3, 15, 2-58, 2-61
- Envelope** 1-60, 1-61, 1-63-67, 1-88, 1-89, 7-6, 11-2, 13-13, 15-25, 15-53
- Environment** 11, 12, 14, 18, 19, 22, 24, 25, 30, 1-9, 1-11, 1-12, 1-51, 1-54, 1-56, 1-60, 1-74, 1-82, 1-91, 1-97, 1-103, 1-106, 2-2, 2-4, 2-5, 2-31, 2-33, 2-47, 2-50, 2-61, 8-1, 8-4, 9-5, 10-1-4, 11-1-3, 13-4-6, 13-12, 13-15, 13-16, 13-31, 13-34, 13-37, 13-39, 13-41, 13-45, 13-47-50, 13-52, 13-56, 13-58, 13-79, 13-87, 13-95, 13-99, 13-104, 13-105, 13-112, 13-118, 13-125, 15-22, 15-30, 15-42, 15-44, 15-45, 15-47, 15-49, 15-50, 15-71, 15-76, 16-17-19
- Environmental** 2, 3, 5, 9, 10, 16, 17, 18, 1-2, 1-3, 1-8-12, 1-17, 1-21, 1-29, 1-57, 1-64, 1-73, 1-82, 1-105, 2-1, 2-2, 2-4, 2-5, 2-8, 2-18, 2-34, 2-36, 2-43, 2-45-47, 2-64, 9-2, 13-3, 13-4, 13-6, 13-10, 13-15, 13-16, 13-32, 13-37, 13-38, 13-43, 13-50, 13-97, 13-98, 13-101, 13-103, 13-105, 13-124, 15-24, 15-25, 15-39, 15-53, 15-56, 15-59, 15-62, 15-71, 15-74-76, 16-19
- Environmental degradation** 13-38
- Environmental design temperature** 15-25
- Environmental Impact Statement (EIS)** 3
- Environmental qualification of equipment** 13-6
- Environmentally sensitive** 2-64, 15-78
- EPA identification number** 2-63
- Epoxy mortar** 1-15, 3-4
- Equipment** 10, 12, 13, 14, 16, 18, 19, 21, 23, 25, 27, 29, 1-10, 1-11, 1-18, 1-19, 1-21, 1-25, 1-27, 1-31, 1-33, 1-34, 1-39, 1-40, 1-46, 1-48, 1-52-60, 1-63-65, 1-69, 1-71-76, 1-78-86, 1-88, 1-90, 1-93, 1-94, 1-96-98, 1-100, 1-106, 1-108, 1-110, 2-5, 2-7, 2-8, 2-11, 2-13, 2-14, 2-17, 2-18, 2-23, 2-28, 2-31, 2-48, 2-49, 2-54, 2-58, 2-61, 2-63, 2-68, 2-69, 7-10, 7-13, 8-2, 8-3, 8-5, 8-6, 9-4-6, 10-3, 11-1-3, 11-5, 13-6, 13-7, 13-9-11, 13-13-25, 13-27, 13-29-32, 13-34-43, 13-46-49, 13-51-53, 13-55, 13-56, 13-58-62, 13-66, 13-68-74, 13-77-81, 13-84, 13-85, 13-87-89, 13-91, 13-93-97, 13-99-102, 13-107, 13-109, 13-113-115, 13-118-121, 13-123, 13-124, 13-126, 14-1, 14-3, 15-1, 15-9, 15-12-15, 15-17-39, 15-43, 15-44, 15-46-49, 15-52, 15-53, 15-58-63, 15-68-70, 15-72-77, 15-82, 16-1, 16-2, 16-4, 16-6, 16-8-21, 16-23-28
- Equipment foundation** 2-23
- Equipment installation** 1-53, 1-73, 1-75, 15-69
- Equipment operability** 13-6, 15-22
- Equipment room** 1-81, 7-10, 8-6, 9-5, 15-30, 15-53
- Equipment support** 1-84, 1-94
- Erosion** 1-103, 1-105, 1-106, 2-19, 2-40, 2-42, 2-56, 2-69, 7-13
- Evacuation** 1-18, 1-52, 1-76, 1-108, 13-8, 13-11, 13-27, 13-28, 13-44, 15-14, 15-40, 15-80
- Evaporation** 13-31, 15-32
- Evaporative/adiabatic cooling** 15-25, 15-27
- Evaporative cooling** 15-28, 15-30
- Excavation** 26, 1-109, 2-11, 2-16, 2-20, 2-38, 2-49, 16-7
- Exclusion area** 15, 1-69, 1-70, 9-5, 10-2, 13-67
- Exemption** 1-2, 1-3, 1-56
- Exfiltration** 2-38, 2-39, 8-1
- Exhaust** 1-40, 1-71, 1-72, 1-84, 1-85, 1-105, 2-68, 11-4, 11-5, 13-11, 13-33, 13-38, 13-45-48, 13-50, 13-51, 13-54, 13-55, 13-57, 13-61, 13-62, 13-75, 13-80, 13-81, 13-84, 13-85, 13-87, 13-90, 13-91, 13-96, 13-97, 13-100, 13-104, 13-110-112, 13-114, 13-116, 13-120, 13-121, 13-125, 13-126, 14-2, 15-12, 15-26-31, 15-37, 15-39, 15-40, 15-45-50, 15-53, 15-72, 15-73, 15-76, 15-79, 15-80, 16-16
- Existing building** 26, 1-6, 1-21, 1-64, 1-66
- Exit** 1-68, 1-71, 1-73, 1-76, 2-51, 8-2, 8-6-8, 11-3, 13-8, 13-21-23, 13-29, 13-30, 13-69, 15-12, 15-22, 15-76, 16-15
- Expansion joint** 15, 7-2, 7-5, 7-12, 15-17, 15-60
- Expansive soil** 1-14, 1-96, 2-22
- Explosion** 12, 13, 16, 22, 28, 1-20, 1-39, 1-40, 1-54, 1-93, 1-98, 1-100, 2-5, 2-7, 2-66, 11-2, 13-44, 13-45, 13-48, 13-55, 13-61, 13-65, 13-67, 13-68, 13-72, 13-85, 13-88, 13-124, 15-9, 15-11-13, 15-50, 16-22, 16-27
- Explosive** 4, 9, 10, 13, 14, 15, 16, 17, 18, 19, 20, 21, 23, 26, 27, 28, 1-5, 1-26, 1-27, 1-73, 1-93, 1-100, 1-101, 2-5, 2-18, 2-62, 9-2, 9-7, 11-4, 13-1-3, 13-16, 13-21, 13-22, 13-27, 13-44, 13-45, 13-62-69, 13-77, 13-88, 13-89, 13-93, 13-101, 13-113, 13-117, 13-119, 15-6, 15-13, 15-14, 15-23, 15-24, 15-48, 15-50-52, 15-70, 16-9, 16-20-23
- Explosives activity** 15, 16, 28, 13-64, 13-66, 13-68
- Explosives bay** 15, 28, 13-64, 13-66, 13-67, 15-13
- Explosives building** 15, 18, 19, 20, 26, 13-64, 13-66, 16-22
- Explosives hazard classes** 15, 13-65
- Explosives hazard Class I** 15
- Explosives hazard Class II** 16
- Explosives hazard Class III** 16
- Explosives hazard Class IV** 16
- Explosives-only bay** 13-66, 13-68, 13-69

Double-hyphen entries indicate inclusive pages e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Exposure of the public 1-76, 1-97, 13-4, 13-5, 13-44, 13-52, 13-58, 13-70, 13-76, 13-82, 13-86, 13-92, 13-97, 13-105, 13-112, 13-116, 13-122, 15-45
- Exterior 3, 1-29, 1-33, 1-57, 1-59, 1-78, 1-85-87, 1-92, 2-5, 2-31, 2-33, 2-52, 2-60, 2-62, 7-3-9, 7-12, 7-13, 8-1, 8-4-7, 10-2, 10-4, 11-2, 11-4, 13-13, 13-24, 13-26, 13-115, 15-1, 15-10, 15-11, 15-16, 15-54, 15-61, 15-68, 15-78, 16-3, 16-5, 16-6, 16-8, 16-14, 16-15, 16-24
- Exterior communications 2-52, 16-24
- Exterior electrical 2-52, 16-5, 16-6
- Exterior insulation 3, 1-29, 7-8, 7-9
- Exterior lighting 1-59, 2-52, 2-60, 16-8, 16-14, 16-15
- Exterior utility 2-31, 2-33
- External corrosion 16, 2-48
- Eye wash 15-18
- Facility authority 16
- Facility boundary 16, 13-64
- Facility criteria 1-99, 13-66
- Facility location 15-55
- Facility planning 2-2, 2-8
- Facility siting 2-1, 2-2, 13-18, 13-19, 13-64, 15-69
- Facility sizing 15-54
- Facsimile 1-81, 2-8, 15-52
- Facsimile center 1-81, 2-8, 15-52
- Fail-safe 17, 13-45, 13-54, 15-18, 15-46, 15-81, 16-20
- Fallout shelter 1-31, 1-54, 1-58
- Fan 1-17, 1-21, 1-64, 1-67, 13-114, 15-28, 15-37-41, 15-43, 15-46, 15-47, 15-52, 15-53, 15-79-82, 16-12
- Fault circuit interrupter 16-23
- Fault zone 2-64
- Federal Property Management Regulations 1-9
- Feed area 13-87
- Fence 16, 22, 23, 28, 1-69, 1-87, 2-13, 2-17, 2-31, 2-40, 2-53, 2-55, 2-57-60, 13-21, 13-24, 13-29, 16-10
- Fencing 1-103, 1-104, 1-106, 1-107, 2-40, 2-53, 2-56, 2-57, 2-59, 2-60, 13-21
- Field and laboratory report 2-9
- Field element 17, 1-6, 1-7, 8-8, 13-17-20, 13-23, 13-24
- Field log 2-9
- Field notes 2-11, 2-12, 2-14
- Field station 1-77
- Filter 17, 1-19, 1-27, 1-48, 1-49, 11-2, 11-4, 13-30, 13-33, 13-40, 13-49, 13-50, 13-79-81, 13-83, 13-84, 13-88, 13-91, 13-95, 13-96, 13-100, 13-113-115, 15-13, 15-21, 15-37-40, 15-46-49, 15-53, 15-64, 15-71
- Filtration 1-108, 13-7, 13-33, 13-34, 13-45, 13-46, 13-51, 13-54, 13-79, 13-80, 13-84, 13-95, 13-96, 13-113-115, 15-39, 15-40, 15-45-48, 15-50, 15-52, 15-53, 15-79
- Final Safety Analysis Report (FSAR) 4, 1-54
- Finish 1-13, 1-29, 1-36, 1-53, 1-55, 1-74, 2-10, 2-59, 7-4, 7-8, 7-9, 8-6, 9-1-3, 9-7, 10-4, 12-1-3, 13-33, 15-61
- Finish system 1-29, 7-8, 7-9
- Fire 3, 5, 9, 12, 13, 16, 17, 22, 23, 24, 1-11, 1-27, 1-30, 1-38-40, 1-48, 1-49, 1-52, 1-54-57, 1-70, 1-72, 1-74, 1-75, 1-79, 1-82, 1-84, 1-85, 1-87, 1-89, 1-90, 1-98, 1-99, 1-108, 1-110, 2-5, 2-7, 2-8, 2-15-17, 2-23, 2-30, 2-31, 2-33-35, 2-52, 2-54, 2-55, 2-58, 2-66, 7-9, 8-1, 8-3, 8-7, 9-1, 10-3, 11-2-4, 12-1, 12-3, 13-3, 13-7, 13-8, 13-44, 13-45, 13-47, 13-48, 13-50, 13-53, 13-54, 13-59, 13-60, 13-63, 13-66, 13-68, 13-71, 13-77-79, 13-88, 13-93, 14-2, 15-2-17, 15-40, 15-52, 15-69, 15-71-73, 15-77, 15-80, 15-83, 16-2, 16-3, 16-6, 16-16, 16-18, 16-24, 16-27, 16-28
- Fire alarm 1-84, 2-8, 2-17, 2-52, 15-8, 15-9, 15-14, 16-16, 16-24, 16-28
- Fire and rescue facility 1-30, 2-30
- Fire cutoff 1-72
- Fire damper 15-13, 15-40
- Fire department 1-56, 2-5, 15-3-5, 15-8
- Fire detection 1-52, 11-4, 13-48, 15-4, 15-8, 15-9, 15-13, 15-14, 15-77, 16-18
- Fire extinguisher 1-38, 10-3, 15-4, 15-8
- Fire hose 15-4
- Fire hydrant 2-15, 2-16, 2-31, 2-34, 2-35, 15-5
- Fire main 2-31, 15-10
- Fire protection 5, 12, 13, 17, 23, 24, 1-11, 1-27, 1-38, 1-39, 1-55-57, 1-79, 1-82, 1-85, 1-89, 2-31, 2-34, 2-55, 2-58, 8-1, 8-3, 9-1, 10-3, 11-2, 11-4, 13-3, 13-8, 13-47, 13-54, 13-60, 13-68, 13-77, 13-78, 13-88, 13-93, 15-2-6, 15-9-16, 15-40, 15-71, 15-77, 15-80
- Fire protection design analysis 1-55

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.



- Fire pump 1-38, 2-23, 15-10
- Fire-rated wall 1-56, 8-7
- Fire resistance 1-48, 1-49, 1-55, 1-56, 1-75, 1-98, 7-9, 11-2, 12-3
- Fire resistant 15-52
- Fire retardant 9-1, 12-1
- Fire station 1-85, 2-8, 2-30, 15-4
- Fire suppression 13, 1-56, 1-75, 1-87, 1-108, 11-4, 13-3, 13-50, 13-53, 13-59, 13-66, 15-2-5, 15-11, 15-13
- Fireplace 10-2
- Firestopping 7-9, 11-3
- Firing equipment 15-58, 15-72, 15-73, 15-75
- Fissile material 4, 17, 1-5, 1-17, 1-42, 1-74, 13-2, 13-7, 13-8, 13-71, 13-77, 13-81, 13-86, 13-87, 13-91, 13-92, 13-98, 13-104, 13-106, 13-111, 13-117, 15-24, 15-45
- Fitting 1-22, 15-10, 15-17, 15-36, 15-37, 15-58, 15-61, 15-66, 15-68, 16-2
- Fixture 1-32, 2-62, 11-2, 11-3, 15-16-18, 16-2, 16-15
- Flame 9-6, 15-1, 15-9, 15-38, 15-68, 15-80
- Flame-actuated 15-9
- Flammable 1-39, 1-73, 2-5, 2-32, 13-14, 13-45, 13-71, 13-77, 13-78, 13-88, 13-93, 15-7, 15-13, 15-15, 15-49, 16-20, 16-21, 16-23
- Flanged joint 15-67
- Flashing 7-1, 7-4, 7-5, 7-11, 7-12, 15-58
- Flexibility 1-52, 1-53, 1-72, 1-75, 10-2, 12-3, 15-17, 16-14
- Flexural strength 17
- Flight 10, 2-28
- Flood 3, 6, 13, 21, 23, 1-50, 1-54, 1-97, 1-99, 2-2, 2-6, 2-7, 2-29, 2-39-42, 2-44, 2-53, 2-66, 2-69, 16-27
- Flooding 1-99, 2-6, 13-8, 15-49
- Floodplain 1-8, 1-12, 2-2, 2-44, 2-51, 2-52, 2-64, 2-66
- Floor 7, 26, 27, 29, 1-6, 1-19, 1-23, 1-26, 1-44, 1-45, 1-49, 1-56, 1-72, 1-74, 1-78, 1-82, 1-84, 1-86-89, 1-95, 1-96, 1-98, 2-7, 2-62, 3-4, 5-3, 7-1, 7-4-6, 7-8, 7-9, 8-1-3, 8-7, 9-1-3, 9-6, 9-7, 13-13, 13-25-27, 13-33, 13-47, 13-51, 13-53, 13-59, 13-62, 13-77, 13-82, 13-92, 13-98, 15-17, 15-19, 15-22, 15-60, 15-74, 15-77, 15-78, 16-3, 16-23
- Floor area 1-74, 2-62, 13-26, 15-78
- Floor covering 7, 1-23, 1-26, 1-45, 1-49, 1-82, 9-6, 9-7
- Flow coefficient ( $C_v$ ) 3, 15-81
- Flow control 2-47, 15-83
- Flow diagram 15-37
- Flow switch 15-32
- Flue 4, 1-90, 15-1, 15-72, 15-73, 15-75
- Flue gas 4, 15-72, 15-73
- Flue gas desulphurization (FGD) 4
- Fluid 18, 22, 27, 1-29, 1-91, 1-94, 1-97, 1-100, 2-10, 13-6, 13-87, 13-118, 13-122, 13-123, 13-125, 13-126, 15-11, 15-22, 15-65, 15-66, 15-68
- Fluid pressure 1-91
- Fly ash 1-8
- Foam 1-33, 1-38, 1-55, 8-4, 10-1, 10-3, 10-4, 15-7, 15-12, 15-68, 15-69
- Foamite 2-34
- Footing 9, 10, 1-15, 1-89, 2-21
- Force main 17, 1-20, 2-37-39
- Forced-flow 15-65
- Forcible penetration 28
- Forearm 13-9
- Formboard 3-4
- Formwork 1-15, 1-16, 1-95, 3-2
- Foundation 9, 10, 17, 26, 27, 1-15, 1-20, 1-49, 1-89, 1-90, 1-94, 1-96, 2-1, 2-4, 2-9-11, 2-20-23, 2-37, 2-49, 2-68, 15-65, 16-9, 16-10
- Fragment 1-28, 13-47, 13-49, 13-64-68
- Frame 1-18, 1-45, 1-47, 1-75, 1-87, 1-88, 1-92, 7-8, 7-10, 8-1, 8-3, 8-4, 8-6, 8-7, 9-3, 16-25
- Framing 5, 7, 1-36, 1-47, 1-74, 1-89, 1-91, 1-95, 8-1, 9-3
- Freeboard 17, 2-42, 2-48
- Frost 1-96, 2-11, 2-49
- Fuel 7, 17, 20, 21, 28, 1-7-9, 1-18, 1-31, 1-39, 1-40, 1-42, 1-61, 1-65, 1-79, 1-86, 1-106, 2-31, 2-53, 5-2, 13-18, 13-44, 13-55, 13-57, 13-60, 13-76, 13-81, 13-82, 13-85, 13-86, 13-91, 13-111, 13-112, 13-122, 13-124, 15-1, 15-5, 15-6, 15-9, 15-12, 15-30, 15-35, 15-55, 15-58, 15-59, 15-71-73
- Fuel gas 1-39, 2-31
- Fuel oil 1-40, 15-35

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

Fuel selection 1-31, 15-72

Fume 9, 2-7, 11-1, 11-4, 15-113, 13-114, 13-119, 15-28, 15-29, 15-71, 15-72, 16-27

Furnishing 1-90, 12-1, 12-2

Furniture 1-53, 1-76, 10-4, 12-2, 12-3, 13-37

Fusion 1-5, 13-2, 13-116, 13-121-126, 15-17

Galvanized 2-59, 8-6, 15-17

Garbage 25, 15-29

Gas 1, 2, 4, 5, 15, 1-20, 1-25, 1-27, 1-39, 1-40, 1-42, 1-67, 1-72, 1-73, 1-94, 1-105, 2-1, 2-4, 2-5, 2-10, 2-11, 2-15, 2-17, 2-31, 2-45, 2-62, 2-65, 11-2, 11-4, 13-13, 13-15, 13-39, 13-45-48, 13-50-52, 13-57, 13-58, 13-62, 13-69-74, 13-77, 13-79, 13-80, 13-87, 13-89, 13-91, 13-93-96, 13-102, 13-107, 13-109, 13-111-113, 13-116-121, 13-126, 15-7, 15-12, 15-14, 15-15, 15-28, 15-29, 15-32, 15-35, 15-39, 15-42, 15-44, 15-48-50, 15-53, 15-55, 15-57, 15-58, 15-63, 15-64, 15-68, 15-69, 15-71-73, 15-75, 15-76, 15-80, 16-16, 16-17, 16-20, 16-21, 16-23

Gas-cleaning 15-75

Gas-fired 15-32, 15-35, 15-58, 15-72, 15-80

Gas pressure 1-94, 13-69, 15-80

Gaseous diffusion 13-70, 13-72, 13-73, 15-14

Gasket 15-17, 15-68

Gate 1-68, 1-72, 2-34, 2-35, 2-57-60, 13-21

Gate grounding 2-60

General Services Administration (GSA) 4, 1-6, 1-7, 1-13, 1-87, 13-26

Generating facility 15-55

Generation 14, 20, 27, 1-42, 1-71, 1-105, 1-109, 2-47, 2-50, 2-52, 11-3, 13-56, 15-1, 15-18, 15-34, 15-35, 15-54, 15-56-58, 15-69, 15-75, 16-5

Generator 29, 1-8, 1-31, 1-38, 1-79, 1-84, 1-86, 2-23, 13-46, 15-32, 15-53, 15-56, 16-11, 16-17, 16-18, 16-24

Geographic 2-40, 2-41, 2-64, 15-69

Geologic 1-7, 2-1, 2-4, 2-19, 2-33, 2-37, 2-41, 2-43, 2-64, 13-107-110

Geometry 1-14, 2-27, 2-56, 13-7, 13-8, 13-31, 13-45, 13-49, 13-50, 13-52, 13-53, 13-57, 13-59, 13-60, 13-71, 13-73, 13-74, 13-77, 13-82, 13-86, 13-91-94, 13-98, 13-104, 13-106, 13-111, 15-22

Glass 4, 1-14, 1-17, 1-23, 1-31, 1-46, 1-67, 1-85, 8-1-4, 8-6, 8-8, 11-3, 13-31, 15-36, 15-40, 15-48, 15-53

Glazing 1-31, 8-1, 8-2, 8-8

Glove box 11, 15, 11-3, 11-5, 13-46-48, 13-78, 13-79, 13-93, 13-95, 13-112-114, 13-118, 13-119

Grade 17, 26, 1-74, 1-96, 2-9, 2-11, 2-22, 2-25-27, 2-54, 2-55, 2-61, 7-1-7, 7-13, 13-70, 13-73, 15-17, 16-5, 16-7

Grade beam 17, 2-22

Graphic 1-28, 2-19, 10-2, 13-39

Gravity-flow 1-72

Grille 1-88, 10-1, 15-41

Ground 13, 17, 24, 25, 26, 1-58, 1-70, 1-99, 1-106, 2-6, 2-12, 2-14, 2-17, 2-26, 2-28, 2-30, 2-32, 2-34, 2-59, 2-62, 2-69, 2-70, 7-2, 7-5, 13-16, 13-102, 13-108, 15-1, 15-52, 15-69, 16-3, 16-5, 16-7, 16-9-11, 16-22, 16-23

Ground cover 2-69, 2-70

Ground distance 2-12, 2-14

Ground fault 16-23

Ground system 16-10

Grounding 1-27, 1-34, 1-108, 2-26, 2-58-60, 16-3, 16-4, 16-8, 16-10, 16-22, 16-23

Groundwater 9, 20, 25, 1-20, 1-94, 2-6, 2-9, 2-10, 2-19, 2-20, 2-35, 2-36, 2-38, 2-45-50, 2-64, 2-66, 2-67, 13-16, 13-98, 13-107, 13-108, 13-110

Groundwater pressures 1-94

Groundwater resources 2-66

Grout 4-1, 4-2

Guard 20, 25, 29, 1-69, 1-83, 2-12, 2-53, 2-60-62, 8-3, 13-25, 13-26, 13-28, 13-33

Guard station 2-60, 13-28, 13-33

Guard tower 2-61, 2-62, 13-25, 13-26

Guardrail 2-25, 2-56, 7-10

Gutter 2-16, 2-26, 2-27, 2-56, 7-12, 7-13, 15-23

Gypsum 4, 1-23, 1-33, 3-4, 9-4

Gypsum board 1-23, 9-4

Hail 2-2

Halogenated 17, 15-12, 15-14

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Halon 1-38, 13-48, 15-4, 15-7, 15-12, 16-18
- Hand and forearm protection 13-9
- Handicapped 1-6, 1-7, 1-57, 1-76, 1-77, 2-26, 2-54, 2-55, 8-1, 10-5, 13-44, 14-2, 14-3, 15-16
- Handling system 2-45, 13-50, 13-55, 13-60, 13-118, 14-1, 15-28, 15-31, 15-37, 15-39, 15-41, 15-58, 15-59, 15-74, 15-78-81
- Hand-off-automatic (HOA) 4, 15-77
- Hardware 19, 1-18, 1-76, 1-87, 1-88, 2-58-60, 8-1-3, 8-7, 12-2, 13-25, 15-39
- Hazard 6, 15, 16, 21, 25, 1-35, 1-36, 1-53, 1-54, 1-56-58, 1-71, 1-73, 1-74, 1-76, 1-77, 1-87, 1-92, 1-93, 1-97-99, 2-2, 2-5, 2-29, 2-55, 2-59, 9-2, 9-7, 12-1, 13-3-5, 13-8, 13-9, 13-12, 13-36, 13-39, 13-44, 13-47, 13-48, 13-54, 13-58, 13-60, 13-63-66, 13-68, 13-69, 13-71, 13-77, 13-79, 13-80, 13-88-90, 13-96, 13-101, 13-107, 13-110, 13-112, 13-117, 13-121, 13-122, 15-3-7, 15-9, 15-12, 15-13, 15-50, 15-70, 16-7, 16-10, 16-21, 16-28
- Hazard class 15, 16, 13-63-66
- Hazard model 1-36, 1-92, 1-93, 1-98, 1-99
- Hazardous 11, 12, 17, 1-8, 1-9, 1-11, 1-12, 1-54, 1-56, 1-57, 1-71-73, 1-76, 1-77, 1-98, 2-1, 2-5, 2-7, 2-18, 2-44, 2-45, 2-47-50, 2-53, 2-63-67, 8-2, 8-5, 9-1, 11-1, 11-3, 13-3, 13-4, 13-8, 13-10, 13-12-16, 13-33, 13-34, 13-39, 13-44-49, 13-52-54, 13-58, 13-59, 13-63-68, 13-71, 13-78-80, 13-87, 13-89-91, 13-93-96, 13-112, 13-114, 13-117, 13-123-125, 14-1, 14-2, 15-3, 15-13, 15-15, 15-22, 15-23, 15-28, 15-29, 15-40, 15-42, 15-44-48, 15-50, 15-75, 15-76, 16-2, 16-9, 16-16, 16-18, 16-20, 16-21, 16-27
- Hazardous area 1-56, 1-57, 1-72, 1-76, 1-77, 2-7, 2-53, 13-44, 13-53, 13-59, 14-2
- Hazardous fragment 13-64-68
- Hazardous location 15-15, 16-20, 16-21, 16-27
- Hazardous material 11, 12, 17, 1-11, 1-54, 1-71-73, 1-76, 1-98, 2-1, 2-5, 2-45, 2-47, 2-50, 9-1, 11-1, 11-3, 13-3, 13-4, 13-8, 13-10, 13-12, 13-13, 13-33, 13-34, 13-45-49, 13-54, 13-63, 13-78, 13-80, 13-87, 13-89, 13-90, 13-93, 13-94, 13-96, 13-112, 13-114, 13-117, 13-123-125, 14-1, 15-3, 15-23, 15-40, 15-42, 15-44-48, 15-50, 15-76, 16-9
- Hazardous waste 1-8, 1-9, 1-11, 1-12, 2-18, 2-47-49, 2-66, 13-14, 13-15, 13-91, 15-75
- Headquarters 12, 17, 1-1-3, 1-47, 1-49, 1-67, 1-79, 1-81, 1-84, 1-92, 1-98, 2-9, 2-56, 10-3, 13-17-19, 16-13, 16-27
- Health 6, 8, 14, 17, 23, 25, 29, 1-3, 1-5, 1-8, 1-11, 1-27, 1-33, 1-34, 1-40, 1-53, 1-60, 1-66, 1-68, 1-71, 1-73-75, 1-77, 1-78, 1-97, 2-1, 2-4, 2-33, 2-43, 9-2, 12-1, 13-2, 13-3, 13-5, 13-9, 13-18, 13-28, 13-31, 13-97, 13-105, 13-124, 15-2, 15-24-26, 15-29, 15-30, 15-77, 16-14-16
- Health physics 1-71, 13-9, 13-28
- Heat exchanger 1-71, 13-83, 15-20, 15-31, 15-34, 15-43, 15-68, 15-80, 15-81
- Heat gain 1-63, 1-64, 15-25, 15-31, 15-33, 15-52, 15-53
- Heat generation 1-42, 11-3, 15-34, 15-35, 15-54
- Heat loss 1-63, 1-64, 2-68, 15-25, 15-54
- Heat pipe 15-31
- Heat-actuated detector 15-9
- Heating 1, 4, 5, 16, 1-14, 1-21, 1-22, 1-31, 1-36, 1-59, 1-61-67, 1-71, 1-90, 2-15, 2-68, 9-1, 13-7, 13-27, 13-45, 13-52, 13-58, 13-72, 13-86, 13-94, 13-98, 13-106, 15-16, 15-18, 15-22, 15-25-28, 15-30, 15-31, 15-33-36, 15-38, 15-41, 15-43, 15-52-54, 15-57-59, 15-65, 15-77-79, 15-81-83, 16-28
- Heating, ventilating and air conditioning (HVAC) 4, 1-46, 1-52, 1-61, 1-63-65, 1-71, 1-74, 1-76, 1-108, 13-3, 15-1, 15-14, 15-25-27, 15-30, 15-31, 15-40, 15-42, 15-53, 15-62, 15-77, 15-78, 16-19
- Helicopter 2-28
- Heliport 1-30, 1-40, 2-28-30
- Hertz, frequency (Hz) 4, 1-18
- High-expansion foam 15-12
- High Explosives (HE) 4, 16, 17, 19, 1-33, 2-50, 9-7, 13-21, 13-65, 13-68, 13-69
- High explosives-plutonium (HEPu) 4, 13-65, 13-68
- High frequency (HF) 4, 8
- High intensity discharge (HID) 4, 2-62, 16-15
- High-resistivity 2-60
- High temperature 4, 1-31, 13-73, 15-32, 15-34, 16-25
- High temperature water (HTW) 4, 1-31, 15-32, 15-34, 15-35, 15-54, 15-56-58, 15-60, 15-61, 15-56-58, 15-60
- High-voltage 2-7, 2-8, 2-59, 13-122
- High-efficiency particulate air (HEPA) 4, 17, 11-4, 13-7, 13-11, 13-45, 13-54, 13-79, 13-80, 13-84, 13-95, 13-96, 13-113-115, 15-39, 15-40, 15-46-49, 15-52
- Higher standard of protection 17, 15-2
- High-Level Waste (HLW) 4, 17, 20, 28, 2-4, 13-14, 13-97, 13-107
- Highway 1, 4, 19, 22, 23, 27, 1-14, 1-18, 1-25, 1-44, 1-90-92, 1-94-96, 2-5, 2-23-25, 2-28, 2-53, 2-55, 2-57, 3-1, 3-2, 4-1, 5, 2, 5-3, 6-1, 16-15
- Historic 5, 1-8, 1-9, 1-97, 1-99, 1-104, 2-1, 2-40

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Holdup 17, 13-30, 13-32, 13-50, 13-78, 13-91, 13-104, 13-111, 13-114, 15-16
- Hood 9, 1-71, 11-1, 11-2, 11-4, 11-5, 12-3, 13-47, 13-112-114, 13-118, 13-119, 15-47
- Horizontal control 1-16, 1-108, 2-12-14
- Horsepower (hp) 2, 4, 15-36, 15-37, 15-81, 15-82, 16-12, 16-13
- Hose 1-38, 15-4, 15-5, 15-8, 15-11, 15-19, 16-21
- Hose bibb 15-19
- Hot cell 15, 13-45, 13-112-114, 15-46
- Hot line 18, 1-109
- Hot water 1-31, 1-64, 15-18, 15-31, 15-33-36, 15-38, 15-55, 15-81, 15-82
- Hot weather concreting 1-15, 3-3
- Human dimension 13-37
- Human factor 18, 1-29, 1-35, 1-36, 13-34-37
- Human factors engineering 18, 13-34-37
- Humidification 15-25, 15-26
- Humidity 16, 30, 1-65, 2-6, 7-4, 7-6, 9-5, 13-6, 13-11, 13-31, 13-37, 15-25-27, 15-77-79
- Hydrant 2-15, 2-16, 2-31, 2-34, 2-35, 15-3, 15-5, 15-10, 15-11, 15-19
- Hydraulic 4, 13, 17, 18, 1-20, 2-10, 2-33, 2-37, 2-42, 2-66, 13-21, 13-107, 13-118, 15-6, 15-10, 15-19
- Hydraulic structure 13, 17, 18, 1-20
- Hydrogen fluoride (HF) 4, 13-72, 13-94, 15-14, 15-15, 16-27
- Hydrology 24, 1-50, 2-6, 2-40, 2-41, 2-69
- Hydronic 1-13, 15-35
- Identifying device 10-2, 13-43
- IHE weapon 18, 13-68
- Illumination 4, 1-69, 1-70, 2-62, 2-63, 10-4, 11-2, 12-1-3, 13-26, 13-38, 16-14, 16-15
- Impervious 18, 13-103
- Improved risk 17, 18, 1-55, 1-56, 15-2, 15-4, 15-13, 15-60, 15-71, 15-72
- Impurity 13-72, 13-73
- In-process or in-use material 19, 13-82
- In-situ 1- 13-107, 15-73
- Incinerator 13-32, 15-72, 15-74, 15-75
- Indoor air 1-21, 1-60, 2-1, 2-4, 9-1, 15-28, 15-29
- Industrial 1, 18, 25, 1-9, 1-11, 1-14, 1-18-20, 1-25, 1-28, 1-34, 1-38, 1-41, 1-46, 1-48, 1-57, 1-59, 1-73, 1-105, 2-5, 2-24, 2-33-37, 2-44, 2-46, 2-47, 2-50, 7-10, 8-4, 8-5, 9-4-6, 11-1, 11-4, 11-5, 12-2, 13-3, 13-5, 13-14, 13-16, 13-56, 15-9, 15-24, 15-29, 15-30, 15-37-40, 15-74, 15-75, 16-6, 16-28
- Industrial ventilation 1-14, 11-1, 11-4, 11-5, 15-29, 15-30, 15-37-40, 15-74, 15-75
- Industrial waste 1-105, 2-47, 2-50, 13-56
- Industrial wastewater 1-20, 1-57, 2-36, 2-37, 2-44, 2-46, 2-47, 2-50, 13-14, 13-16
- Industrial water 1-28, 2-35, 2-36, 2-46
- Inert gas 13-72, 13-113, 15-12, 15-15, 15-58
- Infiltration 1-63, 2-38, 2-39, 2-50, 8-1, 15-26, 15-29, 15-53, 15-61
- Ingot 13-74
- Ingress 18, 2-58, 2-61, 2-63, 13-54, 13-60
- Inhabited building distance 18, 28, 13-64, 16-22
- Initiation stimulus 15, 18
- Injury 1-53, 1-77, 11-4, 13-45, 13-63, 13-67, 13-68, 15-69
- Inner vessel 15-66
- Insensitive high explosives (IHE) 4, 16, 18, 19, 13-65, 13-68, 13-69
- Inside design temperature 15-25, 15-26
- Inside diameter (ID) 4, 1-79, 1-107, 13-23
- Inspection 16, 18, 21, 23, 1-11, 1-58, 1-70, 1-74, 1-102, 1-107, 1-109, 2-9, 2-24, 2-49, 2-50, 13-6, 13-21, 13-22, 13-34, 13-53, 13-56, 13-58, 13-72, 13-74, 13-112, 15-21, 15-23, 15-46, 15-49-51, 15-55, 15-67
- Instrumentation 1-18, 1-42, 1-108, 13-6, 13-31-33, 13-37, 13-72, 13-73, 13-83, 13-99, 13-107, 15-20, 15-22, 15-44, 15-46, 15-49, 15-60, 15-66, 15-74, 15-83, 16-10, 16-17-21
- Insulation 2, 3, 7, 1-29, 1-33, 1-48, 7-6-10, 13-94, 15-1, 15-19, 15-35, 15-41, 15-60, 15-61, 15-66, 15-68-70
- Interface 15, 16, 19, 1-62, 1-101, 9-2, 11-4, 13-32, 13-34, 13-36, 15-11, 15-47, 15-80, 15-83, 16-25, 16-26
- Interfacing 15-34, 15-47, 15-83
- Interior communications 2-53, 16-27

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Interior lighting 12-2, 12-3, 13-38, 16-15
- Interlock 1-57, 1-76, 13-29, 13-53, 13-59, 13-73, 13-78, 13-93, 15-32, 15-65, 15-77, 15-80, 16-18, 16-20
- Intermediate metal conduit (IMC) 4, 16-2
- Internal pressurization 1-100
- Internal shock 1-93
- Internal water 15-59
- Interstitial space 1-53
- Intraline separation 19, 26
- Intrusion alarm system (IAS) 4, 19, 29, 1-86, 2-54, 2-55, 2-57, 2-58, 2-60, 9-4, 10-2, 13-20, 13-23, 13-24
- Intrusion detection 4, 1-47, 1-70, 2-27, 2-59, 13-20, 13-22, 13-23, 14-1
- Intrusion detection and assessment (IDA) 4, 2-58, 2-59
- Intrusion detection system (IDS) 4, 1-70, 13-24, 16-24
- Inventory 7, 18, 21, 24, 1-74, 2-68, 13-29, 13-30, 13-32, 13-53, 13-54, 13-56, 13-58, 13-59, 13-71, 13-78, 13-87, 13-101-103, 13-116, 13-122, 13-124, 15-15, 15-62
- Inverted siphon 19, 2-39
- Ion exchange 19, 15-12
- Ionizing radiation 13-10
- Irradiated fissile material (IFM) 4, 1-5, 1-74, 13-2, 13-81-85, 15-24
- Irradiated fissile material storage facility (IFMSF) 4, 1-5, 13-2, 13-81-86, 15-24
- Irrigation 2-33, 2-45, 2-68-70
- Isolation 8, 17, 19, 28, 1-73, 1-94, 1-96, 5-3, 13-38, 13-77, 13-93, 13-99, 13-105, 13-113, 15-14, 15-15, 15-17, 15-53, 15-64, 16-11
- Isolation zone 19
- Jacket 13-7, 13-8, 13-45, 13-83, 13-86, 13-98, 13-106, 15-24, 15-61, 15-66
- Joining method 15-67
- Joint 11, 15, 20, 1-15, 1-20, 1-27, 1-96, 1-99, 2-6, 2-24, 2-28, 2-32, 2-35, 2-38, 2-39, 2-49, 4-2, 7-2, 7-3, 7-5-7, 7-12, 7-13, 9-7, 13-33, 13-51, 13-68, 13-90, 15-17, 15-23, 15-41, 15-60, 15-61, 15-67, 16-6, 16-24, 16-27
- Joint bar 2-24
- Joint explosive 13-68
- Joint frequency distribution 20, 2-6
- Joint use 16-6, 16-24, 16-27
- Joint 7, 1-46, 5-3
- Karst 20, 2-64
- Kilopascal (kPa) 4
- Label 21, 8-1, 8-3, 13-39, 13-43, 16-1
- Labeling 13-34, 13-40, 13-42, 13-43,
- Laboratory 1, 2, 5, 6, 7, 8, 18, 19, 21, 1-4, 1-17, 1-33, 1-35, 1-39, 1-46, 1-48, 1-56, 1-69, 1-71, 1-110, 2-7, 2-9, 2-10, 7-9, 8-1, 8-3, 9-2, 10-2-4, 12-3, 13-2, 13-30, 13-63, 13-82, 13-112, 116, 15-27, 15-29, 15-30, 15-32, 15-59, 16-20
- Laminated glass 8-4
- Land application 20, 2-45
- Landfill 20, 25, 1-105, 2-47, 15-75
- Landscape 1-106, 2-45, 2-68, 2-70, 9-5, 12-2
- Landscaping 16, 1-104, 2-5, 2-17, 2-19, 2-27, 2-56, 2-68, 2-69, 9-5
- Lath 5, 1-36, 7-8, 9-4
- Layout 1-53, 1-71, 1-73, 1-74, 1-76, 1-81, 1-84-86, 2-23, 2-27, 2-28, 2-30, 2-34, 2-37, 13-8, 13-9, 13-34, 13-37, 13-53, 13-58, 13-59
- Leachate 20, 25, 2-65
- Leakage 14, 1-46, 1-60, 1-61, 1-108, 2-50, 7-1, 7-5, 13-13, 13-14, 13-45, 13-49, 13-56, 13-72, 13-73, 13-81, 13-83, 13-86, 13-87, 13-90, 13-94, 13-98-102, 13-106, 13-118, 13-120, 13-124, 13-125, 15-21, 15-40, 15-68, 15-70, 15-77, 15-79
- Liability 2, 1-9
- Life-cycle cost (LCC) 5, 20, 1-53, 1-58-63, 1-65-68, 1-71, 2-44, 2-47, 2-64, 9-1, 10-2, 12-1, 15-18, 15-19, 15-27, 15-31, 15-32, 15-35, 15-37, 15-52, 15-55-57, 15-81, 15-83, 16-17, 16-28
- Lift 17, 13-31, 14-1, 14-3, 15-60, 15-74
- Liftstation 2-37
- Lighting 16, 1-18, 1-35, 1-52, 1-59, 1-60, 1-62-67, 1-69, 1-70, 1-76, 2-17, 2-19, 2-52, 2-55, 2-56, 2-60, 2-62, 2-63, 11-3, 12-2, 12-3, 13-13, 13-26, 13-29, 13-32, 13-34, 13-38, 13-40, 15-77, 15-83, 16-2, 16-3, 16-8, 16-9, 16-11, 16-14, 16-15
- Lightning 1-39, 1-99, 1-109, 2-2, 2-52, 13-69, 16-9, 16-22, 16-23

Double-hyphen entries indicate reclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Limited area 20, 1-69, 1-70, 1-80
- Liquid contaminant 1-106
- Liquid cooler 1-21, 15-33
- Liquid crystal display (LCD) 5, 13-40,
- Liquid effluent 2-51, 13-50, 13-118, 15-59
- Liquid nitrogen 13-31, 15-69, 15-70
- Liquid radioactive waste 2-51, 13-50, 13-62, 13-75, 13-81, 13-85, 13-91, 13-96, 13-104, 13-111, 13-115, 13-116, 13-120
- Liquid transfer 13-33
- Liquid waste 7, 10, 17, 1-5, 1-42, 2-50, 13-2, 13-33, 13-50, 13-56, 13-61, 13-62, 13-75, 13-81, 13-85, 13-91, 13-96-104, 13-111, 13-115, 13-120, 13-126, 15-24
- Liquefied petroleum gas (LPG) 5, 2-31, 16-17
- Live load psf - pounds per square foot (LL) 5
- Live load 5, 11, 20, 1-90, 1-91, 7-2, 7-5
- Load 3, 5, 11, 12, 20, 24, 27, 28, 29, 1-18, 1-22, 1-31, 1-37, 1-46, 1-60, 1-61, 1-63, 1-64, 1-74, 1-77, 1-86, 1-90-100, 1-109, 1-110, 2-9, 2-21, 2-49, 2-61, 4-2, 5-3, 7-2, 7-5, 7-10, 7-12, 8-3, 9-3, 11-1, 13-9, 13-36, 13-68, 13-71, 13-84, 15-5, 15-20, 15-25-28, 15-30-32, 15-34, 15-35, 15-44, 15-49, 15-52, 15-54-56, 15-59, 15-60, 15-67, 15-81-83, 16-1, 16-5, 16-6, 16-8-10, 16-12, 16-16-18
- Load combination 1-100
- Load control 15-35, 15-82, 15-83
- Load factor 20, 1-91, 15-54
- Loading 9, 20, 27, 28, 1-28, 1-71, 1-74, 1-90-94, 1-97, 1-98, 2-4, 2-6, 2-23, 2-54, 2-68, 5-1, 7-10, 7-13, 11-2-4, 13-28, 13-53, 13-56, 13-59, 13-67, 13-84, 13-88, 13-116, 15-9, 15-13, 15-22, 15-33, 15-39, 15-46, 15-47, 15-50, 15-55, 15-66, 16-12
- Lobe compressor 15-63
- Location 14, 15, 19, 20, 22, 24, 29, 1-6, 1-51, 1-58, 1-61, 1-71-74, 1-76, 1-77, 1-79, 1-90, 1-98, 1-104, 1-108, 2-2, 2-4, 2-5, 2-9, 2-10, 2-12, 2-14-17, 2-24, 2-26, 2-27, 2-29, 2-31, 2-33, 2-39, 2-40, 2-42, 2-43, 2-45, 2-54, 2-55, 2-57, 2-59, 2-61, 2-64, 2-67-69, 7-12, 11-1, 11-2, 11-4, 11-5, 13-8, 13-12, 13-17, 13-23-25, 13-28-31, 13-33, 13-39, 13-41-43, 13-45, 13-48-50, 13-53, 13-56, 13-59, 13-63, 13-64, 13-69, 13-78, 13-79, 13-88, 13-89, 13-95, 13-101, 13-118, 13-123, 13-124, 14-3, 15-4, 15-8, 15-10, 15-11, 15-13-15, 15-17, 15-19, 15-22, 15-26-28, 15-33, 15-35, 15-37, 15-39, 15-41, 15-42, 15-45, 15-51, 15-52, 15-55, 15-77, 16-2, 16-3, 16-6, 16-10, 16-12, 16-15, 16-20-24, 16-27
- Locker 10-3
- Loss limitation 1-74, 1-75, 13-53, 13-59
- Lower 1-23, 1-48, 10-1
- Low-level liquid 13-100, 13-101, 13-103, 13-104
- Low-Level Waste (LLW) 5, 20, 13-14, 13-108, 13-110, 13-111
- Lubricant 6, 1-82, 1-106, 2-31, 15-64
- Magazine 20, 26, 9-2, 13-67, 13-68, 15-13, 16-21
- Magazine separation 20, 13-67
- Maintainability 1-35, 13-6, 13-35, 13-42
- Maintenance 6, 17, 18, 24, 27, 29, 1-2, 1-39, 1-55, 1-57, 1-58, 1-62, 1-65, 1-72-75, 1-81, 1-82, 1-84-86, 1-102, 1-109, 2-3, 2-5, 2-7, 2-8, 2-17, 2-24, 2-28, 2-34, 2-35, 2-37, 2-42, 2-44, 2-55, 2-56, 2-60, 2-62, 2-65-70, 3-5, 7-10, 7-13, 8-3, 9-5, 11-2, 11-3, 13-6, 13-7, 13-9, 13-11, 13-13, 13-34, 13-35, 13-37, 13-39, 13-40, 13-42, 13-45, 13-47, 13-52, 13-53, 13-58, 13-62, 13-70, 13-72, 13-74, 13-85, 13-88, 13-101, 13-110, 13-113, 13-114, 13-117, 13-123, 15-4, 15-17, 15-19, 15-21, 15-25, 15-33, 15-35, 15-37, 15-40, 15-44-49, 15-55, 15-59, 15-61, 15-62, 15-64, 15-69, 16-1, 16-6, 16-7, 16-9
- Makeup air 15-28, 15-30, 15-50, 15-53
- Makeup water 13-82, 15-24, 15-59, 15-61
- Manhole 2-12, 2-15, 2-17, 15-61, 16-7, 16-24
- Manpower 1-29, 2-47
- Map 1-84, 1-107, 2-10, 2-11
- Masonry 5, 29, 1-15, 1-22, 1-24, 1-37, 1-38, 1-82, 1-95, 4-1, 4-2, 7-1, 7-2, 7-4, 7-5, 7-7, 9-3, 9-7, 16-2
- Mass concrete 20, 1-15, 3-2, 3-5
- Mast 1-86, 5-2, 16-27
- Master safeguards 5, 1-11
- Master Safeguards and Security Agreement (MSSA) 5, 1-68, 1-70, 2-56, 2-59, 13-17, 13-19, 13-20, 13-22, 13-26
- MASTERFORMAT 1-3, 1-5, 13-1
- Mat 1-15, 2-22, 12-2, 12-3, 14-2
- Material access 5, 20, 23, 1-68-70, 13-18, 13-20, 13-22, 13-23, 13-27
- Material access area (MAA) 5, 20, 23, 1-68-70, 13-18, 13-20, 13-22, 13-23, 13-27-30, 13-33, 13-46
- Material balance area (MBA) 5, 21, 13-28-32
- Material control and accountability (MC&A) 5, 13-16, 13-17, 13-26, 13-27, 13-54

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Material safeguard** 13-9, 13-16, 13-21, 13-69, 13-121, 13-127
- Maximal effective pressure** 21, 22, 13-67, **Maximum possible fire loss** 1-75
- Maximum possible fire loss** 13-53, 13-59, 15-2, 15-3
- Maximum probable flood** 21
- Mechanical** 2, 12, 13, 14, 15, 1-3, 1-4, 1-19, 1-21, 1-22, 1-24, 1-33, 1-53, 1-60, 1-64, 1-66, 1-69, 1-70, 1-96, 2-8, 7-6, 7-7, 7-9, 8-6, 10-1, 12-1, 13-1, 13-6, 13-30, 13-40, 13-52, 13-57, 13-82, 13-87, 15-1, 15-11, 15-16, 15-19, 15-22, 15-28, 15-30, 15-42, 15-51, 15-58, 15-62, 15-73, 15-79, 16-3, 16-9, 16-10
- Mechanical insulation** 15-1, 15-19
- Medical** 1-10, 1-77, 9-2, 9-3
- Medical facility** 1-77
- Membrane** 10, 28, 1-20, 1-75, 7-3-5, 7-9, 7-11
- Membrane roofing** 7-9, 7-11
- Metal** 2, 4, 5, 7, 10, 11, 16, 1-23, 1-33, 1-36, 1-46, 1-59, 1-61, 1-87, 2-13-15, 2-32, 2-48, 4-2, 5-1, 5-3, 7-7, 7-11, 7-12, 8-2, 8-4-7, 9-3, 9-5, 9-7, 13-22, 13-27, 13-33, 13-48, 13-49, 13-53, 13-59, 13-72, 13-73, 13-76, 13-78-80, 13-92, 13-93, 13-118, 13-121, 13-126, 15-2, 15-6, 15-13, 15-15, 15-16, 15-23, 15-33, 15-40, 15-51, 15-53, 15-61, 15-69, 16-2, 16-3, 16-15, 16-25
- Metal support** 9-3
- Metallic tubing** 3, 16-2
- Meteorological** 20, 21, 2-3, 2-6, 13-11
- Meteorology** 2-6, 15-73
- Meter** 1-82, 13-40, 16-12, 16-22
- Metering** 1-67, 1-68, 15-83, 16-8, 16-12
- Methyl methacrylate** 3-4
- Miles, total level route (MI)** 1-15, 5
- Milli roentgen equivalent man (mrem)** 5, 13-105
- Milli roentgen/hour (mr/h)** 5, 13-73
- Mine** 2-4
- Minimum fragment distance** 13-64
- Minimum reinforcing ratio (p)** 6, 7, 1-15, 1-23, 1-25-29, 1-32, 1-33, 1-35-37, 1-45, 1-46, 2-20, 2-38, 8-7, 15-16, 15-69
- Missile** 1-92, 1-97, 1-98, 1-100, 13-55, 13-61, 13-65-68, 13-85, 13-115, 13-119
- Mixed-use** 13-4
- Mixed waste** 1-11, 1-71, 13-14, 13-16, 13-105
- Mixing** 1-15, 2-50, 3-2, 3-3, 3-5, 4-2, 13-14, 13-30, 13-50, 13-56, 13-71, 13-72, 15-37, 15-38, 15-51, 15-58, 15-59, 15-72
- Modular** 10, 1-1, 1-61, 2-44, 11-3, 12-3, 13-33, 13-34, 13-52, 13-58, 13-87, 15-54
- Modular building** 1-1, 1-61
- Modular construction** 11-3, 13-52, 13-58
- Modularity** 1-53
- Moisture** 10, 1-96, 2-22, 2-41, 4-1, 7-1, 7-7, 8-4, 9-5, 10-1, 10-3, 10-4, 11-4, 13-11, 13-78, 15-14, 15-15, 15-38, 15-40, 15-48, 15-69, 16-10
- Monitoring** 2, 3, 12, 15, 23, 1-11, 1-18, 1-20, 1-67, 1-71, 1-73, 1-76, 2-45, 2-49, 2-50, 2-66, 2-67, 13-4, 13-7-11, 13-13, 13-15, 13-16, 13-18, 13-25-28, 13-32, 13-45, 13-48-50, 13-56, 13-57, 13-61, 13-62, 13-69, 13-72, 13-75, 13-80, 13-81, 13-85, 13-91, 13-96, 13-97, 13-99, 13-100, 13-102-104, 13-107, 13-111, 13-114-117, 13-120, 13-121, 13-123, 13-126, 15-22, 15-42, 15-46, 15-52, 15-60, 15-74-77, 16-19
- Monitoring and control system** 3, 15, 1-20
- Monument** 1, 2-12, 15
- Monumentation** 21, 2-12
- Mortar** 22, 1-15, 1-22, 3-4, 4-1, 4-2, 7-2
- Motor** 1-38, 1-49, 2-58, 13-7, 13-71, 13-73, 15-32, 15-36-38, 15-52, 15-59, 16-9, 16-11-13
- Motor control** 16-12, 16-13
- Mountainous region** 2-41
- mrad/h** 5
- Mulch** 1-104
- Multiple seating** 12-3
- Multiple-use** 2-7
- Multistage compressor** 15-64
- Naming DOE buildings** 10-2
- National Institute of Standards and Technology**; See NBS
- National Pollutant Discharge Elimination System (NPDES)** 5, 1-8, 1-41, 2-39, 2-44, 2-45
- National Primary Drinking Water Standards (NPDWS)** 5
- National Security Agency (NSA)** 6, 1-43, 1-79, 1-80, 1-83, 1-84, 16-2, 16-26

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Nationally recognized testing laboratory (NRTL) 6, 21
- Natural gas 1-40, 1-67, 2-15, 13-45, 13-52, 13-58, 15-55, 15-58
- Natural phenomena 11, 1-35, 1-36, 1-97, 1-98, 2-2, 11-2, 13-3, 13-12, 13-68, 13-69, 13-90, 13-102, 13-110, 13-115, 13-120, 13-125, 15-20, 15-45
- Near-real-time accountancy (NRTA) 6, 13-32
- Nearby explosion 1-100
- Net positive suction head (NPSH) 5
- New Source Performance Standards (NSPS) 6, 15-73
- New storage facility 21, 13-53, 13-59
- Night vision device 2-63
- Nitrogen (N<sub>2</sub>) 5, 1-18, 1-27, 13-11, 13-31, 13-72, 13-118, 15-14, 15-15, 15-58, 15-69, 15-70, 15-72, 15-75
- Noise 5, 30, 1-30, 1-77, 1-78, 1-106, 2-7, 2-8, 2-29, 2-56, 2-65, 2-69, 9-5, 13-34, 13-38, 13-42, 15-33, 15-37, 15-40, 15-41, 15-55, 15-69, 16-27
- Noise criteria (NC) 5, 15-37, 15-41
- Nonaqueous 15-12
- Noncombustible 9, 1-79, 1-82, 9-3, 11-2, 11-3, 13-53, 13-59, 15-11, 15-39, 15-52, 15-69, 16-2, 16-15
- Non-destructive assay (NDA) 5, 13-28-33, 13-45
- Nonresidential 1-31
- North American Datum (NAD) 5, 2-14
- Nuclear 1, 6, 7, 10, 12, 16, 17, 20, 21, 22, 23, 24, 25, 26, 27, 28, 1-4, 1-8, 1-11, 1-12, 1-15-18, 1-22, 1-23, 1-26-29, 1-34, 1-35, 1-41, 1-42, 1-54, 1-58, 1-69, 1-71, 1-73, 1-74, 1-76, 1-78, 1-92, 1-93, 1-97, 1-98, 2-3, 2-5, 2-45, 2-50, 2-51, 2-57, 2-61, 2-68, 3-2, 9-2, 13-1-3, 13-5-9, 13-11-13, 13-16, 13-18, 13-19, 13-21, 13-23, 13-25-32, 13-34, 13-44, 13-46, 13-48, 13-50-53, 13-56, 13-57, 13-59, 13-61-63, 13-65, 13-66, 13-69, 13-70, 13-72, 13-73, 13-75-77, 13-80-82, 13-85, 13-86, 13-91-99, 13-104-107, 13-111, 13-112, 13-116, 13-117, 13-121-123, 13-126, 13-127, 15-11, 15-12, 15-20, 15-21, 15-39, 15-42, 15-50, 15-76, 16-17
- Nuclear accident dosimetry 13-11, 13-117, 13-123
- Nuclear criticality safety 1-17, 1-18, 1-97, 2-51, 2-68, 13-5, 13-7, 13-8, 13-44, 13-50, 13-52, 13-56, 13-57, 13-59, 13-61-63, 13-70-72, 13-74, 13-75, 13-77, 13-80-82, 13-85, 13-86, 13-91-99, 13-104, 13-106, 13-107, 13-111, 13-112, 13-117, 13-122, 15-21, 15-50, 15-76
- Nuclear facility 12, 16, 21, 22, 1-4, 1-11, 1-16, 1-18, 1-22, 1-28, 1-35, 1-71, 1-73, 1-76, 1-93, 1-97, 2-3, 2-5, 2-45, 2-50, 2-68, 3-2, 9-2, 13-1-3, 13-6, 13-12, 13-13, 13-18, 13-19, 13-23, 13-25, 13-34, 13-44, 13-48, 13-51, 13-57, 13-62, 13-69, 13-76, 13-81, 13-86, 13-92, 13-97, 13-105, 13-112, 13-116, 13-121, 15-11, 15-20, 15-42, 15-76, 16-17
- Nuclear material 7, 10, 12, 17, 20, 22, 23, 24, 25, 26, 27, 1-11, 1-12, 1-69, 1-74, 2-57, 9-2, 13-3, 13-8, 13-9, 13-16, 13-21, 13-26-30, 13-32, 13-46, 13-66, 13-117, 13-121, 13-122, 13-126, 13-127
- Nuisance 2-54, 2-55, 2-57, 2-65, 2-67, 13-42
- Occupancy hazard classification 15-5
- Occupational health facility 1-5, 1-77, 9-2, 13-2, 16-14
- Occupiable 21, 1-59, 9-4, 10-2
- Occupied area 21, 28, 2-50, 11-5, 13-9, 13-12, 13-65, 13-67, 15-19, 15-47, 15-69, 16-16
- Off-gas 1-42, 2-1, 2-4, 13-13, 13-46, 13-48, 13-50, 13-74, 13-79, 13-80, 13-87, 13-89, 13-91, 13-95, 13-102, 13-107, 13-109, 13-112, 13-113, 13-119, 15-14, 15-15, 15-42, 15-44, 15-48-50
- Office, see Secure Office
- Office of Computer Services (OCS) 6, 1-81, 1-84, 2-9, 16-13, 16-27
- Oil 6, 1-8, 1-19, 1-39, 1-40, 1-55, 2-31, 13-50, 13-81, 13-96, 13-115, 13-120, 13-126, 15-32, 15-35, 15-57, 15-64, 15-72, 15-73, 15-77, 16-8, 16-23
- Oil-filled equipment 1-55, 16-8
- Opacity 15-73
- Open channel 14, 28, 2-42
- Opening 27, 29, 1-70, 1-74, 1-80, 1-85-87, 2-17, 2-31, 2-38, 2-40, 2-59, 2-60, 7-5, 8-2, 8-3, 11-1, 15-30, 15-37, 15-67, 15-74, 16-3
- Operable partition 10-4
- Operating area compartment 11, 21, 13-13, 13-80, 13-96
- Operating basis accident (OBA) 6, 21, 25
- Operating basis earthquake (OBE) 6
- Operating basis accident (OBA) 1-54
- Operating cost 1-62, 15-25, 15-40
- Operating efficiency 1-53, 1-74, 2-1, 2-30, 15-28
- Operational compatibility 11-5
- Operational phase 13-3
- Operational Safety Requirement (OSR) 6, 22, 13-5, 13-114
- Operations and maintenance (O&M) 6, 2-8, 11-3, 15-45, 15-62

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.



- Operator 9, 21, 22, 25, 26, 1-9, 1-48, 1-54, 1-64, 1-84, 1-93, 2-43, 2-44, 2-58, 8-7, 11-1, 11-3, 13-8, 13-14, 13-35-42, 13-48, 13-49, 13-58, 13-83, 13-87, 13-112, 15-13, 15-52, 15-67, 15-79, 15-81, 16-18, 16-19
- Other facility 10, 1-6, 1-69, 1-72, 1-93, 2-5, 2-32, 2-43, 2-65, 13-4, 15-3, 15-34, 15-44
- Other nuclear material 13-121, 13-126, 13-127
- Outdoor air 15-28, 15-29, 15-37, 15-38, 15-79, 15-80, 15-82, 15-83
- Outer vessel 15-66
- Outside design temperature 15-26
- Outside screw and yoke (OS&Y) 6, 15-11
- Overall heat transfer coefficient value (U value) 8
- Overhead 1-18, 1-26, 1-74, 1-78, 1-85, 1-105, 2-15-18, 2-31, 8-5, 8-6, 14-3, 16-6, 16-9
- Overhead line 16-6
- Overpressure 19, 22, 1-78, 13-64-67, 13-102
- Oxidation 13-88, 13-120, 13-126
- Oxides of nitrogen (NO<sub>x</sub>) 5, 15-72, 15-73, 15-75
- Oxygen deficiency hazards (ODH) 6, 15-70
- Oxygen demand 2
- Packaging 16, 1-11, 2-68, 13-34, 13-45, 13-52-54, 13-56, 13-58, 13-59, 13-61, 13-70, 13-108
- Paint 1-18, 2-12, 2-59, 5-3, 9-1, 9-2, 16-23
- Panel 1-23, 1-33, 1-72, 1-79, 1-86, 7-2, 7-3, 8-1, 8-5, 9-3, 13-11, 13-24, 13-37, 13-39, 13-40, 13-42, 13-43, 13-74, 15-14, 15-19, 15-60, 15-77, 16-16, 16-25, 16-26
- Parking 16, 1-6, 1-103, 1-106, 1-108, 2-2, 2-4, 2-15, 2-19, 2-26, 2-27, 2-30, 2-39, 2-54, 2-56, 2-57, 7-13
- Particulate 4, 17, 1-48, 1-105, 13-57, 13-62, 13-81, 13-87, 13-96, 13-116, 15-22, 15-28, 15-39, 15-45, 15-50, 15-75, 15-76
- Partition 1-72, 1-73, 1-75, 1-91, 9-5, 10-3, 10-4, 12-2
- Passive solar 1-61, 1-67
- Patrol 1-70, 2-59, 2-60, 2-63, 13-25
- Patrol road 2-59, 2-60
- Patrol walkway 2-60
- Pavement 9, 27, 1-30, 1-106, 2-10, 2-11, 2-16, 2-17, 2-24, 2-26-28, 2-30, 2-38, 2-54, 2-56, 2-58
- Paving 2-19, 2-26, 2-28, 2-53, 2-54, 7-13
- Peak positive incident pressure 22, 13-67
- Pedestrian 1-69, 1-70, 1-103, 1-106, 2-5, 2-26, 2-42, 2-54, 2-55, 2-57, 2-58, 2-60-63, 2-69
- Penetration 27, 28, 29, 1-23, 1-37, 1-49, 1-70, 1-72, 1-75, 1-84, 1-86-88, 1-98, 2-11, 2-17, 2-31, 2-39, 2-40, 2-53, 2-62, 7-3, 7-9, 7-10, 8-1-4, 13-9, 13-21, 13-23, 13-26, 13-27, 13-49, 13-55, 13-61, 13-73, 13-74, 13-80, 13-84, 13-90, 13-96, 13-110, 13-120, 13-125, 14-1, 15-16, 15-19, 15-40, 15-41, 15-53, 16-3, 16-6, 16-7
- Penetration delay 1-70, 1-87, 2-17, 2-31, 2-39, 2-40, 2-53, 15-16, 15-41
- Permafrost 22, 2-64
- Permanent equipment 16-20, 16-23
- Permissible exposure limit (PEL) 6, 15-29
- Permit 1-9, 1-105, 2-39, 13-15, 15-74, 15-75
- Personnel monitoring 13-10
- Personnel safety 1-58, 16-14
- Personnel ventilation air requirement 15-29
- Pervious 22, 2-38
- Petroleum, oil, and lubricants (POL) 6, 2-31
- pH 22, 2-50
- Photoelectric 15-72, 15-73
- Photographic 2-7
- Photovoltaic 1-61
- Physical barrier 21, 23, 25, 28, 1-49, 1-70, 1-72, 2-61, 8-4, 11-1, 13-8, 13-21, 13-27, 13-29, 15-42
- Physical protection 22, 24, 26, 1-11, 1-68, 1-74, 1-80, 1-87, 2-2, 2-4, 2-7, 2-8, 2-19, 2-54, 2-56, 2-57, 2-60, 2-62, 11-5, 13-9, 13-16-19, 13-21, 13-26, 13-27, 13-69, 13-121, 13-127, 16-7, 16-19
- Physical security 22, 1-27, 1-79, 1-80, 1-82, 1-85, 2-53, 8-2, 8-3, 8-7, 13-27, 13-28
- Physically handicapped 1-6, 1-7, 1-57, 1-76, 1-77, 2-26, 2-54, 2-55, 10-5, 13-44, 14-2, 14-3, 15-16
- Physically separated 22, 1-74, 13-53, 13-59, 16-24
- Pier 10, 1-15, 1-89, 2-22
- Pipe 2, 7, 16, 1-17, 1-22, 1-75, 1-88, 2-12, 2-14, 2-23, 2-32, 2-35, 2-38, 2-39, 2-42, 2-49-51, 2-64, 2-65, 7-9, 7-10, 13-13,

Double-hyphen entries indicate inclusive pages, e.g., "13-27-29" means page 13-27 through page 13-29. Entries for singular words can include plurals.

- 13-14, 13-32, 13-45, 13-46, 13-49, 13-56, 13-102, 15-1, 15-5, 15-6, 15-10, 15-14, 15-15, 15-17, 15-22, 15-23, 15-31, 15-35-37, 15-51, 15-53, 15-58, 15-60, 15-61, 15-66-68, 15-81, 16-23
- Pipe joint 2-35, 2-38, 2-39, 2-49
- Piped utility material 2-31, 2-32, 2-34, 15-10
- Piping 1-22, 1-25, 1-27, 1-72, 1-74, 1-82, 1-90, 1-108, 2-18, 2-31, 2-32, 2-48, 2-49, 7-3, 7-5, 7-10, 11-3, 13-7, 13-14, 13-16, 13-27, 13-32-34, 13-46, 13-47, 13-51, 13-73, 13-77, 13-79, 13-83, 13-92, 13-94, 13-95, 13-98-100, 13-102, 13-103, 13-113, 13-118, 13-119, 15-1, 15-4, 15-6, 15-16, 15-17, 15-19, 15-20, 15-22, 15-23, 15-35-37, 15-43, 15-54, 15-57, 15-60, 15-61, 15-66-70, 15-75, 15-77, 15-81, 15-82
- Plaster 1-33, 9-3-5
- Plastic 22, 1-21, 1-33, 1-55, 1-96, 2-20, 2-48, 2-62, 4-2, 6-1, 7-5, 8-3-6, 10-1, 10-3, 10-4, 13-26, 13-69, 13-80, 13-96, 13-115, 13-118, 15-17, 15-33, 15-77, 16-7
- Plastic design 1-21
- Plastic structure 6-1
- Plastic yielding 22, 2-20
- Plastomeric sheet roofing 7-11
- Plumbing 5, 6, 8, 1-32, 1-33, 1-36, 1-37, 1-90, 13-14, 13-16, 15-16, 15-19
- Plutonium (Pu) 4, 6, 22, 27, 1-4, 1-5, 1-18, 1-42, 1-97, 9-2, 9-7, 13-2, 13-44-55, 13-57, 13-62, 13-63, 13-65, 13-66, 13-68, 13-69, 13-86, 13-115, 15-13, 15-46, 15-47, 15-52
- Plutonium processing and handling facility (PPHF) 6, 22, 1-4, 13-2, 13-4, 13-44-46, 13-49-51
- Plutonium storage facility (PSF) 5, 6, 22, 1-5, 1-91, 1-97, 13-2, 13-4, 13-51-54, 13-56
- Point of intersection (PI) 6, 14, 2-16
- Point of nearest public access 22
- Pole 1-21, 1-86, 1-105, 2-15, 2-17, 5-2, 8-7, 15-60, 16-6, 16-12, 16-21, 16-24, 16-27
- Pollutant 2, 5, 1-8, 2-33, 2-37, 2-44, 2-46, 15-10, 15-71
- Pollution 4, 8, 1-8, 1-9, 1-12, 1-31, 1-49, 1-57, 1-105, 1-106, 2-31, 2-43, 2-46, 2-47, 2-56, 13-14, 13-16, 15-55, 15-71, 15-72, 15-75
- Polybutylene (PB) 6, 1-29, 7-8, 15-17
- Polychlorinated biphenyls (PCB) 6, 1-9, 1-55, 2-18, 16-8
- Polyvinyl chloride (PVC) 2, 6, 2-39, 15-17
- Portable building 13-63
- Portland cement 22, 1-44, 2-60, 3-4, 9-3
- Positive access control 13-23, 13-67
- Post indicator valve (PIV) 6, 15-11
- Post-tensioned 1-45, 3-3
- Postal 8, 10-3
- Potable water 12, 14, 1-108, 2-15, 2-33, 2-38, 2-46, 15-10, 15-16, 15-18, 15-19, 15-23
- Potential accident 1-54, 2-1, 13-3
- Pounds per linear foot (PLF) 6, 1-91
- Power 3, 8, 14, 27, 29, 1-9, 1-17, 1-18, 1-22, 1-23, 1-29, 1-31, 1-32, 1-34, 1-35, 1-40-42, 1-60, 1-72, 1-79, 1-82, 1-85-87, 1-104, 2-15, 2-19, 2-52, 2-60-63, 5-2, 11-4, 13-10, 13-23-26, 13-28, 13-71, 13-73, 13-74, 13-122, 14-1, 14-2, 15-3, 15-12, 15-14, 15-20, 15-44, 15-46, 15-47, 15-49, 15-51, 15-53, 15-58, 15-65, 15-82, 16-1-3, 16-5-9, 16-11-20, 16-24, 16-25
- Power factor 1-60, 16-5, 16-11
- Power generation 14, 27, 2-52, 16-5
- Power outage 2-60, 2-61, 15-12, 15-53, 15-65
- Power panel 1-72
- Power quality 16-5, 16-11
- Power service 14-1, 14-2, 16-9, 16-12, 16-13
- Power source 1-79, 1-87, 2-19, 2-63, 13-24, 15-12, 15-44, 15-51, 16-8, 16-13, 16-14, 16-16, 16-17, 16-20, 16-24, 16-25
- Power supply 8, 14, 29, 1-79, 2-52, 2-61, 13-10, 13-23, 13-25, 15-47, 16-6, 16-8, 16-9, 16-11, 16-13, 16-14, 16-17, 16-24, 16-25
- Power system 3, 14, 27, 1-18, 1-34, 1-40, 2-61, 13-26, 13-71, 13-122, 16-11, 16-16-18
- Preaction 15-6
- Precast concrete 3-3, 3-4
- Precipitation 21, 23, 1-41, 2-40, 2-41, 13-53, 13-59, 13-86, 13-94, 13-98, 13-100, 13-101
- Pre-engineered 10, 1-1, 1-59, 1-61, 1-87, 1-110, 5-1
- Prefabricated 1-96, 2-27, 3-4
- Pre-filter 15-39
- Preliminary Safety Analysis Report (PSAR) 6, 22, 1-54
- Preparation area 13-72
- Preservation 5, 1-9, 1-82, 1-104, 2-69

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

Pressure 9, 10, 12, 13, 15, 16, 19, 21, 22, 25, 30, 1-20, 1-22, 1-25, 1-91-94, 1-96, 1-98, 2-10, 2-23, 2-34, 2-35, 2-50, 2-62, 7-1, 7-4, 11-2-4, 13-5-7, 13-31, 13-42, 13-48, 13-55, 13-61, 13-67, 13-69, 13-72, 13-74, 13-75, 13-78, 13-80, 13-85, 13-87, 13-90, 13-93, 13-96, 13-110, 13-113, 13-115, 13-118-120, 13-123-126, 15-3-6, 15-10, 15-15, 15-18-20, 15-29, 15-30, 15-32-37, 15-39, 15-41-44, 15-47, 15-48, 15-52, 15-53, 15-55-58, 15-60, 15-61, 15-64-68, 15-70, 15-77, 15-79-82, 16-15, 16-19

Pressure modification 15-18

Pressure-reducing valve 15-18

Pressure relief device 1-25, 15-66, 15-68

Pressure switch 15-32

Pressure vessel pumping 15-65

Pressurization 1-100, 1-108

Pressurization 11-4, 13-88, 15-13, 15-29, 15-40, 15-48, 15-58, 15-70, 15-80

Prestressed concrete 6, 1-44, 3-1, 3-4

Primary confinement 11, 15, 22, 1-73, 13-13, 13-46-48, 13-54, 13-60, 13-74, 13-75, 13-77-80, 13-84, 13-87-90, 13-93, 13-95, 13-96, 13-98, 13-101-103, 13-106, 13-107, 13-109, 13-113-115, 13-118-120, 13-124, 15-24

Primary power 14, 2-52, 13-24, 16-8, 16-13, 16-16, 16-17, 16-25

Private industry 1-26, 1-32

Probable maximum flood (PMFL) 6, 23, 1-99, 2-6

Process enclosure 11, 21, 13-13, 13-77, 13-78, 13-92, 13-98, 15-47

Process energy 1-62-64, 1-66-68, 15-83

Process wastes 2-50, 13-62, 13-75, 13-85, 13-91, 13-104, 13-111, 13-114, 13-115

Processing 1, 4, 6, 8, 10, 11, 15, 16, 22, 24, 26, 1-4, 1-5, 1-8, 1-9, 1-27, 1-32, 1-39, 1-42, 1-71, 1-73, 1-79-81, 1-83, 1-93, 2-1, 2-7, 2-51, 7-6, 9-4, 13-2, 13-28, 13-29, 13-31, 13-32, 13-44, 13-46-48, 13-61, 13-62, 13-66, 13-68, 13-71, 13-73, 13-75-78, 13-80, 13-81, 13-85, 13-88, 13-91-94, 13-96, 13-97, 13-104, 13-109, 13-111, 13-114, 13-116, 13-117, 13-122, 13-124, 15-12, 15-14, 15-47, 15-52, 16-9, 16-11, 16-20, 16-26

Processing facility 7-6, 13-31, 13-61, 13-62, 13-75, 13-80, 13-81, 13-85, 13-91, 13-96, 13-104, 13-111, 13-116

Procurement 1-8, 5-1, 15-2

Production method 2-47

Programmatic 1-2, 1-51, 1-52, 1-58, 2-1, 13-18, 13-52, 13-58

Programming 1-51, 1-65, 15-62

Project design criteria 23, 1-53, 15-31

Property line 26, 1-108

Property protection area 23, 1-68, 1-69

Proportional-plus integral (PI) 6

Proposal 1-6, 2-52

Protected area (PA) 6, 21, 23, 1-22, 1-25, 1-26, 1-32, 1-37, 1-68-70, 1-79, 2-18, 2-23, 2-27, 2-61, 9-5, 10-2, 13-18, 13-20-23, 13-25, 13-27, 13-29, 15-6, 16-25, 16-26

Protection 3, 4, 5, 6, 9, 10, 12, 13, 15, 17, 18, 19, 20, 22, 23, 24, 25, 26, 27, 28, 29, 1-2, 1-3, 1-7-12, 1-17, 1-19, 1-25, 1-27-29, 1-31, 1-34, 1-38-40, 1-42, 1-53, 1-55-57, 1-68-76, 1-79, 1-80, 1-82, 1-83, 1-85, 1-87, 1-89, 1-93, 1-105, 1-106, 1-109, 2-1-4, 2-7, 2-8, 2-11, 2-19, 2-31-34, 2-39, 2-43, 2-44, 2-46, 2-48, 2-49, 2-51, 2-52, 2-54-64, 2-66, 7-1, 7-8, 7-13, 8-1, 8-3, 8-8, 9-1, 10-3, 11-2, 11-4, 11-5, 13-3, 13-4, 13-8, 13-9, 13-11, 13-15-21, 13-24-27, 13-38, 13-39, 13-45, 13-47, 13-50, 13-51, 13-54, 13-56, 13-58, 13-60, 13-64-69, 13-77, 13-78, 13-83, 13-88, 13-93, 13-98, 13-99, 13-101, 13-103, 13-106, 13-107, 13-113-115, 13-117, 13-121, 13-123, 13-127, 15-2-7, 15-9-16, 15-19, 15-40, 15-46, 15-49, 15-50, 15-61, 15-65, 15-71, 15-76, 15-77, 15-80, 16-3, 16-7-9, 16-11, 16-12, 16-16, 16-18-23, 16-26

Protection factor (PF) 6, 1-58

Protective 10, 1-11, 1-16, 1-18, 1-27, 1-35, 1-39, 1-41, 1-44, 1-69, 1-78, 1-79, 1-86, 2-7, 2-31, 2-32, 2-54, 2-55, 2-59, 2-62, 2-63, 7-1, 7-2, 7-4, 8-8, 9-7, 10-3, 13-11, 13-17, 13-23-25, 13-38-40, 13-52, 13-58, 13-64, 13-65, 13-73, 13-108, 13-118, 13-123, 14-2, 15-24, 15-45, 15-49, 16-6, 16-7, 16-13, 16-15, 16-16, 16-18-20, 16-24

Public address 1-52, 16-26

Public funds 1-51

Public highway 22, 2-23, 2-57

Public review 2-51, 2-52

Public road 15-69

Public safety 1-76, 1-93

Public Traffic Route Distance 16-21, 16-22

Publicly-owned treatment works (POTW) 6, 2-43

Pump 1-38, 1-64, 1-67, 2-23, 13-7, 13-39, 13-87, 15-10, 15-18, 15-21, 15-31, 15-34-36, 15-43, 15-52, 15-58-60, 15-65, 15-67, 15-81

Pumping system 15-35, 15-36, 15-81

Purchase 10, 1-2, 16-24

Pyrophoric 23, 13-48, 13-54, 13-60, 13-113, 13-114, 15-6, 15-12

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

Quality assurance (QA) 7, 23, 1-12, 1-22, 1-101, 1-102, 1-108, 13-5, 13-47, 15-70, 16-17

Quality control 23, 1-44, 1-101, 1-102, 3-2, 3-3

Quantity-distance (separation) 19, 20, 23, 13-63, 13-64, 16-22

Quick-response 15-7

Raceway 16-2, 16-3, 16-10, 16-27

Radiant flux 1-23, 9-6, 12-2, 12-3

Radiation 5, 9, 12, 22, 1-7, 1-8, 1-10, 1-11, 1-17, 1-28, 1-29, 1-42, 1-53, 1-54, 1-58, 1-73, 1-74, 1-76, 1-83, 2-2, 2-3, 2-33, 2-50, 2-68, 9-3, 11-2, 11-3, 13-3, 13-4, 13-8-11, 13-15, 13-16, 13-23, 13-28, 13-31, 13-34, 13-38, 13-39, 13-45, 13-50, 13-54, 13-56, 13-58, 13-68, 13-69, 13-73, 13-82, 13-83, 13-99, 13-103, 13-105-107, 13-113, 13-117, 13-121-123, 15-9, 15-35, 15-43, 15-45, 15-49, 15-50, 15-56, 15-76, 16-27

Radiation exposure 12, 1-42, 13-4, 13-8-10, 13-45, 13-54, 13-113, 13-117, 13-123

Radiation monitoring 1-73, 13-9-11, 13-69, 13-117, 13-123

Radiation protection 9, 22, 1-8, 1-10, 1-11, 1-29, 1-73, 1-76, 2-33, 13-4, 13-9, 13-11, 13-15, 13-16, 13-45, 13-50, 13-56, 13-58, 13-68, 13-82, 13-83, 13-99, 13-106, 13-107, 13-113, 13-117, 13-123, 15-49, 15-50, 15-76

Radiation shielding 1-17, 1-58, 9-3, 11-2, 13-9, 13-34, 15-45

Radio 23, 1-5, 1-43, 1-78, 1-84, 1-86, 2-7-9, 13-2, 13-23, 13-25, 15-13, 15-14, 15-52, 15-53, 16-9, 16-14, 16-15, 16-26

Radio antenna 1-84

Radio control center 1-84, 2-8, 13-23, 15-52, 16-14

Radio repeater station 23, 1-5, 1-78, 1-86, 2-7, 2-9, 13-2, 15-13, 15-14, 15-52, 15-53, 16-9, 16-14, 16-15

Radioactive 7, 9, 11, 12, 17, 20, 21, 28, 30, 1-5, 1-7, 1-8, 1-11, 1-12, 1-18, 1-56, 1-57, 1-71, 1-73, 1-77, 1-93, 1-97, 1-98, 2-2, 2-3, 2-5, 2-6, 2-18, 2-44-46, 2-50, 2-51, 2-63-68, 9-1, 9-2, 11-1-3, 12-1, 12-3, 13-2, 13-3, 13-5, 13-10, 13-12-17, 13-33, 13-34, 13-45, 13-49, 13-50, 13-52, 13-55-58, 13-60-62, 13-69, 13-75, 13-80-85, 13-91, 13-94, 13-96-107, 13-109-111, 13-115, 13-116, 13-120-122, 13-126, 15-20-22, 15-24, 15-25, 15-42, 15-45-50, 15-76, 16-9

Radioactive airborne effluent 13-50, 13-57, 13-62, 13-75, 13-81, 13-85, 13-91, 13-97, 13-104, 13-111, 13-116, 13-121, 15-76

Radioactive liquid waste 1-5, 2-50, 7, 13-2, 13-50, 13-56, 13-62, 13-75, 13-81, 13-85, 13-91, 13-96-101, 13-103, 13-104, 13-111, 13-115, 13-126

Radioactive liquid waste facility (RLWF) 13-2, 13-97-101, 13-104, 1-5, 7

Radioactive material 9, 11, 21, 30, 1-18, 1-71, 1-73, 1-93, 1-97, 2-2, 2-3, 2-5, 2-68, 9-2, 11-2, 11-3, 12-1, 12-3, 13-3, 13-5, 13-10, 13-14, 13-17, 13-45, 13-52, 13-55, 13-56, 13-58, 13-60, 13-69, 13-80, 13-83, 13-84, 13-94, 13-96, 13-99, 13-100, 13-102, 13-105, 13-111, 13-122, 15-21, 15-22, 15-45, 15-47-50

Radioactive solid waste 7, 1-5, 2-63, 2-64, 2-67, 2-68, 13-2, 13-49, 13-56, 13-61, 13-75, 13-80, 13-85, 13-91, 13-96, 13-105-107, 13-109-111, 13-115, 13-126, 15-24, 15-25

Radioactive solid waste facility (RSWF) 7, 1-5, 2-68, 13-2, 13-105-107, 13-109, 13-111, 15-24, 15-25

Radioactive waste 17, 20, 28, 1-7, 1-8, 1-12, 2-44, 2-46, 2-51, 2-63, 2-65, 2-66, 13-14, 13-50, 13-61, 13-62, 13-75, 13-80-82, 13-85, 13-91, 13-96, 13-104, 13-111, 13-115, 13-116, 13-120, 15-46

Radioactivity monitor 2-50, 13-51, 13-78

Radioiodine 13-84, 13-85, 13-87, 13-112-115, 15-48

Radiological design requirement 9-2

Radiological siting 2-2-4

Radionuclide 28, 1-8, 2-33, 13-4, 13-11, 13-15, 13-44, 13-51, 13-87, 13-103, 13-107, 13-117, 15-49, 15-50, 15-52, 15-76

Rail 11, 1-43, 1-68, 2-23-26, 2-53, 2-58, 2-59, 16-15

Rail anchor 2-25

Railroad 9, 23, 2-23-27, 2-35

Railway 1, 1-19, 1-90-92, 1-94-97, 2-5, 2-23-26, 2-53, 3-1, 3-2, 3-5, 4-1, 5-2, 5-3, 6-1

Raised floor 1-82

Rational method 23, 2-41

Real Property and Facilities Management (RPFM) 7, 1-6, 1-7

Real Property Inventory System (RPIS) 7, 24, 1-7

Receiver 1-84, 15-34, 15-73, 16-14

Receiving stream 24, 2-43

Receptacle 1-76, 15-39, 15-51, 16-5, 16-11, 16-14

Reciprocating compressor 15-32, 15-64

Recirculating air 15-38, 15-42

Recirculation 1-71, 13-31, 13-78, 15-1, 15-10, 15-29, 15-33, 15-46, 15-47, 15-72

Reclamation 2-64, 13-111

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Record 23, 1-7, 1-12, 1-40, 1-81, 1-82, 1-101, 1-107, 1-108, 2-16, 2-18, 2-33, 2-40, 2-41, 2-66, 13-11
- Record drawing 1-107, 2-16, 2-18, 2-33
- Recycle 2-47, 13-75, 15-62
- Redundancy 11-4, 13-6, 13-12, 15-4, 15-20, 15-21, 15-27, 15-34, 15-35, 15-40, 15-49, 16-6, 16-18-20
- Redundant 14, 27, 13-6, 13-22, 13-25, 13-48, 13-80, 13-90, 13-96, 15-3-5, 15-11, 15-13, 15-46, 15-47, 15-54, 15-67, 15-76, 16-6, 16-18, 16-19, 16-26
- Reflector 13-94, 16-27
- Refractory 24, 1-22, 4-2, 4-3
- Refuse 7, 1-104, 1-105, 2-4, 2-57, 2-65, 15-74
- Refuse-derived fuel (RDF) 7
- Regional frequency analysis 24
- Regional system 2-64
- Regulation 1-4, 23, 1-1-5, 1-7-9, 1-31, 1-43, 1-105, 2-18, 2-23, 2-39, 2-43, 2-46, 2-48, 2-52, 2-63, 2-66, 10-2, 13-3, 13-15, 13-105, 15-35, 15-56, 15-59, 15-62, 15-71, 15-74, 15-75, 15-77
- Regulatory 6, 7, 1-4, 1-7, 1-10, 1-24-27, 1-30, 1-32, 1-38, 1-40, 1-41, 1-44-46, 1-48, 1-49, 1-57, 2-23, 2-33, 2-43, 2-44, 2-46, 2-51, 2-63, 13-5, 13-88, 15-56
- Reinforced concrete 7, 17, 24, 27, 1-15, 3-4
- Reinforced concrete pipe (RCP) 7
- Reinforcement 24, 3-2, 4-2, 7-2, 7-5, 7-7-9
- Reinforcement ratio 24
- Relative humidity 15-25, 15-26, 15-78
- Relayer rail 2-24, 2-25
- Remote interrogation point 24, 1-79, 1-80, 16-26
- Renewable energy 1-59, 1-61, 1-66, 1-67, 15-78, 15-83
- Renewable system 1-61
- Renovation 1-75, 2-8, 7-7
- Repair 18, 1-15, 1-55-58, 1-75, 2-49, 2-55, 2-65, 2-68, 3-4, 3-5, 7-2, 7-10, 8-3, 13-6, 13-13, 13-45, 13-72, 13-114, 13-117, 15-46, 15-62, 15-63, 15-74
- Repeater station 23, 1-5, 1-78, 1-86, 2-7, 2-9, 13-2, 15-13, 15-14, 15-52, 15-53, 16-9, 16-14, 16-15
- Replacement 11, 1-56-58, 1-75, 1-78, 8-3, 11-2, 11-3, 13-6, 13-7, 13-74, 13-78, 13-93, 15-19, 15-47, 15-48, 15-50, 15-62, 15-63, 16-24
- Reprocessing 17, 1-5, 1-42, 13-2, 13-76, 13-82, 13-86-89, 13-91, 13-97, 13-105, 15-24
- Required strength 24
- Requirements analyses 13-35
- Rescue 1-30, 1-40, 2-30, 14-2
- Reservoir 24, 25, 1-24, 2-11, 2-38, 2-42, 5-2
- Reservoir routing 24
- Residence 2-67
- Resilient flooring 9-2, 9-3, 9-6
- Resinous flooring 9-6
- Resonance 1-94, 1-96
- Response time 24, 2-61, 13-42, 15-6
- Rest room 15-29
- Restoration 1-9, 2-19, 2-69, 3-4, 3-5, 13-42
- Retaining wall 24, 1-94, 2-56
- Return air 15-37, 15-79
- Return period 24, 1-41
- Revegetation 1-104, 2-69, 13-108
- Reweldable joint 15-67
- Right-of-way 26, 2-12, 2-16, 2-43
- Riser 2-35, 15-4, 15-5, 15-10, 15-11, 15-17, 15-68
- Road 16, 21, 23, 1-14, 1-20, 1-23-26, 1-33, 1-35, 1-37, 1-43-48, 1-103, 1-106, 1-108, 2-2, 2-4, 2-15, 2-17, 2-19, 2-26, 2-27, 2-29, 2-56, 2-59, 2-60, 2-65, 2-67, 7-13, 13-67, 15-69
- Roadway 9, 11, 28, 1-18, 1-105, 1-106, 2-15-17, 2-27, 2-35, 2-38, 2-42, 2-43, 2-54, 2-57, 2-60, 15-60
- Roentgen equivalent man (REM) 5, 7, 14, 24, 2-2, 2-3, 13-9
- Roof 1-44, 1-45, 1-56, 1-63, 1-70, 1-74, 1-78, 1-81, 1-84-87, 1-89, 1-91, 1-97, 2-42, 3-4, 3-3, 7-3, 7-5, 7-6, 7-4-13, 9-1, 9-5, 13-13, 13-47, 15-22, 15-33, 16-3
- Roof-mounted equipment 7-10
- Roofing 1, 6, 7, 1-20, 1-42, 1-43, 1-47, 7-3, 7-4, 7-4-13
- Roofing tile 7-9
- Room envelope 1-88, 1-89, 15-53
- Rotary heat exchanger 15-31
- Routine release 13-4, 13-15, 13-57, 13-62, 13-87, 13-102, 13-105, 13-106, 13-112, 15-48, 15-76

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Rug 2, 1-8, 1-26, 12-2, 12-3
- Runoff 21, 23, 2-6, 2-40-44, 2-69, 13-108, 15-21, 15-22, 15-58
- Rupture disk 13-119, 15-32
- Sabotage 24, 1-68, 1-70, 13-16-19, 13-21, 13-25, 13-26
- Safe shutdown earthquake (SSE) 7, 13, 24, 1-99
- Safe surface temperature 15-1
- Safeguard 3, 5, 6, 7, 12, 13, 23, 24, 26, 27, 1-11, 1-27, 1-28, 1-80, 1-103, 2-2, 2-4, 2-7, 2-34, 2-39, 2-44, 2-54-58, 2-61, 8-2, 8-7, 11-5, 13-3, 13-8, 13-9, 13-16, 13-17, 13-21, 13-26, 13-27, 13-29, 13-69, 13-78, 13-121, 13-127, 15-58, 16-16
- Safeguards and security (S&S) 3, 5, 6, 7, 12, 13, 27, 1-11, 1-28, 1-103, 2-56, 2-57, 8-2, 8-7, 11-5, 13-3, 13-16, 13-17, 13-26, 13-69, 16-16
- Safety 2, 3, 4, 6, 7, 9, 10, 11, 12, 13, 14, 15, 16, 17, 21, 22, 23, 25, 26, 28, 29, 1-1-3, 1-8, 1-11, 1-15-18, 1-20, 1-21, 1-26, 1-27, 1-30, 1-33-35, 1-40, 1-47-49, 1-53, 1-54, 1-56, 1-58, 1-60, 1-65, 1-66, 1-68, 1-71-76, 1-90, 1-92, 1-93, 1-97-101, 1-110, 2-1, 2-3-5, 2-20, 2-27, 2-28, 2-30, 2-33, 2-42, 2-43, 2-50, 2-51, 2-53, 2-68, 8-1, 8-3, 11-3, 11-4, 13-1, 13-3-8, 13-10, 13-12, 13-17, 13-18, 13-30, 13-31, 13-35, 13-36, 13-40, 13-44, 13-46, 13-49-53, 13-55-57, 13-59, 13-61-66, 13-68, 13-70-72, 13-74, 13-75, 13-77, 13-80-87, 13-90-99, 13-104-107, 13-111, 13-112, 13-115-118, 13-120, 13-122, 13-124, 13-125, 15-2, 15-11-13, 15-16, 15-18, 15-20-22, 15-24, 15-25, 15-29, 15-30, 15-32, 15-35, 15-39, 15-42, 15-44-47, 15-49, 15-50, 15-60, 15-65, 15-70, 15-76, 15-77, 15-80, 16-6-8, 16-10, 16-12, 16-14-20
- Safety analysis 4, 6, 7, 9, 22, 25, 1-2, 1-11, 1-30, 1-54, 1-74, 1-98, 1-100, 2-50, 11-3, 13-1, 13-4, 13-5, 13-8, 13-12, 13-53, 13-55, 13-59, 13-61, 13-68, 13-84, 13-115-118, 13-120, 13-124, 13-125, 15-11, 15-21, 15-39, 15-45-47, 15-50
- Safety analysis and review system (SARS) 7, 1-11
- Safety analysis report (SAR) 4, 6, 7, 22, 25, 1-2, 1-54, 1-56, 1-99, 2-3
- Safety class (SC) 7, 11, 12, 13, 14, 15, 25, 26, 27, 1-3, 1-46, 1-75, 1-97-101, 2-5, 11-4, 13-5-8, 13-46, 13-53, 13-55, 13-59, 13-61, 13-66, 13-71, 13-82-84, 13-87, 13-107, 15-11, 15-13, 15-20, 15-24, 15-25, 15-42, 15-44-47, 15-49, 16-16-20
- Safety class item 11, 12, 13, 25, 2-5, 11-4, 13-5-7, 13-46, 13-53, 13-59, 13-82, 13-84, 13-87, 15-20, 15-42, 15-45, 16-18
- Safety devices 29, 15-16, 15-18
- Safety factor (SF) 7
- Safety hazards 1-56, 1-97, 13-36
- Safety limit 15, 16, 25, 13-5, 13-77
- Safety margins 1-54
- Safety personnel 16-10
- Sampling 1-18, 1-23, 1-76, 2-9, 2-10, 2-50, 13-10, 13-13, 13-15, 13-28, 13-30, 13-31, 13-50, 13-56, 13-70, 13-72, 13-83, 15-21, 15-45, 15-59, 15-73, 15-76
- Sampling area 13-72
- Sand coating 2-60
- Sanitary engineering 25, 1-15, 3-1
- Sanitary landfill 25, 2-47
- Sanitary sewer 2-17
- Sanitary waste 2-45, 2-46, 15-23
- Sanitary wastewater 1-104, 1-106, 2-17, 2-19, 2-37, 2-43-46
- Satellite facility 15-55
- Saturated zone 25, 2-45, 2-66
- Schedule 11, 1-2, 1-63, 1-64, 1-73, 1-107, 1-110, 2-33, 2-37, 11-1, 13-7, 13-25, 13-34, 15-5, 15-6, 15-17, 15-36, 15-37, 15-61, 15-67
- Scrap 1-73, 13-30, 13-46, 13-80, 13-81, 13-92-94, 13-96
- Screen 7, 1-46, 8-2-4, 8-6, 10-1, 11-4, 15-40
- Screw compressor 15-64
- Scrubber 13-80, 13-91, 13-95, 13-113-115, 15-14, 15-15, 15-48, 15-49
- Seal pot 13-119
- Sealant 1-14, 1-31, 7-13, 8-8
- Seasonal 1-94, 2-10, 2-66, 8-3, 15-56
- Secondary alarm station (SAS) 7, 13-23, 13-25
- Secondary confinement 11, 29, 1-73, 13-13, 13-14, 13-47, 13-48, 13-54, 13-55, 13-60, 13-61, 13-74, 13-75, 13-79, 13-80, 13-84, 13-88-90, 13-95, 13-96, 13-100-104, 13-109, 13-110, 13-113-115, 13-119, 13-120, 13-123-126
- Sectional overhead door 8-6
- Secure 14, 25, 26, 1-5, 1-74, 1-78-80, 1-82, 1-87-89, 2-18, 2-31, 9-4, 9-5, 9-6, 13-2, 13-24, 13-53, 13-59, 14-1, 14-2, 15-53, 15-54, 16-2, 16-5, 16-25-27
- Secure communications 25, 26, 1-80, 16-26, 16-27
- Secure conference room 1-5, 1-88, 9-5, 13-2, 15-53, 15-54, 16-26

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Secure office 1-5, 1-79, 1-88, 1-89, 9-6, 13-2, 16-26
- Secured area 15, 2-7, 2-8, 2-57, 2-58
- Security 2, 3, 5, 6, 7, 10, 11, 12, 13, 14, 15, 17, 20, 22, 23, 25, 26, 27, 28, 29, 1-10-12, 1-27, 1-28, 1-32, 1-43, 1-52, 1-56, 1-68, 1-69, 1-73, 1-79, 1-80, 1-82, 1-83, 1-85, 1-87-89, 1-103, 1-104, 1-106, 1-107, 1-109, 2-2, 2-4, 2-5, 2-8, 2-17-19, 2-23, 2-27, 2-31, 2-34, 2-37-40, 2-44, 2-52-62, 2-69, 8-1-3, 8-5, 8-7, 8-8, 9-4-6, 10-2, 11-5, 13-3, 13-8, 13-16-28, 13-69, 14-1, 14-2, 15-16, 15-41, 15-52-54, 15-75, 15-77, 16-3, 16-6-8, 16-12-14, 16-16, 16-21, 16-24, 16-26-28
- Security agreement 3, 5, 1-11
- Security alarm 1-85, 1-87, 2-8, 2-53, 13-23, 15-52, 15-53, 16-16, 16-21, 16-24
- Security alarm control center 1-85, 2-8, 13-23, 15-52, 15-53
- Security area 11, 15, 20, 25, 26, 29, 1-68, 1-69, 1-73, 1-79, 1-80, 1-83, 1-85, 1-87, 2-17, 2-18, 2-23, 2-27, 2-31, 2-57-60, 8-2, 8-7, 9-5, 9-6, 13-18-21, 13-24, 13-25, 16-26
- Security fence/fencing 1-104, 1-106, 1-107, 2-17, 2-31, 2-40, 2-53, 2-57-60, 13-21
- Security force 1-79, 1-83, 2-60, 13-25
- Security inspector post 2-61, 2-62, 13-25
- Security interest 26, 27, 1-68, 2-57, 13-17, 13-19, 13-21
- Security Office 2-8, 16-28
- Security Officer 2, 2-59, 2-60, 15-75
- Security protection 28, 1-80
- Sediment 2-42
- Sedimentation 2-67
- Seismic 14, 26, 1-14, 1-20, 1-35, 1-36, 1-42, 1-93, 1-96, 1-99, 1-100, 2-2, 2-9, 2-11, 2-49, 2-55, 2-64, 11-2, 13-6, 13-68, 15-17, 15-22, 15-44, 16-7, 16-17, 16-18
- Self-restoring 15-6
- Separation and physical protection 16-19
- Service 4, 6, 7, 8, 18, 20, 23, 26, 1-10, 1-13, 1-20, 1-33, 1-39-41, 1-43, 1-45, 1-52, 1-53, 1-71, 1-72, 1-76, 1-79, 1-81, 1-83, 1-84, 1-90, 1-96, 1-101, 1-104, 1-105, 1-109, 2-2, 2-4, 2-5, 2-7-9, 2-17, 2-18, 2-20, 2-32, 2-34, 2-35, 2-38, 2-41, 2-44, 2-52, 2-55, 2-65, 2-68, 2-69, 7-12, 7-13, 9-2, 9-3, 9-5, 11-2, 13-14, 13-16, 13-25, 13-33, 13-42, 13-43, 13-69, 13-70, 13-99, 13-103, 13-117, 14-1-3, 15-1, 15-16-18, 15-21, 15-22, 15-36, 15-39, 15-41, 15-48, 15-53, 15-57-59, 15-61, 15-64, 15-67, 16-2, 16-5-9, 16-11-14, 16-16, 16-21, 16-22, 16-24, 16-25, 16-27, 16-28
- Service entrance 16-22
- Service equipment 1-90, 2-69, 16-9, 16-11
- Service facility 2-2
- Service header 1-72
- Service life 7-12, 7-13
- Service magazine 20, 26
- Service piping 13-14, 13-16, 13-33, 15-16
- Service water 15-1, 15-16, 15-18
- Serviceability 1-89
- Severe natural phenomena 1-97, 1-98, 13-12, 13-90, 13-102, 13-110, 13-115, 13-120, 13-125, 15-20, 15-45
- Sewage 17, 1-33, 2-37, 2-50, 15-23
- Sewer 14, 1-20, 1-21, 1-109, 2-15, 2-17, 2-31, 2-37-39, 2-42, 2-43, 2-57, 7-10, 7-13, 15-23, Shear 13-87
- Shear 15-67
- Shear seal 15-67
- Sheet membrane waterproofing 7-3
- Sheet metal 7, 1-46, 7-11, 7-12
- Sheet metal flashing 7-12
- Sheet metal roofing 7-11, 7-12
- Sheet piling 26, 2-11
- Shelter 20, 1-31, 1-54, 1-58, 1-78, 1-93
- Shielded enclosure 1-78, 1-83
- Shielding 1-17, 1-27, 1-58, 1-74, 1-76, 1-78, 1-82, 1-92, 9-3, 11-2, 11-3, 11-5, 12-1, 12-3, 13-8, 13-9, 13-34, 13-73, 13-77, 13-83, 13-117, 13-123, 15-45, 15-49, 15-51
- Shielding design 1-76, 13-9, 15-49
- Shock 13, 14, 15, 19, 1-78, 1-82, 1-85, 1-93, 1-96, 2-59, 15-70
- Shock mount 1-78
- Shoring 7, 26, 1-47, 2-11, 2-20, 2-38
- Shower 1-19, 7-1, 7-4, 8-4, 9-3, 9-5, 10-1, 10-3, 10-4, 13-11, 13-39, 13-69, 15-18, 15-22, 15-41
- Shrinkage 1-95, 1-96, 2-10, 7-2, 7-5
- Shrubs 2-69, 2-70
- Shutoff device 15-78
- Sidewalk 26, 1-108, 2-16, 2-19, 2-54-56

Double-hyphen entries indicate inclusive pages e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Sign 1-14, 1-70, 1-84, 1-92, 2-28, 13-103, 15-19, 16-8
- Simultaneous heating and cooling 15-78, 15-79
- Single failure 26, 1-34, 13-6, 15-12, 15-20, 15-44, 16-18, 16-19
- Single failure criterion and redundancy 13-6
- Siphon breaker 13-8, 13-77, 13-86, 13-92, 13-98, 13-106
- Site 7, 12, 13, 14, 15, 16, 19, 20, 22, 25, 26, 27, 1-1-3, 1-10, 1-18, 1-23, 1-27, 1-29, 1-30, 1-36, 1-39, 1-54, 1-56, 1-61, 1-62, 1-65, 1-67, 1-68, 1-72, 1-86, 1-87, 1-92, 1-93, 1-97-100, 1-103-106, 2-1-9, 2-11, 2-12, 2-14, 2-18-20, 2-24, 2-26, 2-28, 2-29, 2-31, 2-33, 2-35, 2-39-41, 2-43-45, 2-47, 2-52-59, 2-61, 2-63-70, 8-2, 8-3, 8-7, 9-5, 10-2, 10-3, 13-3-5, 13-11, 13-15-17, 13-23-26, 13-28, 13-56, 13-64, 13-97, 13-105, 13-107-111, 14-1, 14-2, 15-3, 15-4, 15-11, 15-22, 15-27, 15-28, 15-48, 15-55, 15-59, 15-75, 16-1, 16-3, 16-5-9, 16-12, 16-13, 16-17, 16-22
- Site access 2-65
- Site analysis 2-68
- Site approval 2-65
- Site boundary 22, 26, 13-5, 13-15, 13-110
- Site safeguards and security plan (SSSP) 7, 2-57, 13-20, 13-26
- Site-specific safeguards 12, 27, 2-56, 13-17
- Siting 20, 1-35, 2-1-4, 2-36, 2-58, 2-64, 13-18, 13-19, 13-62, 13-64, 13-66, 13-85, 13-105, 15-69
- Siting design dose objectives 13-105
- Sketch 2-14
- Slab 28, 1-75, 1-89, 1-96, 2-10, 2-22, 3-4, 7-1-6, 13-13, 13-30, 15-22
- Slanting 27, 1-58
- Sleeve 7-3, 15-22, 15-23
- Slope 28, 2-11, 2-19, 2-27, 2-56, 2-69, 7-3, 7-10, 7-11, 13-32, 15-23
- Sludge 9, 20, 2-36, 2-43, 2-45, 2-47, 13-50, 13-81, 13-86, 13-96, 13-98
- Slurry 2-11, 15-22, 15-23, 15-51
- Smoke 1-21, 1-108, 13-6, 15-1, 15-10, 15-14, 15-29, 15-38, 15-40, 15-68, 15-72, 15-73, 15-77, 15-80, 15-83
- Smoke detector 15-10, 15-73
- SNM vault 27, 1-86, 13-20
- Soil 2, 7, 9, 10, 11, 16, 20, 25, 27, 1-14, 1-23, 1-37, 1-45, 1-94, 1-96, 1-103-106, 1-109, 2-1, 2-4, 2-9-12, 2-19-22, 2-29, 2-32, 2-35, 2-38-41, 2-45, 2-47, 2-48, 2-58, 2-60, 2-64-66, 2-68-70, 7-2, 7-5, 7-13, 13-100, 13-104, 13-107, 13-108, 15-17, 15-61, 15-65, 16-7
- Soil cover 2-65
- Soil erosion 1-105, 1-106, 2-40, 7-13
- Soil mechanics 27
- Soil resistivity 27
- Solar 1-61, 1-64, 1-67, 2-68, 7-10, 10-4, 12-1, 15-33, 15-34
- Sole-source 2-64
- Solid waste 7, 17, 20, 21, 1-5, 1-8, 1-57, 1-105, 2-36, 2-63-68, 13-2, 13-14, 13-49, 13-56, 13-61, 13-75, 13-80, 13-85, 13-91, 13-96, 13-104-111, 13-115, 13-120, 13-126, 15-24, 15-25, 15-51
- Solvent 13-50, 13-81, 13-88, 13-94-96, 13-115, 13-121, 13-126, 15-12, 15-17, 15-51, 16-23
- Sound transmission classification (STC) 7, 1-88, 1-89
- Sound transmission class 1-23
- Source material 27
- Space heating 1-61, 15-31, 15-34, 15-83, 16-28
- Space requirement 20, 1-78, 15-63
- Spandrel 7-4, 7-5
- Sparging 13-30-32
- Spark-resistant 15-38
- Special applications 15-39, 15-65
- Special coating 9-7
- Special isotope separation laser (SISL) 7, 1-30
- Special nuclear materials (SNM) 7, 24, 25, 27, 1-74, 1-86, 1-87, 2-57, 2-61, 11-5, 13-8, 13-14, 13-16-25, 13-28, 13-29, 13-50, 13-54, 13-59, 13-117, 16-24, 16-25
- Speed bump 2-56
- Spike 2-25, 13-31
- Spill 7, 9, 2-47, 2-48, 13-3, 13-11, 13-15, 13-78, 13-93, 13-101, 15-69, 15-70, 16-8
- Spill prevention control and countermeasure (SPCC) 7, 2-48, 16-8
- Sprinkler 1-38, 1-57, 1-90, 2-34, 2-70, 7-3, 12-3, 13-7, 13-71, 13-88, 15-3-7, 15-9-14, 16-23
- Staging bays (in-process) 27, 13-68
- Stairway 9-2, 9-3

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.



Staking 2-12, 2-16

Standard Operating Procedures (SOP) 7, 13-63

Standby 27, 29, 1-34, 1-40, 1-46, 2-61, 2-62, 13-10, 13-71, 15-31, 15-36, 15-46, 15-55, 15-70, 16-14-17

Standpipe 1-24, 1-38, 5-2, 15-10, 15-8

Start-up 2-45, 2-62

State 1, 1-1, 1-2, 1-4, 1-9, 1-13, 1-14, 1-33, 1-41, 1-58, 1-59, 1-104, 1-105, 2-11, 2-14, 2-23, 2-25, 2-28, 2-33, 2-41, 2-43, 2-44, 2-46, 2-48-50, 2-66, 2-70, 13-15, 13-22, 13-30, 13-36, 13-50, 13-74, 13-88, 15-27, 15-35, 15-43, 15-67, 15-71, 15-74-76, 16-13, 16-17, 16-19

State commission 2-23

Static electricity 1-82, 9-7, 16-23

Statute 25, 2-11

Steam 1-9, 1-22, 1-31, 2-50, 13-72, 13-94, 15-32, 15-34, 15-35, 15-37, 15-38, 15-43, 15-54-61, 15-64, 15-65, 15-82, 15-83, 16-5, 16-17, 16-23

Steel 1, 4, 5, 7, 23, 26, 27, 1-16, 1-19, 1-21, 1-22, 1-24, 1-28, 1-35, 1-36, 1-45-47, 1-70, 1-87, 1-101, 2-12, 2-32, 2-48, 2-53, 2-59, 4-2, 5-1-3, 8-2-4, 8-6, 9-3, 13-21, 15-17, 15-35-37, 15-60, 15-66-68, 15-74, 16-2, 16-7

Steel conduit 16-2, 16-7

Steps 1-64, 1-71, 13-30, 13-78, 13-93, 15-35, 16-23

Sterilization 1-108, 15-19

Storage 4, 6, 8, 9, 11, 12, 15, 16, 18, 19, 20, 21, 22, 26, 27, 29, 1-5, 1-7, 1-9, 1-17-19, 1-24, 1-25, 1-39, 1-42, 1-52, 1-55, 1-72, 1-74, 1-79, 1-81, 1-82, 1-84-86, 1-93, 1-103, 2-7, 2-8, 2-31, 2-32, 2-44, 2-47, 2-50, 2-51, 2-53, 2-68, 5-2, 7-4, 7-8, 8-3, 8-6, 9-2, 9-5, 11-3, 12-2, 13-2, 13-8, 13-9, 13-11, 13-13, 13-14, 13-16, 13-21, 13-24, 13-26-28, 13-37, 13-39, 13-43, 13-45, 13-47, 13-51-62, 13-66, 13-68, 13-70, 13-72, 13-76, 13-81-85, 13-91, 13-94, 13-97-107, 13-109, 13-110, 13-116, 13-117, 13-120-124, 13-126, 15-6, 15-10, 15-13-14, 15-20, 15-21, 15-24, 15-26, 15-27, 15-34, 15-35, 15-44, 15-51, 15-53, 15-55, 15-58, 15-59, 15-63, 15-66, 15-68, 15-69, 15-72, 15-74, 15-75, 16-9, 16-21, 16-24

Storage area compartment 27

Storage battery 1-84, 15-53

Storage facility 4, 6, 8, 12, 21, 22, 1-5, 1-24, 1-74, 2-31, 2-32, 13-2, 13-51, 13-53, 13-57, 13-59, 13-76, 13-81-83, 13-97, 13-105, 13-106, 13-116, 13-121-123, 13-126, 15-24, 15-72, 15-75

Storage vessel 13-85, 13-100-103, 13-117, 13-126, 15-21, 15-66, 15-69

Storm 21, 23, 29, 1-14, 1-21, 2-17, 2-39-43, 2-45, 2-57, 2-60, 7-10, 7-12, 7-13, 8-4, 8-6

Storm door 1-14, 8-4, 8-6

Storm sewer 1-21, 2-42, 2-57, 7-10, 7-13

Stormwater 12, 17, 1-104, 1-106, 2-17, 2-19, 2-37, 2-39, 2-40, 2-42, 2-44, 2-57

Stove 10-2

Stream 20, 24, 2-39, 2-40, 2-43, 2-47, 13-15, 13-31, 13-71, 13-73, 13-75, 13-98-100, 15-5, 15-38, 15-44, 15-45, 15-49, 15-50, 15-76

Street 23, 26, 1-12-14, 1-16, 1-18, 1-19, 1-21, 1-22, 1-28-31, 1-34-36, 1-38, 1-44, 1-49, 1-50, 2-17, 2-24, 2-26, 2-27, 2-42, 2-43, 2-55, 10-2

Structural 10, 25, 27, 28, 29, 1-14-16, 1-21, 1-24, 1-28, 1-53, 1-54, 1-74, 1-75, 1-85, 1-89, 1-90, 1-92-98, 1-100, 1-101, 2-8-10, 2-20, 2-23, 2-48, 2-59, 3-1, 3-3, 3-4, 4-1, 5-1-3, 6-1, 7-3, 7-6, 7-10, 7-12, 9-3, 9-4, 11-1-3, 13-9, 13-13, 13-53, 13-55, 13-59, 13-61, 13-65-67, 13-82, 13-85, 13-121, 15-12, 15-64, 15-69, 15-74, 16-18

Structural collapse 27, 13-66, 13-67

Structural steel 1-16, 5-1, 15-74

Subassembly 16, 18, 13-68

Subbase 27

Subcritical flow 28

Subgrade modulus (K) 4, 28, 1-13, 1-31, 15-17

Sublab 28, 7-2, 7-3

Substantial construction 28, 1-87

Substation 1-34, 2-52, 2-53, 16-5, 16-7, 16-8, 16-10, 16-21

Subsurface 10, 12, 1-96, 2-9, 2-10, 2-19-21, 2-42, 2-44, 2-58, 2-66

Subsurface conditions 1-96, 2-9, 2-10, 2-21

Sulfate 2-32

Sulfur dioxide (SO<sub>2</sub>) 7, 15-73

Sump 13-86, 13-98, 13-102, 13-106, 13-108, 15-23, 15-24, 15-33, 15-70, 15-82

Sun control 10-4

Supercritical flow 28

Superelevation 28, 2-25, 2-26

Supervisory device 15-8

Supervisory system 15-83, 16-24, 16-28

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through pages 3-29. Entries for singular words can include plurals.

Supply 8, 12, 14, 16, 27, 29, 1-31, 1-71, 1-79, 2-31, 2-32, 2-35, 2-36, 2-38, 2-52, 2-61, 2-64, 2-70, 11-4, 11-5, 13-10, 13-11, 13-23, 13-25, 13-31, 13-40, 13-45, 13-84, 13-125, 15-4-6, 15-10-12, 15-16-22, 15-28, 15-29, 15-34, 15-37, 15-38, 15-47, 15-48, 15-50, 15-55, 15-58, 15-64, 15-65, 15-78-80, 15-82, 15-83, 16-6, 16-8, 16-9, 16-11, 16-13, 16-14, 16-17, 16-21, 16-22, 16-24, 16-25

Supply air 13-84, 15-29, 15-37, 15-47, 15-78, 15-79

Supply equipment 16-6

Supply facility 16-24

Supply system 13-11, 15-4, 15-10, 15-19-21, 16-21

Support building 28, 13-66, 13-69

Support facility 13-73

Suppression system 1-56, 1-75, 11-4, 13-3, 13-53, 13-59, 15-2-5, 15-7, 15-11, 15-13, 15-14

Surface water resource 2-66

Surfacing 2-19, 2-26, 2-54

Surfactant 28, 1-105

Surge 2-35, 13-94, 15-32, 15-63, 16-8, 16-9, 16-22

Surveillance 14, 24, 1-11, 1-12, 1-28, 1-84, 1-85, 2-57, 2-61, 13-4, 13-7, 13-15, 13-20, 13-27-29, 13-42, 13-46, 13-50, 13-54, 13-102, 13-103, 13-118, 15-76

Survey 2, 5, 8, 10, 21, 1-13, 1-35, 1-37, 1-103, 1-108, 2-11, 2-12-16, 2-66

Survey monument 2-12-15

Surveying 1, 1-16, 1-108, 2-11-14

Switchgear 1-34, 16-6, 16-11

Switching 14, 27, 1-81, 1-110, 2-52, 13-42, 15-46, 15-52, 15-53, 16-7, 16-8, 16-10, 16-25

Switching center 1-81, 15-52

Switching station 2-52, 16-7, 16-8, 16-10

Symbol 2-10, 13-43

System protection 16-11

Systems integration 1-52, 16-27

Tactical response force 28, 2-8, 2-61

Tail 13-70, 13-73, 13-74, 13-76, 15-24

Tails processing area 13-73

Tamper indicating device (TID) 7, 13-29, 13-53, 13-59

Taxiway 2-30

Telecommunications 6, 10, 12, 1-5, 1-10, 1-43, 1-52, 1-78, 1-79, 1-81, 1-83, 1-84, 2-7, 2-9, 13-2, 15-13, 15-14, 15-52, 15-53, 16-9, 16-13-15, 16-24, 16-26, 16-27

Telephone 1-6, 1-75, 1-81, 1-86, 1-88, 1-105, 1-108, 2-15, 2-17, 10-4, 13-25, 13-42, 15-52, 16-24-27

Telephone switching center 1-81, 15-52

Teletype 1-81, 2-8, 15-52

Television (TV) 2, 8, 1-52, 1-69

Tempest 1-10, 16-27

Temporary control monument 2-12, 2-13, 2-15

Temporary facility 10, 1-1, 1-61, 1-106

Temporary security fencing 1-104, 1-106

Temporary utility 1-104, 1-105

Tension wire 28, 2-58, 2-59

Terminal unit 15-34, 15-35, 15-37, 15-81, 15-82

Tertiary confinement 13-47, 13-49, 13-55, 13-61, 13-90, 13-102, 13-103, 13-109, 13-110, 13-113, 13-115

Test 18, 19, 21, 28, 1-11, 1-23, 1-26, 1-27, 1-33, 1-37, 1-40, 1-46, 1-48, 1-49, 1-79, 1-81, 1-90, 1-102, 1-108-110, 2-9, 2-10, 2-22, 2-32, 2-39, 2-49, 2-61, 4-2, 9-7, 13-5, 13-7, 13-23, 13-35-37, 13-42, 13-63, 13-66, 13-68, 13-103, 13-116, 13-121, 15-1, 15-5, 15-21, 15-28, 15-33, 15-40-43, 15-45, 15-56, 15-68, 15-74, 16-1, 16-18, 16-20, 16-21

Testing 2, 6, 15, 16, 21, 1-17, 1-21, 1-22, 1-28, 1-39, 1-42, 1-56, 1-72, 1-84, 1-88, 1-89, 1-93, 1-100, 1-104, 1-108, 1-110, 2-3, 3-2, 3-3, 7-9, 8-1, 8-3, 10-2-4, 12-3, 13-6, 13-7, 13-37, 13-42, 13-47, 13-48, 13-72, 13-83, 13-103, 13-112, 13-123, 15-19, 15-22, 15-28, 15-33, 15-38, 15-39, 15-41, 15-42, 15-46, 15-47, 15-49, 15-70, 15-74, 16-1, 16-17, 16-18, 16-20, 16-25

Tetrachlorodibenzo-p-dioxin (TCDD) 7

Theft 1-68, 1-104, 1-106, 13-16-20, 13-25-28

Thermal 2, 3, 7, 17, 1-8, 1-21, 1-48, 1-60, 1-61, 1-63-65, 1-91, 1-94, 1-96, 7-1, 7-6, 7-7, 8-1, 13-31, 13-63, 13-94, 13-107, 15-17, 15-25, 15-27, 15-30, 15-31, 15-34, 15-41, 15-61, 15-66, 15-67, 15-70, 15-75

Threshold limit value (TLV) 7, 1-15, 15-29, 15-71

Through-the-wall flashing 7-1, 7-4, 7-5

Tie 9, 2-24, 2-25, 2-27, 4-2, 7-7, Tie plate 2-24

Tile 7, 29

Double-hyphen entries indicate inclusive pages, e.g., "3-7-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Tile 1-23, 1-33, 1-47, 4-1, 7-9, 7-12, 9-3, 9-4, 13-42
- Title I 1-52, 1-54, 1-56, 1-60, 1-66, 1-67, 1-102, 1-104, 15-62
- Title II 1-54, 1-67, 1-102, 15-62
- TNT 28, 13-69
- TNT equivalent 28, 13-69
- Toilet 1-6, 9-3, 10-4, 10-5, 15-26
- Topography 2-18, 2-29, 2-39, 2-41, 2-69
- Tornado 3, 13, 1-31, 1-36, 1-54, 1-75, 1-91-93, 1-97-99, 2-2, 13-49, 13-55, 13-61, 13-68, 13-85
- Total estimated cost (TEC) 7, 1-67
- Tower 2, 1-21, 1-26, 1-28, 1-86, 1-92, 2-61, 2-62, 5-2, 7-10, 13-11, 13-25, 13-26, 13-72, 15-3, 15-27, 15-31, 15-33, 15-69, 15-74, 15-82, 16-9, 16-10, 16-21, 16-27
- Toxic material 30, 1-53, 15-39, 15-71
- Toxicity 1-76, 2-47, 13-77, 13-114, 15-50
- Track layout 2-23
- Traffic 1-14, 1-18, 1-71, 1-74, 1-75, 1-86, 1-103, 1-106, 2-4, 2-12, 2-25, 2-26, 2-28, 2-32, 2-35, 2-38, 2-39, 2-42, 2-44, 2-49, 2-54-56, 2-58, 2-59, 2-65, 8-5, 11-5, 13-25, 13-37, 13-53, 13-59, 13-64, 14-2, 16-15, 16-21, 16-22
- Traffic control 1-18, 1-74, 1-103, 2-28, 2-54-56, 13-25, 13-53, 13-59
- Transfer pipe 13-13, 13-56
- Transfer system 2-50, 11-3, 13-29, 13-33, 13-50, 13-56, 13-72, 13-77, 13-92, 13-99
- Transformer 1-32, 1-35, 15-3, 16-8, 16-11-13, 16-22
- Transient 28, 29, 1-69, 2-57, 13-21, 13-67, 15-24, 16-11
- Transition coupling 15-68
- Transmission 7, 10, 23, 1-21, 1-23, 1-64, 1-79, 1-80, 1-83, 1-84, 1-89, 1-94, 2-17, 2-59, 5-2, 8-1, 13-24, 15-8, 15-13, 15-14, 15-37, 15-53, 16-6, 16-21, 16-25-27
- Transmission line 1-79, 1-84, 2-59, 13-24, 16-21, 16-26, 16-27
- Transmission pole structure 1-21, 5-2
- Transmission tower 1-21, 5-2
- Transmitter 1-84, 15-52, 15-73, 15-77, 16-14
- Transportation 1, 3, 10, 18, 1-11, 1-14, 1-18, 1-25, 1-30, 1-52, 1-97, 1-100, 2-5, 13-31, 13-51, 13-55, 13-57, 13-61, 13-85
- Transporting 1-15, 3-3, 13-28
- Transuranic (TRU) 7, 17, 20, 28, 1-8, 11-3, 13-14, 13-44, 13-49, 13-51, 13-73, 13-97, 13-98, 13-100, 13-101, 13-103-106, 13-109
- Transuranic elements 28, 13-51
- Transverse 28
- Trap seal 15-19
- Treatment, storage and disposal (TSD) 8, 1-9, 2-44, 2-63-67, 13-4
- Tree 1-92, 2-13, 2-18, 2-68-70
- Trench 1-84, 2-17, 2-38, 2-45, 2-52, 2-64, 2-65, 15-60, 15-74, 16-26
- Trim 1-12
- Tritium 21, 1-5, 9-2, 13-2, 13-113, 13-116-127, 15-16
- Trouble signal 15-8
- TRU waste 17, 20, 28, 13-49
- tsunami 2-6
- Tube 2, 1-23, 1-41, 15-33, 15-35, 15-41-43
- Tubing 3, 15-17, 15-67, 16-2
- Turf 2-69, 2-70
- Turnouts 2-25, 2-60
- Ultra high frequency (UHF) 8, 16-27
- Unattended opening 29, 1-70
- Uncased explosive 13-68
- UNCL 1-47
- Underground 11, 20, 1-9, 1-48, 1-86, 2-15-18, 2-32, 2-49, 2-52, 2-68, 13-100, 13-102, 15-1, 15-10, 15-17, 15-35, 15-60, 15-61, 16-2, 16-7, 16-9, 16-21, 16-22, 16-24, 16-27
- Underpinning 29, 2-11, 2-20
- Uninterruptible power supply (UPS) 8, 14, 29, 1-109, 2-61, 13-10, 13-46, 15-47, 16-11, 16-14, 16-16-18
- Unirradiated enriched uranium (UEU) 8, 21, 29, 1-5, 1-76, 13-2, 13-57, 13-58, 13-60, 13-61, 13-78, 13-81, 13-97
- Unirradiated enriched uranium storage facility (UEUSF) 8, 21, 1-5, 13-2, 13-57-62, 13-81, 13-97
- Unit hydrograph 29
- Unit masonry 29, 1-22, 4-1, 4-2

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Unit process area (UPA) 8, 13-32
- Unit weight 1-90
- Unpackaging 16, 29, 13-45, 13-52, 13-58
- Unpackaging room 29, 13-52, 13-58
- Unproven facility design 13-66
- Unsprinklered 15-5
- Uranium conversion and recovery facility (UCRF) 8, 1-5, 13-2, 13-92-96, 15-15
- Uranium dioxide (UO<sub>2</sub>) 8, 13-76, 13-92
- Uranium enrichment area 13-73
- Uranium enrichment facility (UEF) 8, 1-5, 13-2, 13-69, 13-70, 13-74-76, 15-14, 15-24
- Uranium hexafluoride (UF<sub>6</sub>) 8, 1-42, 13-71-76, 13-92, 13-94, 15-14, 15-24, 15-49
- Uranium processing and handling facility (UPHF) 8, 1-5, 13-2, 13-76-78, 13-80, 13-81, 13-92
- Uranium recovery facility (URF) 8, 13-92-94
- Uranium tetrafluoride (UF<sub>4</sub>) 8, 13-72
- Uranium trioxide (UO<sub>3</sub>) 8, 13-92
- Usability 13-44
- Useful life 29, 1-102, 15-46
- User group 2-8
- Utility 6, 11, 16, 28, 1-10, 1-30, 1-53, 1-59, 1-64, 1-72, 1-74, 1-81, 1-84, 1-85, 1-103-105, 1-108, 2-2, 2-4, 2-5, 2-7, 2-15-18, 2-29, 2-31-34, 2-37-39, 2-52, 2-56-58, 2-64, 2-67-69, 9-2, 9-5, 13-68, 13-69, 13-81, 13-91, 13-97, 13-121, 13-126, 15-10, 15-22, 15-39, 15-53, 15-56, 15-83, 16-5-7, 16-9, 16-12, 16-13, 16-22, 16-25
- Utility corridor 2-31, 2-33, 2-37, 16-6
- Utility location 2-17
- Utilization schedule 1-73
- Vacuum 1-108, 2-35, 13-10, 13-87, 13-102, 13-123, 13-124, 15-18, 15-19, 15-51, 15-52, 15-66, 15-68, 15-70
- Vacuum breaker 15-18, 15-19
- Vacuum seal 15-68
- Valve 6, 1-22, 1-72, 1-90, 1-108, 2-15, 2-34, 2-35, 2-48, 13-32, 13-39, 13-118, 13-119, 15-6, 15-8, 15-10, 15-11, 15-15, 15-17-19, 15-21-23, 15-32, 15-35-37, 15-43, 15-46, 15-48, 15-58, 15-60, 15-61, 15-67, 15-68, 15-81, 15-82, 16-22
- Valving 15-4, 15-10
- Vapor retarder 7-6
- Vaporizer 15-65
- Variable-air-volume 15-38, 15-79
- Variable speed 15-32, 15-36, 15-81
- Vault 27, 29, 1-5, 1-32, 1-48, 1-74, 1-81, 1-86, 1-87, 13-2, 13-20, 13-21, 13-23, 13-27-29, 13-53, 13-54, 13-59
- Vault-type room 29, 1-5, 1-86, 1-87, 13-2, 13-20, 13-21, 13-23
- Vector 25, 29, 2-65
- Vegetation 2-58, 2-68, 2-69
- Vehicle 20, 1-68-70, 1-85, 1-105, 2-5, 2-19, 2-54-56, 2-58-62, 2-65, 2-69, 7-13, 13-20-22, 13-28, 13-29
- Vehicle barrier 2-59-61, 13-21
- Vehicular 1-69, 1-70, 1-86, 1-103, 1-106, 2-12, 2-42, 2-49, 2-53-55, 2-58, 2-59, 2-62, 2-63, 2-65, 13-21
- Veneer 1-33, 9-4
- Veneer plaster 1-33, 9-4
- Vent 10-1, 13-30, 13-75, 13-79, 13-87, 13-89, 13-91, 13-100, 13-103, 13-104, 13-109, 13-111, 13-113, 13-119-121, 13-126, 15-16, 15-17, 15-36, 15-48-50, 15-61, 15-74, 15-76
- Ventilating 4, 9-1, 15-25, 15-30, 15-31, 15-52, 15-53, 15-77
- Ventilation 11, 1-14, 1-21, 1-40, 1-42, 1-62-64, 1-66, 1-67, 1-71, 1-73, 1-74, 8-2, 11-1, 11-2, 11-4, 11-5, 13-7, 13-9, 13-10, 13-12-14, 13-33, 13-34, 13-38, 13-45-50, 13-54, 13-55, 13-57, 13-60-62, 13-66, 13-68, 13-74, 13-75, 13-77, 13-79, 13-80, 13-84, 13-85, 13-87-90, 13-95, 13-96, 13-101, 13-109, 13-110, 13-112-115, 13-119, 13-120, 13-125, 13-126, 14-2, 15-12, 15-13, 15-27-31, 15-37-40, 15-42, 15-44-48, 15-50, 15-52, 15-53, 15-70, 15-71, 15-74-80
- Ventilation and off-gas criteria 15-42
- Vertical control 10, 1-108, 2-12, 2-14, 2-27, 2-28
- Vertical transportation 1-52
- Very high frequency (VHF) 8, 16-27
- Vibration 30, 1-13, 1-82, 1-85, 1-96, 1-108, 2-7, 2-23, 13-30, 13-31, 13-38, 13-73, 15-37, 15-63, 16-2, 16-27
- Vibratory 13, 1-94, 2-6
- Vine 2-69
- Vinyl floor 1-82

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- Vital 11, 29, 1-11, 1-55, 1-68-70, 1-82, 1-93, 13-17-23, 13-35, 13-38, 15-2, 15-3, 15-11, 15-32, 15-54, 16-16
- Vital area 29, 1-68-70, 13-17-20, 13-22, 13-23, 13-38
- Vital equipment 29, 1-11, 1-69, 13-17-21, 13-23
- Vital facility 29, 1-55
- Vital program 29, 15-2, 15-32, 15-54
- Volatile 9-2, 12-1, 13-48, 13-79, 15-68, 15-72, 15-75
- Voltage 1-18, 1-110, 2-7, 2-8, 2-59, 13-122, 16-1, 16-4, 16-5, 16-9, 16-11-14, 16-17, 16-25
- Wainscot 9-3
- Waiver 1-3, 1-6, 1-60, 1-65, 13-63
- Walk 16, 2-26, 2-54, 2-55, 14-1
- Walkway 2-59, 2-60, 7-8, 7-10, 15-69
- Wall 10, 22, 23, 24, 27, 29, 1-24, 1-33, 1-44, 1-56, 1-57, 1-61, 1-63, 1-66, 1-67, 1-69, 1-72, 1-75, 1-82, 1-84-89, 1-94, 2-8, 2-11, 2-56, 2-57, 2-62, 4-1, 4-2, 7-1-9, 7-12, 8-1-4, 8-7, 9-1-3, 9-5-8, 13-9, 13-13, 13-21, 13-25-27, 13-33, 13-34, 13-47, 13-51, 13-73, 13-100, 13-108, 13-123, 13-126, 15-3, 15-10, 15-16, 15-19, 15-22, 15-40, 15-51, 16-25
- Wall covering 1-44, 9-2, 9-7, 9-8
- Wall hose outlet 15-19
- Warehouse 18, 15-26, 15-28
- Warning 13-10, 13-11, 13-13, 13-41, 13-42, 13-53, 13-59, 13-103, 15-13, 16-8
- Warning and annunciator system 13-41
- Warning and alarm system feature 13-11
- Waste 4, 5, 7, 8, 10, 17, 20, 21, 24, 25, 28, 1-5, 1-7-9, 1-11, 1-12, 1-42, 1-57, 1-71, 1-73, 1-105, 2-4, 2-18, 2-36, 2-44-51, 2-63-68, 2-70, 13-2, 13-7, 13-14-16, 13-30, 13-33, 13-45, 13-49-51, 13-56, 13-61, 13-62, 13-75, 13-80-82, 13-84, 13-85, 13-91, 13-93, 13-96-111, 13-114-116, 13-120, 13-126, 15-16, 15-17, 15-21-25, 15-30, 15-46, 15-50, 15-51, 15-59, 15-71, 15-75, 15-83
- Waste disposal 1-71, 13-107, 13-108, 13-110, 13-111
- Waste heat 15-30, 15-83
- Waste Isolation Pilot Plant (WIPP) 8, 28, 11-3, 13-105
- Waste management 1-8, 1-11, 1-12, 2-46, 2-66, 13-14-16, 13-49, 13-56, 13-61, 13-97, 13-105, 13-106, 13-109
- Waste product 20, 2-36
- Waste segregation 13-14
- Waste treatment 10, 25, 1-9, 1-42, 2-47, 2-50, 13-56, 13-84, 13-99, 13-111
- Wastewater 9, 14, 19, 20, 24, 1-20, 1-29, 1-49, 1-57, 1-104, 1-106, 2-17, 2-19, 2-36-39, 2-43-47, 2-50, 13-14, 13-16, 15-23
- Water 2, 3, 4, 5, 7, 8, 9, 10, 12, 16, 17, 18, 19, 20, 22, 24, 25, 26, 30, 1-8-10, 1-19, 1-23, 1-24, 1-26-28, 1-31, 1-38, 1-39, 1-41, 1-42, 1-44, 1-49, 1-50, 1-57, 1-62-67, 1-94, 1-103-106, 1-108, 1-109, 2-2, 2-6, 2-10, 2-15, 2-17, 2-31-36, 2-38, 2-42, 2-43, 2-45-48, 2-53, 2-64, 2-66-68, 2-70, 5-2, 7-1-7, 7-10, 7-12, 9-5, 11-2, 11-4, 13-7, 13-8, 13-14, 13-16, 13-45, 13-50, 13-71-73, 13-82-86, 13-88, 13-102, 13-106-108, 13-111, 13-118, 13-121, 13-126, 15-1, 15-3-12, 15-14-24, 15-27, 15-31-36, 15-38, 15-40, 15-42, 15-43, 15-51, 15-55-61, 15-64, 15-71, 15-75, 15-81, 15-82, 16-15, 16-21
- Water chiller 15-32
- Water collection 13-111, 15-21
- Water demand 2-33, 15-5, 15-6
- Water distribution 2-33, 2-34, 15-10, 15-31, 15-33, 15-35, 15-36, 15-42, 15-55, 15-60, 15-81
- Water flow 2-53, 7-12, 15-4, 15-10, 15-32, 15-38, 15-42, 15-60, 15-81
- Water hammer 30, 2-35, 15-17
- Water level 2-6, 7-1, 13-82, 15-8, 15-60
- Water pollution 4, 8, 1-9, 1-49, 1-57, 1-106, 2-43, 2-46, 13-14, 13-16
- Water retention 7-10
- Water spray 1-38, 15-7, 15-40
- Water storage 12, 1-24, 2-31, 15-10,
- Water supply 12, 2-31, 2-32, 2-36, 2-38, 2-64, 2-70, 15-4, 15-5, 15-6, 15-10, 15-12, 15-18, 15-20-22, 15-34
- Water tank 1-39, 2-32, 5-2
- Water treatment 1-24, 1-28, 1-41, 2-35, 2-36, 15-19, 15-33, 15-36, 15-59
- Waterproofing 28, 1-16, 1-24, 1-38, 1-43, 7-1-6, 7-8, 7-10, 7-11, 9-7
- Waterway 2-5
- Weapons storage 13-26
- Weather 1-15, 1-41, 1-49, 1-63, 1-64, 1-86, 2-11, 2-29, 2-40, 2-41, 2-60, 2-62, 2-65, 2-67, 3-3, 7-13, 8-5, 15-26, 15-28, 15-33
- Weatherstripping 8-1

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

**Welded joint** 15-23, 15-41, 15-67

**Welding** 2, 1-23, 1-24, 5-3, 15-33, 15-70

**Wells** 2-4, 2-38, 2-67, 13-102

**Wet pipe** 15-6, 15-14

**Wetland** 1-8, 1-12, 2-2, 2-51, 2-52, 2-64

**Wheelchair** 2-16, 14-1, 14-3

**Wheelchair lift** 14-1, 14-3

**Wind** 3, 29, 1-31, 1-36, 1-47, 1-54, 1-61, 1-91, 1-92, 1-96-99, 1-105, 2-2, 2-6, 2-29, 2-30, 2-44, 2-53, 2-57, 2-68, 7-9, 7-11, 8-3, 13-11, 13-68, 13-101, 15-55

**Wind load** 1-92, 8-3

**Window** 6, 7, 29, 1-14, 1-18, 1-39, 1-43, 1-44, 1-47, 1-48, 1-61, 1-63, 1-70, 1-78, 1-81, 1-84-88, 2-62, 2-69, 7-8, 7-10, 8-1-4, 8-6, 8-7, 9-5, 11-2, 11-3, 12-1, 13-26, 16-3

**Wire** 2, 28, 1-20, 1-22, 1-70, 1-86, 1-109, 2-53, 2-58, 2-59, 8-1, 8-2, 8-4, 8-7, 16-3, 16-4, 16-23

**Wiring** 1-81, 1-105, 2-18, 2-52, 13-23, 15-15, 16-2, 16-20, 16-21, 16-24, 16-25

**Wood** 6, 26, 1-43, 1-44, 2-53, 6-1, 8-3-6, 9-3, 15-33

**Wood structure** 6-1

**Work environment** 19, 30, 13-34

**Work space** 1-59, 1-74, 8-5, 11-1, 12-3, 13-34

**Workstation** 1-59

**Yard hose outlet** 15-19

**Yield strength (fy)** 4

**Zeolite** 13-91

**Zone** 14, 19, 25, 1-71-73, 1-83, 1-84, 1-87, 1-103, 1-106, 2-4, 2-18, 2-34, 2-45, 2-54, 2-58, 2-59, 2-64, 2-66, 13-24, 13-28, 13-37, 15-8, 15-27, 15-61, 15-72, 15-77, 15-78, 15-81-83, 16-7, 16-19, 16-25, 16-26

**Zone control** 15-81, 15-82

**Zoning** 1-1, 2-67, 15-77

Double-hyphen entries indicate inclusive pages. e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

## Referenced Documents Index

- |   |   |
|---|---|
| 10 CFR 20 1-7, 13-106   | 40 CFR 264 1-9, 2-47-49, 2-63, 2-67, 13-14, 15-75               |
| 10 CFR 60 1-7, 13-105   | 40 CFR 265 1-9, 2-47, 2-49, 2-67, 13-14                         |
| 10 CFR 61 1-7, 13-105   | 40 CFR 267 1-9, 2-67  |
| 10 CFR 72 1-7, 13-83  | 40 CFR 270 1-9, 2-47  |
| 10 CFR 435 1-7, 15-83   | 40 CFR 271 1-9, 2-47  |
| 10 CFR 436 1-7, 1-62  | 40 CFR 280 1-9, 2-47-50   |
| 10 CFR 1022 1-8, 2-51, 2-52                                     | 40 CFR 423 1-9, 15-58, 15-59                                    |
| 16 CFR 1630 1-8, 12-3   | 40 CFR 761 1-9, 1-55, 16-8                                      |
| 29 CFR 1910 21, 1-8, 1-55, 9-5, 13-11, 14-1, 15-29, 15-30, 16-8 | 41 CFR 101 21, 27, 1-6, 1-7, 1-9, 1-59, 1-82, 8-7, 16-15        |
| 29 CFR 1926 1-8, 1-55, 2-20, 2-38, 9-5, 14-1                    | 48 CFR 10 1-9, 11-1   |
| 36 CFR 800 1-8, 1-104   | 49 CFR 101-19.6 1-9, 1-13, 1-48                                 |
| 40 CFR 61 1-8, 13-15, 13-50                                     | 45 FR 12746 1-12, 2-63  |
| 40 CFR 112 1-8, 16-8  | 54 FR 20694 1-12  |
| 40 CFR 122 1-8, 2-48, 2-67                                      |   |
| 40 CFR 125 1-8, 1-41  | AA Aluminum Finishes for Architecture 9-7                       |
| 40 CFR 141 1-8, 2-31, 2-33, 13-110                              | AA Finishes for Aluminum in Building 9-7                        |
| 40 CFR 142 1-8, 2-31, 2-33                                      | AABC Volume A-82 15-42  |
| 40 CFR 191 1-8, 13-105  | AAMA 101 1-14, 8-4, 8-6   |
| 40 CFR 192 1-8, 2-67  | AAMA 800 1-14, 7-13   |
| 40 CFR 240 1-8, 2-67  | AAMA 1102.7 1-14, 8-4   |
| 40 CFR 241 1-8, 2-67, 15-75                                     | AAMA 1002.10 1-14, 8-4, 8-6                                     |
| 40 CFR 249 1-8, 3-1   | AASHTO GD-2 1-14, AASHTO GD-2 2-54                              |
| 40 CFR 256 1-8, 2-63, 2-67                                      | AASHTO GDHS 1-14, 2-27, 2-54, 2-55                              |
| 40 CFR 260 1-8, 2-47, 2-63, 2-67, 15-75                         | AASHTO GSDB 1-14, 1-94  |
| 40 CFR 261 1-8, 2-47, 2-67                                      | AASHTO GU-2 1-14, AASHTO GU-2 2-54                              |
| 40 CFR 262 1-8, 2-47, 2-67                                      | AASHTO HB-13 1-14, 1-91, 1-92, 1-94-96, 3-1, 3-2, 5-2, 5-3, 6-1 |
| 40 CFR 263 1-8, 2-47, 2-67                                      | AASHTO LTS-1 1-14, 5-3  |

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.





- AASHTO T 258 1-14  
AASHTO T 258 2-22  
ACGIH Industrial Ventilation Manual 11-1, 11-4, 11-5, 15-30, 15-37-40, 15-74, 15-75  
ACGIH TLVs 15-29  
ACI 207.1R 1-15, 3-5  
ACI 207.4R 1-15, 3-5  
ACI 211.1 1-15, 3-2  
ACI 211.2 1-15, 3-3  
ACI 304 1-15, 3-3  
ACI 305R 1-15, 3-3  
ACI 306R 1-15, 3-3  
ACI 318 1-15, 2-21, 2-22, 3-1, 3-2, 13-9  
ACI 336.2R 1-15, 2-22  
ACI 336.3R 1-15, 2-22  
ACI 347 1-15, 3-2  
ACI 349 1-15, 1-101, 3-2, 13-9  
ACI 350R 1-15, 3-1  
ACI 352R 1-15, 3-2  
ACI 503.4 1-15, 3-5  
ACI 531 1-15, 4-1  
ACI 531.1 1-15, 4-1  
ACI 543R 1-15, 2-22  
ACI 546.1R 1-15, 3-5  
ACI Guide to the Use of Waterproofing, Dampproofing, Protective, and Decorative Barrier Systems 9-7  
ACI SP-4 1-16, 3-2  
ACI SP-66 1-16, 3-2  
ACSM Horizontal Control as Applied to Local Surveying Needs 1-16, 2-12  
AFM 88-29 1-49, 1-63, 15-27  
AFWL-TR-74-62 1-49  
AISC M011 1-16, 5-1, 5-3  
AISC N690 1-16, 1-101  
AISC S326 1-16, 5-1  
AISI Manual for Structural Applications of Steel Cables for Buildings 5-2  
AISI Specifications for the Design of Cold-Formed Steel Structural Members 5-1  
AMCA Publication 99 15-37  
AMCA Publication 201 15-38  
AMCA Publication 261 15-37  
AMCA Standard 210 15-38  
AMCR/DARCOM 385-100 1-20  
ANL/EES TM-264 1-17  
ANL/EES TM-264 2-44, 2-46, 2-63  
ANS 6.4 1-17, 13-9  
ANS 6.4.2 1-17, 13-9  
ANS 8 series 13-7  
ANS 8.1 1-17  
ANS 8.3 1-17, 13-8, 13-63  
ANS 8.5 1-17  
ANS 8.6 1-17, 13-52  
ANS 8.9 1-17  
ANS 8.10 1-17  
ANS 8.12 1-18  
ANS 8.15 1-18  
ANS 8.17 1-18  
ANS 8.19 1-18  
ANSI A115 series 1-18, 8-7  
ANSI A156 series 1-18, 8-7  
ANSI A216.1 1-18, 8-6  
ANSI A39.1 1-18, 8-3  
ANSI A58.1 1-18, 1-90-92  
ANSI C136 series 1-18, 2-60, ANSI C2 1-18  
ANSI C2 14-1, 16-1, 16-6, 16-7, 16-10, 16-21, 16-24  
ANSI C84.1 1-18, 16-1  
ANSI D12.1 1-18

Double-hyphen entries indicate inclusive pages, e g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

- ANSI D6.1 1-18, 1-103, 2-28, 2-53-55
- ANSI N13.1 1-18, 13-10, 15-76
- ANSI N16.1 1-18, 13-8, 13-117
- ANSI N2.3 1-18, 13-11
- ANSI N42.18 1-18
- ANSI N512 1-18, 9-1, 9-2
- ANSI Z88.2 1-19, 13-11
- ANSI Z358.1 1-19, 15-18
- API 650 1-19, 5-2
- AREA Manual for Railway Engineering (Fixed Properties)  
1-19, 1-90-92, 1-94, 1-95, 1-97, 2-23-26, 3-1, 3-2, 3-5,  
5-2, 5-3, 6-1
- ARI 410 1-19, 15-38
- ARI 430 1-19, 15-37
- ARI 450 1-19, 15-33
- ARI 460 1-19, 15-33
- ARI 520 1-19, 15-31
- ARI 550 1-19, 15-31
- ARI 590 1-19, 15-31
- ARI 850 1-19, 15-39
- ARI 1010 1-19, 15-17
- ARMA Guide to Preparing Built-Up Roofing Specifications  
7-6, 7-11
- ARMA Recommended Performance Criteria for Roofing  
Membranes Using Polymer Modified Bituminous  
Products 7-11
- ARMA Residential Asphalt Roofing Manual 7-9
- ASCE 1978-1 1-21, 5-2
- ASCE Manual 63 1-21, 6-1
- ASHRAE Applications Handbook 15-25, 15-30, 15-31, 15-  
35, 15-66
- ASHRAE Equipment Handbook 15-25, 15-30, 15-31, 15-35,  
15-36, 15-38, 15-39, 15-75
- ASHRAE Fundamentals Handbook 15-25-27, 15-30, 15-31,  
15-35, 15-38, 15-40, 15-54, 15-60
- ASHRAE Manual, Design of Smoke Control Systems for  
Buildings 15-80
- ASHRAE Publication GRP 158 15-26
- ASHRAE Refrigeration Handbook 15-25, 15-30
- ASHRAE Standard 15 15-30, 15-32, 15-33
- ASHRAE Standard 20 15-33
- ASHRAE Standard 24 15-33
- ASHRAE Standard 51 15-38
- ASHRAE Standard 55 15-25
- ASHRAE Standard 62 1-61, 15-27-29
- ASHRAE Standard 90 1-60, 1-61, 1-63-66, 11-1, 15-1, 15-16,  
15-27, 15-37, 15-41, 15-79, 16-15, 16-28
- ASHRAE Standard 100 1-60, 1-64, 1-66
- ASHRAE Systems Handbook 1-64, 15-25, 15-30, 15-31, 15-35,  
15-36, 15-42, 15-80
- ASME A17.1 1-22, 14-1, 14-2
- ASME B16 series 1-22, 15-36
- ASME B31.1 1-22, 15-36, 15-37, 15-60, 15-67, 15-68
- ASME B31.3 1-22, 15-20, 15-67, 15-68, 15-70
- ASME Boiler and Pressure Vessel Code 1-22, 13-5, 15-18, 15-  
20, 15-33, 15-56, 15-66, 15-68, 15-70
- ASME N509 1-22, 15-39
- ASME N510 1-22, 13-7, 15-39
- ASME NQA-1 1-22, 1-101
- ASME PTC 4.1 1-22, 15-56
- ASTM A312 1-22, 15-67
- ASTM B1 1-22, 16-3
- ASTM C145 1-22, 7-2
- ASTM C1036 1-23, 8-8
- ASTM C270 1-22, 7-2
- ASTM C55 1-22, 7-2
- ASTM C62 1-22, 7-2
- ASTM C71 1-22, 4-2
- ASTM C90 1-22, 7-2
- ASTM C635 1-23, 9-4
- ASTM C636 1-23, 9-4
- ASTM C840 1-23, 9-4

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29.  
Entries for singular words can include plurals.

ASTM D1586 1-23, 2-9  
ASTM D1587 1-23, 2-9  
ASTM D2113 1-23, 2-9  
ASTM D2488 1-23, 2-9  
ASTM D3656 1-23, 8-3, 8-6  
ASTM D4256 1-23, 9-2  
ASTM D4546 1-23, 2-22  
ASTM E84 1-23, 9-6  
ASTM E413 1-23, 1-88, 1-89  
ASTM E580 1-23, 9-4  
ASTM E648 1-23, 9-6, 12-3  
ASTM F693 1-23, 9-6  
ASTM G46 1-23, 15-36  
AWWA C652 1-24, 15-19  
AWWA C5186 1-24, 15-19  
AWS D1.1 1-24, 5-3  
AWS D1.2 1-24, 5-3  
AWS D1.3 1-24, 5-3  
AWS D5.2 1-24, 5-3  
AWWA D100 1-24, 5-2  
AWWA Water Treatment Plant Design 2-36  
  
BIA Building Code Requirements for Engineered Brick  
Masonry 4-1  
BIA Dampproofing and Waterproofing Masonry Walls 7-1,  
7-5  
BOCA Basic/National Mechanical Code 15-30  
  
CAA 2, 1-9, 1-24, 15-75  
CERC Shore Protection Manual 2-2  
CERCLA 2, 1-9, 1-25, 2-1  
CGA Pamphlet G-4.1 15-70  
CGA Pamphlet G-4.4 15-70  
CGA Pamphlet P-1 15-69  
  
CGA Pamphlet S-1.1 15-68  
CGA Pamphlet S-1.2 15-68  
CGA Pamphlet S-1.3 15-68  
CONF-86-09116-1 1-27, 13-71  
CRI Carpet Specifiers Handbook 9-6  
CRI Standard for Installation of Textile Floor Covering  
Materials 9-6  
CTI Bulletin ATC-105 15-33  
CWA 3, 1-9, 1-26, 2-39, 2-43, 2-46  
  
DM-7.03 1-37, 1-96  
DOD 6055.9 16, 18-20, 23, 1-26, 13-64, 13-66, 16-21  
DOE 1360.2A 1-10, 2-7  
DOE 4300.1B 1-1, 1-10, 1-59, 2-1, 2-5, 13-64  
DOE 4330.2C 1-10, 1-65, 2-5, 15-34, 15-55, 15-72  
DOE 4700.1 1-10, 1-51, 1-52, 1-54, 1-56, 1-57, 1-101, 1-110  
DOE 5100.4 1-10, 1-58  
DOE 5300.1B 1-10, 1-81, 1-84, 2-9, 16-27  
DOE 5300.2B 1-10, 1-79, 1-83, 2-7, 16-13, 16-26, 16-27  
DOE 5300.3B 1-10, 1-82, 2-7, 16-13, 16-26, 16-27  
DOE 5300.4B 1-10, 1-79, 1-80, 2-7, 16-13, 16-26, 16-27  
DOE 5400 series, Radiation Protection of the Public and the  
Environment 22, 2-33, 13-4, 13-15, 13-16, 13-50, 13-56,  
15-50, 15-76  
DOE 5400 series, Radiological Effluent Monitoring and  
Environmental Surveillance 13-4, 13-15, 13-50, 15-76  
DOE 5400.1 1-10, 2-43, 2-46, 13-15, 13-16, 13-98, 13-101, 13-  
103, 15-71, 15-76  
DOE 5400.3 1-10, 13-14, 13-16  
DOE 5440.1C 1-10, 1-57, 2-2, 2-3, 2-43, 2-46, 2-51, 15-71  
DOE 5480 series 13-10, 13-14  
DOE 5480.1B 1-11, 1-57, 2-43, 2-46, 13-14, 15-69  
DOE 5480.3 1-11, 13-51, 13-57  
DOE 5480.4 1-11, 1-55, 1-57, 15-71  
DOE 5480.5 16, 1-11, 1-76, 13-1, 13-7, 13-8  
DOE 5480.6 1-1, 1-11, 2-3, 13-1, 13-57, 13-82

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29.  
Entries for singular words can include plurals.

DOE 5480.7, 1-11, 1-55, 1-56, 1-75, 13-17, 15-2, 15-3, 15-60, 15-71, 15-72	DOE TSCM Procedural Guide 1-28, 1-79, 1-88, 8-2, 9-5, 9-6
DOE 5480.8, 1-11, 1-77	DOOT approved 13-54, 13-72, 13-73, 13-76
DOE 5480.10 1-11, 1-73, 13-38, 15-44	Drew Chemical, Ameroid Engineer's Manual of Marine Boiler and Feed Water Treatment 2-36
DOE 5480.11 9, 1-11, 1-73, 13-4, 13-9, 13-11, 13-15, 13-82, 13-83, 13-106, 13-113, 15-49	Drew Chemical, Principles of Industrial Water Treatment 2-36
DOE 5480.16 1-11	
DOE 5481.1B 25, 1-3, 1-11, 1-54, 2-3, 13-4, 15-70	EIA 222 D 1-28, 5-2
DOE 5500.1A 1-11, 1-53	FIMA Guideline Specification for Exterior Insulation and Finish Systems Class PB Type A 7-8
DOE 5500.3 1-11, 1-53, 2-3	FIMA Guideline Specification for Exterior Insulation and Finish Systems Class PM Type A and B 7-8
DOE 5630.11 1-11, 13-16	F.P.A. 430/9-75-002 1-29, 2-45
DOE 5630.12 1-11, 13-27	F.P.A. 450/4-80-023 1-29, 15-73
DOE 5630.13 1-11, 13-17	F.P.A. 450/4-81-003 1-29, 15-73
DOE 5632 series 1-11, 1-74, 1-80, 1-85, 1-86, 2-7, 2-18, 2-19, 2-23, 2-27, 2-31, 2-52, 2-53, 2-56, 2-57, 2-59, 2-61, 11-5, 13-14, 13-16, 13-21, 13-25, 13-51, 13-69, 16-26	EPA 600/8-81-009 1-29, 15-73
DOE 5632.7, 1-11, 2-62	EPA 625.1-77-009 1-29, 2-45
DOE 5633 series 1-11-12, 13-16	EPA 625.1-80-017 1-29, 2-45
DOE 5633.2 1-11, 13-26	EPA Project #1709C 1-29, 2-45
DOE 5633.3 1-12, 13-26, 13-29, 13-54, 13-59, 13-114	EPA Radiation Protection Guidance to the Federal Agencies for Occupational Exposure 1-29
DOE 5633.4 1-12, 13-26	EPRI NP-3659 1-29, 13-39
DOE 5636.3A 1-12, 1-69, 1-79, 8-2, 9-5, 9-6	ERDA 76-21 1-28, 13-85, 13-87, 13-112, 15-39, 15-40
DOE 5637.1 1-12, 1-79-81, 2-7, 13-32, 16-26	Executive Order 11490 1-12, 1-58
DOE 5700.6B 1-12, 1-101, 15-70	Executive Order 11593 1-12, 1-104
DOE 5820.2A 20, 28, 1-12, 2-46, 2-67, 13-14, 13-33	Executive Order 11988 1-12, 2-51
DOE Design Guide 1-28, 10-2	Executive Order 11990 1-12, 2-51
DOE/DNA TP-20-7 1-27, 13-68	Executive Order 12088 1-12, 15-71
DOE/EP0035 1-27, 13-29	
DOE/EP 0108 1-27, 1-78, 1-82, 2-7, 9-6, 10-2, 15-14, 16-14	FAA AC 150/5020-1 1-30, 2-29
DOE/EV 0051/1 1-27, 16-11	FAA AC 150/5050-5 1-30, 2-28
DOE/MA 0129 1-27, 2-1, 15-54	FAA AC 150/5070-6A 1-30, 2-30
DOE Radiation Standards for Protection of the Public 1-28, 13-4	FAA AC 150/5210-6C 1-30, 2-30
DOE Threat Statement 1-28, 13-16	FAA AC 150/5300-2D 1-30, 2-28, 2-29
DOE/TIC 11268 1-28, 1-101, 13-64	FAA AC 150/5300-12 1-30, 2-30
DOE/TIC 11603 1-28, 13-5	FAA AC 150/5300-4B 1-30, 2-30

Double-hyphen entries indicate inclusive pages *e.g.*, "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

FAA AC 150/5320-5B 1-30, 2-30	GA Manual of Gypsum Veneer Plaster 9-4
FAA AC 150/5320-6C 1-30, 2-30	GSA-approved 1-87, 13-26
FAA AC 150/5325-5B 1-30, 2-29	
FAA AC 150/5340-1E 1-30, 2-30	IAEA Safety Series No. 30 11-3
FAA AC 150/5390-2 1-30, 2-28, 2-29	ICBO Report 4071 9-3
FAI/83-9 1-30, 13-73	ICRP Report No. 26 2-3
FCC Technical Report No. 37 1-31, 15-57	IEEE 141 1-34, 16-4
FCC Technical Report No. 44 1-31, 15-57	IEEE 142 1-34, 16-10
FCC Technical Report No. 51 1-31, 15-56, 15-58, 15-59	IEEE 242 1-34, 16-6, 16-11
FCC Technical Report No. 57 1-31, 15-72	IEEE 308 1-34, 16-17
FCC Technical Report No. 69 16-17, 1-31	IEEE 379 1-34, 16-18
FCC Technical Report No. 71 1-31, 15-56	IEEE 384 1-34, 16-18
FEMA CPG2-17 1-31, 1-78	IEEE 399 1-34, 16-6
FEMA TR-83A 1-31, 1-93	IEEE 446 1-34, 16-17
FEMA TR-83B 1-31, 1-93	IEEE 493 1-34, 16-5, 16-6, 16-11
FEMA TR-87 1-31, 1-58	IEEE 602 1-34, 16-14
FGMA Glazing Manual 1-31, 8-8	IEEE 739 1-34, 16-28
FGMA Sealant Manual 1-31, 8-8	IEEE 80 1-34, 16-10
Fink and Beatty, Standard Handbook for Electrical Engineers 1-31, 16-5	IEEE 837 1-34, 16-10
FIPS PUB 94 1-32, 16-9, 16-14	IEEE C37 series 1-34, series 16-6, 16-11
FM 1-57 1-32, 1-55	IEEE C37.91 1-35, 16-12
FM 5-4/14-8 1-32, 16-8, 16-12	IES Lighting Handbook 2-60, 16-14, 16-15
FM-approved 1-56, 7-9, 8-1, 8-3, 10-3	ISDSI 102 1-35, 8-4
FM Approval Guide 15-80	
FS AA-D-600B 1-32, 1-87	LA-10294-MS 1-35, 2-4
FS FF-P-110 1-32, 8-7	LBL-9143 1-35, 1-90, 1-93
FS FF-P-001480 1-32, 8-7	MBMA Metal Building Systems Manual 5-1
FS W-A-450B 1-32, 1-87, 13-24, 16-24	Meister and Rabideau, Human Factors Evaluation in System Development 1-36, 13-35
FS W-C-596 1-32, 16-5	MIL-P-43951 1-27, 8-7
FS WW-P-541 series 1-32	MIL-STD-282 17, 1-27
FUA 1-9, 1-32, 15-55	MIL-HDBK-419 16-9, 1-27
FWPCA 4, 1-9, 2-43, 2-46	MIL-HDBK-10044 1-27, 16-9
	MIL-HDBK-10131 1-27, 2-62

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

MIL-STD-1330 1-27, 15-70  
MIL-STD-1472C 1-27, 13-37, 13-40-43  
MIL-STD-1630 1-27, 15-70  
MLSFA Steel Framing Systems Manual 9-3  
  
NAAMM Metal Finishes Manual 9-7  
NASA NHB 7320.1B 2-36  
NASA SP-3072 15-70  
NBS Handbook 135 1-62  
NBS Technical Note 837 28, 2-57  
NCEL UG-0010 1-37, 1-62  
NCMA TR 75B 1-37, 4-1  
NCMA Waterproof Coatings for Concrete Masonry 7-1, 7-4  
NCMA Waterproofing Concrete Masonry Basements and  
Earth-Sheltered Structures 7-1, 7-4  
NEMA ICS 1-38, 16-13  
NEMA MG-1 1-38, 16-12  
NEPA 1-9, 1-38, 2-2, 2-52, 5  
NFPA 10 1-38, 15-8  
NFPA 11 1-38 15-7  
NFPA 11A 1-38, 15-7  
NFPA 12 1-38, 15-7  
NFPA 12A 1-38, 15-7  
NFPA 12B 1-38, 15-7  
NFPA 13 1-4, 1-38, 12-3, 15-5, 15-6, 15-10, 15-17  
NFPA 14 1-38, 15-8  
NFPA 15 1-38, 15-7  
NFPA 16 1-38, 15-7  
NFPA 16A 1-38, 15-7  
NFPA 17 1-38, 15-7  
NFPA 20 1-38, 15-10  
NFPA 22 1-39, 2-31  
NFPA 22 5-2, 15-10  
NFPA 24 1-39, 2-31, 15-10  
NFPA 30 1-39, 1-79, 2-32, 15-35, 15-72  
NFPA 31 1-39, 15-72  
NFPA 37 1-39, 16-17  
NFPA 45 1-39, 11-4, 15-30, 15-40  
NFPA 50 1-39, 15-69  
NFPA 54 1-39, 2-31, 15-72  
NFPA 58 1-39, 2-31  
NFPA 68 1-39, 15-74  
NFPA 70 1-38, 1-39, 14-1, 16-1-3, 16-5, 16-10-12, 16-16, 16-17  
NFPA 71 1-39, 15-8, 16-24  
NFPA 72A 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72B 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72C 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72D 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72E 1-39, 2-52, 15-8-10, 15-80, 16-24, 16-28  
NFPA 72F 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72G 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 72H 1-39, 2-52, 15-8, 16-24, 16-28  
NFPA 75 1-39, 1-82, 2-7, 15-14, 16-14  
NFPA 78 1-39, 16-9, 16-23  
NFPA 80 1-39, 8-1, 8-3  
NFPA 80A 1-39, 1-57, 2-5  
NFPA 85A 1-40, 15-60, 15-72  
NFPA 85B 1-40, 15-60, 15-72  
NFPA 85D 1-40, 15-60, 15-72  
NFPA 85E 1-40, 15-60, 15-72, 15-74  
NFPA 85F 1-40, 15-72, 15-74  
NFPA 85G 1-40, 15-72  
NFPA 90A 1-40, 15-28, 15-29, 15-37, 15-40, 15-52, 15-80  
NFPA 91 1-40, 15-28, 15-40, 15-80  
NFPA 96 1-40, 15-40, 15-80  
NFPA 99 1-40, 16-14

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29.  
Entries for singular words can include plurals.

NFPA 101 1-40, 1-55, 1-68, 1-73, 1-74, 2-68, 7-9, 8-2, 8-3, 8-7, 9-1, 9-8, 12-1-3, 13-38, 13-53, 13-99, 14-1, 15-29, 15-77, 15-80, 16-15, 16-17

NFPA 110 1-40, 16-15-17

NFPA 232 1-40, 1-82

NFPA 255 1-40, 15-38

NFPA 403 1-40, 2-30

NFPA 407 1-40, 2-31

NFPA 409 1-40, 15-7

NFPA 496 1-40, 16-21

NFPA 1221 1-40, 16-24

NFPA 1410 1-40, 15-4

NHPA 5, 1-9, 1-40

NJ Standard 0108.01 1-41, 2-57, 2-61, 2-62

NOAA Atlas 2 1-41, 2-41

NOAA Manual NOS NGS 1 1-41, 2-12

NOAA Manual NOS NGS 3 1-41, 2-12

NOAA/National Weather Service Technical Paper No. 40 1-41, 2-41

NOAA NGS Special Publication 247 2-12

Nordell, Water Treatment for Industrial and Other Uses 1-41, 2-36

NPDES 5, 1-8, 1-41, 2-39, 2-44, 2-45

NRCA Construction Details 7-10, 7-12

NRCA Handbook of Accepted Roofing Knowledge 7-6, 7-8, 7-10, 7-11

NRCA Roofing and Waterproofing Manual 7-3, 7-6, 7-8, 7-10, 7-11

NRCA Steep Roofing Manual 7-9, 7-12

NSA NACSIM 5203 1-84, 16-2, 16-26

NSPC 6, 1-37, 1-43, 15-16, 15-17

NTIA Manual 1-43, 5-2

NUREG 0700 1-41, 13-37-43

NUREG CR-2496 1-41, 13-40

NUREG CR-3331 1-41, 13-35

NWWDA IS-1 1-43, 8-5

NWWDA IS-2 1-43, 8-6

NWWDA IS-3 1-43, 8-5

NWWDA IS-6 1-43, 8-5

NWWDA IS-7 1-44, 8-6

OMB Circular A-130 1-13, 1-82

PCA Clear Coatings for Exposed Architectural Concrete 9-7

PCA Effect of Substances on Concrete and Guide to Protective Treatment 9-7

PCA Painting Concrete 9-7

PCA Surface Treatments for Concrete Floors 9-7

PCI MNL-116 1-44, 3-3

PCI MNL-120 1-44, 3-3

PDCA Architectural Painting and Wall Covering Manual 9-7, 9-8

Powell, Water Conditioning for Industry 1-44, 2-36

PTI Post-Tensioning Manual 3-3

PURPA 6, 1-10, 1-45, 15-56

R.G. 3.10 1-42, 13-50

R.G. 3.12 1-42, 13-46

R.G. 3.13 1-42, 13-76

R.G. 3.14 1-42, 13-46, 13-88

R.G. 3.17 1-42, 13-46, 13-88

R.G. 3.18 1-42, 13-89

R.G. 3.20 1-42, 13-88

R.G. 3.22 1-42, 13-88

R.G. 3.27 1-42, 13-88

R.G. 3.32 1-42, 13-89

R.G. 3.33 1-42, 13-87

R.G. 3.34 1-42, 13-77, 13-93

R.G. 3.35 1-42, 13-45

R.G. 3.43 1-42, 13-52, 13-58

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29  
Entries for singular words can include plurals.

- R.G. 3.49 1-42, 13-83  
R.G. 3.54 1-42, 13-83  
R.G. 8.8 1-42, 15-22  
RCRA 7, 1-10, 1-45, 1-79, 2-1, 2-46, 2-63, 2-67, 13-14, 13-15, 15-75  
RFCI Recommended Work Procedures for Resilient Floor Covering 9-6  
  
SAND 87-1926 1-47, 2-57, 2-59, 2-61  
SAND 87-1927 1-47, 2-57  
SAND 87-1928 1-47, 2-57  
SCS National Engineering Handbook 1-45, 2-41, 2-70  
SDI 100 1-45, 8-4  
SDI 108 1-46, 8-4  
SDI-Publ. 25 1-45, 5-3  
SDI-Publ. DDM01 1-45, 5-3  
SDWA 7, 1-10, 1-46, 2-33, 2-43, 2-46  
SJI Standard Specifications Load Tables and Weight Tables for Steel Joists and Joist Girders 5-3  
SMA 2005 1-46, 8-4  
SMA 3001 1-46, 8-4  
SMACNA Architectural Sheet Metal Manual 7-12  
SMACNA Energy Recovery Equipment and Systems Manual 15-30  
SMACNA Fibrous Glass Duct Construction Standards 15-40  
SMACNA HVAC Air Duct Leakage Test Manual 15-40  
SMACNA HVAC Duct Construction Standards—Metal and Flexible 15-40  
SMACNA HVAC Duct Design Manual 15-40  
SMACNA Round Industrial Duct Construction Standards 15-40  
SPRI Single Ply Roofing: A Professional's Guide to Specifications 7-11  
SPRI Wind Design Guide for Ballasted Single Ply Roofing Systems 7-11  
SPRI Wind Design Guide for Fully Adhered Single Ply Roofing Systems 7-11  
SPRI Wind Design Guide for Mechanically Attached Single Ply Roofing Systems 7-11  
SSFI SH 300 2-20  
SWI Specifications Brochure for Steel Windows 8-6  
  
TCA Handbook for Ceramic Tile Installation 9-4  
TIMA Economic Thickness Manual 15-1, 15-61  
TM 5-1300 22, 1-20, 1-101, 13-64, 13-69  
TM 5-809-10 1-20, 1-93  
TM 5-809-10.1 1-20, 1-93  
TM 5-810-7/AFM 88-12 1-20, 15-68  
TM 5-814-1 1-20, 2-37  
TM 5-814-2 1-20, 2-37  
TM 5-815-2 1-20, 1-62  
TM 5-818-1 1-20  
TM 5-818-5 1-20, 2-19  
TM 5-830-3 1-20, 1-103, 1-105  
TM 11-486-5 1-20, 5-2  
TSCA 7, 1-10, 1-48,  
  
UBC Standard No. 17-6 1-33, 7-9  
UBC Standard No. 47-18 1-33, 9-3  
UBC 8, 25, 27, 1-33, 1-48, 1-55, 1-93, 1-95, 1-96, 2-21, 2-22, 3-4, 4-1, 4-2, 5-1, 5-3, 6-1, 7-6, 7-9-12, 8-2, 8-3, 9-3, 10-2, 12-2, 14-1  
UCRL 15673 1-35, 13-37, 13-38, 13-42  
UCRL 15714 1-35, 9-4  
UCRL 15910 1-35, 1-92, 1-93, 1-98-100  
UCRL 53526 1-36, 1-92, 1-98  
UCRL 53582 1-36, 1-93, 1-99  
UFAS 8, 1-13, 1-48, 2-26, 2-27, 2-54, 10-5, 14-1-3  
UL 10A 1-48, 1-87  
UL 1479 1-49, 16-2  
UL 155 1-48, 1-87  
UL 207 1-48, 15-32

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.



UL 325 1-48, 12-2  
UL 365 1-48, 1-79  
UL 493 1-48, 16-3  
UL 508 1-48, 16-13  
UL 586 1-48, 15-39  
UL 723 1-48, 15-1, 15-68  
UL 752 1-48, 2-61, 2-62, 13-26  
UL 768 1-48, 8-7  
UL 779 1-49, 9-6  
UL 900 1-49, 15-39  
UL 984 1-49, 16-12  
UL 992 1-49, 9-6  
UL Building Materials Directory 1-49, 16-2  
UL Class A 1-79, 7-8  
UL Class AA 1-79, 16-26  
UL Fire Resistance Directory 1-49  
UL listed 1-72, 7-9, 8-1, 8-3, 10-2-4, 12-3, 15-5, 15-17, 16-1  
UPC 8, 1-33, 1-49, 2-45  
  
Van Cott and Kincade 1-27, 1-49, 13-41, 13-42  
  
Winterkorn and Fang, Foundation Engineering Handbook 2-20  
WPCF MOP/8 CTG-77 2-45  
WQA 1-10, 1-49, 2-39  
WRC Bulletin No. 17A 2-66

Double-hyphen entries indicate inclusive pages, e.g., "3-27-29" means page 3-27 through page 3-29. Entries for singular words can include plurals.

DOE 6430.1A  
4-6-89

DOE FORM 6430.1A  
1988

**General Design Criteria (GDC) Improvement Proposal**  
**U.S. DEPARTMENT OF ENERGY**

**Instructions:** In a continuing effort to maintain the GDC as up-to-date as possible, DOE provides this form for use in submitting comments and suggestions for improvements. All GDC users, including DOE personnel, operating contractors, and private architects engineers are invited to provide suggestions. Be as specific as possible about perceived problem areas — wording that requires interpretation, is too restrictive, too loose, or ambiguous or criteria that warrant technical improvement — and give proposed wording changes that would alleviate the problem. Use a separate form for each recommended change and submit to your local GDC Planning Board representative. Where appropriate, attach marked-up copies of GDC pages. Recommended changes not following these guidelines cannot be acknowledged.

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FTS#: 844-2070 Albuquerque, NM 87115  
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GDC Division #: 1 Section #: 0111-2.4.2 Title: Building and Other Structures

Type of Change — Circle One

Grammatical Minor Rewording Deletion Technical Addition Major Rewording

\*These changes require an expanded discussion. Attach additional sheets as necessary.

Present Wording: None

Suggested Rewording: Add the following to the end of the paragraph "The procedures of T-5-809-10 for the application of lateral seismic loadings to buildings shall also apply to the lateral wind forces."

Basis for Recommended Change: The lateral forces due to wind are similar to the lateral forces of seismic. There is no current guidance in how the wind forces are to be analyzed in the GDC. The T-5-809-10 has been used successfully by AL for several years as the basic guidance.

  
(Signature)  
GDC Planning Board Representative

EXAMPLE

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## **ERRATA SHEET**

**DOE 6430.1A, GENERAL DESIGN CRITERIA, of 4-6-89, was published with an incorrect reference on page 13-5. Please replace pages. 13-5 and 13-6 with the attached pages.**

### **1300-3.3      Single Failure Criterion and Redundancy**

The design shall ensure that a single failure (see Glossary) does not result in the loss of capability of a safety class system to accomplish its required safety functions. To protect against single failures, the design shall include appropriate redundancy and shall consider diversity to minimize the possibility of concurrent common-mode failures of redundant items.

### **1300-3.4      Environment/Environment Considerations**

#### **1300-3.4.1      General**

Safety class items shall be designed to withstand the effects of, and be compatible with, the environmental conditions associated with operation, maintenance, shutdown, testing, and accidents. The environmental capability of equipment shall be demonstrated by appropriate testing, analysis, and operating experience, or other methods that can be supported by auditable documentation, or a combination of these methods.

#### **1300-3.4.2      Environmental Qualification of Equipment**

Equipment qualification shall provide assurance that safety class items will be capable of performing required safety functions under DBA conditions. The qualification shall demonstrate that the equipment can at least perform for the period of time that its safety functions are required. Subsequent equipment failure, after its safety function is no longer required, may be allowable.

Temperature, pressure, and humidity environments shall be based on the most severe postulated accident affecting the particular item. The postulated environment shall reflect an environment that considers both radiological composition (e.g., elements, isotopes, total radioactivity) and chemical composition (e.g., abrasives, acids, smoke, caustic vapors) of all material physical forms likely to affect the equipment.

#### **1300-3.4.3      Equipment Operability Qualification**

Testing or a combination of testing and analysis shall be the preferred method of demonstrating the operability of fluid system components, mechanical equipment, instrumentation, and electrical equipment that are required to operate during and following a DBE. Seismic experience data may be used as an alternative to testing or dynamic analysis where such data have been documented and validated. See Section 0111-99.0, Nonreactor Nuclear Facilities-General.

### **1300-3.5      Maintenance**

The design shall consider the maintainability factors peculiar to the specific equipment to be used in the facility. Facility design shall provide for routine maintenance, repair, or replacement of equipment subject to failure.

Safety class items shall be designed to allow inspection, maintenance, and testing to ensure their continued functioning, readiness for operation, and accuracy. Ancillary equipment, such